

# LACUNARY FOURIER SERIES ON NONCOMMUTATIVE GROUPS

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**1. Introduction.** In classical Fourier analysis lacunary series play a considerable role due to theorems of Kolmogoroff, Banach, Sidon and others. According to the usual definition a Fourier series  $\sum a_k e^{in_k x}$  is called lacunary if  $n_{k+1}/n_k > \lambda$  ( $\lambda > 1$ ) for all  $k$ . This definition makes use of the ordering of the integers and does not immediately extend to two dimensions or to more general groups which have been recognized as a proper setting for large parts of Fourier Analysis.

Let  $G$  be a compact group and as usual let  $\hat{G}$  denote the set of equivalence classes of unitary irreducible representations of  $G$ . The set  $\hat{G}$  has the following "hypergroup" structure: To each pair  $\alpha, \beta \in \hat{G}$  there is attached a measure  $\mu_{\alpha, \beta}$  on  $\hat{G}$ . This is determined by the decomposition of the Kronecker product  $\alpha \otimes \beta$ . In terms of this structure there is a natural duality between normal subgroups of  $G$  and certain subhypergroups of  $\hat{G}$ . Some of the abelian Pontrjagin duality extends to this situation, although two nonisomorphic finite groups  $G$  may have the same hypergroup structure of  $\hat{G}$ .

The purpose of this note is to point out how, in certain instances, the hypergroup structure of  $\hat{G}$  is related to properties of Fourier expansions on  $G$ . In particular we give a definition of a lacunary Fourier series on  $G$  in terms of  $\hat{G}$ . If  $G$  is the circle group, our definition is formally quite different from the usual one but has similar implications. The definition is wide enough to cover the case of a series of the form  $\sum a_n e^{ix_n}$ , where  $x_n$  are independent variables and a well known theorem of Kolmogoroff about such series can be extended to Fourier series on the product  $\prod_n U(n)$ ,  $U(n)$  denoting the unitary group in  $n$  dimensions. Furthermore, the theorem of Banach stating that a lacunary  $L^1$ -series is an  $L^2$ -series is generalized to noncommutative groups.

**2. The duality.** We shall be concerned with compact groups  $G$  with normalized Haar measure  $dg$  and the familiar function spaces  $L^1(G)$  and  $L^2(G)$  of integrable and square integrable functions. The corresponding norms are denoted  $\| \cdot \|_1$  and  $\| \cdot \|_2$ . Every function  $f \in L^1(G)$  can be uniquely represented by a Fourier series

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$$(1) \quad f(g) \sim \sum_{\chi \in \hat{G}} d_\chi \operatorname{Tr} \langle A_\chi D_\chi(g) \rangle$$

where  $\operatorname{Tr}$  denotes the usual trace,  $\hat{G}$  is the set of equivalence classes of irreducible unitary representations of  $G$ ,  $D_\chi$  is a member of the class  $\chi$ ,  $d_\chi$  is the degree of  $\chi$  and  $A_\chi$  is the linear transformation determined by

$$(2) \quad A_\chi = \int_G f(g) D_\chi(g^{-1}) dg.$$

For the expansion above, the Schur-Peter-Weyl formula is valid

$$(3) \quad \int_G |f(g)|^2 dg = \sum_{\chi \in \hat{G}} d_\chi \operatorname{Tr} \langle A_\chi A_\chi^* \rangle$$

finiteness of one side implying the finiteness of the other. ( $B^*$  denotes the adjoint of the operator  $B$ ). In case  $f$  is a *central* function, that is  $f$  is invariant under inner automorphisms, the Fourier series (1) takes the form  $f(g) \sim \sum a_\chi \chi(g)$  where  $g \rightarrow \chi(g)$  is the character of the class  $\chi$ .

The series (1) we call *absolutely convergent* if  $\sum d_\chi^2 \|A_\chi\| < \infty$ ,  $\| \cdot \|$  denoting the usual norm.

DEFINITION 2.1. A set  $S$  is called a (discrete) *hypergroup* if there is given a mapping  $(\alpha, \beta) \rightarrow \mu_{\alpha, \beta}$  of  $S \times S$  into the set of measures on  $S$ . A subset  $T$  of the hypergroup  $S$  is called a *subhypergroup* if all the measures  $\mu_{\alpha, \beta}$  ( $\alpha, \beta \in T$ ) have support contained in  $T$ .

If  $G$  is abelian,  $\hat{G}$  is a group, and if  $G$  is nonabelian every tensor product  $\alpha \otimes \beta$  for  $\alpha, \beta \in \hat{G}$  has a direct decomposition into irreducible unitary components. This induces a hypergroup structure in  $\hat{G}$ . If  $A$  and  $B$  are two representations of  $G$  we call  $A$  and  $B$  *disjoint* if no irreducible component of  $A$  is equivalent to an irreducible component of  $B$ .

The identity transformation of arbitrary dimension will be called  $E$  and the irreducible unit representation of  $G$  will be called  $I$ . If  $M$  is an arbitrary subset of  $G$  we let  $M^\perp$  stand for the set of classes  $\alpha \in \hat{G}$  such that  $D_\alpha(g) = E$  for each  $g \in M$ . Similarly if  $\mathfrak{H} \in \hat{G}$  we let  $\mathfrak{H}^\perp$  denote the subset of  $G$  determined by the equations  $D_\alpha(g) = E$  for each  $\alpha \in \mathfrak{H}$ . For simplification we call a subhypergroup  $\mathfrak{H}$  of  $\hat{G}$  a *normal* subhypergroup if  $I \in \mathfrak{H}$  and if  $\alpha \in \mathfrak{H}$  implies  $\bar{\alpha} \in \mathfrak{H}$  (the bar denotes complex conjugation).

We have then the following duality between normal subhypergroups of  $\hat{G}$  and closed normal subgroups of  $G$ . This is closely related to a duality outlined by van Kampen [3].

**THEOREM 1.**

(i) If  $M \subset G$ ,  $M^\perp$  is a normal subhypergroup of  $\hat{G}$  and  $(M^\perp)^\perp$  is the smallest closed normal subgroup of  $G$  containing  $M$ .

(ii) If  $\mathfrak{S} \subset \hat{G}$ ,  $\mathfrak{S}^\perp$  is a closed normal subgroup of  $G$  and  $(\mathfrak{S}^\perp)^\perp$  is the smallest normal subhypergroup of  $\hat{G}$  containing  $\mathfrak{S}$ .

(iii) If  $N$  is a closed normal subgroup of  $G$ ,  $(G/N)^\wedge = N^\perp$ .

**PROOF.** (i) Let  $\alpha, \beta \in M^\perp$  and let  $D_\alpha, D_\beta$  be corresponding representations. From the direct decomposition of  $D_\alpha \otimes D_\beta$  we get for the characters the decomposition

$$(4) \quad \alpha(g)\beta(g) = \chi_1(g) + \cdots + \chi_n(g)$$

where the  $\chi_i$  are characters of irreducible representations whose dimensions  $d_i$  satisfy

$$(5) \quad d_\alpha \cdot d_\beta = d_1 + \cdots + d_n.$$

Now if  $g \in M$  we have  $\alpha(g) = d_\alpha$  and  $\beta(g) = d_\beta$  and since  $\max_g |\chi(g)| = d_\chi$  we conclude from (4) and (5) that  $\chi_i(g) = d_i$  for all  $i$ . It follows, that  $D_{\chi_i}(g) = E$  so  $\chi_i \in M^\perp$  and  $M^\perp$  is a subhypergroup which is normal. It is obvious that  $(M^\perp)^\perp$  is a closed normal subgroup containing  $M$ . If  $N$  is some arbitrary closed normal subgroup containing  $M$ , then  $(M^\perp)^\perp \subset N$  because if  $n \in (M^\perp)^\perp - N$  we can (by going to the factor group  $G/N$ ) find a representation  $D \in \hat{G}$  such that  $D(g) = E$  for  $g \in N$  but  $D(n) \neq E$ . Then  $D \in N^\perp - M^\perp$  which contradicts  $M \subset N$ .

(ii) If  $\mathfrak{S} \subset \hat{G}$  it is clear that  $\mathfrak{S}^\perp$  is a closed normal subgroup and  $(\mathfrak{S}^\perp)^\perp$  is a normal subhypergroup. The matrix elements from  $\mathfrak{S}$  and  $I$  can be regarded as a family of continuous functions on  $G/\mathfrak{S}^\perp$  which separates points. Let  $\mathfrak{R}$  be the set of linear combinations of matrix elements from the normal subhypergroup  $\mathfrak{S}^*$  generated by  $\mathfrak{S}$  and  $I$ . By the Peter-Weyl theorem  $\mathfrak{R}$  is uniformly dense in the space of continuous functions on  $G/\mathfrak{S}^\perp$ . Now if there were a representation  $D \in \mathfrak{S}^{\perp\perp} - \mathfrak{S}^*$ , each matrix element  $a(g)$  from  $D$  could be uniformly approximated by elements of  $\mathfrak{R}$  but on the other hand  $a(g)$  is orthogonal to  $\mathfrak{R}$  by the orthogonality relations. This shows that  $\mathfrak{S}^* = \mathfrak{S}^{\perp\perp}$ .

(iii) Proof obvious.

**3. Multipliers.**

**DEFINITION 3.1.** A *hyperfunction* on  $\hat{G}$  is a mapping which assigns to each  $\chi \in \hat{G}$  a linear transformation of a complex vector space of dimension  $d_\chi$ .

**DEFINITION 3.2.** A hyperfunction  $\Gamma$  on  $\hat{G}$  is called a *multiplier* if for each Fourier series for a continuous function

$$(6) \quad f(g) \sim \sum d_\chi \text{Tr} \langle A_\chi D_\chi(g) \rangle$$

the series

$$(7) \quad f_{\Gamma}(g) \sim \sum d_x \operatorname{Tr} \langle \Gamma_x A_x D_x(g) \rangle$$

is also a Fourier series for a continuous function.

It is easy to see from the closed graph theorem that if  $\Gamma$  is a multiplier there exists a bounded measure  $\mu_{\Gamma}$  on  $G$  such that  $f_{\Gamma} = f * \mu_{\Gamma}$  (convolution product). Less trivial is the following extension of a theorem of Sidon:

**THEOREM 2.** *Let  $\Gamma$  be a multiplier such that for each Fourier series (6) (with continuous  $f$ ) the corresponding series (7) is absolutely convergent. Then there exists a function  $F \in L^2(G)$  such that*

$$f_{\Gamma} = f * F \quad \text{for all continuous } f.$$

**PROOF.** Let  $\Gamma$  be a multiplier with the properties stated in the theorem. Then  $B\Gamma$  is also of that type provided  $B$  is a hyperfunction on  $\hat{G}$  satisfying  $\sup_x \|B_x\| < \infty$ . To see that  $B\Gamma$  really is a multiplier we remark that an absolutely convergent series (in the sense defined in this paper) is uniformly convergent on  $G$ ; this last fact is easily verified by writing each Fourier matrix  $A_x$  as  $P_x V_x$  where  $P_x$  is positive definite and  $V_x$  is unitary. Using a previous remark we see that there exist bounded measures  $\mu_{\Gamma}$  and  $\mu_{B\Gamma}$  on  $G$  such that

$$\begin{aligned} f_{B\Gamma} &= f * \mu_{B\Gamma}, \\ f_{\Gamma} &= f * \mu_{\Gamma}. \end{aligned}$$

The hyperfunctions  $B$  satisfying  $\sup_x \|B_x\| < \infty$  (the bounded hyperfunctions) form a Banach space under the norm  $\sup_x \|B_x\|$ . The mapping  $T: B \rightarrow \mu_{B\Gamma}$  is a linear mapping of the Banach space of bounded hyperfunctions into the Banach space of measures on  $G$  and again from the closed graph theorem it follows easily that this mapping is continuous. Now an integrable function on  $G$  can be identified with a hyperfunction on  $\hat{G}$  via the Fourier series expansion. If the function  $\phi \in L^1(G)$  corresponds to  $B$  in this manner we see that  $\mu_{B\Gamma}$  is absolutely continuous with respect to Haar measure and has a derivative, say  $\phi^{\Gamma} \in L^1(G)$ . Then the mapping  $\tilde{T}: \phi \rightarrow \phi^{\Gamma}$  is a linear transformation of  $L^1(G)$  into itself and since  $T$  above is continuous it follows that  $\tilde{T}$  is *spectrally continuous* in the sense of [2]. Furthermore  $\phi^{\Gamma} = \mu_{\Gamma} * \phi$  so  $\tilde{T}$  commutes with right translations on  $G$ .

This being established, Theorem 2 follows from Theorem A in [2] which is an extension of a theorem of Littlewood and states that the spectrally continuous operators that commute with right translations are precisely the left convolutions with  $L^2$ -functions on  $G$ .

DEFINITION 3.3. A subset  $S \subset \hat{G}$  is called *distinguished* if for every Fourier series for a continuous function

$$(8) \quad f(g) \sim \sum_{\chi \in \hat{G}} d_{\chi} \operatorname{Tr} \langle A_{\chi} D_{\chi}(g) \rangle$$

the subseries

$$(9) \quad \sum_{\chi \in S} d_{\chi} \operatorname{Tr} \langle A_{\chi} D_{\chi}(g) \rangle$$

also represents a continuous function  $f_S$ .

For abelian groups  $G$ , the distinguished sets were investigated in [1]. For the noncommutative case a partial description is given by

THEOREM 3. *The distinguished sets that preserve positivity in the sense that  $f_S \geq 0$  whenever  $f \geq 0$  are precisely the normal subhypergroups of  $\hat{G}$ .*

PROOF. The mapping  $f \rightarrow f_S$  is continuous (uniform topology) by the closed graph theorem and commutes with left translations. Hence there exists a bounded measure  $\mu_S$  on  $G$  such that  $f_S = f * \mu_S$  for all  $f$ . The mapping  $f \rightarrow f_S$  also commutes with right translations, so that the Fourier-Stieltjes series for  $\mu_S$  has the form

$$\mu_S(g) \sim \sum_{\chi \in S} d_{\chi} \chi(g).$$

Using the assumption of the theorem we see that  $\mu_S$  is a positive measure so by a theorem of Wendel [7] the condition  $\mu_S * \mu_S = \mu_S$  implies that there exists a compact subgroup  $K$  of  $G$  such that  $\mu_S(A) = \mu(A \cap K)$  for every Borel set  $A$ , where  $\mu$  is the Haar measure on  $K$ . From the Fourier-Stieltjes series for  $\mu_S$  we see that

$$\int_G \overline{D}_{\chi}(g) d\mu_S(g) = \int_K \overline{D}_{\chi}(k) d\mu(k) = \begin{cases} E & \text{if } \chi \in S, \\ 0 & \text{if } \chi \notin S \end{cases}$$

which implies  $\overline{D}_{\chi}(k) = E$  for all  $k$  if and only if  $\chi \in S$  or otherwise expressed:  $K^{\perp} = S$ .

On the other hand, if  $S$  is a normal subhypergroup then the Haar measure  $\mu$  on  $S^{\perp}$  extended to a measure on  $G$  by  $\mu(E) = \mu(E \cap S^{\perp})$  has the Fourier-Stieltjes series

$$\mu(g) \sim \sum_{\chi \in S} d_{\chi} \chi(g)$$

and for each continuous function  $f$  on  $G$  with Fourier series (8) the continuous function  $f * \mu$  has Fourier series (9), proving that  $S$  is distinguished.

4. **Lacunary series.** In this section we discuss extensions of theorems of Kolmogoroff and Banach.

Let  $I$  be a set and to each element  $i$  of  $I$  attached an integer  $d_i$ . We consider the compact group  $\mathbf{G} = \prod_{i \in I} U(d_i)$  where  $U(m)$  denotes the unitary group in  $m$  dimensions. The projection  $D_i$  of  $\mathbf{G}$  onto  $U(d_i)$  is a unitary representation which clearly is irreducible, in other words  $I$  can be regarded as a subset of  $\widehat{\mathbf{G}}$ . We consider Fourier series of the form

$$(10) \quad \sum_{i \in I} d_i \operatorname{Tr} \langle A_i D_i(g) \rangle$$

and we shall now indicate the proof of the following theorem.

**THEOREM 4.** *Suppose  $f \in L^1(\mathbf{G})$  and has a Fourier series of the form (10). Then  $f \in L^2(\mathbf{G})$  and moreover  $2^{-1/2} \|f\|_2 \leq \|f\|_1 \leq \|f\|_2$ .*

In the case where  $d_i = 1$  for each  $i \in I$ , this result is a well known theorem of Kolmogoroff.

The essence of Theorem 4 is proved in [2]. In fact let us consider a finite subset  $J$  of  $I$  and a series of the form

$$(11) \quad s(g) = \sum_{j \in J} d_j \operatorname{Tr} \langle B_j D_j(g) \rangle.$$

It is then clear that

$$\int_{\mathbf{G}} |s(g)|^m dg = \int_{V_J} \left| \sum_j d_j \operatorname{Tr} \langle B_j D_j \rangle \right|^m dD_J$$

where  $dD_J$  denotes the Haar measure on the product  $V_J = \prod_{j \in J} U(d_j)$ . By the proof of Lemma 4.1 in [2] and the relation (4.12) in [2] we get

$$\int_{\mathbf{G}} |s(g)|^4 dg \leq 2 \left[ \int_{\mathbf{G}} |s(g)|^2 dg \right]^2.$$

Using the inequality

$$(12) \quad \left[ \int |h(g)| dg \right]^2 \geq \left[ \int |h(g)|^4 dg \right]^{-1} \cdot \left[ \int |h(g)|^2 dg \right]^3$$

which is a special case of Hölder's inequality we obtain

$$(13) \quad \int_{\mathbf{G}} |s(g)| dg \geq 2^{-1/2} \left[ \int_{\mathbf{G}} |s(g)|^2 dg \right]^{1/2}.$$

By standard approximation arguments the function  $f$  can be ap-

proximated in  $L^1$ -norm by functions of the form (11), and (13) becomes valid for the function  $f$ . Theorem 4 is proved.

We shall now see that the set  $I$  in the above situation appears as a lacunary subset of  $\widehat{G}$  in a certain sense.

Let again  $G$  be an arbitrary compact group. If  $\alpha, \beta \in \widehat{G}$  we denote by  $D_\alpha$  and  $D_\beta$  arbitrary members of  $\alpha$  and  $\beta$  respectively,  $d_\alpha$  and  $d_\beta$  the corresponding dimensions and  $n_{\alpha,\beta}$  the number of irreducible components in  $D_\alpha \otimes D_\beta$  (counted with multiplicity).

DEFINITION 4.1. A subset  $S \subset \widehat{G}$  is called *lacunary* if the two following conditions are satisfied.

(I) Whenever  $(\alpha, \beta)$  and  $(\gamma, \delta)$  are different pairs from  $S$  (that is, the characters  $\alpha + \beta$  and  $\gamma + \delta$  are different)  $D_\alpha \otimes D_\beta$  and  $D_\gamma \otimes D_\delta$  are disjoint.

(II) There exists a constant  $K$  such that  $n_{\alpha,\beta} < K$  for all  $\alpha, \beta \in S$ .

A Fourier series of the form  $\sum_{\chi \in S} d_\chi \text{Tr} \langle A_\chi D_\chi(g) \rangle$  is called *lacunary* if  $S$  is lacunary.

The following statements show that the series (10) is indeed lacunary.

(i)  $D_i \otimes D_j$  is irreducible if  $i \neq j$ .

(ii) If  $d_i = 1$  then  $D_i \otimes D_i$  is irreducible.

(iii) If  $d_i \geq 2$  then  $D_i \otimes D_i$  decomposes into two irreducible parts (of dimensions  $(d_i^2 + d_i)/2$  and  $(d_i^2 - d_i)/2$ ).

(iv)  $D_i \otimes D_i$  is disjoint from  $D_j \otimes D_j$  if  $i \neq j$ .

(i) and (ii) are obvious. (iii) is a corollary of Lemma 4.1 in [2] combined with the fact that the space of symmetric and antisymmetric tensors are left invariant by  $D_i \otimes D_i$ . Concerning (iv) we remark that the number of irreducible components common to  $D_i \otimes D_i$  and  $D_j \otimes D_j$  is equal to

$$\int_G (\chi_i \bar{\chi}_j)^2 dg = \int_{U(d_i) \times U(d_j)} (\text{Tr } D_i)^2 (\text{Tr } D_j^{-1})^2 dD_i dD_j.$$

( $dD_m$  is the Haar measure on  $U(d_m)$ ), and this last integral vanishes as shown in the proof of the cited lemma.

For a general compact group we have a simple result in similar direction.

THEOREM 5. *Let  $f$  be a central function in  $L^1(G)$  and suppose  $f$  has a lacunary Fourier series. Then  $f \in L^2(G)$ .*

PROOF. The Fourier expansion of  $f$  can be written  $f(g) \sim \sum_{\chi \in S} a_\chi \chi(g)$  where  $a_\chi$  is a complex number and  $S$  is lacunary. We consider a finite partial sum  $s(g) = \sum_1^N a_n \chi_n(g)$ . Then

$$s^2 = \sum a_p^2 \chi_p^2 + 2 \sum_{p>q} a_p a_q \chi_p \chi_q.$$

If we here expand  $\chi_p^2$  and  $\chi_p \chi_q$  into a sum of characters the same  $\chi_i$  will not occur more than once due to condition (I), and we get easily

$$\begin{aligned} \int_G |s(g)|^4 dg &= \sum_1^N |a_p|^4 n_{p,p} + 2 \sum_{p>q} |a_p|^2 |a_q|^2 n_{p,q} \\ &\leq K \left( \sum_1^N |a_p|^2 \right)^2. \end{aligned}$$

Using the inequality (12) we obtain the conclusion  $f \in L^2(G)$  in exactly the same manner as before.

Concerning the relation with the classical definition of lacunary series we remark that a series of the form  $\sum a_k e^{in_k x}$  where  $n_{k+1}/n_k > 2$  for all  $k$ , is lacunary in the sense of Definition 4.1. This is easily verified and is indeed a basic property in the proof of most classical theorems on lacunary series.

As a simple consequence of Theorem 5 we mention the following fact:

**THEOREM 6.** *Let  $G$  be an abelian group which is compact and not totally disconnected. Suppose the infinite series*

$$(14) \quad \sum a_x \sigma \cdot \chi(g)$$

*is a Fourier series for some  $L^1$ -function for each permutation  $\sigma$  of  $\hat{G}$ . Then  $\sum_{x \in \hat{G}} |a_x|^2 < \infty$ .*

**PROOF.** By a theorem of Pontrjagin  $\hat{G}$  has an infinite cyclic subgroup and therefore an infinite countable lacunary subset. Now we can write

$$\sum_{a_x \neq 0} a_x \chi(g) = \sum_S a_x \chi(g) + \sum_T a_x \chi(g)$$

where both sets  $S$  and  $T$  are infinite and  $\sum_S |a_x| < \infty$ . Hence  $\sum_T a_x \sigma \cdot \chi(g)$  is an  $L^1$ -series for every permutation  $\sigma$  of  $\hat{G}$ . Choose this permutation in such a way that  $\sum_T a_x \sigma \cdot \chi(g)$  is a lacunary series and apply Theorem 5. Q.E.D.

If  $G$  is an arbitrary compact group,  $\hat{G}$  need not possess any infinite lacunary subsets. As a simple example we mention  $G = SU(2)$ . The hypergroup structure of  $\hat{G}$  is here described by the Clebsch-Gordan formula [8] which shows that the requirement (II) in Definition 4.1 is not fulfilled for any infinite subset  $S \subset \hat{G}$ .



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