

# ON THE TOPOLOGY OF TANGENT BUNDLES

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**1. Introduction.** Let  $M$  be an  $n$ -dimensional differentiable manifold. If  $m$  is a point of  $M$  then denote by  $T(m)$  the tangent space to  $M$  at  $m$ . For each  $m$  in  $M$ ,  $T(m)$  has the algebraic structure of Euclidean  $n$ -space  $V^n$ . That is to say, if  $(x_1, x_2, \dots, x_n)$  are coordinates in a coordinate neighborhood containing the point  $m$  then the correspondence

$$(a_1, a_2, \dots, a_n) \rightarrow a_1 \left( \frac{\partial}{\partial x_1} \right)_m + a_2 \left( \frac{\partial}{\partial x_2} \right)_m + \dots + a_n \left( \frac{\partial}{\partial x_n} \right)_m$$

is a vector space isomorphism of  $V^n$  onto  $T(m)$ .

The tangent bundle  $\mathfrak{J}(M)$  of the manifold  $M$  consists of the ordered pairs  $(m, v)$  where  $m \in M$  and  $v \in T(m)$ . Therefore, as a point set only,  $\mathfrak{J}(M)$  is  $M \times V^n$ . We shall assume that the reader is familiar with the fibre space topology which is customarily assigned to  $\mathfrak{J}(M)$ . (For a description of this topology and the facts concerning fibre bundles which we shall need in other parts of this paper see N. Steenrod, *The topology of fibre bundles*, Princeton, 1951.) For many manifolds  $M$  this topology differs from the topology of the product space  $M \times V^n$ . This fact indicates that it is the assignment of this topology which makes  $\mathfrak{J}(M)$  an interesting mathematical object. It is the purpose of this paper to present an intrinsic characterization of this topology.

**2. General considerations.** Let  $X$  be a vector field defined on some open subset  $U$  of  $M$ .  $X$  assigns to each point  $m$  of  $U$  a vector  $X(m)$  in  $T(m)$ . For each point  $m$  in  $M$  let  $F(m)$  be the vector space of all real-valued functions which are of class  $C^1$  on some neighborhood of  $m$ . If  $m$  is in  $U$  and  $f$  is in  $F(m)$  then define  $\langle X(m), f \rangle$  to be the value of the differential  $df$  at the vector  $X(m)$ .

Let  $m_0 \in U$  and  $f \in F(m_0)$ . Then there is a neighborhood  $V$  of  $m_0$  which is contained in  $U$  such that  $\langle X(m), f \rangle$  is defined on  $V$ .  $\langle X, f \rangle$  is then a real-valued function on  $V$ .

**DEFINITION.** The vector field  $X$  is said to be *continuous at the point*  $m_0$  if  $\langle X, f \rangle$  is continuous on a neighborhood of  $m_0$  for each  $f$  in

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$F(m_0)$ .  $X$  is said to be *continuous on  $U$*  if it is continuous at each point of  $U$ .

Let  $\pi: \mathfrak{J}(M) \rightarrow M$  be the projection defined by  $\pi(m, v) = m$ . If  $X$  is a vector field on an open subset  $U$  of  $M$  we may define  $\chi: U \rightarrow \mathfrak{J}(M)$  by  $\chi(m) = (m, X(m))$ . The correspondence  $\chi$  then satisfies  $\pi\chi = \text{identity}$  on  $U$ . The vector fields on  $U$  are in one-to-one correspondence with the mappings  $\chi$  of  $U$  into  $\mathfrak{J}(M)$  which satisfy  $\pi\chi = \text{identity}$ .

The relationship between our definition of continuous vector field and the problem of characterizing the topology in  $\mathfrak{J}(M)$  is indicated by the following lemma.

**LEMMA.** *A vector field  $X$  defined on a coordinate neighborhood  $U$  of  $M$  is continuous on  $U$  if and only if the associated mapping  $\chi$  is continuous in the usual topology on  $\mathfrak{J}(M)$ .*

**PROOF.** Let  $(x_1, x_2, \dots, x_n)$  be coordinates in  $U$ . Let  $X = v_1\partial/\partial x_1 + v_2\partial/\partial x_2 + \dots + v_n\partial/\partial x_n$  where  $v_1, v_2, \dots, v_n$  are functions on  $U$ . If  $m \in U$  and  $f \in F(m)$  then  $df = w_1dx_1 + w_2dx_2 + \dots + w_n dx_n$  where  $w_1, w_2, \dots, w_n$  are *continuous* functions on some neighborhood  $V$  of  $m$  which is contained in  $U$ . Hence  $\langle X, f \rangle = v_1w_1 + v_2w_2 + \dots + v_nw_n$  on  $V$ .

Let  $p_j(x_1, x_2, \dots, x_n) = x_j$  for  $j = 1, 2, \dots, n$ . If we assume that  $X$  is continuous then  $v_j = \langle X, p_j \rangle$  is continuous on  $U$  for  $j = 1, 2, \dots, n$ , and so  $\chi$  is continuous in the usual topology on  $\mathfrak{J}(M)$ . Conversely, if we assume that  $\chi$  is continuous in the usual topology on  $\mathfrak{J}(M)$  then  $v_1, v_2, \dots, v_n$  are continuous, and hence  $\langle X, f \rangle$  is continuous on  $V$ . Since this is true for every  $m \in U$  and  $f \in F(m)$  we find that  $X$  is continuous on  $U$ .

**3. The characterization of the topology of  $\mathfrak{J}(M)$ .** In order to distinguish the two topologies which we shall be considering on the same point set  $\mathfrak{J}(M)$  we shall refer to the usual fibre bundle topology as the  $\circ$ -topology on  $\mathfrak{J}(M)$ . The actual topology of  $\mathfrak{J}(M)$  will be called the  $*$ -topology. The five conditions which we shall assume for the  $*$ -topology are the following:

- (i)  $\mathfrak{J}(M)$  is a regular space.
- (ii) Each point of  $\mathfrak{J}(M)$  has an open neighborhood in the  $*$ -topology whose closure in the  $\circ$ -topology is compact in the  $\circ$ -topology.
- (iii) The projection  $\pi$  is a continuous mapping.
- (iv) For each point  $m$  in  $M$  the topology induced on  $\pi^{-1}(m)$  by the  $*$ -topology is the same as that induced by the  $\circ$ -topology.
- (v) If  $X$  is any continuous vector field on an open set  $U$  in  $M$  then the associated mapping  $\chi$  is a continuous mapping of  $U$  into  $\mathfrak{J}(M)$ .

**THEOREM.** *If the  $*$ -topology satisfies conditions (i)–(v) then it is the same as the  $\circ$ -topology.*

**PROOF.** If  $U$  is any coordinate neighborhood of  $M$  and  $(x_1, x_2, \dots, x_n)$  are coordinates in  $U$  then we may associate with these a one-to-one mapping  $h$  of  $U \times V^n$  onto  $\pi^{-1}(U)$  defined by

$$h(m, (a_1, a_2, \dots, a_n)) \\ = \left( m, a_1 \left( \frac{\partial}{\partial x_1} \right)_m + a_2 \left( \frac{\partial}{\partial x_2} \right)_m + \dots + a_n \left( \frac{\partial}{\partial x_n} \right)_m \right).$$

Now suppose that under the assumptions (i)–(v) we may prove that for every coordinate neighborhood  $U$  the corresponding mapping  $h$  is a homeomorphism. Let  $U$  and  $U'$  be two overlapping coordinate neighborhoods and let  $h$  and  $h'$  be their corresponding mappings, respectively. Define  $g = h^{-1}h'$  on  $(U \cap U') \times V^n$ . Then  $g(m, (a_1, a_2, \dots, a_n)) = (m, l(m)(a_1, a_2, \dots, a_n))$  where  $l(m)$  is a nonsingular linear transformation of  $V^n$ , that is, an element of  $GL(n)$ . The correspondence  $m \rightarrow l(m)$  is a continuous mapping from  $U \cap U'$  into  $GL(n)$ . Consequently  $\mathfrak{J}(M)$  has the structure of a coordinate bundle in which the  $l(m)$ 's are the coordinate transformations and its topology is the familiar  $\circ$ -topology. The proof of the theorem is therefore reduced to the demonstration that the mapping  $h$  is a homeomorphism. We remind the reader that  $\pi^{-1}(U)$  has the topology induced by the  $*$ -topology in  $\mathfrak{J}(M)$  satisfying conditions (i)–(v).

We first prove that  $h$  is continuous. Let  $V^*$  be an open set in  $\pi^{-1}(U)$  and let  $(m_0, v_0)$  be a point in  $V^*$ . The vector field  $X$  for which  $X(m) = v_0$  for all  $m$  in  $U$  is continuous on  $U$ . By (iii) and (v) the associated mapping  $\chi$ , for which  $\chi(m) = (m, v_0)$ , is a homeomorphism of  $U$  into  $\mathfrak{J}(M)$ .  $\chi(U) \cap V^*$  is open in the topology induced on  $\chi(U)$  so  $O = \pi(\chi(U) \cap V^*)$  is an open subset of  $U$ . Since  $(m_0, v_0) \in \chi(U)$  we have  $m_0 \in O$ .

For each  $m \in O$  let  $W_m^* = \pi^{-1}(m) - (\pi^{-1}(m) \cap V^*)$  and let  $\lambda(m)$  be the infimum of the lengths of all vectors  $w - w_0$  where  $h(m, w) \in W_m^*$  and  $h(m, w_0) = (m, v_0)$ . We shall prove that there is a neighborhood  $N$  of  $m_0$ ,  $N \subset O$ , and a constant  $\epsilon > 0$  such that  $\lambda(m) \geq \epsilon$  for  $m \in N$ . This will complete the proof of the continuity of  $h$  since we may then construct the neighborhood  $N \times W$  where

$$W = \{w \mid w \in V^n, \text{ length of } w - w_0 < \epsilon\}.$$

$N \times W$  is a neighborhood of  $(m_0, w_0)$  and  $h(N \times W) \subset V^*$ .

Assume that no such neighborhood  $N$  and constant  $\epsilon$  exist. Then

there is a Cauchy sequence  $(m_1, w_1), (m_2, w_2), \dots$  of points of  $O \times V^n$  with  $h(m_i, w_i) \in W_m^*$  which converges to  $(m_0, w_0)$ . If we suppose that there are an infinite number of distinct points among  $m_1, m_2, \dots$  then by passing to a subsequence we may assume that  $m_1, m_2, \dots$  are themselves distinct. Let  $F = \{m_1, m_2, \dots\}$ . Then  $F \cup \{m_0\}$  is a closed set. The correspondence  $m_i \rightarrow w_i, i = 0, 1, 2, \dots$ , is a section of  $U \times V^n$  over  $F \cup \{m_0\}$ . Since  $V^n$  is a solid space this section over  $F \cup \{m_0\}$  may be extended to a section  $\phi$  of  $U \times V^n$  over  $U$ . The mapping  $\chi = h\phi$  of  $U$  into  $\mathfrak{J}(M)$  defines a vector field which is continuous on  $U$ . By (v) the mapping  $\chi$  is a homeomorphism of  $U$  into  $\mathfrak{J}(M)$ . Thus  $\chi(m_0)$  must be a limit point of  $\chi(F)$ . But  $\chi(m_i) = h\phi(m_i) = h(m_i, w_i) \notin V^*$  for  $i = 1, 2, \dots$ , and this means that  $\chi(m_0) = (m_0, v_0)$  is not in  $V^*$  since  $V^*$  is open. Since this is not true we conclude that there are only a finite number of points among  $m_1, m_2, \dots$ .

By passing to a subsequence we may assume that  $m_1 = m_2 = \dots = m_0$  and hence that  $h(m_1, w_1), h(m_2, w_2), \dots$  are all in  $\pi^{-1}(m_0)$ . By (iv) the sequence  $h(m_0, w_1), h(m_0, w_2), \dots$  converges to  $h(m_0, w_0) = (m_0, v_0)$  in  $\pi^{-1}(m_0)$ . But  $h(m_i, w_i) \notin V^*$  for  $i = 1, 2, \dots$ , so again we find that  $(m_0, v_0) \notin V^*$  which is not true. This completes the proof that  $h$  is continuous.

There remains the demonstration that  $h^{-1}$  is continuous. Let  $W$  be an open set in  $U \times V^n$ , let  $(m_0, w_0) \in W$ , and let  $h(m_0, w_0) = (m_0, v_0)$ . Suppose that for every open subset  $V^*$  of  $\pi^{-1}(U)$  which contains  $(m_0, v_0)$  we find that  $h^{-1}(V^*)$  is not contained in  $W$ . Let

$$C^* = \{z^* \mid z^* \in \pi^{-1}(m_0), z^* \notin h(W)\}.$$

Since  $h^{-1}(C^*)$  is closed as a subset of the space  $(\pi h)^{-1}(m_0)$  so by (iv)  $C^*$  is closed as a subset of the space  $\pi^{-1}(m_0)$ . By (iii)  $\pi^{-1}(m_0)$  is a closed set so  $C^*$  is a closed set in  $\pi^{-1}(U)$ . We shall show that the point  $(m_0, v_0)$  and the closed set  $C^*$  cannot be separated by disjoint neighborhoods. This will contradict condition (i) and thereby prove that  $h^{-1}$  is continuous.

By (ii) there is an open set  $B^*$  containing  $(m_0, v_0)$  such that the closure of  $h^{-1}(B^*)$  is compact. Let  $V^*$  be any open set containing  $(m_0, v_0)$  and let  $N_1 \supset N_2 \supset \dots$  be a nested sequence of open neighborhoods of  $m_0$  in  $M$  such that the diameter of  $N_j \rightarrow 0$  as  $j \rightarrow \infty$ . By (iii)  $V_j^* = B^* \cap \pi^{-1}(N_j) \cap V^*$  is an open set containing  $(m_0, v_0)$ , and by our assumption  $h^{-1}(V_j^*)$  contains a point  $(m_j, w_j)$  such that  $(m_j, w_j) \notin W$ . Thus  $(m_1, w_1), (m_2, w_2), \dots$  is a sequence of points in the compact closure of  $h^{-1}(B^*)$  with  $m_j \rightarrow m_0$  as  $j \rightarrow \infty$ . By passing to a subsequence we may assume that  $(m_1, w_1), (m_2, w_2), \dots$  is a Cauchy

sequence. Let  $(m_0, w_\infty)$  be the limit point. Since  $W$  is an open set  $(m_0, w_\infty) \notin W$ . On the other hand,  $(m_1, w_1), (m_2, w_2), \dots$  are all in  $h^{-1}(V^*)$  so that  $(m_0, w_\infty)$  is in the closure of  $h^{-1}(V^*)$ . Since  $h$  is continuous  $h(m_0, w_\infty)$  is in the closure of  $V^*$ . We have thus proved that the closure of any open set of  $\pi^{-1}(U)$  which contains  $(m_0, v_0)$  must contain a point of  $C^*$ . It follows that  $C^*$  and  $(m_0, v_0)$  cannot be separated by disjoint neighborhoods and the proof of the continuity of  $h^{-1}$  is complete.

**4. An example.** In this section we shall construct an example which illustrates the essential character of condition (ii), for it produces a  $*$ -topology on  $\mathfrak{J}(M)$  which satisfies conditions (iii), (iv), and (v) and is even normal, thereby satisfying condition (i), and yet it fails to satisfy condition (ii) and is therefore not the  $\circ$ -topology.

Let  $\mathfrak{U}$  be Euclidean 3-space and let  $\mathfrak{D}$  be the discrete subgroup of  $\mathfrak{U}$  generated by the vectors  $(0, 1, 0)$  and  $(0, 0, 1)$ . The quotient group  $\mathfrak{M} = \mathfrak{U}/\mathfrak{D}$  is then a 3-dimensional manifold. Let  $\alpha$  be a fixed irrational real number,  $0 < \alpha < 1$ . Let  $\mathfrak{O}$  be the 2-dimensional subspace of  $\mathfrak{U}$  generated by the vectors  $(1, 0, 0)$  and  $(0, 1, \alpha)$ . Let  $\mathfrak{J}$  be the subset of  $\mathfrak{M}$  consisting of those points which are represented by elements of  $\mathfrak{O}$ . Since no two distinct points of  $\mathfrak{O}$  can represent the same element of  $\mathfrak{M}$  the natural mapping of  $\mathfrak{U}$  onto  $\mathfrak{M}$  induces a one-to-one correspondence  $h$  between the points of  $\mathfrak{O}$  and those of  $\mathfrak{J}$ .  $h$  is a continuous mapping of  $\mathfrak{O}$  onto  $\mathfrak{J}$ .

Let  $M$  be the 1-dimensional subspace of  $\mathfrak{O}$  generated by the vector  $(1, 0, 0)$ .  $M$  is the real line, a one-dimensional manifold. The point  $(x, y, \alpha y)$  of  $\mathfrak{O}$  will be denoted simply by  $(x, y)$ .  $\mathfrak{O}$  has the topology of Euclidean 2-space and is a model for  $\mathfrak{J}(M)$  with the  $\circ$ -topology. The point  $h(x, y, \alpha y)$  of  $\mathfrak{J}$  will be denoted by  $h(x, y)$ . The topology induced on  $\mathfrak{J}$  by the topology in  $\mathfrak{M}$  will be called the  $\dagger$ -topology.

If  $(x_0, y_0) \in \mathfrak{O}$  let

$$S_\epsilon(x_0, y_0) = \{(x, y, z) \mid (x - x_0)^2 + (y - y_0)^2 + (z - \alpha y_0)^2 < \epsilon^2\}.$$

The sets  $S_\epsilon(x_0, y_0) \cap \mathfrak{O}$  are a basis for the  $\circ$ -topology on  $\mathfrak{O}$ . On the other hand, the sets

$$U_\epsilon(x_0, y_0) = h(S_\epsilon(x_0, y_0)) \cap \mathfrak{J}$$

are a basis for the  $\dagger$ -topology on  $\mathfrak{J}$ . Let

$$F(x_0) = \{(x_0, y) \mid -\infty < y < \infty\}.$$

Let

$$V_\epsilon(x_0, y_0) = [U_\epsilon(x_0, y_0) - h(F(x_0))] \cup h[F(x_0) \cap S_\epsilon(x_0, y_0)].$$

We take the family of all sets  $U_\epsilon(x_0, y_0)$  and  $V_\epsilon(x_0, y_0)$  as the basis for the  $*$ -topology in  $\mathfrak{J}$ . Note that  $h$  is still a continuous mapping of  $\mathcal{O}$  onto  $\mathfrak{J}$  with this topology.

The projection  $\pi$  is defined by  $\pi[h(x, y)] = x$ .  $\pi$  is continuous in the  $*$ -topology so condition (iii) is satisfied. Furthermore, due to the presence of the sets  $V_\epsilon(x_0, y_0)$ , the topology induced on  $h(F(x_0)) = \pi^{-1}(x_0)$  by the  $*$ -topology is the same as that induced on  $F(x_0)$  by the  $\circ$ -topology, that is, condition (iv) is satisfied.

Let  $X(x)$  be a vector field on  $M$ . Then  $X(x_0) = y_0(\partial/\partial x)_{x_0}$  for each  $x_0 \in M$  and we define  $\phi(x_0) = (x_0, y_0)$ .  $\phi$  is then a mapping of  $M$  into  $\mathcal{O}$ . If  $X(x)$  is continuous on  $M$  then  $\phi$  is continuous and hence  $\chi = h\phi$  is a continuous mapping of  $M$  into  $\mathfrak{J}$ . But  $\chi$  is the mapping of  $M$  into  $\mathfrak{J}$  associated with  $X(x)$  and we see that condition (v) is satisfied.

Let  $C^*$  be a closed set in  $\mathfrak{J}$  and let  $p$  be a point of  $\mathfrak{J}$  which is not in  $C^*$ . Then  $C^*$  is also closed in the  $\dagger$ -topology. Thus  $C^* = C \cap \mathfrak{J}$  where  $C$  is a closed subset of the manifold  $\mathfrak{M}$  and  $p \notin C$ . Since  $\mathfrak{M}$  is normal we may find disjoint open sets  $U$  and  $V$  in  $\mathfrak{M}$  such that  $p \in U$  and  $C \subset V$ . Let  $U^* = U \cap \mathfrak{J}$  and  $V^* = V \cap \mathfrak{J}$ . Then  $U^*$  and  $V^*$  are disjoint open sets in the  $\dagger$ -topology and hence also in the  $*$ -topology. Since  $p \in U^*$  and  $C^* \subset V^*$  we see that  $\mathfrak{J}$  is normal in the  $*$ -topology. On the other hand, each set  $V_\epsilon(x_0, y_0)$  or  $U_\epsilon(x_0, y_0)$  contains points  $h(x, y)$  such that  $(x, y)$  is arbitrarily distant from  $(0, 0)$  in the  $\circ$ -topology. Consequently condition (ii) is violated at each point of  $T$ .