

## $\Sigma$ -SYMMETRIC LOCALLY CONVEX SPACES

D. E. EDMUNDS

In [1] it is shown that barrelledness and quasi-barrelledness are merely the two extreme examples of a property, called  $\Sigma$ -symmetry, which may be possessed by a locally convex Hausdorff topological vector space. The object of this note is to show how recent characterisations [2; 3] of barrelled and quasi-barrelled spaces may be subsumed under characterisations of  $\Sigma$ -symmetric spaces, and to exhibit some properties of these spaces. First we need some definitions and simple results.

1. Let  $E$  be a locally convex Hausdorff topological vector space (abbreviated to LCS in what follows), and let  $\Sigma$  be a class of bounded subsets of  $E$  whose union is  $E$ . Let  $E'$  denote the topological dual of  $E$ , and let  $E'_\Sigma$  be the set  $E'$  endowed with the topology of uniform convergence on the members of  $\Sigma$ .

DEFINITION 1. A subset of  $E$  is said to be  $\Sigma$ -bornivorous if it absorbs every member of  $\Sigma$ .

DEFINITION 2. We say that  $E$  is  $\Sigma$ -symmetric if any of the following equivalent conditions hold:

- (a) Every  $\Sigma$ -bornivorous barrel in  $E$  is a neighbourhood of zero.
- (b) Every bounded subset of  $E'_\Sigma$  is equicontinuous.
- (c) The topology induced on  $E$  by the strong dual of  $E'_\Sigma$  is the original topology of  $E$ .

The equivalence of these conditions was proved in [1]. If  $\Sigma_1 \subset \Sigma_2$  it is easy to see that  $\Sigma_1$ -symmetry implies  $\Sigma_2$ -symmetry; the strongest restriction on  $E$  is obtained by taking for  $\Sigma$  the class  $s$  of all subsets of  $E$  consisting of a single point, and then  $\Sigma$ -symmetry is simply the property of being barrelled. If  $\Sigma$  is the class  $b$  of all bounded subsets of  $E$  we have the weakest  $\Sigma$ -symmetric property, which is that of being quasi-barrelled. Whatever the choice of  $\Sigma$ , the topology of a  $\Sigma$ -symmetric space is the Mackey topology [1].

DEFINITION 3.  $E$  is said to be  $\Sigma$ -bornological if every convex  $\Sigma$ -bornivorous subset of  $E$  is a neighbourhood of zero.

It follows easily that  $E$  is  $\Sigma$ -bornological if and only if every linear map of  $E$  into an LCS  $F$  which takes members of  $\Sigma$  into bounded sets in  $F$  is continuous. For all choices of  $\Sigma$ , a  $\Sigma$ -bornological space is bornological.

Given any LCS  $E$  and any class  $\Sigma$  of bounded sets whose union is

---

Received by the editors August 13, 1962.

$E$ , we may define on  $E$  a new topology  $\mathfrak{J}$  by taking as a fundamental system of neighbourhoods of zero the class of all convex circled  $\Sigma$ -bornivorous subsets of  $E$ .  $\mathfrak{J}$  is the finest locally convex topology for which the members of  $\Sigma$  remain bounded, and the space  $F$  obtained by endowing the point set  $E$  with  $\mathfrak{J}$  is  $\Sigma$ -bornological.  $F$  is called the  $\Sigma$ -bornological space associated with  $E$ . We note that when  $\Sigma = s$ ,  $\mathfrak{J}$  becomes the finest locally convex topology on  $E$ , and when  $\Sigma = b$ ,  $\mathfrak{J}$  is the associated bornological topology [4, Chapitre 3, §2, Exercice 13].

DEFINITION 4. Let  $E_1, E_2$  be LCS. A linear mapping  $u$  from  $E_1$  onto  $E_2$  is said to be *almost open* if for every neighbourhood  $U$  of zero in  $E_1$ , the closure of  $u(U)$  in  $E_2$  is a neighbourhood of zero for the Mackey topology on  $E_2$ .

DEFINITION 5. A linear subspace  $Q$  of the dual  $E'$  of an LCS  $E$  is said to be *almost closed* if for every neighbourhood  $U$  of zero in  $E$ ,  $U^0 \cap Q$  is weakly closed in  $E'$ , where  $U^0$  denotes the polar of  $U$ .

2. The theorems in this section give characterisations of  $\Sigma$ -symmetric spaces. The first result includes Theorems 2.3 and 2.4 of [2] as special cases.

THEOREM 1. Let  $E$  be an LCS and  $\Sigma$  a class of bounded subsets of  $E$  whose union is  $E$ . Let  $F$  be the  $\Sigma$ -bornological space associated with  $E$ . Then  $E$  is  $\Sigma$ -symmetric if and only if the topology of  $E$  is the Mackey topology and either of the following conditions holds:

- (a) The identity map from  $F$  onto  $E$  is almost open.
- (b)  $E'$  is almost closed in  $F'$ .

PROOF. This follows the pattern of the corresponding proof in [2]; we give some details for convenience. To prove that  $\Sigma$ -symmetry implies (b), all we need show, since  $F$  has the Mackey topology, is that  $E' \cap K$  is weakly closed in  $F'$  for every weakly compact convex circled subset  $K$  of  $F'$ . Since  $K$  is an equicontinuous subset of  $F'$  it is bounded in  $F'_\Sigma$ , so that  $E' \cap K$  is bounded in  $E'_\Sigma$ ,  $E'_\Sigma$  being plainly a topological subspace of  $F'_\Sigma$ . Since  $E$  is  $\Sigma$ -symmetric,  $E' \cap K$  is thus an equicontinuous subset of  $E'$ , and is hence relatively weakly compact in  $E'$ . Actually  $E' \cap K$  is weakly compact in  $E'$ , since  $E'_\Sigma$  is a topological subspace of  $F'_\Sigma$ , and  $K$  is weakly closed in  $F'$ . It follows that  $K \cap E'$  is weakly closed in  $F'$ .

Condition (a) and the Mackey condition imply  $\Sigma$ -symmetry, since if  $U$  is a  $\Sigma$ -bornivorous barrel in  $E$  it is the closure in  $E$  of a neighbourhood of zero in  $F$ , so that by (a),  $U$  is a neighbourhood of zero in  $E$ .

This completes the proof of the theorem, since Pták [5] has shown that (a) and (b) are equivalent.

The next theorem is a characterisation in terms of the closed graph theorem, and contains Theorems 2.2 and 3.1 of [3] as particular cases.

**THEOREM 2.** *Let  $E, \Sigma$  be as in Theorem 1. Then  $E$  is  $\Sigma$ -symmetric if and only if for every Banach space  $F$  the following is true:*

*If  $u$  is any linear map from  $E$  into  $F$  such that*

- (a)  *$u$  takes members of  $\Sigma$  into bounded sets in  $F$ ;*
- (b) *the graph of  $u$  is closed;*

*then  $u$  is continuous.*

The proof is an obvious modification of that given in [3].

3. We conclude by indicating various general properties of  $\Sigma$ -symmetric and  $\Sigma$ -bornological spaces.

**THEOREM 3.** (a) *If  $E$  is  $\Sigma$ -symmetric,  $E'_\Sigma$  is quasi-complete.* (b) *Let  $\Sigma$  be a class of convex, circled, closed bounded sets whose union is  $E$ . Then if  $E$  is  $\Sigma$ -bornological,  $E'_\Sigma$  is complete.*

**PROOF.** (a) Let  $B$  be a bounded closed subset of  $E'_\Sigma$ . Since  $E$  is  $\Sigma$ -symmetric,  $B$  is equicontinuous and is therefore complete [4, Chapitre 3, §3, Théorème 4].

(b) The completion of  $E'_\Sigma$  is the set of all linear functionals on  $E$  whose restriction to each member of  $\Sigma$  is continuous [4, Chapitre 4, §3, Exercice 3]. Such functionals are bounded on the members of  $\Sigma$ , and since  $E$  is  $\Sigma$ -bornological they are continuous on  $E$ . Hence  $E'_\Sigma$  coincides with its completion.

Specialisations of this theorem give, for example, the familiar results that the dual of a quasi-barrelled space is strongly quasi-complete, and that the strong dual of a bornological space is complete.

**THEOREM 4.** *Let  $(E_i)_{i \in I}$  be any family of LCS, and for each  $i \in I$  let  $\Sigma_i$  be a class of convex circled bounded subsets of  $E_i$  whose union is  $E_i$ . Let  $\Sigma$  be the class of all subsets of  $E = \prod_{i \in I} E_i$  of the form  $\prod_{i \in I} S_i$ ,  $S_i \in \Sigma_i$ . Then if for all  $i \in I$ ,  $E_i$  is  $\Sigma_i$ -symmetric,  $E$  is  $\Sigma$ -symmetric when endowed with the usual product topology.*

**PROOF.** Let  $B$  be any bounded subset of  $E'_\Sigma$ . We need to prove that  $B$  is equicontinuous. The topology of  $E'_\Sigma$  is simply the topological direct sum of the topologies of the  $(E_i)'_{\Sigma_i}$  [6, Chapitre 4, §1, Proposition 7]. It follows [6, Chapitre 4, §1, Proposition 5] that  $B$  is contained and bounded in the direct sum of a finite number of the  $(E_i)'_{\Sigma_i}$ , i.e.  $B \subset \sum_{i \in H} (E_i)'_{\Sigma_i}$ ,  $H$  finite. Hence for each  $i \in H$  the projection of  $B$  into  $(E_i)'_{\Sigma_i}$  is bounded and so is equicontinuous, since  $E_i$  is  $\Sigma_i$ -symmetric.  $B$  is thus an equicontinuous subset of  $E'$  [6, Chapitre 2, §15, Proposition 22, Corollaire 1], which completes the proof.

By choosing the  $\Sigma$ , suitably we can obtain as special cases of this theorem the well-known results that the product of any family of barrelled (resp. quasi-barrelled) spaces is barrelled (resp. quasi-barrelled.)

**THEOREM 5.** *Let  $E$  be  $\Sigma$ -symmetric and let  $M$  be a closed subspace of  $E$ . Denote by  $\phi$  the canonical mapping  $E \rightarrow E/M$ , and put  $\Sigma_1 = \{\phi(S) : S \in \Sigma\}$ . Then  $E/M$  is  $\Sigma_1$ -symmetric.*

The proof is obvious.

#### REFERENCES

1. S. Warner and A. Blair, *On symmetry in convex topological vector spaces*, Proc. Amer. Math. Soc. **6** (1955), 301–306.
2. M. Mahowald and G. Gould, *Quasi-barrelled locally convex spaces*, Proc. Amer. Math. Soc. **11** (1960), 811–816.
3. M. Mahowald, *Barrelled spaces and the closed graph theorem*, J. London Math. Soc. **36** (1961), 108–110.
4. N. Bourbaki, *Espaces vectoriels topologiques*, Chapitres 3–5, Hermann, Paris, 1955.
5. V. Pták, *Completeness and the open mapping theorem*, Bull. Soc. Math. France **86** (1958), 41–74.
6. A. Grothendieck, *Espaces vectoriels topologiques*, 2nd ed., Sociedade de Matemática de São Paulo, São Paulo, 1958.

UNIVERSITY COLLEGE, CARDIFF, WALES