

## ON THE NEWTON POLYTOPE

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**1. Introduction.** The theory of the Newton polygon of a polynomial in one variable with coefficients in a complete non-Archimedean valued field is well known (see, for example, [1], [2], [3], [6]). In [4], Krasner states that one may construct an analogous Newton polytope for a polynomial in several variables. In this paper we explore the properties of the Newton polytope.

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**2. Preliminaries.** Let  $K$  be a complete field with respect to a non-Archimedean rank one valuation  $x \rightarrow \text{ord } x$  with value group  $\mathfrak{G} \subset R$ , where  $R$  denotes the additive group of real numbers. We shall assume that  $\mathfrak{G}$  is dense in  $R$ . Let  $\mathfrak{K}$  be the algebraic closure of  $K$ , and extend the valuation to  $\mathfrak{K}$  in the natural manner. As in [2], for each real number  $b$  we define  $\Gamma_b = \{\xi \in \mathfrak{K} : \text{ord } \xi = b\}$ .

**DEFINITION 1.** Let  $f(x) = \sum_{i=0}^n a_i x^i \in K[x]$ . For any  $\mu \in R$ ,  $v(f; \mu) = \text{Min}_{0 \leq i \leq n} (\text{ord } a_i + i\mu)$ .

**REMARK.**  $v(f; \mu)$  is the  $Y$ -intercept of the lower line of support of the Newton polygon of  $f$  with slope  $-\mu$ .

We need the following results from the one-variable theory.

**PROPOSITION 1.** *Let  $f(x) \in K[x]$  have a zero on  $\Gamma_r$ . Then for any  $\lambda \in \mathfrak{G}$  satisfying the inequality  $\lambda \geq v(f; r)$ , there exists  $\xi \in \Gamma_r$  such that  $\text{ord } f(\xi) = \lambda$ .*

**PROOF.** (a) If  $a_0 \neq 0$  and  $-r$  is the slope of the first side of the Newton polygon of  $f$  (i.e., if, for all  $r' > r$ ,  $f$  has no zero on  $\Gamma_{r'}$ ) then clearly  $v(f; r) = \text{ord } a_0$ . Therefore, we need only choose  $\gamma \in \Gamma_\lambda$  such that  $\text{ord}(a_0 - \gamma) = \text{ord } a_0$ , for then the polynomials  $f(x)$  and  $f(x) - \gamma$  will have identical Newton polygons. If  $\lambda > v(f; r)$ , then for any  $\gamma \in \Gamma_\lambda$ ,  $\text{ord}(a_0 - \gamma) = \text{ord } a_0$ ; if  $\lambda = v(f; r)$ , we choose  $\alpha, \beta \in \Gamma_0$  such that  $\alpha + \beta \in \Gamma_0$  (this can be done since the residue class field of  $\mathfrak{K}$  contains more than two elements), and put  $\gamma = a_0(1 + \alpha\beta^{-1})$ .

(b) If either  $a_0 = 0$  or  $-r$  is not the slope of the first side of the Newton polygon of  $f$ , let  $\gamma$  be any element of  $\Gamma_\lambda$ , and consider the Newton diagram of  $f(x) - \gamma$ : clearly the Newton diagram of  $f(x) - \gamma$  coincides with the Newton diagram of  $f(x)$ , with the possible excep-

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tion of the points with zero abscissa. Since  $\text{ord}(a_0 - \gamma) \geq v(f; r)$ ,  $-r$  is the slope of a side of the Newton polygon of  $f(x) - \gamma$ .

The following result is essentially identical to Lemma 1.2 of [2].

LEMMA 1. *Let  $f_1(x), f_2(x), \dots, f_n(x)$  be a finite set of polynomials with coefficients in  $K$ , let  $\rho \in \mathfrak{G}$ . Then there exists  $\xi \in \Gamma_\rho$  such that  $\text{ord } f_i(\xi) = v(f_i; \rho)$ ,  $i = 1, 2, \dots, n$ .*

PROOF. Let  $v(f_i; \rho) = M_i$ ,  $i = 1, 2, \dots, n$ ; then  $M_i \in \mathfrak{G}$ . Therefore, we may choose  $\pi_i \in \Gamma_{M_i}$ ,  $\pi \in \Gamma_\rho$ . For each  $i$ , we put  $g_i(x) = f_i(\pi x) / \pi_i$ . Then the coefficients of  $g_i(x)$  are integral and the image of  $g_i(x)$  in the residue class field of  $\mathfrak{R}$  is nontrivial. Since the residue class field is infinite there is a unit  $\xi'$  in  $\mathfrak{R}$  such that  $\text{ord } g_i(\xi') = 0$ ,  $i = 1, 2, \dots, n$ . If we put  $\xi = \pi \xi'$ , we have the desired result.

3. **The Newton polytope.** Let  $f(x, y) = \sum a_{ij} x^i y^j \in K[x, y]$ . The point set  $\{(i, j, \text{ord } a_{ij})\}$  is called the *Newton diagram* of  $f(x, y)$ . We define the convex closure of the Newton diagram of  $f(x, y)$  with the point  $(0, 0, +\infty)$  to be the *Newton polytope* of  $f(x, y)$ .

REMARK. The Newton polytope of  $f(x, y)$  is the graph of the function

$$\Pi_f(X, Y) = \text{Sup}_{\mu, \nu \in \mathfrak{R}} [v(f; \mu, \nu) - \mu X - \nu Y],$$

where  $v(f; \mu, \nu)$  is defined in the obvious manner generalizing Definition 1:  $v(f; \mu, \nu) = \text{Min}_{i,j} (\text{ord } a_{ij} + i\mu + j\nu)$  (see [5, p. 49]).

Let  $(\xi, \eta) \in \mathfrak{R} \times \mathfrak{R}$ , suppose  $(\xi, \eta) \in \Gamma_\rho \times \Gamma_\sigma$ . The following result gives an estimate for  $\text{ord } f(\xi, \eta)$  in terms of  $\rho, \sigma$ .

PROPOSITION 2. *Let  $P$  be the lower plane of support of the Newton polytope of  $f(x, y)$ , with  $\partial Z / \partial X = -\rho$ ,  $\partial Z / \partial Y = -\sigma$ . Suppose  $(\xi, \eta) \in \Gamma_\rho \times \Gamma_\sigma$ . If only one vertex of the polytope lies on  $P$ , then only one term of  $f(\xi, \eta)$  attains minimal ord, and then  $\text{ord } f(\xi, \eta) = v(f; \rho, \sigma)$ , the  $Z$ -axis intercept of  $P$ . Otherwise,  $\text{ord } f(\xi, \eta) \geq v(f; \rho, \sigma)$ .*

PROOF. Let the plane  $P_{ij}$  be defined by the equation  $Z + \rho X + \sigma Y = \text{ord}(a_{ij} \xi^i \eta^j)$ . Then the point  $(i, j, \text{ord } a_{ij})$  in the Newton diagram of  $f(x, y)$  lies in  $P_{ij}$ ; but  $\text{ord}(a_{ij} \xi^i \eta^j) < \text{ord}(a_{i',j'} \xi^{i'} \eta^{j'})$  (respectively  $\text{ord}(a_{ij} \xi^i \eta^j) \leq \text{ord}(a_{i',j'} \xi^{i'} \eta^{j'})$ ) if and only if the intercept cut off on the  $Z$ -axis by the plane  $P_{ij}$  is less than (respectively less than or equal to) that cut off by  $P_{i',j'}$ . Thus,  $\text{ord}(a_{i_0 j_0} \xi^{i_0} \eta^{j_0}) = \text{Min}_{i,j} \text{ord}(a_{ij} \xi^i \eta^j)$  if and only if  $P_{i_0 j_0}$  is the lower plane of support of the Newton polytope with  $\partial Z / \partial X = -\text{ord } \xi$ ,  $\partial Z / \partial Y = -\text{ord } \eta$ .

COROLLARY. *If  $(\xi, \eta)$  is a zero of  $f(x, y)$ , then the lower plane of sup-*

port  $P$  of the Newton polytope of  $f(x, y)$  with  $\partial Z/\partial X = -\text{ord } \xi$ ,  $\partial Z/\partial Y = -\text{ord } \eta$  contains an edge of the polytope.

REMARK. No distinction is made here between the plane  $P$  containing an edge or a face of the polytope.

The converse to the corollary of Proposition 2 is also valid. Thus, the Newton polytope of  $f(x, y)$  gives an explicit criterion for determining the existence of a zero of  $f(x, y)$  on  $\Gamma_r \times \Gamma_s$ . Before proceeding to the proof of the converse, we introduce the following notation.

Let  $f(x, y) = f_0(x) + f_1(x)y + f_2(x)y^2 + \dots + f_n(x)y^n$ ,  $f_i(x) \in K[x]$ ,  $i = 0, 1, 2, \dots, n$ . We shall assume that  $f(x, y) \notin K[x]$ ,  $f_n(x) \neq 0$ . Let  $\Pi$  denote the Newton polytope of  $f(x, y)$ . For  $\rho \in \mathbb{G}$ , let  $\Lambda_\rho$  be the convex closure in the  $YZ$ -plane of the point set  $\{(0, j, v(f_j; \rho)) : j = 0, 1, 2, \dots, n\}$  with the point  $(0, 0, +\infty)$ . For  $\xi \in K$ , let  $\Lambda_\xi$  be the convex closure in the  $YZ$ -plane of the point set  $\{(0, j, \text{ord } f_j(\xi)) : j = 0, 1, 2, \dots, n\}$  with the point  $(0, 0, +\infty)$ . We observe that  $\Lambda_\xi$  is the Newton polygon of the polynomial  $g_\xi(y) = \sum f_j(\xi)y^j$ , and that if  $\text{ord } \xi = \rho$ , then no point of  $\Lambda_\rho$  lies below  $\Lambda_\xi$ . Let  $\Pi_j$  denote the Newton polygon of the polynomial  $f_j(x)$  in the plane  $Y = j$ , and finally let  $l_j(\rho)$  be the lower line of support of  $\Pi_j$  with slope  $-\rho$  in the plane  $Y = j$ .

PROPOSITION 3. Let  $f(x, y) \in K[x, y]$ , let  $r, s \in \mathbb{G}$ . Suppose  $P_{rs}$  is the lower plane of support of  $\Pi$ , the Newton polytope of  $f(x, y)$ , with equation  $Z + rX + sY + d = 0$ . If  $P_{rs}$  contains an edge of  $\Pi$ , then there is a point  $(\xi, \eta) \in \Gamma_r \times \Gamma_s$  such that  $f(\xi, \eta) = 0$ .

PROOF. Suppose  $P_{rs}$  contains an edge of  $\Pi$  with direction numbers  $(\alpha, \beta, \gamma)$ . Since  $P_{rs}$  cannot contain a vertical line, either  $\alpha$  or  $\beta$  is different from zero. We may assume, with no loss of generality, that  $\beta \neq 0$ . Then a pair of points  $p_1 = (i_1, j_1, \text{ord } a_{i_1 j_1})$ ,  $p_2 = (i_2, j_2, \text{ord } a_{i_2 j_2})$  of the Newton diagram of  $f(x, y)$  is on  $P_{rs}$ , with  $j_1 \neq j_2$ . Since  $P_{rs}$  is a lower plane of support of  $\Pi$  containing  $p_1$  and  $p_2$ , with  $\partial Z/\partial X = -r$ , it follows that  $l_{j_1}(r)$  and  $l_{j_2}(r)$  are in  $P_{rs}$ . By Lemma 1, we may choose  $\xi \in \Gamma_r$  such that  $\text{ord } f_{j_1}(\xi) = v(f_{j_1}; r)$ ,  $\text{ord } f_{j_2}(\xi) = v(f_{j_2}; r)$ . Thus, the points  $q_1 = (0, j_1, \text{ord } f_{j_1}(\xi))$  and  $q_2 = (0, j_2, \text{ord } f_{j_2}(\xi))$  of the Newton diagram of  $g_\xi(y)$  are in  $P_{rs}$ , and are therefore on a side of  $\Lambda_\xi$  which lies in  $P_{rs}$  (since no point of  $\Lambda_\xi$  can lie below the intersection of  $P_{rs}$  with the  $YZ$ -plane). But since  $\Lambda_\xi$  lies in the  $(X=0)$ -plane, we see that the side of  $\Lambda_\xi$  determined by  $q_1, q_2$  has slope  $\partial Z/\partial Y = -s$ ; therefore, the polynomial  $g_\xi(y)$  has a root  $\eta \in \Gamma_s$ . Hence,  $(\xi, \eta) \in \Gamma_r \times \Gamma_s$  and  $f(\xi, \eta) = 0$ .

We summarize these results in

**THEOREM 1.** *Let  $f(x, y) \in K[x, y]$ , let  $r, s \in \mathfrak{G}$ , and let  $P_{r,s}$  be the lower plane of support of the Newton polytope of  $f(x, y)$  with  $\partial Z/\partial X = -r$ ,  $\partial Z/\partial Y = -s$ . There is a zero  $(\xi, \eta)$  of  $f(x, y)$  such that  $\text{ord } \xi = -r$ ,  $\text{ord } \eta = -s$  if, and only if, the plane  $P_{r,s}$  contains an edge of the polytope.*

**4. Distinguished values.**

**DEFINITION 2.** Let  $D$  be a subset of  $\mathfrak{R} \times \mathfrak{R}$ , let  $r$  (respectively  $s$ ) be a real number. We say that  $r$  is *x-distinguished on  $D$*  (respectively,  $s$  is *y-distinguished on  $D$* ) if there are infinitely many  $s \in \mathfrak{G}$  (respectively, infinitely many  $r \in \mathfrak{G}$ ) such that  $D \cap (\Gamma_r \times \Gamma_s) \neq \emptyset$ .

**PROPOSITION 4.** *Let  $f(x, y) \in K[x, y]$ , suppose  $f(x, y) \neq 0$ ; let  $D = V(f) = \{(\xi, \eta) \in \mathfrak{R} \times \mathfrak{R} : f(\xi, \eta) = 0\}$ . The set of real numbers which are x-distinguished on  $D$  (respectively, y-distinguished on  $D$ ) is finite.*

**PROOF.** Let  $f(x, y) = f_0(x) + f_1(x)y + f_2(x)y^2 + \dots + f_n(x)y^n$ ,  $f_i(x) \in K[x]$ ,  $0 \leq i \leq n$ . Since  $f(x, y) \neq 0$ , not all the polynomials  $\{f_i(x)\}$  are zero. Let  $\mathfrak{F}$  be the subset of  $\{f_i(x) : 0 \leq i \leq n\}$  consisting of those polynomials which are nonzero, and let  $\mathfrak{R}$  be the set of values of zeros of polynomials in  $\mathfrak{F}$ , i.e.,  $r \in \mathfrak{R}$  if there is a pair  $(f, \xi) \in \mathfrak{F} \times \Gamma_r$  such that  $f(\xi) = 0$ . Clearly  $\mathfrak{R}$  is a finite set. Suppose  $r' \notin \mathfrak{R}$ . Then for  $\xi \in \Gamma_{r'}$ , the Newton diagram of  $g_\xi(y) = f(\xi, y)$  depends only on  $\text{ord } \xi$ . Therefore, as  $\xi$  runs through  $\Gamma_{r'}$ , there is only a finite number of  $s \in \mathfrak{G}$  such that  $g_\xi$  has a zero on  $\Gamma_s$ . Therefore if  $r' \notin \mathfrak{R}$ ,  $r'$  is not x-distinguished on  $D$ .

The set of real numbers which are distinguished for a given polynomial is determined by the Newton polytope of that polynomial. In fact, we shall prove

**THEOREM 2.** *Let  $f(x, y) \in K[x, y]$ , suppose  $f(0, 0) \neq 0$ . Then  $\rho$  is x-distinguished on  $V(f)$  if, and only if, there is an edge of the Newton polytope of  $f(x, y)$  with direction numbers  $(1, 0, -\rho)$ .*

The proof of Theorem 2 will be a trivial consequence of Propositions 5 and 6.

**LEMMA 2.** *Let  $f(x, y) = f_0(x) + f_1(x)y + f_2(x)y^2 + \dots + f_n(x)y^n \in K[x, y]$ , suppose  $f(x, y) \notin K[x]$ ,  $f(0, 0) \neq 0$ . Let  $\rho \in \mathfrak{G}$ , and let  $\Lambda_\rho, \Pi_j$ ,  $0 \leq j \leq n$ , be as previously defined. If the point  $(0, j_0, v(f_{j_0}; \rho))$  is on  $\Lambda_\rho$ , then there is a vertex  $(i_0, j_0, \text{ord } a_{i_0 j_0})$  of  $\Pi_{j_0}$  which is on the Newton polytope of  $f(x, y)$ .*

**PROOF.** Suppose the point  $(0, j_0, v(f_{j_0}; \rho))$  is on the side of  $\Lambda_\rho$  with vertices  $(0, j_1, v(f_{j_1}; \rho))$ ,  $(0, j_2, v(f_{j_2}; \rho))$ , and suppose  $j_1 < j_2$ . Let  $P$  be the plane determined by the (parallel) lines  $l_{j_1}(\rho)$  and  $l_{j_2}(\rho)$ . Then certainly  $l_{j_0}(\rho)$  lies in  $P$ . It remains only to be shown that  $P$  is a

lower plane of support of the polytope. Suppose not; then there is a point  $(i', j', \text{ord } a_{i',j'})$  below  $P$ . Hence  $(0, j', v(f_{j'}; \rho))$  lies below the line  $P \cap (X=0)$ . This contradicts convexity of  $\Lambda_\rho$  in the  $YZ$ -plane.

**COROLLARY.** *Using the above notation, if  $(0, j_0, v(f_{j_0}; \rho))$  is on  $\Lambda_\rho$ , and if  $\text{ord } f_{j_0}(\xi)$  has more than one value for  $\xi \in \Gamma_\rho$ , then a side of  $\Pi_{j_0}$  is on the polytope of  $f(x, y)$ .*

**PROPOSITION 5.** *If  $\rho \in \mathfrak{G}$  is  $x$ -distinguished on  $V(f)$ , then there is an edge of the Newton polytope of  $f$  with direction numbers  $(1, 0, -\rho)$ .*

**PROOF.** For  $\xi \in \Gamma_\rho$ , we let  $g_\xi(y)$ ,  $\Lambda_\xi$ ,  $\Lambda_\rho$  be defined as before. Since  $\rho$  is  $x$ -distinguished on  $V(f)$ , the set of slopes of sides of the polygons  $\{\Lambda_\xi: \xi \in \Gamma_\rho\}$  is infinite. Consider the set of non-negative integers  $j$  with the property that  $(0, j, v(f_j; \rho))$  is a vertex of  $\Lambda_\rho$  and  $\{\text{ord } f_j(\xi): \xi \in \Gamma_\rho\}$  has more than one element. If this set were empty, it would follow that  $\Lambda_\rho = \Lambda_\xi$  for each  $\xi \in \Gamma_\rho$ , contradicting the hypothesis. Let  $j_0$  denote the smallest integer of this set.

By the previous corollary,  $l_{j_0}(\rho)$  contains a side of  $\Pi_{j_0}$ , and this side is on  $\Pi$ . To complete the proof of Proposition 5, we need only show that this side of  $\Pi_{j_0}$  is indeed an edge of the polytope. If  $j_0$  is either 0 or  $n$ , this is certainly the case. Otherwise, we may choose integers  $j_1, j_2$  such that  $(0, j_1, v(f_{j_1}; \rho))$ ,  $(0, j_0, v(f_{j_0}; \rho))$  and  $(0, j_2, v(f_{j_2}; \rho))$  are distinct adjacent vertices of  $\Lambda_\rho$ , with  $0 \leq j_1 < j_0 < j_2 \leq n$ . Let  $P_1$  be the plane determined by the lines  $l_{j_0}(\rho)$ ,  $l_{j_1}(\rho)$ , and let  $P_2$  be the plane determined by the lines  $l_{j_0}(\rho)$ ,  $l_{j_2}(\rho)$ . By the concluding argument of Lemma 2,  $P_1$  and  $P_2$  are lower planes of support of the Newton polytope of  $f(x, y)$ . By choice of  $j_1$  and  $j_2$ , they are distinct, and their intersection is the line  $l_{j_0}(\rho)$ . This completes the proof.

**PROPOSITION 6.** *If there is an edge of the Newton polytope of  $f(x, y)$  with direction numbers  $(1, 0, -\rho)$ , then  $\rho \in \mathfrak{G}$  and  $\rho$  is  $x$ -distinguished on  $V(f)$ .*

*Note.* It is not necessary to assume here that  $\rho \in \mathfrak{G}$ .

**PROOF.** We again write  $f(x, y) = \sum_{j=1}^n f_j(x)y^j$ ; what we are required to show is that, if there is a polynomial  $f_i(x)$  such that  $f_i(x)$  has a zero on  $\Gamma_\rho$  and, moreover, that the side of  $\Pi_i$  of slope  $-\rho$  is an edge of the Newton polytope  $\Pi$  of  $f(x, y)$ , then  $\rho$  is  $x$ -distinguished on  $V(f)$ . That is, we must show that the set  $\mathfrak{F}_\rho = \{\lambda: -\lambda \text{ is the slope of a side of } \Lambda_\xi, \text{ for some } \xi \in \Gamma_\rho\}$  is infinite. (We observe that  $\rho \in \mathfrak{G}$ , from the one-variable Newton polygon theory applied to  $f_i(x)$ .)

*Case 1.* For some  $k$ ,  $0 \leq k \leq n$ ,  $f_k$  has no zeros on  $\Gamma_\rho$ . Let  $k_0$  be the smallest such  $k$ . Then either  $k_0 = 0$  or  $k_0 > 0$ .

(1a) Suppose  $k_0=0$ . Let  $i_0$  be the smallest integer with the property that a side of  $\Pi_{i_0}$  of slope  $-\rho$  is an edge of  $\Pi$ . Then  $(0, i_0, v(f_{i_0}; \rho))$  is a vertex of  $\Lambda_\rho$ . Moreover,  $i_0 > 0$ , since  $f_0$  has no zeros on  $\Gamma_\rho$ .

Let the vertices of  $\Lambda_\rho$  in the  $YZ$ -plane have  $Y$ -coordinates  $0 = \alpha_0 < \alpha_1 < \dots < \alpha_i$ , let  $i_0 = \alpha_i$ . Then for all  $\xi \in \Gamma_\rho$ , the polygons  $\Lambda_\xi$  and  $\Lambda_\rho$  agree in vertices whose  $Y$ -coordinates are  $\alpha_0, \alpha_1, \dots, \alpha_{i-1}$ .

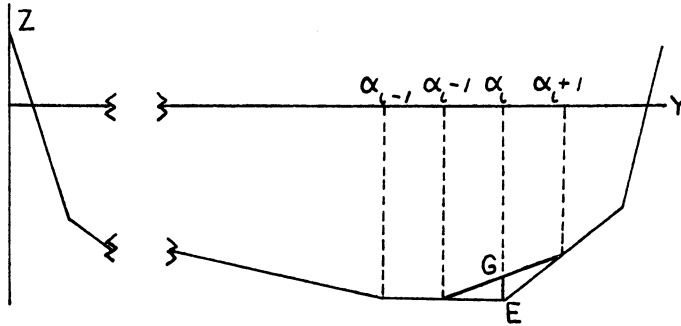


FIGURE 1. Newton polygon of  $\Lambda_\rho$ .

Consider the set  $\mathfrak{Z}$  of  $Z$ -coordinates of points on the line  $\overline{EG}$  in Figure 1 which are also in  $\mathfrak{G}$ .<sup>1</sup> Since  $\mathfrak{G}$  is dense in  $R$ , the set  $\mathfrak{Z}$  is infinite. But  $E$  has coordinates  $(i_0, v(f_{i_0}; \rho))$ , whence from Proposition 1, we may choose, for each  $r \in \mathfrak{Z}$ , an element  $\xi_r \in \Gamma_\rho$  such that  $\text{ord } f_{i_0}(\xi_r) = r$ . Let  $\mathfrak{E}$  be a set of representatives of  $\mathfrak{Z}$  in  $\Gamma_\rho$ : if  $\xi \in \mathfrak{E}$  then  $\text{ord } f_{i_0}(\xi) \in \mathfrak{Z}$ , and  $\xi, \xi' \in \mathfrak{E}, \xi \neq \xi'$  implies  $\text{ord } f_{i_0}(\xi) \neq \text{ord } f_{i_0}(\xi')$ . The definition of  $\mathfrak{Z}$  guarantees that  $(\alpha_i, \text{ord } f_{\alpha_i}(\xi))$  is a vertex of  $\Lambda_\xi$  for any  $\xi \in \mathfrak{E}$ .

For  $\alpha_{i-1} \leq \nu \leq \alpha_i - 1$ , we define the sets  $\mathfrak{E}_\nu^{(1)} = \{\xi \in \mathfrak{E} : (\nu, \text{ord } f_\nu(\xi)), (i_0, \text{ord } f_{i_0}(\xi)) \text{ are vertices of a side of } \Lambda_\xi\}$ . Then, since  $\mathfrak{E} = \bigcup_{\alpha_{i-1} \leq \nu \leq \alpha_i - 1} \mathfrak{E}_\nu^{(1)}$ , we may choose  $\nu_1$  to be the largest integer with the property that  $\nu_1 < i_0$  and  $\mathfrak{E}_{\nu_1}^{(1)}$  is an infinite set. Let  $\mathfrak{X}_1 = \{\text{ord } f_{\nu_1}(\xi) : \xi \in \mathfrak{E}_{\nu_1}^{(1)}\}$ . If  $\mathfrak{X}_1$  is finite, then the set  $\{\text{ord } f_{\nu_1}(\xi) - \text{ord } f_{i_0}(\xi) : \xi \in \mathfrak{E}_{\nu_1}^{(1)}\}$  is infinite, whence so is  $\mathfrak{Z}$ . Otherwise we define, for  $\alpha_{i-1} \leq \nu < \nu_1$ , the sets  $\mathfrak{E}_\nu^{(2)} = \{\xi \in \mathfrak{E}_{\nu_1}^{(1)} : (\nu, \text{ord } f_\nu(\xi)), (\nu_1, \text{ord } f_{\nu_1}(\xi)) \text{ are vertices of a side of } \Lambda_\xi\}$ , and choose  $\nu_2$  to be the largest integer with the property that  $\nu_2 < \nu_1$  and  $\mathfrak{E}_{\nu_2}^{(2)}$  is an infinite set. We then define  $\mathfrak{X}_2 = \{\text{ord } f_{\nu_2}(\xi) : \xi \in \mathfrak{E}_{\nu_2}^{(2)}\}$ . Proceeding in this manner, we define a sequence of integers  $\nu_1 > \nu_2 > \dots > \nu_m \geq \alpha_{i-1}$ , and a corresponding sequence of sets  $\mathfrak{X}_1, \mathfrak{X}_2, \dots, \mathfrak{X}_m$ . If  $\mathfrak{X}_i$  is finite for some  $i$ , then (1a) is proved. Otherwise, we may assume that  $m$  is such that  $\nu_m = \alpha_{i-1}$ ; but then,  $\mathfrak{X}_m = \{\text{ord } f_{\alpha_{i-1}}(\xi) : \xi \in \mathfrak{E}_{\alpha_{i-1}}^{(m)}\} = \{v(f_{\alpha_{i-1}}; \rho)\}$ , which is certainly finite. Thus, case (1a) is proved.

<sup>1</sup> If  $\alpha_i = n$ , let  $\mathfrak{Z} = \{\lambda \in \mathfrak{G} : \text{ord } \lambda \geq v(f_n; \rho)\}$ .

(1b) Suppose  $k_0 > 0$ . Then  $f_0$  has a zero on  $\Gamma_\rho$ . Hence, by Proposition 1, we may choose a sequence  $\{\xi_h\} \subset \Gamma_\rho$  such that  $\text{ord } f_0(\xi_h) \rightarrow \infty$  as  $h \rightarrow \infty$ . If  $\rho$  is not  $x$ -distinguished on  $V(f)$ , we must then have  $\text{ord } f_1(\xi_h) \rightarrow \infty$  as  $h \rightarrow \infty, \dots, \text{ord } f_{k_0-1}(\xi_h) \rightarrow \infty$  as  $h \rightarrow \infty$ . But then, for  $h$  sufficiently large, the point  $(k_0, \text{ord } f_{k_0}(\xi_h)) = (k_0, v(f_{k_0}; \rho))$  is on  $\Lambda_{\xi_h}$ .

For  $0 \leq \nu < k_0$ , we let  $\mathcal{E}_\nu = \{h \in \mathbb{Z} : (\nu, \text{ord } f_\nu(\xi_h)), (k_0, \text{ord } f_{k_0}(\xi_h)) \text{ are vertices of a side of } \Lambda_{\xi_h}\}$ . Then for some  $\nu_0$ , the set  $\mathcal{E}_{\nu_0}$  is infinite, whence the set of slopes

$$\left\{ \frac{v(f_{k_0}; \rho) - \text{ord } f_{\nu_0}(\xi_h)}{k_0 - \nu_0} : h \in \mathcal{E}_{\nu_0} \right\}$$

is infinite, whence  $\rho$  is  $x$ -distinguished on  $V(f)$ .

Case 2.  $f_j(x)$  has a zero on  $\Gamma_\rho$  for each  $j, 0 \leq j \leq n$ . If there is an  $\eta \in \Gamma_\rho$  such that  $f_j(\eta) = 0$  for each  $j, 0 \leq j \leq n$ , then certainly  $\rho$  is  $x$ -distinguished on  $V(f)$ . Therefore, we may assume that no root of one of the  $f_j$  is a root of all the  $f_j$ .

Let  $f_0$  have the zeros  $\eta_1, \eta_2, \dots, \eta_w$  on  $\Gamma_\rho$ . Let  $j_0$  be the smallest integer with the property that, for some  $i_0, 1 \leq i_0 \leq w, f_{j_0}(\eta_{i_0}) \neq 0$ . Choose a neighborhood  $N$  of  $\eta_{i_0}$  in  $\mathbb{R}$  such that  $\{\text{ord } f_{j_0}(\xi) : \xi \in N\}$  is bounded. Since the valuation of  $\mathbb{R}$  is dense, we may choose a sequence  $\{\xi_h\} \subset N$  such that  $\text{ord } f_k(\xi_h) \rightarrow \infty, h \rightarrow \infty$ , for  $k = 0, 1, 2, \dots, j_0 - 1$ . But  $\{\text{ord } f_{j_0}(\xi_h) : h = 1, 2, \dots\}$  is bounded; therefore, for  $h$  sufficiently large, the point  $(j_0, \text{ord } f_{j_0}(\xi_h))$  is on  $\Lambda_{\xi_h}$ .

For  $0 \leq \nu < j_0$ , let  $\mathcal{E}_\nu = \{h \in \mathbb{Z} : (\nu, \text{ord } f_\nu(\xi_h)), (j_0, \text{ord } f_{j_0}(\xi_h)) \text{ are vertices of a side of } \Lambda_{\xi_h}\}$ . Then for some  $\nu_0, \mathcal{E}_{\nu_0}$  is infinite; therefore, the set of slopes

$$\left\{ \frac{\text{ord } f_{j_0}(\xi_h) - \text{ord } f_{\nu_0}(\xi_h)}{j_0 - \nu_0} : h \in \mathcal{E}_{\nu_0} \right\}$$

is infinite, whence  $\rho$  is  $x$ -distinguished on  $V(f)$ .

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