

## THE RAYLEIGH POLYNOMIAL

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1. **Introduction.** Let  $J_\nu(z)$  be the Bessel function of the first kind, and denote the zeros of  $z^{-\nu}J_\nu(z)$  by  $j_{\nu,m}$ ,  $m=1, 2, \dots$ , where  $|\operatorname{Re}(j_{\nu,m})| \leq |\operatorname{Re}(j_{\nu,m+1})|$ . The Rayleigh function  $\sigma_{2n}(\nu)$  and the polynomial  $\phi_{2n}(\nu)$  of order  $2n$  are defined by

$$(1) \quad \sigma_{2n}(\nu) = \sum_{m=1}^{\infty} (j_{\nu,m})^{-2n}, \quad n = 1, 2, 3, \dots;$$

$$(2) \quad \phi_{2n}(\nu) = 4^n \prod_{k=1}^n (\nu + k)^{\lfloor n/k \rfloor} \sigma_{2n}(\nu),$$

where  $[x]$  denotes the greatest integer  $\leq x$ . Rayleigh functions and polynomials of odd orders are identically zero. The function  $\sigma_{2n}(\nu)$  has been the subject of a number of investigations [3, p. 502]. Properties of  $\sigma_{2n}(\nu)$  have been discussed in a previous paper [1].

The object of the present paper is to discuss properties of the polynomial  $\phi_{2n}(\nu)$ . In this discussion we shall need three results from [1]. Formulas (3), (4) and (5) of the present paper are taken from [1], and repeated here for the convenience of the reader.

$$(3) \quad zJ_{\nu+1}(z)J_\nu^{-1}(z) = 2 \sum_{n=1}^{\infty} \sigma_{2n}(\nu)z^{2n},$$

$$(4) \quad (\nu + n)\sigma_{2n}(\nu) = \sum_{k=1}^{n-1} \sigma_{2k}(\nu)\sigma_{2n-2k}(\nu),$$

$$(5) \quad \sum_{k=1}^n (-1)^{k-1} 4^k (k!)^2 \binom{n}{k} \binom{\nu+n}{k} \sigma_{2k}(\nu) = n.$$

2. **Polynomials.** The Rayleigh polynomials may be constructed from (3), (4) or (5) by using (2). We list here the first eight polynomials:

$$\begin{aligned} \phi_2(\nu) &= 1, & \phi_4(\nu) &= 1, & \phi_6(\nu) &= 2, \\ \phi_8(\nu) &= 5\nu + 11, & \phi_{10}(\nu) &= 14\nu + 38, \\ \phi_{12}(\nu) &= 42\nu^3 + 362\nu^2 + 1026\nu + 946, \\ \phi_{14}(\nu) &= 132\nu^3 + 1316\nu^2 + 4324\nu + 4580, \\ \phi_{16}(\nu) &= 429\nu^5 + 7640\nu^4 + 53752\nu^3 + 185430\nu^2 + 311387\nu + 202738. \end{aligned}$$

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For the next four polynomials the reader is referred to [2].

3. **The degree.** Let  $d_n$  be the degree of  $\phi_{2n}(\nu)$ . Then if we substitute (2) in (4) and use induction on  $n$  the following is obtained:

$$(6) \quad d_n = 1 - 2n + \sum_{k=1}^n \left[ \frac{n}{k} \right]$$

(see [2]). Take  $d_{n-1}$  and  $d_n$ , the degrees of two consecutive polynomials  $\phi_{2n-2}(\nu)$  and  $\phi_{2n}(\nu)$ , then

$$\begin{aligned} d_n - d_{n-1} &= 1 - 2n + \sum_{k=1}^{\infty} \left[ \frac{n}{k} \right] - \left\{ 1 - 2(n-1) + \sum_{k=1}^{\infty} \left[ \frac{n-1}{k} \right] \right\} \\ &= -2 + \sum_{k=1}^{\infty} \left\{ \left[ \frac{n}{k} \right] - \left[ \frac{n-1}{k} \right] \right\}. \end{aligned}$$

However, the quantity on the right side equals the number of non-trivial divisors of  $n$ ; therefore,

$$(7) \quad d_n - d_{n-1} = \text{the number of nontrivial divisors of } n.$$

From (7) we get the following:

$$(8) \quad d_p = d_{p-1}, \quad \text{where } p \text{ is a prime.}$$

4. **Coefficients.** We introduce here a symbol  $\epsilon(r, k, n)$  which is defined by the following relation

$$\epsilon(r, k, n) = [n/r] - [k/r] - [(n-k)/r].$$

It is seen that the value of  $\epsilon(r, k, n)$  is either 0 or 1. In particular,

$$(9) \quad \epsilon(1, k, n) = \epsilon(k, k, n) = 0.$$

Now suppose we write  $\phi_{2n}(\nu)$  as

$$(10) \quad \phi_{2n}(\nu) = \sum_{k=0}^{d_n} a_{n,k} \nu^k,$$

then the main property of the coefficients  $a_{n,k}$  of  $\nu^k$  may be stated in the following

**THEOREM I.** Every coefficient  $a_{n,k}$  of  $\nu^k$  in the polynomial  $\phi_{2n}(\nu)$  is a positive integer.

**PROOF.** Observe that (4) may be written as

$$(11) \quad (\nu + n)\sigma_{2n}(\nu) = \sum_{k=1}^{[n/2]} \alpha_k \sigma_{2k}(\nu) \sigma_{2n-2k}(\nu),$$

where

$$\alpha_k = 2, k < [n/2]; \quad \alpha_{[n/2]} = \begin{cases} 2 & \text{if } n \text{ is odd,} \\ 1 & \text{otherwise.} \end{cases}$$

Substitute (2) in (11). Then since

$$\prod_{r=1}^k (\nu + r)^{-[k/r]} = \prod_{r=1}^{n-k} (\nu + r)^{-[k/r]}, \quad k \leq [n/2];$$

$$\prod_{r=n-k+1}^{n-1} (\nu + r)^{[n/r]} = \prod_{r=n-k+1}^{n-1} (\nu + r), \quad k \leq [n/2],$$

we obtain the following:

$$(12) \quad \phi_{2n}(\nu) = \sum_{k=1}^{[n/2]} \alpha_k \prod_{r=2}^{[n/2]} (\nu + r)^{s(r,k,n)} \prod_{r=n-k+1}^{n-1} (\nu + r) \phi_{2k} \phi_{2n-2k}(\nu).$$

If we now consider (12) with induction on  $n$  the required result is obtained.

**5. Real zeros.** In this section we shall prove that all real zeros of  $\phi_{2n}(\nu)$  lie in a certain interval. First we see that in consideration of (12) and induction on  $n$  the following inequality is valid:

$$(13) \quad \phi_{2n}(\nu) > 0, \quad \text{if } \nu \geq -2.$$

We note that (13) gives an upper bound  $-2$  for the set of all real zeros of  $\phi_{2n}(\nu)$ . Next we shall determine a lower bound for the same set, and then combine the results in a theorem. We begin with

$$(14) \quad \phi_{2n}(-s) \neq 0, \quad \text{if } s \text{ is a positive integer and } s \mid n.$$

To prove this, consider (3):

$$\begin{aligned} 2 \sum_{k=1}^{\infty} \sigma_{2k}(\nu) z^{2k} &= z J_{\nu+1}(z) J_{\nu}^{-1}(z) \\ &= z \left\{ \sum_{k=0}^{\infty} \frac{(-1)^k (z/2)^{\nu+1+2k}}{k! \Gamma(\nu+2+k)} \right\} \left\{ \sum_{k=0}^{\infty} \frac{(-1)^k (z/2)^{\nu+2k}}{k! \Gamma(\nu+1+k)} \right\}^{-1} \\ &= \frac{1}{2} \left\{ \sum_{k=0}^{\infty} \frac{(-1)^k z^{2k+2}}{k! (\nu)_{k+1} 4^k} \right\} \left\{ \sum_{k=0}^{\infty} \frac{(-1)^k z^{2k}}{k! (\nu)_k 4^k} \right\}^{-1}, \end{aligned}$$

where  $(\nu)_m = (\nu+1)(\nu+2) \cdots (\nu+m)$ , and  $(\nu)_0 = 1$ . Substitute  $z = t^{1/2s}(\nu+s)^{1/2s}$  in the above, then

$$4 \sum_{k=1}^{\infty} \sigma_{2k}(\nu) t^{k/s} (\nu + s)^{k/s} = \left\{ \sum_{k=0}^{\infty} \frac{(-1)^k t^{(k+1)/s} (\nu + s)^{(k+1)/s}}{k!(\nu)_{k+1} 4^k} \right\} \left\{ \sum_{k=0}^{\infty} \frac{(-1)^k t^{k/s} (\nu + s)^{k/s}}{k!(\nu)_k 4^k} \right\}^{-1}.$$

Now if we let  $\nu \rightarrow -s$ , then in view of (2) all terms of the left side, except those for which  $k$  is a multiple of  $s$ , become zero. Similarly, all quantities on the right except one from the first series and two from the second vanish. Hence after a simplification we have

$$\sum_{m=1}^{\infty} t^m \lim_{\nu \rightarrow -s} (\nu + s)^m \sigma_{2ms}(\nu) = \frac{st}{s!(s-1)!4^s} \left\{ 1 - \frac{t}{s!(s-1)!4^s} \right\}^{-1}.$$

Equating the coefficients of  $t^m$  on two sides we get

$$(15) \quad \lim_{\nu \rightarrow -s} (\nu + s)^m \sigma_{2ms}(\nu) = s \{s!(s-1)!4^s\}^{-m}.$$

Clearly (14) is true in view of (2) and (15). In fact, an explicit expression for  $\phi_{2ms}(-s)$  can be obtained. In particular,

$$(16) \quad \lim_{\nu \rightarrow -n} (\nu + n) \sigma_{2n}(\nu) = \{(n-1)!\}^{-2} 4^{-n};$$

$$(17) \quad \phi_{2n}(-n) = \{(n-1)!\}^{-2} \prod_{k=1}^{n-1} (k-n)^{\lfloor n/k \rfloor}.$$

We shall now show that

$$(18) \quad (\nu + n) \sigma_{2n}(\nu) > 0, \quad \nu \leq -n.$$

The proof of this inequality is by induction on  $n$ . We observe that  $\sigma_2(\nu) < 0$  if  $\nu < -1$ , and  $\sigma_4(\nu) < 0$  if  $\nu < -2$ . Suppose  $\sigma_{2k}(\nu) < 0$ ,  $\nu < -k$ , for  $k < n$ . Then  $\sigma_{2k}(\nu)$  and  $\sigma_{2n-2k}(\nu)$  are negative when  $\nu < -k$  and  $\nu < -(n-k)$ , respectively; so, in particular, when  $\nu < -n$ . Therefore, for  $k < n$ ,  $\sigma_{2k}(\nu) \sigma_{2n-2k}(\nu) > 0$  when  $\nu < -n$ . Hence

$$\sum_{k=1}^{n-1} \sigma_{2k}(\nu) \sigma_{2n-2k}(\nu) > 0, \quad \nu < -n.$$

Using (4) we obtain

$$\sigma_{2n}(\nu) = (\nu + n)^{-1} \sum_{k=1}^{n-1} \sigma_{2k}(\nu) \sigma_{2n-2k}(\nu) < 0, \quad \nu < -n.$$

This result and (16) then lead to (18).

**LEMMA.** *If  $\nu \leq -n$ , then  $\phi_{2n}(\nu)$  is positive or negative according as  $\lfloor \sqrt{n} \rfloor$  is odd or even.*

PROOF. Substitute (2) in (18), then

$$\phi_{2n}(\nu) \prod_{k=1}^{n-1} (\nu + k)^{-[n/k]} > 0, \quad \nu \leq -n.$$

This implies that for  $\nu \leq -n$ ,  $\phi_{2n}(\nu)$  and the product  $\prod_{k=1}^{n-1} (\nu + k)^{-[n/k]}$  have like signs. However, for  $\nu \leq -n$ , the product is positive or negative according as the sum  $\sum_{k=1}^{n-1} [n/k]$  is even or odd; and this sum is even or odd according as  $[\sqrt{n}]$  is odd or even. This completes the proof.

The lemma implies that  $\phi_{2n}(\nu)$  does not have zeros  $\leq -n$ . Consequently, we have the following

THEOREM II. *All real roots of  $\phi_{2n}(\nu) = 0$  lie in the interval  $(-n, -2)$ .*

6. **Congruences.** We intend to prove two congruences for the Rayleigh polynomials. The first is

$$(19) \quad 2 \sum_{k=1}^s (k-1)! \binom{\nu+n-1}{k-1} \prod_{r=2}^{[n/2]} (\nu+r)^{\epsilon(r,k,n)} \phi_{2k}(\nu) \phi_{2n-2k}(\nu) \equiv \phi_{2n}(\nu) \pmod{(\nu+n-1)(\nu+n-2) \cdots (\nu+n-s)},$$

where  $s \leq [n/2] - 1$ . To prove this congruence take (12) and observe that

$$\prod_{r=n-k+1}^{n-1} (\nu+r) = (k-1)! \binom{\nu+n-1}{k-1};$$

then (12) may be written as

$$(20) \quad \phi_{2n}(\nu) = \prod_{k=1}^{[n/2]} \alpha_k T_k,$$

where

$$T_k = (k-1)! \binom{\nu+n-1}{k-1} \prod_{r=2}^{[n/2]} (\nu+r)^{\epsilon(r,k,n)} \phi_{2k}(\nu) \phi_{2n-2k}(\nu).$$

Then since  $\alpha_k = 2$  if  $k < [n/2]$ , (20) may be written as

$$\phi_{2n}(\nu) = 2 \sum_{k=1}^s T_k + \sum_{k=s+1}^{[n/2]} \alpha_k T_k, \quad s \leq \left[ \frac{n}{2} \right] - 1.$$

However,

$$\sum_{k=s+1}^{[n/2]} \alpha_k T_k \equiv 0 \pmod{(\nu+n-1)(\nu+n-2) \cdots (\nu+n-s)}.$$

Hence

$$\phi_{2n}(\nu) \equiv 2 \sum_{k=1}^n T_k \pmod{(\nu + n - 1)(\nu + n - 2) \cdots (\nu + n - s)}$$

which is (19). Substitute  $s=1$  in (19), and observe that for  $r > 1$ ,  $\epsilon(r, 1, n)$  equals 1 or 0 according as  $r|n$  or  $r \nmid n$ . Then

$$(21) \quad \phi_{2n}(\nu) \equiv 2 \prod_{\delta} (\nu + \delta) \phi_{2n-2}(\nu) \pmod{(\nu + n - 1)},$$

where  $\delta$  ranges over the proper divisors of  $n$ . From (21) we get the following

$$(22) \quad \phi_{2n}(\nu) \equiv 2 \prod_{\delta} (\delta + 1 - n) \phi_{2n-2}(\nu) \pmod{(\nu + n - 1)};$$

$$(23) \quad \phi_{2n}(\nu) \equiv 2 \phi_{2n-2}(\nu) \pmod{(\nu + n - 1)}, \text{ if } n \text{ is an odd prime.}$$

The second congruence for the polynomials  $\phi_{2n}(\nu)$  is

$$(24) \quad \begin{aligned} & n^{-1} \sum_{k=1}^n \{ P_{2k}(\nu) + P_{2n-2s+2k-2}(\nu) \} \\ & \equiv (-1)^n (n-1)! \phi_{2n}(\nu) \\ & \quad + \prod_{r=1}^{[n/2]} (\nu + r)^{[n/r]-1} \pmod{(\nu + n) \cdots (\nu + n - s)}, \end{aligned}$$

where

$$P_{2m}(\nu) = (-1)^{m-1} (m!)^2 \binom{n}{m} \binom{\nu + n}{m} \phi_{2m}(\nu) \prod_{r=1}^{[n/2]} (\nu + r)^{[n/r]-[m/r]-1},$$

and

$$0 \leq s < [n/2].$$

This congruence is obtained from (5). For, substitution of (2) in (5) yields

$$\sum_{k=1}^n (-1)^{k-1} (k!)^2 \binom{n}{k} \binom{\nu + n}{k} \phi_{2k}(\nu) \prod_{s=1}^k (\nu + s)^{-[k/s]} = n.$$

Now multiply the above by

$$\prod_{r=1}^n (\nu + r)^{[n/r]-1},$$

and observe that  $[n/r] - [k/r] - 1 \geq 0$ , for  $k \leq n - 1$ ,  $r \leq n$ . Then

$$\begin{aligned}
 & (-1)^n(n-1)! \phi_{2n}(\nu) + \prod_{r=1}^n (\nu+r)^{[n/r]-1} \\
 &= n^{-1} \sum_{k=1}^{n-1} (-1)^{k-1} (k!)^2 \binom{n}{k} \binom{\nu+n}{k} \phi_{2k}(\nu) \prod_{r=1}^n (\nu+r)^{[n/r]-[k/r]-1}.
 \end{aligned}$$

The above relation leads to the required congruence. If we set  $s=0$  in (24) then

$$(25) \quad (-1)^{n-1}(n-1)! \phi_{2n}(\nu) \equiv \prod_{r=1}^{[n/2]} (\nu+r)^{[n/r]-1} \pmod{(\nu+n)}$$

or

$$\begin{aligned}
 & (\nu+1)(\nu+2) \cdots (\nu+n-1) \phi_{2n}(\nu) \\
 (26) \quad & \equiv \prod_{r=1}^{[n/2]} (\nu+r)^{[n/r]-1} \pmod{(\nu+n)}.
 \end{aligned}$$

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