

Then the conditions on $|x| < 1$ require that $f^{1,2}$ should be a solution of (1). If $\det(I - ia) \neq 0$ we can find a solution while if $\det(I - ia) = 0$ there exists no solution.

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A REMARK ON AN ARITHMETIC THEOREM OF CHEVALLEY

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1. Let k be an algebraic number field with ring of integers \mathcal{O} , and let E be a finitely generated subgroup of the multiplicative group, k^* . All but finitely many primes \mathfrak{p} are "prime to E ," i.e., the units of $\mathcal{O}_{\mathfrak{p}}$ contain E . An ideal \mathfrak{a} is called "prime to E " if its prime divisors are. In this case we have a natural homomorphism

$$E \rightarrow (\mathcal{O}/\mathfrak{a})^*$$

whose kernel, the congruence subgroup $\{a \in E \mid a \equiv 1 \pmod{\mathfrak{a}}\}$, is evidently of finite index. We denote the group of all (complex) roots of unity by \mathcal{Q}/\mathcal{Z} .

THEOREM. *Let $\chi: E \rightarrow \mathcal{Q}/\mathcal{Z}$ be a character of E . Then there are infinitely many prime ideals \mathfrak{p} of k , prime to E , such that χ factors through a character of $(\mathcal{O}/\mathfrak{p})^*$, i.e., such that $\ker(E \rightarrow (\mathcal{O}/\mathfrak{p})^*) \subset \ker \chi$.*

It follows immediately that if U is a subgroup of finite index in E then $\ker(E \rightarrow (\mathcal{O}/\mathfrak{a})^*) \subset U$ for a suitable \mathfrak{a} , which we may take to be square free. This is the form of the theorem proved by Chevalley in [2]. That \mathfrak{a} may be taken square free is implicit in his proof. The following corollary paraphrases Chevalley's theorem.

COROLLARY 1 (CHEVALLEY). *If we embed E in $\prod_{\mathfrak{p} \text{ prime to } E} (\mathcal{O}/\mathfrak{p})^*$,*

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its closure is naturally identical with the completion, \hat{E} , of E in the topology defined by all subgroups of finite index.

I was led to these matters after proving the following corollary. I am indebted to J.-P. Serre for referring me to Chevalley's paper.

COROLLARY 2. *The algebraic closure of a finite field is generated, as a field, by roots of unity of prime order. The same is (therefore) true of the maximal unramified extension of a p -adic field.*

PROOF. Let \bar{F}_p be the algebraic closure of $F_p = \mathbf{Z}/p\mathbf{Z}$, and let H be the subgroup of \bar{F}_p^* generated by roots of unity of prime order. Let $L = F_p(H)$ and let $G = G(\bar{F}_p/F_p)$, the Galois group. To show that $L = \bar{F}_p$ it suffices, by Galois theory, to show that the restriction map, $G \rightarrow \text{Aut}(H)$, is a monomorphism, since L is the fixed field of its kernel.

Now G is topologically isomorphic to $\hat{\mathbf{Z}}$, with generator $f = \text{Frobenius}$ (p th power). H is isomorphic to the additive group $\bigoplus_{q \neq p} F_q$, so $\text{Aut}(H) = \prod_{q \neq p} F_q^*$. Under this identification, $G \rightarrow \prod_{q \neq p} F_q^*$ sends f to the element with all coordinates equal to p . With E the subgroup of \mathcal{Q}^* generated by p , our assertion now follows from Corollary 1. Q.E.D.

In case $k = \mathcal{Q}$ the theorem above was proved by Mills in [3] in a slightly more precise form. Mills' argument is essentially the same as Chevalley's (of which Mills was presumably unaware). This consists of reducing the theorem to a computation of $(F^*)^m \cap k^*$, F being the field over k generated by a primitive m th root of unity. This reduction is repeated, for the reader's convenience, in the next section. The preciseness of the final theorem is then a direct reflection of the precision with which $(F^*)^m \cap k^*$ is computed.

2. We show here (following Chevalley) how to deduce the Theorem from the next proposition, whose proof will be given in part 3.

PROPOSITION. *Given $N > 0$, then there is an $m > 0$ such that, if F is the field generated over k by a primitive m th root of unity, we have*

$$(F^*)^m \cap k^* \subset (k^*)^N.$$

PROOF OF THE THEOREM. Recall that we have $E \subset k^*$ and $\chi: E \rightarrow \mathcal{Q}/\mathbf{Z}$. We must find p such that $\ker(E \rightarrow (\mathcal{O}/p)^*) \subset \ker \chi$. Choose $N > 0$ so that $E \cap (k^*)^N \subset \ker \chi$. This is possible since $\chi(E)$ is finite and since k^* is the product of a free abelian with a finite group. Now choose $m > 0$ as in the proposition above. Then $(F^*)^m \cap E \subset \ker \chi$. It follows that χ factors via $E \rightarrow F^*/(F^*)^m$; i.e., there is a character $\chi': F^* \rightarrow \mathcal{Q}/\mathbf{Z}$ of order m such that $\chi'|_E = \chi$. Let $L = F(E^{1/m})$, the

(finite) extension generated by m th roots of elements of E . It follows from Kummer theory (see Artin [1]) that there is an $s \in G(L/F)$ such that $s(a^{1/m}) = a^{1/m}\chi(a)$ for all $a \in E$. By the Čebotarev density theorem there exist infinitely many primes \mathfrak{P} of F such that $s = ((L/F)/\mathfrak{P})$, the Artin symbol in the abelian extension L/F (see Serre [4, p. 34]). Choose such a \mathfrak{P} prime to m . Then if $a \in E$ and $a \equiv 1 \pmod{\mathfrak{P}}$, a is an m th power in the local field $F_{\mathfrak{P}}$. Hence the local degrees at \mathfrak{P} of $F(a^{1/m})/F$ are all one. It follows that $s = ((L/F)/\mathfrak{P})$ fixes $a^{1/m}$ and, consequently, $\chi(a) = 1$. Thus, the prime \mathfrak{p} of k that \mathfrak{P} divides solves our problem, and we have proved the theorem.

3. The proposition will be proved in a sequence of lemmas which give some more specific information.

LEMMA 1. *Let F/k be a finite field extension and q an integer with prime factorization $\prod_i p_i^{n_i}$.*

(a) $(F^*)^q \cap k^* = \bigcap_i [(F^*)^{p_i^{n_i}} \cap k^*]$.

(b) *If $d = [F:k]$ is prime to q , then $(F^*)^q \cap k^* = (k^*)^q$.*

PROOF. (a) is obvious. (b): If $x \in (F^*)^q \cap k^*$, take norms to obtain $x^d \in (k^*)^q$. g.c.d. $(d, q) = 1 \Rightarrow x \in (k^*)^q$.

Now we fix some notation: k_m denotes the field over k generated by a primitive m th root of unity.

LEMMA 2. *If $p \neq 2$, $(k_{pe}^*)^{p^n} \cap k^* = (k^*)^{p^n}$. $(k_{2^e}^*)^{2^n} \cap k^* = (k_{2^a}^*)^{2^n} \cap k^* \subset (k^*)^{2^{n-1}}$, where $a = \min(2, e)$. Hence, if $k_a \subset k$, $(k_{2^e}^*)^{2^n} \cap k^* = (k^*)^{2^n}$.*

PROOF. See Chevalley [2, pp. 37, 38].

For a prime p we define

$$e(p) = e(p, k)$$

to be the largest integer e such that, for each prime \mathfrak{p} of k above p , the local field at \mathfrak{p} contains k_{p^e} . Note that if $e > 0$ and $p \neq 2$ this implies \mathfrak{p} is ramified; hence $e(p) = 0$ for all but finitely many p .

LEMMA 3. *Suppose $n \geq e = e(p)$. Then for any $m > 0$*

$$(k_m^*)^{p^n} \cap k^* \subset (k_{pe}^*)^{p^{n-e}} \cap k^*,$$

unless $p = 2$ and $e = 1$. In this case replace the right side by $(k^)^{2^{n-2}}$.*

PROOF. Write $m = p^r q$ with q prime to p . We apply Lemma 2 to k_q to obtain $(k_m^*)^{p^n} \cap k_q^* \subset (k_q^*)^{p^h}$, where $h = n$ for p odd, and which we discuss below for $p = 2$. Choose f maximal so that $k_{p^f} \subset k_q$. If F is the local field of k at a prime dividing p then $F \subset F_{p^f} \subset F_q$. However, the big extension is unramified, and the small one totally rami-

fied. Hence $F = F_{p^f}$ so, by definition of $e = e(p)$, we have $f \leq e$.

Suppose $y \in k_q^*$ is such that $y^{p^h} \in k_{p^f}$. If $s \in G(k_q/k_{p^f})$ then $sy = yz$ with $z^{p^h} = 1$. By definition of f , therefore, $z^{p^f} = 1$. It follows that $sy^{p^f} = y^{p^f}$, so $y^{p^f} \in k_{p^f}$. Writing $y^{p^h} = (y^{p^f})^{p^{h-f}}$ we have therefore shown that

$$(k_q^*)^{p^h} \cap k_{p^f}^* \subset (k_{p^f}^*)^{p^{h-f}} \subset (k_{p^e}^*)^{p^{h-e}},$$

the second inclusion ensuing from $f \leq e$. We have thus descended the field tower, $k \subset k_{p^f} \subset k_q \subset k_m$, and proved our assertion in the case $h = n$. By Lemma 2 this is the case for p odd, and for $p = 2$ provided $k_4 \subset k_q$. In the remaining case we must have $p = 2$ and $f = 1$, so $k_{p^f} = k$ and we can take $h = n - 1$. The proof then yields $(k_m^*)^{2^n} \cap k^* \subset (k^*)^{2^{h-1}} = (k^*)^{2^{n-2}}$.

Combining Lemmas 1, 2, and 3 we have:

COROLLARY. *Let*

$$f(p) = \begin{cases} e(p) & \text{for } p \neq 2, \\ e(2) + 2 & \text{for } p = 2. \end{cases}$$

Then if m has prime factorization $\prod_{p \in S} p^{n(p)}$, with $n(p) \geq f(p)$, and if $m_0 = \prod_{p \in S} p^{f(p)}$, then

$$(k_m^*)^m \cap k^* \subset (k^*)^{m/m_0}.$$

Since m_0 depends only on the prime divisors of m , and not their exponents, it is clear that the proposition of §2 follows from the corollary.

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