

## PARTIALLY ORDERED GROUPS OF THE SECOND AND THIRD KINDS<sup>1</sup>

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1. **Introduction.** Let  $G$  be both a group and a partially ordered set. An element  $a$  of  $G$  is called a *left [right] conserver* if

$$x \leq y (x, y \in G) \Rightarrow ax \leq ay [xa \leq ya]$$

and a *left [right] inverter* if

$$x \leq y (x, y \in G) \Rightarrow ax \geq ay [xa \geq ya].$$

We shall call an element of  $G$  a *conserver [inverter]* if it is both a left and a right conserver [inverter].

If every element of  $G$  is a conserver, then  $G$  is a partially ordered group (abbreviated "po-group") in the usual sense; we shall also say that  $G$  is a *po-group of the first kind*. If every element of  $G$  is a conserver or an inverter, and not every element of  $G$  is a conserver, then we shall call  $G$  a *po-group of the second kind*. A familiar example is the multiplicative group of all nonzero real numbers with the usual ordering. The stipulation that not every element of  $G$  is a conserver excludes the possibility that  $G$  be trivially ordered, and it is then clear that no element of  $G$  can be both a conserver and an inverter.

The structure of totally ordered groups ("o-groups") of the second kind has been reduced to that of o-groups of the first kind by J. A. H. Shepperd [1]. (What he calls a "betweenness group" is either an o-group of the first or second kinds, or a finite group of order 4.) The first main result of the present note (Theorem 1) is an extension of Shepperd's result from o-groups to po-groups. The proof has also been simplified by avoiding reference to the betweenness relation.

Totally ordered semigroups ("o-semigroups") of the second kind have been considered by the author [2] in the commutative case, and by J. Gilder [3] and K. Keimel [4] in general. Following Gilder's terminology, we define a *po-group of the third kind* to be a group  $G$  endowed with a nontrivial partial order, such that each element of  $G$  is either a left conserver or a left inverter, and also either a right conserver or a right inverter, and such that  $G$  contains an element which conserves on one side and inverts on the other. Theorem 2 gives a reduction of these to po-groups of the first kind.

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**Added in proof.** The author regrets that he was not aware at the time of writing this paper that a result equivalent to Theorem 1 below had been obtained by J. F. Andrus and A. T. Butson [5] for a connected po-group of the second kind. The two approaches are also different, however, that the equivalence of the results is not apparent. Their subgroup  $S_0$  is the (directed) po-subgroup of my subgroup  $H$  generated by its positive cone  $H_+$ . My subset  $I_-$  of  $G \setminus H$  is the union of those cosets of  $S_0$  in  $G$  which belong to their subset  $T_0$  of the factor group  $G/S_0$ . Removing their requirement of connectedness actually simplifies more than it complicates. Thus the six properties (i)–(vi) which  $T_0$  must have in their Theorem 5 reduce to (i), (iv), (v), and the requirement that there exists a subgroup  $H$  of index 2 in  $P$  ( $=G/S_0$ ) such that  $T_0 \leq G \setminus H$ . (Incidentally, (v) should read “ $a + T_0 = T_a$ .”) This is an immediate consequence of Theorem 1 below, in the case  $H_+ = 0$ .

2. **Partially ordered groups of the second kind.** We denote the identity element of  $G$  by  $e$ , and set

$$G_+ = \{a \in G : a \geq e\}, \quad G_- = \{a \in G : a \leq e\}.$$

For any subset  $A$  of  $G$ , we let  $A_+ = A \cap G_+$ ,  $A_- = A \cap G_-$ , and  $A^{-1} = \{a^{-1} : a \in A\}$ . A (possibly empty) subset  $A$  of  $G$  is called an *upper [lower] class in  $G$*  if  $a \in A$ ,  $x \in G$ , and  $a < x [a > x]$  imply  $x \in A$ . The empty set is denoted by  $\emptyset$ , and  $A \setminus B$  means the set of elements of  $A$  not in  $B$ .

By the *order dual  $G^*$  of  $G$*  we mean the group  $G$  endowed with the dual  $\leq^*$  of  $\leq$  ( $a \leq^* b \Leftrightarrow b \leq a$ ).  $G$  and  $G^*$  have the same sets of left [right] conservers and inverters.

**THEOREM 1.** *Let  $G$  be a po-group of the second kind. Let  $H[I]$  be the set of conservers [inverters] of  $G$ . Then  $H$  is a subgroup of  $G$  of index 2, and  $I$  is its other coset.  $H$  is a po-group of the first kind, and  $H_+$  is normal in  $G$ .  $H$  and  $I$  are convex subsets of  $G$ , and, by passing to the order dual of  $G$  if necessary, we can assume that  $H$  is an upper class and  $I$  a lower class in  $G$ . In particular,  $H_+ = G_+$ ,  $I_+ = \emptyset$ , and  $I_-$  is a lower class in  $G$ . The set  $I_-$  has the following properties:*

- (N1)  $I_-$  is normal in  $G$ .
- (N2)  $I_-^{-1} = I_-$ .
- (N3)  $I_-$  contains  $H_+I_-$ ,  $I_-H_+$ ,  $H_-I_-$ , and  $I_-H_-$ .

The order relation  $\leq$  can be described in terms of  $H_+$  and  $I_-$  as follows:

- (O1) If  $x \in H$ ,  $y \in H$ , then  $x \leq y \Leftrightarrow x^{-1}y$  (or  $yx^{-1}$ )  $\in H_+$ .
- (O2) If  $x \in I$ ,  $y \in I$ , then  $x \leq y \Leftrightarrow xy^{-1}$  (or  $y^{-1}x$ )  $\in H_+$ .
- (O3) If  $x \in I$ ,  $y \in H$ , then  $x \leq y \Leftrightarrow xy^{-1}$  (or  $y^{-1}x$  or  $x^{-1}y$  or  $yx^{-1}$ )  $\in I_-$ .

(O4) If  $x \in H, y \in I$ , then  $x \leq y$  never holds.

If  $H$  is directed, then  $I_-$  must be  $I$  or  $\emptyset$ . If  $I_- = I$ , then every element of  $I$  is less than every element of  $H$ . If  $I_- = \emptyset$ , then no element of  $I$  is comparable with any element of  $H$ .

Conversely, let  $G$  be a group containing a subgroup  $H$  of index 2, and let  $I = G \setminus H$ . Assume that  $H$  is a po-group of the first kind, and that its positive cone  $H_+$  is normal in  $G$ . Let  $I_-$  be a subset of  $I$  having properties (N1-3). Define  $\leq$  in  $G$  by (O1-4). This agrees with the given partial order in  $H$  by (O1), and  $G$  becomes thereby a po-group of the second kind such that  $H[I]$  is the set of conservers [inverters] of  $G$ .

REMARKS. (1) If (N1) and (N2) hold, and if  $I_-$  contains any one of the four product sets in (N3), then it contains the other three.

(2) Regarding the parenthetical assertions in (O3), we note that if  $I_-$  is any subset of  $I$  satisfying (N1) and (N2), and if any one of the four products  $xy^{-1}, y^{-1}x, x^{-1}y, yx^{-1}$  belong to  $I_-$ , then so do the remaining three. A similar remark applies to (O1) and (O2), since  $H_+$  is normal in  $G$ .

PROOF. Evidently the product of two conservers or of two inverters is a conserver, while that of a conserver and an inverter is an inverter. Since the identity element  $e$  of  $G$  is a conserver, the inverse of a conserver [inverter] must be of the same type. From these remarks it is clear that  $H$  is a subgroup of  $G$  of index 2, that  $I = G \setminus H$ , and that  $H$  is a po-group of the first kind.

If  $p \in H_+$  and  $u \in I$ , then from  $e \leq p$  we have  $u \geq pu$  and  $e = u^{-1}u \leq u^{-1}pu$ . Thus  $u^{-1}H_+u \subseteq H_+$ . Since  $H_+$  is normal in  $H$ , this shows that it is normal in  $G$ .

To show that  $H$  is convex in  $G$ , it clearly suffices to show that  $e < u < h$  ( $h \in H, u \in I$ ) is impossible. Multiplying  $e < u < h$  on the left by the inverter  $u$ , and on the right by the conserver  $h$ , we obtain  $u > u^2 > uh$  and  $h < uh < h^2$ . But this yields  $u > uh > h$ , contrary to  $u < h$ .

To show that  $I$  is convex in  $G$ , suppose that  $u > h > u'$  ( $h \in H; u, u' \in I$ ). Then  $e < hu^{-1} < u'u^{-1}$ . Since  $u'u^{-1} \in H$  and  $hu^{-1} \in I$ , this contradicts the convexity of  $H$ .

From  $G = H \cup I$  it follows that  $H$  must be either an upper class or a lower class in  $G$ . By passing to the order dual of  $G$ , if necessary, we can assume that  $H$  is an upper class. Then  $I$  is a lower class. Since  $e \in H$ , we have  $G_+ \subseteq H$ , and hence  $H_+ = G_+$  and  $I_+ = \emptyset$ .  $I_-$  is clearly a lower class in  $I$ , hence also in  $G$ .

If  $u \in I_-$  and  $v \in I$ , then from  $u < e$  we have  $uv > v$  and  $v^{-1}uv < v^{-1}v = e$ , hence  $v^{-1}uv \in I_-$ . Similarly,  $h^{-1}uh \in I_-$  for every  $h$  in  $H$ , which proves (N1). To show (N2), we note that  $u < e$  ( $u \in I$ ) implies  $e = uu^{-1} > eu^{-1} = u^{-1}$ , hence  $u^{-1} \in I_-$ . By Remark (1), to estab-

lish (N3) we need only show that  $H_+I_- \subseteq I_-$ . From  $h > e, u < e$  ( $h \in H, u \in I$ ), we have  $hu < eu = u < e$ , so that  $hu \in I_-$ .

(O1) is a standard fact about po-groups, and (O4) is just the assertion that  $H$  is an upper class in  $G$ . To show (O2), we note that  $x \leq y$  is equivalent to  $xy^{-1} \geq e$ , since  $y \in I$ . To show (O3), we observe that  $x \leq y$  is now equivalent to  $xy^{-1} \leq yy^{-1} = e$ , since  $y \in H$ .

If  $H$  is directed, then  $H = H_+H_-$ , and (N3) implies that  $HI_- \subseteq I_-$ . If  $I_- \neq \emptyset$ , let  $u \in I_-$ . Then  $I = Hu \subseteq I_-$ , whence  $I_- = I$ .

Turning to the converse, let  $H$  be a subgroup of  $G$  of index 2, and let  $H$  be a po-group of the first kind such that  $H_+$  is normal in  $G$ . Let  $I = G \setminus H$ . Let  $I_-$  be a subset of  $I$  having properties (N1-3), and define  $\leq$  in  $G$  by (O1-4). (O1) asserts that the restriction of  $\leq$  to  $H$  shall coincide with the given partial ordering of  $H$ .

That the relation  $\leq$  is reflexive and antisymmetric is clear. To prove that it is transitive, let  $x \leq y$  and  $y \leq z$  ( $x, y, z \in G$ ). (O4) implies that if  $x \in H$  then  $y \in H$ , and if  $y \in H$  then  $z \in H$ . Since we do not need to consider the case  $x, y, z \in H$ , we are left with three cases.

*Case  $x \in I, y \in H, z \in H$ .* By (O3) and (O1) we have  $x^{-1}y \in I_-$  and  $y^{-1}z \in H_+$ . By (N3),  $x^{-1}z = (x^{-1}y)(y^{-1}z) \in I_-$ , and  $x \leq z$  by (O3).

*Case  $x \in I, y \in I, z \in H$ .* By (O2) and (O3) we have  $xy^{-1} \in H_+$  and  $yz^{-1} \in I_-$ . By (N3),  $xz^{-1} = (xy^{-1})(yz^{-1}) \in I_-$ , and  $x \leq z$  by (O3).

*Case  $x \in I, y \in I, z \in I$ .* By (O2) we have  $xy^{-1} \in H_+$  and  $yz^{-1} \in H_+$ . Hence  $xz^{-1} = (xy^{-1})(yz^{-1}) \in H_+$ , and  $x \leq z$  by (O2).

Hence  $G$  is a po-set under  $\leq$ . All that remains is to show that every element of  $H[I]$  is a conserver [inverter]. Since every element of  $H$  is the product of two elements of  $I$ , it suffices to show that every element of  $I$  is an inverter.

Let  $u \in I$ , and let  $x \leq y$ . The case  $x \in H, y \in I$  is excluded by (O4), and we consider the remaining three.

*Case  $x \in H, y \in H$ .* By (O1),  $x^{-1}y$  and  $yx^{-1} \in H_+$ . Hence  $(ux)^{-1}(uy)$  and  $(yu)(xu)^{-1} \in H_+$ , and we infer from (O2) that  $uy \leq ux$  and  $yu \leq xu$ .

*Case  $x \in I, y \in I$ .* By (O2),  $xy^{-1}$  and  $y^{-1}x \in H_+$ . Hence  $(xu)(yu)^{-1}$  and  $(uy)^{-1}(ux) \in H_+$ , and we infer from (O1) that  $yu \leq xu$  and  $uy \leq ux$ .

*Case  $x \in I, y \in H$ .* By (O3),  $x^{-1}y$  and  $yx^{-1} \in I_-$ . Hence  $(ux)^{-1}(uy)$  and  $(yu)(xu)^{-1} \in I_-$ , and we infer from (O3) that  $uy \leq ux$  and  $yu \leq xu$ .

This concludes the proof of the theorem.

Let us consider all possible ways of extending a given po-group  $H$  of the first kind to a po-group  $G$  of the second kind, such that  $H$  is the set of conservers of  $G$ . In the first place,  $G$  must be an extension of  $H$  by the cyclic group  $C_2$  of order 2; the Schreier theory tells us how to find all such. Call  $G$  "suitable" if  $H_+$  is normal in  $G$ ; there is at

least one suitable  $G$ , namely the direct product  $H \times C_2$ . Any suitable  $G$  can be partially ordered in the desired fashion by choosing  $I_-$  so as to satisfy (N1-3). This can always be done by choosing  $I$  or  $\emptyset$  for  $I_-$ , and these are the only possibilities if  $H$  is directed. If  $G$  itself is to be directed, only  $I_- = I$  is possible, and then every element of  $H$  exceeds every element of  $I$ . In this case we note that  $G$  will be lattice-ordered or totally ordered if and only if the same holds for  $H$ .

If  $H$  is trivially ordered, then  $I_- \neq \emptyset$ , since  $G$  cannot be trivially ordered. As a simple example with  $I_- \neq I$ , let  $G$  be the infinite cyclic group generated by  $a$ , let  $H$  be the subgroup generated by  $a^2$ , and let  $I_- = \{a, a^{-1}\}$ . The resulting partial order on  $G$  has a saw-tooth nature:

$$\dots > a^{-3} < a^{-2} > a^{-1} < e > a < a^2 > a^3 < \dots$$

**3. Partially ordered groups of the third kind.** We define the following four subsets of a po-group  $G$  of the third kind. Let  $C_2 = \{0, 1\}$  be the additive group of integers mod 2, so that  $1+1=0$ . For  $i$  and  $j$  in  $C_2$  let  $G_{ij}$  be the set of all elements  $a$  of  $G$  such that  $a$  is a left conserver if  $i=0$ , a left inverter if  $i=1$ , a right conserver if  $j=0$ , and a right inverter if  $j=1$ .

From the way left and right conservers and inverters multiply,

$$G_{ij}G_{kl} = G_{i+k, j+l} \quad (i, j, k, l \in C_2).$$

By definition of po-group of the third kind,

$$G = G_{00} \cup G_{01} \cup G_{10} \cup G_{11}, \quad G_{01} \cup G_{10} \neq \emptyset.$$

If  $G_{01}$  and  $G_{10}$  are  $\neq \emptyset$ , then  $G_{11} \neq \emptyset$ .

**THEOREM 2.** *Let  $G$  be a po-group of the third kind, with  $G_{ij}$  as defined above. Then  $G_{00}$  is a normal subgroup of  $G$ , and is a po-group of the first kind. If  $G_{11} = \emptyset$ , then  $G/G_{00} \cong C_2$ . If  $G_{11} \neq \emptyset$ , then  $G/G_{00} \cong C_2 \times C_2$ , and  $G_{00} \cup G_{11}$  is a normal po-subgroup of  $G$  of the second kind. The positive cone  $P$  of  $G_{00}$  satisfies the following conditions:*

(N'1) if  $a \in G_{00} \cup G_{11}$ , then  $aPa^{-1} \subseteq P$ ;

(N'2) if  $a \in G_{01} \cup G_{10}$ , then  $aPa^{-1} \subseteq P^{-1}$ .

*No two elements belonging to different  $G_{ij}$  are comparable. Within each  $G_{ij}$ , the order relation is given in terms of  $P$  as follows:*

(O'1) if  $x, y \in G_{00}$  or  $x, y \in G_{01}$ , then  $x \leq y \Leftrightarrow x^{-1}y \in P$ ;

(O'2) if  $x, y \in G_{10}$  or  $x, y \in G_{11}$ , then  $x \leq y \Leftrightarrow x^{-1}y \in P^{-1}$ .

*Conversely, let  $G$  be a group containing a normal subgroup  $H_{00}$  such that  $G/H_{00} \cong C_2$  or  $C_2 \times C_2$ . In the latter event, let  $H_{ij}$  be the coset of  $H_{00}$  in  $G$  mapped into the element  $(i, j)$  of  $C_2 \times C_2$ . Assume that  $H_{00}$  is a*

po-group of the first kind such that its positive part  $P$  satisfies (N'1-2), with  $G_{ij}$  replaced by  $H_{ij}$ . Define a relation  $\leq$  on  $G$  by (O'1-2), similarly modified, with  $\leq$  never holding between elements of distinct  $H_{ij}$ . Then  $G$  becomes a po-group of the third kind, with  $G_{ij} = H_{ij}$ . The same holds in the event  $G/H_{00} \cong C_2$  if we let  $H_{11} = \emptyset$ , and either  $H_{01} = \emptyset$  or  $H_{10} = \emptyset$ .

PROOF. The first three sentences are obvious. (N'1) then follows from Theorem 1. To show (N'2), let  $p \in P$  and let  $a \in G_{01}$ . From  $e < p$  we have  $a < ap$ , since  $a$  is a left conserver, and hence  $e > apa^{-1}$ , since  $a$  is a right inverter. Thus  $apa^{-1} \in P^{-1}$ . The proof for  $a$  in  $G_{10}$  is similar.

We note that the identity element  $e$  of  $G$  cannot be comparable with any element of  $G_{01}$  or  $G_{10}$ . For if  $e < a$  ( $a \in G_{01}$ ), then  $a < a^2$  since  $a$  is a left conserver, and  $a > a^2$  since  $a$  is a right inverter. The argument is similar if  $e > a$ , or if  $a \in G_{10}$ . Moreover,  $e$  cannot be comparable with an element  $a$  of  $G_{11}$ . For suppose  $a < e$ . Let  $b \in G_{01}$ . Then  $ba < b$  and  $bab^{-1} > e$ , since  $b^{-1} \in G_{01}$ . But  $bab^{-1} \in G_{01}G_{11}G_{01} = G_{11}$ , and  $a < e < bab^{-1}$  would violate the convexity of  $I = G_{11}$  in the po-group  $G_{00} \cup G_{11}$  of the second kind (Theorem 1). The argument is similar if  $e < a$ .

Now let  $a$  and  $b$  be any two elements of  $G$  such that  $a < b$ . Then  $aa^{-1} < ba^{-1}$  or  $aa^{-1} > ba^{-1}$ , depending on whether  $a^{-1}$  is a right conserver or a right inverter. In either case,  $ba^{-1}$  is comparable with  $e$ , and so belongs to  $G_{00}$ . Hence  $a$  and  $b$  belong to the same coset  $G_{ij}$ .

To show (O'1),  $x \leq y \Leftrightarrow e \leq x^{-1}y \Leftrightarrow x^{-1}y \in P$ , since  $x$  is a left conserver. As for (O'2),  $x$  is a left inverter, and so  $x \leq y \Leftrightarrow e \geq x^{-1}y \Leftrightarrow x^{-1}y \in P^{-1}$ .

Proceeding to the converse, let us introduce the notation  $P_0 = P$ ,  $P_1 = P^{-1}$ , where  $0, 1 \in C_2$ . Then, for any  $k$  in  $C_2$ ,  $P_k^{-1} = P_{k+1}$ . The modified rules (N'1-2) and (O'1-2) can then be condensed into single formulae:

(N') if  $a \in H_{ij}$ , then  $aPa^{-1} \subseteq P_{i+j}$ ;

(O') if  $x, y \in H_{kl}$ , then  $x \leq y \Leftrightarrow x^{-1}y \in P_k$ .

It is evident that  $\leq$  defined by (O') is reflexive and symmetric. If  $x \leq y$  and  $y \leq z$ , then  $x, y$ , and  $z$  all belong to the same  $H_{kl}$ , and  $x^{-1}z = (x^{-1}y)(y^{-1}z) \in P_k P_k \subseteq P_k$ , whence  $\leq$  is transitive.

To show that  $H_{ij} = G_{ij}$ , let  $a \in H_{ij}$  and let  $x \leq y$ . Then  $x$  and  $y$  belong to the same  $H_{kl}$  and  $x^{-1}y \in P_k$ . From  $(ax)^{-1}(ay) = x^{-1}y \in P_k$ , and  $ax, ay \in H_{i+k, j+l}$ , (O') gives  $ax \leq ay$  if  $i=0$  and  $ay \leq ax$  if  $i=1$ . Since this is independent of  $k$  and  $l$ , we conclude that  $a$  is a left conserver if  $i=0$  and a left inverter if  $i=1$ .

From  $(xa)^{-1}(ya) = a^{-1}(x^{-1}y)a \in P_{k+(i+j)} = P_{(i+k)+j}$  by (N'), and  $xa, ya \in H_{i+k, j+l}$ , we conclude from (O') that  $xa \leq ya$  if  $j=0$  and  $ya \leq xa$  if  $j=1$ . Hence  $a$  is a right conserver if  $j=0$  and a right inverter if  $j=1$ .

Let  $H$  be a po-group of the first kind. We saw at the conclusion of §2 that  $H$  can be extended in at least one way to a po-group  $G$  of the second kind. This is not so if  $G$  is to be of the third kind. In fact it is possible if and only if there exists an automorphism of  $H$  the square of which is inner, and which maps the positive cone  $P$  of  $H$  into  $P^{-1}$ . This is always possible if  $H$  is abelian, since  $x \rightarrow x^{-1}$  is then an automorphism with these properties. But it is impossible if  $H$  is a group every automorphism of which is inner. For example, let  $H$  be the group of rational matrices of the form

$$\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$$

with  $a > 0$ , and define  $P(H)$  to be the set of all such matrices with  $a \geq 1$ .

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