

ON A CLASS OF POLYNOMIALS OBTAINED FROM GENERALIZED HUMBERT POLYNOMIALS

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1. **Introduction.** Recently, Gould [1] defined a generalized Humbert polynomial, $P_n(m, x, y, p, C)$, of degree n in x , by the series expansion

$$(1.1) \quad (C - mx + yt^m)^p = \sum_{n=0}^{\infty} t^n P_n(m, x, y, p, C),$$

where $m \geq 1$ is an integer and the other parameters, C , x , y , and p , are unrestricted in general. By a proper choice of parameters, one obtains from (1.1) the generating function for such polynomials as Gegenbauer, Legendre, Humbert ($P_n(m, x, 1, -p, 1)$), and Chebyshev polynomials, $U_n(x)$, of the second kind, as important special cases. An extensive list of references is given in [1].

The aim of this paper is to give the important properties of the polynomials $R_n(x, m, C/y)$ which we define by the limit

$$(1.2) \quad \lim_{p \rightarrow 0} P_n(m, x, y, p, C)/p = - (m/n)(C/y)^{-n/2} \cdot R_n(mx/(2(Cy)^{1/2}), m, C/y) \quad (n \neq 0).$$

Noting that for $k > 0$, $P_n(2, x, 1, -k, 1) = C_n^{(k)}(x)$, the Gegenbauer polynomial, and recalling [2, p. 82, (4.7.8)] that $\lim_{k \rightarrow 0} C_n^{(k)}(x)/k = (2/n)T_n(x)$, $n \neq 0$, where $T_n(x)$ is the Chebyshev polynomial of the first kind, it follows from (1.2) that $R_n(x, 2, 1) \equiv T_n(x)$, which is indeed the case (see (1.4)).

We shall cite only those results of [1] which are needed to make this paper self-contained. In [1, p. 699, (2.1)] it is shown that

$$(1.3) \quad \begin{aligned} P_n(m, x, y, p, C) &= \sum_{k=0}^{\lfloor n/m \rfloor} \binom{p}{k} \binom{p-k}{n-mk} C^{p-n+(m-1)k} y^k (-mx)^{n-mk}. \end{aligned}$$

Applying (1.2) to (1.3) and noting that

$$\binom{p}{k} = p(p-1) \cdots (p-k+1)/k!,$$

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we obtain

$$\lim_{p \rightarrow 0} \binom{p}{k} \binom{p-k}{n-mk} / p = (-1)^{n-mk+k-1} \frac{[n-1-(m-1)k]!}{k!(n-mk)!};$$

and hence, after simplification, with $b = C/y$, that

$$\begin{aligned} R_n(x, m, b) &= \frac{n}{m} \sum_{k=0}^{[n/m]} (-1)^k \frac{[n-1-(m-1)k]!}{k!(n-mk)!} b^{(m-2)k/2} (2x)^{n-mk} \\ (1.4) \end{aligned} \quad (n = 1, 2, \dots).$$

It is convenient to define $R_0(x, m, b) \equiv 1$. We note that $R_n(x, 2, b) = T_n(x)$, and $R_n(x, 1, b) = (2x - b^{-1})^n$.

The inverse relation to (1.4) is given by

$$(1.5) \quad (2x)^n = m \sum_{k=0}^{[n/m]} \binom{n}{k} b^{(m-2)k/2} R_{n-mk}(x, m, b),$$

which was obtained by applying (1.2) to the inverse relation of (1.3) (see [1, p. 707, (6.2)])

$$\begin{aligned} (1.6) \quad \binom{p}{n} (-mx)^n &= \sum_{k=0}^{[n/m]} (-1)^k \binom{p-n+k}{k} \frac{p+m k-n}{p+k-n} \\ &\cdot C^{n-k} y^k P_{n-mk}(m, x, y, p, C). \end{aligned}$$

For $m=2$, (1.5) reduces to the known relation for $T_n(x)$ (see, e.g., [3, p. 223, Problem 4b]). In the sequel, we shall write $R_n(x, m, b) \equiv R_n$ and $P_n(m, x, y, p, C) \equiv P_n$.

2. Properties of the polynomials. R_n satisfies a linear difference equation of order m with constant coefficients

$$(2.1) \quad R_{n+m} - 2xR_{n+m-1} + b^{(m-2)/2}R_n = 0 \quad (n = 0, 1, \dots),$$

and from (1.4), $R_n = (2x)^n/m$, $n=1, 2, \dots, m-1$, and $R_0=1$. For $m=2$, (2.1) is the difference equation satisfied by Chebyshev polynomials. We obtained (2.1) by applying (1.2) to

$$(2.2) \quad Cn P_n - m(n-1-p)x P_{n-1} + (n-m-m p)y P_{n-m} = 0 \quad (n \geq m \geq 1),$$

(see [1, p. 700, (2.3)]). Applying a well-known result (see [4, p. 300]) for generating functions, i.e., if Y_0, Y_1, \dots, Y_N are arbitrary real

numbers and Y_n , $n = 0, 1, \dots$, satisfies a homogeneous, linear difference equation of order $N+1$ with real, constant coefficients

$$\sum_{r=0}^{N+1} a_r Y_{n+N+1-r} = 0 \quad (a_0 a_{N+1} \neq 0),$$

then the generating function of Y_n is given by

$$(2.3) \quad \sum_{n=0}^{\infty} Y_n t^n = \sum_{j=0}^N \left(\sum_{r=0}^j a_r Y_{j-r} \right) t^j / \sum_{r=0}^{N+1} a_r t^r \quad (N = 0, 1, \dots),$$

we obtain from (2.3) with $N = m-1$, $a_0 = 1$, $a_1 = -2x$, $a_r = 0$, $r = 2, 3, \dots, m-1$, and $a_m = b^{(m-2)/2}$, the generating function for R_n ,

$$(2.4) \quad \frac{1 + (2(1-m)/m)xt}{1 - 2xt + b^{(m-2)/2}t^m} = \sum_{n=0}^{\infty} t^n R_n(x, m, b).$$

For $m = 2$, (2.4) reduces to the generating function for $T_n(x)$.

It is now possible to express R_n in terms of P_n . In (1.1), set $p = -1$, $C = 1$, and replace x by $2x/m$ to obtain

$$(2.5) \quad 1/(1 - 2xt + yt^m) = \sum_{n=0}^{\infty} t^n P_n(m, 2x/m, y, -1, 1).$$

Set $y = b^{(m-2)/2}$ in (2.5) and then multiply both sides by $1 + (2(1-m)/m)xt$ to obtain, noting (2.4),

$$(2.6) \quad \begin{aligned} R_n(x, m, b) &= P_n(m, 2x/m, b^{(m-2)/2}, -1, 1) \\ &+ (2(1-m)/m)xP_{n-1}(m, 2x/m, b^{(m-2)/2}, -1, 1) \end{aligned} \quad (n = 1, 2, \dots).$$

For $m = 2$, (2.6) gives

$$(2.7) \quad T_n(x) = P_n(2, x, 1, -1, 1) - xP_{n-1}(2, x, 1, -1, 1) \quad (n = 1, 2, \dots).$$

Conversely, we have

$$(2.8) \quad P_n(m, 2x/m, b^{(m-2)/2}, -1, 1) = \sum_{j=0}^n (2x(m-1)/m)^{n-j} R_j(x, m, b);$$

and for $m = 2$, (2.8) gives

$$(2.9) \quad C_n^{(1)}(x) = U_n(x) = \sum_{j=0}^n x^{n-j} T_j(x).$$

In [1, p. 702, (3.5)], it was shown that

$$(2.10) \quad D_x^k P_{n+k}(m, x, y, p, C) = k!(-m)^k \binom{p}{k} P_n(m, x, y, p-k, C)$$

$$(D_x^k = d^k/dx^k).$$

Applying (1.2) to (2.10), we obtain, after simplification,

$$(2.11) \quad m D_x^k R_{n+k}(x, m, C/y) = (k-1)!(n+k)2^k(C/y)^{n/2} \\ \cdot C^k P_n(m, 2x(Cy)^{1/2}/m, y, -k, C) \quad (k = 1, 2, \dots).$$

Since $P_n(2, x, 1, -k, 1) = C_n^{(k)}(x)$, the Gegenbauer polynomial, we obtain from (2.11)

$$(2.12) \quad D_x^k T_{n+k}(x) = (k-1)!(n+k)2^{k-1} \cdot C_n^{(k)}(x) \quad (k = 1, 2, \dots).$$

Using (1.3), we note that

$$C^k P_n(m, 2x(Cy)^{1/2}/m, y, -k, C) = P_n(m, 2x(y/C)^{1/2}/m, y/C, -k, 1),$$

and thus, (2.11), with $b = C/y$, becomes

$$(2.13) \quad m D_x^k R_{n+k}(x, m, b) \\ = (k-1)!(n+k)2^k b^{n/2} P_n(m, 2xb^{-1/2}/m, 1/b, -k, 1) \quad (k = 1, 2, \dots);$$

and for $n=0$, (2.13) gives

$$(2.14) \quad D_x^k R_k(x, m, b) = k!2^k/m \quad (k = 1, 2, \dots).$$

The polynomials R_n also satisfy the following recurrence relations, where $R_n' = D_x R_n$:

$$(2.15) \quad R_n' = (x/n)R_n' \\ - ((m/2)/(n-m+1))b^{(m-2)/2}R_{n-m+1}'$$

$$(2.16) \quad 2R_n = (1/(n+1))R_{n+1}' \\ - ((m-1)/(n-m+1))b^{(m-2)/2}R_{n-m+1}'$$

$$(2.17) \quad R_n = ((m/2)/(n+1))R_{n+1}' - ((m-1)x/n)R_n'$$

$$(2.18) \quad (2(m-1)x^2/n)R_n' = 2(m-1)xR_n \\ + ((m^2/2)/(n-m+2))b^{(m-2)/2}R_{n-m+2}' \\ - mb^{(m-2)/2}R_{n-m+1}.$$

Relations (2.15), . . . , (2.18) were obtained, respectively, by applying (1.2) to [1, p. 700, (2.5), (2.6), (2.7), (2.8)].

3. An expansion theorem. In [1, pp. 709–710], a theorem was given for the expansion of a polynomial of degree s in x as a linear combination of generalized Humbert polynomials. A similar theorem is now given for an expansion in terms of the derived polynomials R_n .

THEOREM 1. *Let $f(x) = \sum_{n=0}^s A_n x^n$ be an arbitrary polynomial of degree s in x . Then $f(x)$ may be expressed in terms of the polynomials R_n by the formula*

$$(3.1) \quad f(x) = K_0/m + \sum_{n=1}^s K_n R_n(x, m, b),$$

where

$$(3.2) \quad K_n = m \sum_{k=0}^{[(s-n)/m]} \binom{n + mk}{k} 2^{-(n+mk)} b^{(m-2)k/2} A_{n+mk} \quad (n = 0, 1, \dots, s).$$

PROOF. In [1, p. 709], there is stated the identity

$$(3.3) \quad \sum_{n=0}^s \sum_{k=0}^{[n/m]} B_{n,k} = \sum_{n=0}^s \sum_{k=0}^{[(s-n)/m]} B_{n+mk,k}.$$

If we substitute for x^n (as given by (1.5)) into $\sum_{n=0}^s A_n x^n$, we obtain our result (3.1) and (3.2) by an application of (3.3).

4. Additional results. In [5, p. 135], it was shown that if the roots of the characteristic equation for the difference equation

$$(4.1) \quad Y_{n+m} = qY_{n+r} + pY_n \quad (pq \neq 0, 1 \leq r < m, n = 0, 1, \dots),$$

are distinct, then

$$(4.2) \quad Y_{nm} = \sum_{k=0}^n \binom{n}{k} p^{n-k} q^k Y_{kr} \quad (n = 0, 1, \dots).$$

Since the characteristic equation of (2.1) is

$$(4.3) \quad z^m - 2xz^{m-1} + b^{(m-2)/2} = 0,$$

then (4.2), with $Y_n \equiv R_n$ and $r = m - 1$, gives

$$(4.4) \quad R_{nm}(x, m, b) = \sum_{k=0}^n \binom{n}{k} (-b^{(m-2)/2})^{n-k} (2x)^k R_{k(m-1)}(x, m, b) \quad (n = 0, 1, \dots),$$

which is valid provided the roots of (4.3) are distinct. For $m=2$, (4.4) gives

$$(4.5) \quad (-1)^n T_{2n}(x) = \sum_{k=0}^n \binom{n}{k} (-2x)^k T_k(x) \quad (x \neq \pm 1, n = 0, 1, \dots).$$

Since $U_{n+2}(x) = 2xU_{n+1}(x) - U_n(x)$, we also have

$$(4.6) \quad (-1)^n U_{2n}(x) = \sum_{k=0}^n \binom{n}{k} (-2x)^k U_k(x) \quad (x \neq \pm 1, n = 0, 1, \dots).$$

In [6, p. 253, (5.1), (5.2)], it was shown that

$$(4.7) \quad V_n = 2a^{n/2} T_n(d/(2(a)^{1/2})) \quad (n = 0, 1, \dots).$$

$$(4.8) \quad Z_{n+1} = a^{n/2} U_n(d/(2(a)^{1/2})) \quad (n = 0, 1, \dots),$$

where Z_n and V_n are Lucas functions which satisfy

$$(4.9) \quad W_{n+2} = dW_{n+1} - aW_n, \quad d^2 - 4a \neq 0, \quad (n = 0, 1, \dots),$$

with $d \neq 0$ and $a \neq 0$ as arbitrary real numbers; and $Z_n \equiv W_n$ if $W_0 = 0$ and $W_1 = 1$, and $V_n \equiv W_n$ if $W_0 = 2$ and $W_1 = d$. Applying (4.7) to (4.5) and (4.8) to (4.6), we obtain, respectively,

$$(4.10) \quad (-1)^n V_{2n} = \sum_{k=0}^n (-1)^k \binom{n}{k} a^{n-k} d^k V_k \quad (n = 0, 1, \dots),$$

$$(4.11) \quad (-1)^n Z_{2n+1} = \sum_{k=0}^n (-1)^k \binom{n}{k} a^{n-k} d^k Z_{k+1} \quad (n = 0, 1, \dots).$$

An application of (4.2) to (4.9) gives

$$(4.12) \quad W_{2n} = \sum_{k=0}^n \binom{n}{k} (-a)^{n-k} d^k W_k \quad (n = 0, 1, \dots).$$

If we apply the inverse relation pair identity

$$(4.13) \quad A_n = \sum_{k=0}^n (-1)^k \binom{n}{k} B_k, \quad B_n = \sum_{k=0}^n (-1)^k \binom{n}{k} A_k,$$

to (4.12) and (4.11), we obtain, respectively,

$$(4.14) \quad d^n W_n = \sum_{k=0}^n \binom{n}{k} a^{n-k} W_{2k} \quad (n = 0, 1, \dots).$$

$$(4.15) \quad d^n Z_{n+1} = \sum_{k=0}^n \binom{n}{k} a^{n-k} Z_{2k+1} \quad (n = 0, 1, \dots).$$

For $p = -1$, (2.2) simplifies to $CP_n - mxP_{n-1} + yP_{n-m} = 0$, $n \geq m \geq 1$. Thus, if the roots of $Cz^m - mxz^{m-1} + y = 0$ are distinct, we obtain from (4.2)

$$(4.16) \quad \begin{aligned} & P_{nm}(m, x, y, -1, C) \\ &= (-C)^{-n} \sum_{k=0}^n (-1)^k \binom{n}{k} y^{n-k} (mx)^k P_{k(m-1)}(m, x, y, -1, C); \end{aligned}$$

using (4.13), we obtain from (4.16)

$$(4.17) \quad \begin{aligned} & (mx)^n P_{n(m-1)}(m, x, y, -1, C) \\ &= \sum_{k=0}^n \binom{n}{k} y^{n-k} C^k P_{km}(m, x, y, -1, C). \end{aligned}$$

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