

THE PRIME RADICAL IN A JORDAN RING

CHESTER TSAI

There are several definitions of radicals for general nonassociative rings given in literature, e.g. [1], [2], and [5]. The u -prime radical of Brown-McCoy which is given in [2], is similar to the prime radical in an associative ring. However, it depends on the particular chosen element u . The purpose of this paper is to give a definition for the Brown-McCoy type prime radical for Jordan rings so that the radical will be independent from the element chosen.

Let J be a Jordan ring, x be an element in J ; the operator U_x is a mapping on J such that $yU_x = 2x \cdot (x \cdot y) - x^2 \cdot y$ for all y in J , or, equivalently, $U_x = 2R_x^2 - R_x^2$. If A, B are subsets of J , AU_B is the set of all finite sums of elements of the form aU_b , where a is in A and b is in B .

LEMMA 1. *Let P be a two sided ideal in J . Then the following three statements are equivalent.*

- (a) *If A, B are ideals in J and $AU_B \subseteq P$, then either $A \subseteq P$ or $B \subseteq P$.*
- (b) *If A, B are ideals in J with $A \cap c(P) \neq \emptyset$ and $B \cap c(P) \neq \emptyset$, then $AU_B \cap c(P) \neq \emptyset$, where $c(P)$ is the complement of P .*
- (c) *If a, b are in $c(P)$, then $[a]U_{[b]} \cap c(P) \neq \emptyset$, where $[x]$ denotes the principal ideal in J generated by x .*

PROOF. Obviously (a) and (b) are equivalent.

If (b) holds and $a, b \in c(P)$, then $[a] \cap c(P) \neq \emptyset$ and $[b] \cap c(P) \neq \emptyset$. Thus, $[a]U_{[b]} \cap c(P) \neq \emptyset$, i.e. (c) holds.

If (c) holds and A, B are ideals in J such that $A \cap c(P) \neq \emptyset$ and $B \cap c(P) \neq \emptyset$, then there exists $a \in A \cap c(P)$ and $b \in B \cap c(P)$. Thus $[a]U_{[b]} \cap c(P) \neq \emptyset$. But $[a] \subseteq A$ and $[b] \subseteq B$, so $AU_B \cap c(P) \supseteq [a]U_{[b]} \cap c(P) \neq \emptyset$, i.e. (b) holds.

DEFINITION 1. An ideal P in J is called a prime ideal if it satisfies any one of the statements in the Lemma 1. A nonempty subset M of J is called a Q -system if whenever A, B are ideals in J such that $A \cap M \neq \emptyset$ and $B \cap M \neq \emptyset$ then $AU_B \cap M \neq \emptyset$.

If P is an ideal in J , then $c(P) = M$ is a Q -system if, and only if P is a prime ideal.

DEFINITION 2. Let A be an ideal in J , then $A^Q = \{r \in J \mid \text{any } Q\text{-system in } J \text{ containing } r \text{ meets } A\}$ is called the Q -radical of A .

THEOREM 1. *If A is an ideal in J , then A^Q is the intersection of all the prime ideals P^* in J which contain A .*

Received by the editors March 3, 1967 and, in revised form, May 16, 1967.

PROOF. If $b \in A^Q$ and P^* is any prime ideal which contains A , then $b \in P^*$; otherwise, there exists a Q -system $c(P^*)$ containing b which does not meet A , thus $b \notin A^Q$. Thus $A^Q \subseteq \bigcap P^*$.

Conversely, if $b \notin A^Q$, there exists a Q -system M such that $b \in M$ and $M \cap A = \emptyset$. Applying Zorn's lemma to the family of all ideals in J which contains A but does not meet M , one finds a maximal element P (partial ordering being taken as the usual set inclusion). Since b is in M , b is not in P . Thus it remains to show that P is a prime ideal.

If B, C are ideals in J such that $B \not\subseteq P$ and $C \not\subseteq P$ then both $B + P$, and $C + P$ meet M . Thus $(P + B)U_{(P+B)}$ meets M . But $(P + B)U_{(P+B)} \subseteq BU_C + P$, thus $BU_C \not\subseteq P$. Hence P is prime.

DEFINITION 3. An ideal P in J is a semiprime ideal if for any ideal A in J , $AU_A \subseteq P$ implies $A \subseteq P$. A nonempty subset M of J is called a SQ -system if for any ideal A in J such that $A \cap M \neq \emptyset$, then $AU_A \cap M \neq \emptyset$.

The proof of Lemma 1 can be easily applied here to show an ideal P in J is semiprime if, and only if, one of the following statements holds.

- (a) If A is an ideal such that $A \cap c(P) \neq \emptyset$, then $AU_A \cap c(P) \neq \emptyset$.
- (b) If $a \in c(P)$ then $[a]U_{[a]} \cap c(P) \neq \emptyset$.

If P is an ideal in J , then $c(P)$ is a SQ -system if, and only if, P is semiprime.

DEFINITION 4. Let A be an ideal in J , the set $A_Q = \{r \in J \mid \text{any } SQ\text{-system containing } r \text{ meets } A\}$ is called the SQ -radical of A .

THEOREM 2. Let A be an ideal in J , then the following statements hold

- (a) $A_Q = \bigcap P_*$, where P_* are taken from all semiprime ideals in J which contain A .
- (b) A_Q is a semiprime ideal.
- (c) A is semiprime if, and only if, $A = A_Q$.

PROOF. (a) If $x \in A_Q$ and P_* is a semiprime ideal in J containing A , then $x \in P_*$; otherwise, $c(P_*)$ is a SQ -system, contains x but does not meet A , so $x \notin A_Q$. Thus $A_Q \subseteq \bigcap P_*$. Conversely, if $x \notin A_Q$, then there exists a SQ -system M such that $x \in M$ and $M \cap A \neq \emptyset$. Applying Zorn's lemma to the family of ideals in J containing x but disjoint from M , one finds a maximal ideal P_* . It remains to show that P_* is semiprime.

If B is an ideal in J such that $B \not\subseteq P_*$, then $P_* + B$ meets M . But M is a SQ -system, thus $(P_* + B)U_{(P_*+B)}$ meets M . On the other hand, $(P_* + B)U_{(P_*+B)} \subseteq BU_B + P_*$, so $BU_B \not\subseteq P_*$.

(b) It follows from (a) that A_Q is an ideal in J . If B is an ideal in J such that $BU_B \subseteq A_Q = \bigcap P_*$, then $B \subseteq P_*$ for all semiprime ideals P_* containing A . Hence $B \subseteq \bigcap P_* = A_Q$. Thus A_Q is a semiprime.

(c) Since A_Q is a semiprime ideal, it is the smallest semiprime ideal in J containing A . Thus A is semiprime if, and only if, $A = A_Q$.

LEMMA 2. *Let a be an element in J and S is a SQ -system in J containing a . Then there exists a Q -system M such that a is in M and $M \subseteq S$.*

PROOF. We first construct a sequence $M = \{a_1, a_2, \dots, a_n, \dots\}$ of elements of J where $a_1 = a, a_2 \in [a_1]U_{[a_1]} \cap S, \dots, a_{k+1} \in [a_k]U_{[a_k]} \cap S, \dots$. Clearly, $a \in M$ and $M \subseteq S$. It remains to show that M is a Q -system, i.e. $[a_i]U_{[a_j]} \cap S \neq \emptyset$, for all i, j .

Note that $a_{i+1} \in [a_i]$, so $[a_{i+1}] \subseteq [a_i]$ and hence $[a_j] \subseteq [a_i]$ if $j \geq i$. If we let K be the larger of i and j then $a_{k+1} \in [a_k]U_{[a_k]} \cap S \subseteq [a_i]U_{[a_j]} \cap S$.

THEOREM 3. *For any ideal A in $J, A^Q = A_Q. A^Q$ is called the prime radical of the ideal A .*

PROOF. Since every prime ideal is a semiprime ideal, it is clear that $A^Q = \bigcap P^* \supseteq \bigcap P_* = A_Q$.

Conversely, if $x \in A^Q$, and S is a SQ -system containing x , then by Lemma 2, there exists a Q -system M such that $x \in M$ and $M \subseteq S$ since M meets A, S meets A also.

DEFINITION 5. The prime radical, $R(J)$, of a Jordan ring J is the prime radical of the zero ideal in J . A Jordan ring is Q -semisimple if and only if $R(J) = (0)$.

THEOREM 4. *Let J be a Jordan ring and $R(J)$ be the prime radical of J , then $R(J/R(J)) = (0)$, i.e. $J/R(J)$ is a Q -semisimple ring.*

PROOF. Let $\theta: a \rightarrow \bar{a}$ be the natural homomorphism from J onto $J/R(J) = \bar{J}$. It is easy to check that the image of any prime ideal in J is a prime ideal in \bar{J} . Let $\bar{a} \in R(\bar{J})$ and P be any prime ideal in J . Then $\bar{a} \in \bar{P} = P/R(J)$. Hence, $a \in \theta^{-1}(\bar{P}) = P$, so $a \in \bigcap P = R(J)$ and $\bar{a} = 0$.

DEFINITION 6. A ring J is a prime ring if, and only if, (0) is a prime ideal in J .

Thus, a prime ring must be Q -semisimple, and an ideal P in J is prime if, and only if, J/P is a prime ring.

As in the case of associative rings, one can easily prove the following two assertions.

(a) A ring R is a subdirect sum of $S_i, i \in I$ if, and only if, for each $i \in I$, there exists a homomorphism ϕ_i from R onto S_i and that $0 \neq r \in R$ implies $\phi_i(r) \neq 0$ for at least one $i \in I$.

(b) A ring is a subdirect sum of rings $S_i, i \in I$, if, and only if, for each $i \in I$ there exists a two sided ideal K_i in R such that $R/K_i \cong S_i$ and $\bigcap K_i = (0)$.

We obtain the following two theorems. The proof is similar to that in the associative case.

THEOREM 5. *A necessary and sufficient condition that a Jordan ring be isomorphic to a subdirect sum of prime rings is that J is Q -semisimple.*

In the presence of the descending chain condition on ideals in J , one may choose a finite subset of prime ideals $\{P_i | i = 1, \dots, n\}$ in J such that $\bigcap P_i = 0$ and $\bigcap_{i \neq j} P_i \neq 0$ for any $j = 1, 2, \dots, n$.

THEOREM 6. *If J is a Jordan ring with descending chain condition on prime ideals then J is Q -semisimple if, and only if, Q is a full direct sum of finite numbers of prime ideals in J .*

THEOREM 7. *Let A be an ideal in Jordan ring J and $r \in A_Q$, then there exists a positive integer k such that $r^k \in A$.*

PROOF. It is sufficient to show that if $r \in A_Q$, then the set $M = \{r, r^3, r^{3^2}, \dots, r^{3^k}, \dots\}$ is a SQ -system.

Suppose C is an ideal in J and $r^{3^i} \in C \cap M$, then $r^{3^{i+1}} \in CU_C \cap M$. Thus M is a SQ -system.

COROLLARY. *The prime radical of a Jordan ring J is a nilideal in J .*

PROOF. If $r \in R(J)$, then $r^k \in (0)$.

In a general nonassociative ring R , the nil radical $N(R)$ is the maximal nilideal in $R[1]$. As a consequence of the corollary, the prime radical of a Jordan ring is contained in the nil radical $N(J)$.

If J is a finite dimensional Jordan algebra, every nilideal is a nilpotent ideal. Thus, $R(J)$ is contained in the classical radical $S(J)$, which is the maximal nilpotent ideal in J .

On the other hand, in the next theorem, any nilpotent ideal in J is contained in $R(J)$. Thus, in this case, two definitions coincide. However, we are not sure whether in general this is also the case.

LEMMA 3. *Let A be an ideal in J . Then A^3 is an ideal of J and $A^3 = AU_A$.*

PROOF. The first assertion is a direct consequence of the linearized form of the Jordan identity: $[(a \cdot b) \cdot c] \cdot x = (a \cdot b) \cdot (c \cdot x) + (a \cdot c) \cdot (b \cdot x) + (b \cdot c) \cdot (a \cdot x) - [(a \cdot x) \cdot c] \cdot b - [(b \cdot x) \cdot c] \cdot a$. The second assertion is obtained from $4(x \cdot y) \cdot z = 2xU_{(y,z)} + 2yU_{(x,z)} = yU_{(x+z)} - yU_x - yU_z + xU_{(y+z)} - xU_y - xU_z \in AU_A$.

THEOREM 8. *A Jordan ring J is Q -semisimple if and only if it contains no nonzero nilpotent ideal.*

PROOF. By definition S and part (c) of Theorem 2, J is Q -semisimple if and only if $(0) = (0)_Q$. Thus J being Q -semisimple is equivalent to the ideal (0) being semiprime. If J contains a nonzero nilpotent

ideal M of nilindex t , then there exists a positive integer t such that $M^{3^t} = 0$ and $M^{3^{t-1}} \neq 0$. Thus (0) is not semiprime.

Conversely, if J contains no nonzero nilpotent ideal and if (0) is not semiprime, then there exists a nonzero ideal A such that $A U_A \subseteq 0$. Thus $A^3 = 0$ which is impossible.

COROLLARY. *The Q -radical $R(J)$ of a Jordan ring J contains all the nilpotent ideals in J .*

PROOF. If M is a nilpotent ideal in J , \overline{M} is the image of M under the natural homomorphism from J onto $J/R(J)$. Since \overline{M} is a nilpotent ideal in \overline{J} , $(\overline{0})$ is not a semiprime ideal in \overline{J} . If \overline{A} is a nonzero ideal in \overline{J} such that $\overline{A}^3 = \overline{A} U_{\overline{A}} = (\overline{0})$, then $A U_A \subseteq R(J)$. But $R(J)$ is semiprime, so $A \subseteq R(J)$ and $\overline{A} = (\overline{0})$ which is a contradiction.

The following theorem is due to the referee.

THEOREM 9. *If a Jordan ring J contains a maximal nilpotent ideal $S(J)$ then $R(J) = S(J)$.*

PROOF. Clearly $R(J) \supseteq S(J)$ by the corollary of Theorem 8. In the ring $\overline{J} = J/S(J)$ there are no nonzero nilpotent ideals by the maximality of $S(J)$. So \overline{J} is Q -semisimple by Theorem 8.

If $r \in R(J) = \bigcap P^*$ then $r \in S(J)$. If $r \notin S(J)$, its image in \overline{J} under the natural homomorphism would be $\bar{r} \neq \bar{0}$, so $\bar{r} \notin (\bar{0}) = R(\overline{J}) = \bigcap \overline{P}^*$ and $\bar{r} \notin \overline{P}^*$ for some prime ideal \overline{P}^* in \overline{J} . Let P^* be the inverse image of \overline{P}^* in J ; then $\bar{r} \notin \overline{P}^*$ implies $r \notin P^*$. Since r is in all prime ideals in J , P^* cannot be prime. Thus there exists ideals A, B in J with $A \not\subseteq P^*$ and $B \not\subseteq P^*$ but $A U_B \subseteq P^*$. Passing to the homomorphic image $\overline{A} \subseteq \overline{P}^*$, $\overline{B} \subseteq \overline{P}^*$ but $\overline{A} U_{\overline{B}} \not\subseteq \overline{P}^*$. This contradicts the primeness of \overline{P}^* .

REFERENCES

1. E. A. Behrens, *Nichtassoziative Ringe*, Math. Ann. **127** (1954), 441-452.
2. B. Brown and N. McCoy, *Prime ideals in nonassociative rings*, Trans. Amer. Math. Soc. **89** (1958), 245-255.
3. N. Jacobson, *A coordinatization theorem for Jordan algebras*, Proc. Nat. Acad. Sci. U.S.A. **48** (1962), 1154-1160.
4. ———, *Structure theory for a class of Jordan algebras*, Proc. Nat. Acad. Sci. U.S.A. **55** (1966).
5. M. F. Smiley, *Application of a radical of Brown and McCoy to non-associative rings*, Amer. J. Math. **72** (1950), 93-100.

MICHIGAN STATE UNIVERSITY