A NOTE ON GENERATING SETS FOR INVERTIBLE IDEALS1

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It is well known that an invertible fractional ideal of a commutative ring with identity must be finitely generated. S. U. Chase has shown that for any positive integer n, there is an integral domain W_n containing an invertible ideal with a basis of n, but no fewer, generators. Chase's example does not appear in the literature, but the example has been referred to by Bass in [1, 541], by Swan in [4, 270], and by Gilmer and Heinzer in [2]. We know of no verification of the details of Chase's example independent of Swan's results in [4]; these results of Swan are quite involved.

In this note, we give an example of a domain D_n with identity containing an invertible ideal A_n with a basis of n, but no fewer, generators. The domain D_n is related to, but not isomorphic to, Chase's domain W_n . However, our verification that A_n has no basis of fewer than n elements depends only upon Lemma 1, a result which should be of independent interest in itself. The proof of Lemma 1 requires essentially only the Borsuk-Ulam Theorem [3, 152].

We use E to denote the field of real numbers; n is a positive integer greater than one, and $\{X_i\}_{i=1}^n$ is a set of indeterminates over E.

LEMMA 1. If $f_1, \dots, f_{n-1} \in E[X_1, \dots, X_n]$, where each nonzero monomial of each f_i has odd degree, and if

$$S^n = \left\{ u = (u_1, \dots, u_n) \in E^n \middle| \sum_{i=1}^n u_i^2 = \alpha \right\},$$

where α is a fixed positive real number, then f_1, \dots, f_{n-1} have a common zero on S^n .

PROOF. Consider the mapping $g: S^n \to E^{n-1}$ defined by $g(u) = (f_1(u), \cdots, f_{n-1}(u))$. g is continuous, and the hypothesis on the f_i 's implies that g(u) = -g(-u) for any $u \in S^n$. By the Borsuk-Ulam Theorem, there exists a pair $\{u, -u\}$ of antipodal points of S^n such that g(u) = g(-u). Consequently, $g(u) = (0, \cdots, 0)$, and u is a common zero of the f_i 's on S^n .

Now let $J_n = E[\{X_i X_j\}_{1 \le i,j \le n}]$, let $s = \sum_{i=1}^n X_i^2$, and let $D_n = (J_n)_N$, where $N = \{s^i\}_{i=0}^\infty$. If A_n is the ideal of D_n generated by $\{X_1 X_i\}_{1 \le i \le n}$,

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then A_n is invertible, for clearly $A_n^2 \subseteq X_1^2 D_n$, but equality holds since $X_1^2 = X_1^2 s/s = \sum_{i=1}^n (X_1 X_i)^2/s \in A_n^2$. Therefore, A_n is invertible and has a basis of n elements. But if $g_1, \dots, g_{n-1} \in A_n$, then there exist polynomials $f_1, \dots, f_{n-1} \in E[X_1, \dots, X_n]$ such that each nonzero monomial in each f_i has odd degree and such that $g_i = X_1 f_i$ for each i. By Lemma 1 the f_i 's, and hence the g_i 's, have a common zero $u = (u_1, \dots, u_n)$ in E^n other than the origin. The equality $A_n = \{g_1, \dots, g_{n-1}\}D_n$ would imply the existence of a subset $\{h_{ij}\}_{1 \le i,j \le n-1}$ of J_n and a positive integer r such that

$$X_1 X_k s^r = \sum_{i=1}^{n-1} h_{ki} X_1 f_i$$

for $1 \le k \le n$. Thus

$$X_k s^r = \sum_{i=1}^{n-1} h_{ki} f_i$$

and

$$u_k[s(u)]^r = \sum_{j=1}^{n-1} h_{kj}(u) f_j(u) = 0.$$

Since $s(u) \neq 0$, it then follows that $u_k = 0$ for each k, a contradiction. Hence A_n has no basis of n-1 elements.

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