

# ANALYTIC CONTINUATION OF HOLOMORPHIC FUNCTIONS WITH VALUES IN A LOCALLY CONVEX SPACE

WITOLD M. BOGDANOWICZ

Horváth [3] has announced a result generalizing the result of Gelfand and Shilov [2] on analytic continuation of holomorphic functions with values in a locally convex space. In this paper we shall present a generalization of these results which permits one to prove the existence of strong holomorphic extensions from the existence of weak or weak\* holomorphic extensions. For more general results see [5].

**1. Definition of a norming triple.** Let  $Y$  be a complex linear space and  $p$  a seminorm on it. Assume that there is given a complete seminormed space  $(Y_p, \|\cdot\|_p)$  and a bilinear functional  $(\cdot, \cdot)_p$  from the space  $Y \times Y_p$  into the space  $C$  of complex numbers. We shall say that the triple  $(Y_p, \|\cdot\|_p, (\cdot, \cdot)_p)$  is a norming triple for the seminorm  $p$  if

$$p(y) = \sup \{ |(y, z)_p| : z \in Y_p, \|z\|_p \leq 1 \} \quad \text{for all } y \in Y.$$

**EXAMPLE 1.** Let  $p$  be a seminorm on the space  $Y$ . Denote by  $Y_p$  the family of all linear functionals  $z$  on the space  $Y$  such that  $|z(y)| \leq cp(y)$  for all  $y \in Y$  and some  $c > 0$ . Define the norm of the functional by  $\|z\|_p = \inf c$ , where the infimum is taken over all constants satisfying the previous condition. Define the bilinear functional by means of the formula  $(y, z)_p = z(y)$  for all  $y \in Y, z \in Y_p$ .

Using the generalization of the Hahn-Banach Theorem to the case of complex linear spaces one can easily prove that the triple  $(Y_p, \|\cdot\|_p, (\cdot, \cdot)_p)$  is norming for the seminorm  $p$ .

**EXAMPLE 2.** Let  $E$  be a sequentially complete, complex, locally convex space. Assume that the topology on it is generated by the family  $Q = \{q\}$  of seminorms. Consider the strong dual  $Y = E'$ . One may assume that the topology on the space is generated by the family of seminorms  $p$  given by means of the formula:

$$p(y) = \sup \{ |y(z)| : z \in B \}$$

for all  $y \in Y$ , where  $B$  runs through all bounded sets  $B$  of the space  $E$ , which can be represented in the form  $B = \{z \in E : q(z) \leq c_q \text{ for all } q \in Q\}$ ,  $c_q$  being a family of positive constants.

Define an extended seminorm by means of the formula

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$\|z\|_p = \sup \{c_q^{-1}q(z) : q \in Q\}$  for  $z \in E$ . Let  $Y_p = \{z \in E : \|z\|_p < \infty\}$ . Notice that the space  $(Y_p, \|\cdot\|_p)$  is a complete seminormed space and  $B = \{z \in Y_p : \|z\|_p \leq 1\}$ . Define the bilinear functional by means of the formula  $(y, z)_p = y(z)$  for all  $y \in Y, z \in Y_p$ . It is easy to see that the triple  $(Y_p, \|\cdot\|_p, (\cdot, \cdot)_p)$  is a norming triple for the seminorm  $p$ .

**2. Definition of a holomorphic function.** Let  $D$  be a domain in the complex plane  $C$ . Let  $Y$  be a sequentially complete, complex, locally convex Hausdorff space. Assume that  $f$  is a function from the domain  $D$  into the space  $Y$ . We shall say that the function  $f$  is holomorphic if for every point  $x_0 \in D$  there exists a positive radius  $r$  such that  $f(x) = \sum_{n=0}^{\infty} a_n(x-x_0)^n$  if  $|x-x_0| < r$ , where  $a_n \in Y$  and

$$\{x \in C : |x - x_0| < r\} \subset D.$$

Assume that  $p$  is a seminorm on the linear space  $Y$  and that  $(Y_p, \|\cdot\|_p, (\cdot, \cdot)_p)$  is a norming triple for the seminorm. It is easy to prove from the definitions of a norming triple the inequality  $|(y, z)_p| \leq p(y)\|z\|_p$  for all  $y \in Y, z \in Y_p$ . This implies that the functional  $(\cdot, z)_p$  is continuous and therefore the scalar function  $h$  defined by  $h(x) = (f(x), z)_p$  for  $x \in D$  is holomorphic in the classical sense, if the function  $f$  is holomorphic from the set  $D$  into the locally convex space  $Y$ .

One can prove the usual formula for the coefficients in the Taylor expansion of the holomorphic function:

$$a_n = f^{(n)}(x_0)/n! \quad \text{for } n = 0, 1, 2, \dots$$

Assume that  $D$  is an open domain and  $D_1$  is another open domain such that  $D \subset D_1$ .

**THEOREM.** Let  $Y$  be a complex sequentially complete locally convex Hausdorff space with topology generated by a family  $P = \{p\}$  of seminorms. To every seminorm  $p$  let correspond a norming triple  $(Y_p, \|\cdot\|_p, (\cdot, \cdot)_p)$ . Let  $f$  be a holomorphic function from the domain  $D$  into the space  $Y$  and assume that for every seminorm  $p$  and every  $z \in Y_p$  the holomorphic function  $x \mapsto (f(x), z)_p$  has an extension to a holomorphic function on the domain  $D_1$ . Then there exists a holomorphic function  $f_1$  from the domain  $D_1$  into  $Y$  such that  $f_1(x) = f(x)$  for all  $x \in D$ .

**PROOF.** The proof of the theorem is based on the following lemmas.

**LEMMA 1.** Let  $p$  be a fixed seminorm from the family  $P$ . Let  $f(x) = \sum_{n=0}^{\infty} a_n(x-x_0)^n$  if  $|x-x_0| < r_0$ . Assume that the function

$x \mapsto (f(x), z)_p$  has a holomorphic extension onto a disc  $|x - x_0| < r$ , where  $r_0 < r$ . Then  $\limsup (p(a_n))^{1/n} r \leq 1$ .

**PROOF OF LEMMA 1.** Since  $(\cdot, z)_p$  is a linear continuous functional for every  $z$  in  $Y_p$  and

$$f(x) = \sum_{n=0}^{\infty} a_n(x - x_0)^n \quad \text{if } |x - x_0| < r_0,$$

we have

$$(f(x), z)_p = \sum_{n=0}^{\infty} (a_n, z)_p (x - x_0)^n \quad \text{if } |x - x_0| < r_0.$$

Since the function on the left side of the last equality has a holomorphic extension onto the circle of radius  $r$ , we get that the series  $\sum_{n=0}^{\infty} (a_n, z)_p (x - x_0)^n$  is convergent at every point of the disc  $|x - x_0| < r$ .

Take any number  $0 < a < r$  and consider the sequence of sets

$$F_m = \{z \in Y_p : |(a_n, z)_p| a^n \leq m \text{ for all } n\}.$$

It is easy to prove that the sets  $F_m$  are closed, circled, and contain the element zero. Moreover, we have the equality  $Y_p = \bigcup_{m=1}^{\infty} F_m$ . The space  $(Y_p, \|\cdot\|_p)$  being a complete seminormed space implies that there exists a positive radius  $s$  and a positive integer  $m$  such that  $z \in F_m$  if  $\|z\|_p \leq s$ . This implies that there exists a positive constant  $s(a)$  such that  $|(a_n, z)_p| a^n \leq s(a) \|z\|_p$  for  $z \in Y_p, n = 0, 1, \dots$ . Taking the supremum over all elements  $z$  such that  $\|z\|_p \leq 1$  we get  $p(a_n) a^n \leq s(a)$  for all  $n$ . Thus

$$\limsup (p(a_n))^{1/n} a \leq 1$$

for all  $a < r$ . Passing to the limit in the last inequality when  $a \rightarrow r$  we obtain the conclusion of Lemma 1.

**LEMMA 2.** Let  $a_n \in Y$  and assume the series  $\sum_{n=0}^{\infty} a_n(x - x_0)^n$  converges if  $|x - x_0| < r_0$ . If for every seminorm  $p \in P$  and every  $z \in Y_p$  the function  $x \mapsto (f(x), z)_p$  has a holomorphic extension onto the disc  $|x - x_0| < r$ , where  $r_0 < r$ , then the series  $\sum_{n=0}^{\infty} a_n(x - x_0)^n$  converges almost uniformly in the disc  $|x - x_0| < r$ , in the topology of the space  $Y$  to a continuous function  $f_1$ .

**PROOF OF LEMMA 2.** Take any point  $x$  from the disc  $|x - x_0| < r$ .

It follows from Lemma 1 that the series  $\sum p(a_n) |x - x_0|^n$  is convergent for every seminorm  $p \in P$ . This implies that the sequence  $s_n(x) = \sum_{j=0}^n a_j(x - x_0)^j$  is Cauchy in the space  $Y$ . The space being

sequentially complete, there exists an element  $f_1(x) \in Y$  such that

$$f_1(x) = \lim s_n(x) = \sum_{n=0}^{\infty} a_n(x - x_0)^n.$$

Notice that in the disc  $|x - x_0| \leq a < r$  we have the uniform estimate

$$\rho(f_1(x) - s_n(x)) \leq \sum_{j>n} \rho(a_j) a^j.$$

This implies that the function  $s_n$  converges almost uniformly to the function  $f_1$ . Since the functions  $s_n$  are continuous we get the continuity of the function  $f_1$ .

**LEMMA 3.** *Let  $f$  be a continuous function from a domain  $D$  into the space  $Y$ . If for every regular closed curve  $C$  contained with its interior in  $D$  the line integral  $\int_C f(x) dx$  is zero, then the function  $f$  is holomorphic in  $D$ .*

The proof of Lemma 3 is obvious.

**LEMMA 4.** *Let  $f$  be a holomorphic function from a disc  $|x - x_0| < r_0$ , into the space  $Y$ . If for every seminorm  $\rho \in P$  and every  $z \in Y_\rho$  the function  $x \mapsto (f(x), z)_\rho$  has a holomorphic extension onto the disc  $|x - x_0| < r$ , where  $r_0 < r$ , then there exists a holomorphic function  $f_1$  from the disc  $|x - x_0| < r$  into the space  $Y$  such that  $f_1(x) = f(x)$  if  $|x - x_0| < r_0$ .*

The proof of the lemma follows from Lemmas 1, 2, and 3.

Take a point  $x_0 \in D$  and a point  $x \in D_1$ . By a chain joining the points  $x_0$  and  $x$  we shall understand a collection of open discs  $S_0, \dots, S_n$  contained in the domain  $D_1$  and such that the center of the disc  $S_0$  is the point  $x_0$  and  $S_0 \subset D$ , the center of the disc  $S_j$  is in the disc  $S_{j-1}$  for all  $j$ , and  $x \in S_n$ .

**LEMMA 5.** *Let  $f$  satisfy the assumptions of the theorem. Let  $S_0, \dots, S_n$  be a chain joining the point  $x_0 \in D$  with the point  $x \in D_1$ . Then  $f$  has a holomorphic continuation along the chain. If  $S_0^1, \dots, S_m^1$  is another chain joining the points  $x_0$  and  $x$  then the extension of the function  $f$  along the first chain yields the same function on  $S_n \cap S_m^1$  as the extension along the second chain.*

**PROOF OF LEMMA 5.** Denote by  $g_1$  the holomorphic function on  $S_n$  being the holomorphic extension of the function  $f$  along the first chain. Let  $g_2$  be the holomorphic function on the disc  $S_m^1$  being the holomorphic extension of the function along the second chain. Since the scalar function  $x \mapsto (f(x), z)_\rho$  has a unique extension from the do-

main  $D$  onto the domain  $D_1$ , we get  $(g_1(x), z)_p = (g_2(x), z)_p$  if  $x \in S_n \cap S_m^1$ . The element  $z \in Y_p$  being arbitrary, we get  $p(g_1(x) - g_2(x)) = 0$  for all  $p \in P$ . Since the space  $Y$  is Hausdorff, we conclude  $g_1(x) = g_2(x)$  on  $S_n \cap S_m^1$ .

PROOF OF THE THEOREM. Take any point  $x \in D_1$  and consider a fixed point  $x_0 \in D$ . Define a function  $f_1$  by means of the formula  $f_1(t) = g(t)$  for all  $t \in S$ , where  $g$  represents a holomorphic function on the disc,  $S_n = S$  being a holomorphic extension along the chain  $S_0, \dots, S_n$  joining the points  $x_0, x$ . It follows from Lemma 5 that the function  $f_1$  is well defined. Moreover the function represents a holomorphic extension of the function  $f$  from the domain  $D$  onto the domain  $D_1$ .

The following corollary represents a generalization of the result due to Horváth [3]. We have removed the assumption that the space  $Z$  is Hausdorff.

COROLLARY 1. *Let  $Z$  be a sequentially complete, barreled, complex, locally convex space and let  $Y = Z'$  be the strong dual, i.e. with topology of uniform convergence on all bounded sets of  $Z$ . Let  $f: D \rightarrow Y$  be a holomorphic function such that each of the scalar functions  $x \mapsto f(x)(z)$ ,  $z \in Z$ , has a holomorphic extension onto the set  $D_1$ . Then there exists a holomorphic function  $f_1: D_1 \rightarrow Y$  extending the function  $f$ .*

The proof of the corollary follows immediately from Example 2. Indeed notice that the topology on the space  $Y$  can be introduced by means of the seminorms

$$p_c(y) = \sup\{ |y(z)| : \|z\|_c \leq 1 \},$$

where

$$\|z\|_c = \sup\{ c_q^{-1} q(z) : q \in Q \} \quad \text{for } z \in Z,$$

and  $c$  denotes any function  $q \mapsto c_q > 0$  for all  $q \in Q$ . The space  $Y$  endowed with the family of seminorms  $\{p_c\}$  is a complete, Hausdorff, complex, locally convex space [4]. Notice that the triple  $(Y_c, \|\cdot\|_c, (\cdot, \cdot)_c)$  is norming for the functional  $p_c$  where  $Y_c = \{z \in Z : \|z\|_c < \infty\}$ , and  $(y, z)_c = y(z)$  for all  $y \in Y$ ,  $z \in Y_c$  according to Example 2. Thus all the assumptions of the theorem are satisfied.

COROLLARY 2. *Let  $Z$  be a complete seminormed space and let  $Y = Z'$  be its strong dual. Let  $f$  be a holomorphic function from  $D$  into  $Y$  such that for every  $z \in Z$  the scalar function  $x \mapsto f(x)(z)$  has a holomorphic extension onto the set  $D_1$ . Then there exists a holomorphic function  $f_1$  from  $D_1$  into  $Y$  extending the function  $f$ .*

Notice that this corollary is a particular case of Corollary 1.

**COROLLARY 3.** *Let  $Y$  be a sequentially complete, Hausdorff, complex, locally convex space. Let  $f: D \rightarrow Y$  be a holomorphic function such that for every linear continuous functional  $y' \in Y'$  the scalar function  $x \mapsto y'f(x)$  has a holomorphic extension onto the set  $D_1$ . Then there exists a holomorphic function  $f_1: D_1 \rightarrow Y$  extending the function  $f$ .*

The proof of the corollary follows from Example 1.

**COROLLARY 4.** *Let  $Y$  be a complex Banach space. Let  $f$  be a holomorphic function from the domain  $D$  into the space  $Y$  such that for every linear continuous functional  $y' \in Y'$  the scalar function  $x \mapsto y'f(x)$  has a holomorphic extension onto the domain  $D_1$ . Then there exists a holomorphic function  $f_1: D_1 \rightarrow Y$  extending the function  $f$ .*

This corollary is a particular case of the preceding one.

Corollary 4 represents a generalization of the result due to Horváth [3, Theorem 2], proven for the case of the space  $Y = c_0$  of sequences convergent to zero.

Compare the following with [6], [7].

Let  $Q$  be a compact set in the space  $R^n$ . Assume that the interior of the set  $Q$  is dense in  $Q$ . Let  $C(Q)$ ,  $L(Q)$ ,  $H(Q, D)$  be, respectively, the space of complex continuous functions on  $Q$ , the space of Lebesgue summable functions on  $Q$ , the space of all continuous functions  $f$  from  $Q \times D$  into the space  $C$  of complex numbers such that for every  $q \in Q$  the function  $f(q, \cdot)$  is holomorphic.

**COROLLARY 5.** *Let  $f \in H(Q, D)$  and assume that for every function  $g \in L(Q)$  the function  $\int g(q)f(q, \cdot) dq$  has a holomorphic extension onto the set  $D_1$ . Then there exists a function  $f_1 \in H(Q, D_1)$  extending the function  $f$ .*

Notice that the space  $H(Q, D)$  can be considered as the space of holomorphic functions from  $D$  into  $C(Q)$  and that the triple  $(L(Q), \| \cdot \|_L, ( \cdot, \cdot )_L)$ , where  $\|f\|_L = \int |f(q)| dq$  for all  $f \in L(Q)$ , and  $(f, g)_L = \int f(q)g(q) dq$  for all  $g \in L(Q)$  and  $f \in C(Q)$ , is norming for the norm in the space  $C(Q)$ . This follows from [1, Theorem 5, p. 289].

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CATHOLIC UNIVERSITY OF AMERICA