

# TRANSVERSE FIELD IMPLIES NORMAL MICROBUNDLE

H. PUTZ

The main result of this paper is the following theorem.

**THEOREM.** *Let  $M^n$  denote a nonbounded combinatorial manifold rectilinearly imbedded in some Euclidean space. If  $M^n$  possesses a transverse field, then  $M^n$  has a normal microbundle.*

We assume that the reader is familiar with the theory of piecewise linear microbundles, which was developed by J. Milnor (see [5] or [6]), as well as familiarity with the theory of  $C^1$ -complexes, developed by J. H. C. Whitehead, (see [7] or [10]).

**0. Convention.** Throughout this paper  $M^n$  will denote a nonbounded combinatorial manifold rectilinearly imbedded in some Euclidean space.

**1. Definition.** Let  $s$  denote a simplex (open) of  $M$ . By  $P(s)$  we will denote the subset (possibly empty) in  $G_{n,p}$  (the Grassmannian manifold of all  $p$ -planes through the origin in  $R^{n+p}$ ) consisting of all  $p$ -planes  $P$ , such that, if  $H$  is the orthogonal  $n$ -plane to  $P$  in  $R^{n+p}$ , then the orthogonal projection  $q: R^{n+p} \rightarrow H$ , restricted to  $\text{St}(s, M)^-$  (the closed star of  $s$  in  $M$ ) is a homeomorphism carrying  $\text{St}(s, M)$  onto an open set in  $H$ . If the  $p$ -plane  $P$  belongs to  $P(s)$ , then  $P$  is said to be *transversal* to  $M$  at  $m$ , where  $m$  is any point of  $s$ . A continuous map  $g: M \rightarrow G_{n,p}$  is a *transverse field*, if the set  $g(s)$  is contained in the set  $P(s)$ , for every simplex  $s$  of  $M$ .

**2. Remark.** If the simplex  $t$  is a face of the simplex  $s$ , then since  $\text{St}(s, M)^-$  is contained in  $\text{St}(t, M)^-$ , we have the fact that the set  $P(t)$  is contained in the set  $P(s)$ .

**3. Lemma.** *Let  $g: M^n \rightarrow G_{n,p}$  be a transverse field. Without loss of generality we may assume that the map,  $g$ , is piecewise smooth.*

**PROOF.** Suppose we have a continuous transverse field,  $h: M^n \rightarrow G_{n,p}$ . By Remark 2 we see that  $h(\bar{s})$  is contained in  $P(s)$ . The reader can verify that the sets  $P(s)$  are open in  $G_{n,p}$  (or see Proposition 3.7 of [9]). Thus, since  $h(\bar{s})$  is compact, we see that there exists a positive continuous function  $\delta$  mapping  $M$  to the positive reals, such that, if

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$g: M \rightarrow G_{n,p}$ , and  $\|g(x) - h(x)\| < \delta(x)$  (where  $\| \cdot \|$  denotes a metric on  $G_{n,p}$ ), then  $g(s)$  is contained in  $P(s)$ . Now let  $V$  denote the second regular neighborhood of  $M$  in  $R^{n+p}$ , and let  $r: V \rightarrow M$  denote the retraction of this open set onto  $M$ . Thus we have a continuous map  $hr: V \rightarrow G_{n,p}$  defined on the smooth manifold  $V$ . Let  $g: V \rightarrow G_{n,p}$  be a smooth map such that its restriction to  $M$  is pointwise within  $\delta(x)$  of the map  $h$ . (Such a map exists by the proof of Theorem 4.2 of [4].) If we let the restriction map also be denoted by  $g$ , we have the proof of the lemma.

**4. Lemma.** *Given a transverse field  $g: M \rightarrow G_{n,p}$ . Let  $N$  denote the subset of  $M \times R^{n+p}$  consisting of pairs  $(x, v)$  such that the vector  $v$  belongs to the  $p$ -plane  $g(x)$ . Then  $N$  is a vector bundle with base  $M$ , which we shall call a normal bundle of the imbedding of  $M$  in  $R^{n+p}$ .*

PROOF. Consider the  $p$ -dimensional vector bundle  $G$  consisting of pairs  $(P, v)$  in the space  $G_{n,p} \times R^{n+p}$  such that the vector  $v$  belongs to the  $p$ -plane  $P$ . The set  $N$  then, is just the bundle induced by the map  $g$ .

**5. Remark.** Let  $g: M \rightarrow G_{n,p}$  be a piecewise smooth transverse field. (This is no loss in generality by Lemma 3.) The map  $g$  induces a map  $f: N \rightarrow R^{n+p}$  defined by  $f(x, v) = x + v$ . Let  $m$  be a point of  $M$ , then since a vector bundle over a contractible set is trivial, let  $h: \text{St}(m, M)^- \times R^p \rightarrow N$  denote a trivialization of  $N$  over  $\text{St}(m, M)^-$ . The map  $h$  may be chosen such that the map  $k: \text{St}(m, M)^- \times R^p \rightarrow R^{n+p}$  induced by  $f$  is piecewise smooth. (We give  $\text{St}(m, M)^- \times R^p$  a simplicial structure.) Let  $e_1, \dots, e_p$  denote an orthonormal basis of  $R^p$ , and set  $h(x, e_i) = (x, v_i(x))$ , a point of  $N$ . Then for a point  $w$  in  $R^p$  such that  $w = \sum c_i e_i$ , we have that  $k(x, w) = x + \sum c_i v_i(x)$ . Finally we define a new map  $k': \text{St}(m, M)^- \times R^p \rightarrow R^{n+p}$  by  $k'(x, w) = x + \sum c_i v_i(m)$ .

**6. Lemma.** *The map  $k': \text{St}(m, M)^- \times R^p \rightarrow R^{n+p}$  defined in the previous remark is a piecewise smooth imbedding.*

PROOF. Let  $X = \text{St}(m, M)^- \times R^p$  and  $b = (x, w)$ . We must prove that: (1)  $dk'_b: \text{St}(b, X)^- \rightarrow R^{n+p}$  is one-to-one for each point  $b$  of  $X$ , (2)  $k'$  is a homeomorphism.

PROOF OF (1). Recall that  $dk'_b(z) = Dk'(b) \cdot (z - b)$  (where  $Dk'(b)$  is the Jacobian of the map  $k'$  with respect to a simplex containing  $b$ . Though the Jacobian depends upon the simplex chosen, the map  $dk'_b$  does not). To prove that the map  $dk'_b$  is one-to-one, it is obviously sufficient to prove that the Jacobian  $Dk'(b)$  is nonsingular. Now the  $(n+p) \times (n+p)$  matrix  $Dk'(b) = (C; v_1(m); \dots; v_p(m))$ , where

the  $n$  columns of the  $(n+p) \times n$  matrix  $C$  span the  $n$ -plane determined by an  $n$ -simplex in  $\text{St}(m, M)^-$  containing the point  $x$ . Since the vectors  $v_i(m)$ ,  $i=1, \dots, p$  span the  $p$ -plane  $g(m)$  and are not in the  $n$ -plane determined by any simplex of  $\text{St}(m, M)^-$ , the Jacobian  $Dk'(b)$  is nonsingular.

PROOF OF (2). It is easily seen that it suffices to prove that the map  $k'$  is one-to-one. If  $k'$  is not one-to-one, then there exist pairs  $(x, w)$  and  $(y, u)$ , where  $w = \sum c_i e_i$  and  $u = \sum d_i e_i$ , such that  $x + \sum c_i v_i(m) = y + \sum d_i v_i(m)$ . This implies that the vector  $x - y$  belongs to the  $p$ -plane  $g(m)$ , and hence that the projection of  $\text{St}(m, M)^-$  to the orthogonal  $n$ -plane to  $g(m)$  is not one-to-one. This contradicts the choice of  $g(m)$ .

**7. Lemma.** *Given a point  $m$  of  $M$ , there exists a finite subcomplex  $Y$  (depending on the point  $m$ ) of some subdivision of  $\text{St}(m, M)^- \times R^p$ , which contains a neighborhood of  $(m, 0)$  on which the map  $k$  (defined in Remark 5) is a piecewise smooth imbedding.*

PROOF. Let  $Z$  be a finite subcomplex of  $\text{St}(m, M)^- \times R^p$  consisting of pairs  $(x, w)$  such that  $\|w\| \leq 1$ . By Theorem 8.8 of [7], and the previous lemma, there is a  $\delta > 0$ , such that any  $\delta$ -approximation to the map  $k'$  is a piecewise smooth imbedding, for any subcomplex  $Y$  contained in an arbitrary subdivision of  $Z$ . To insure that the map  $k$  is the desired  $\delta$ -approximation, we choose  $Y$  as follows. First, for  $w = \sum c_i e_i$  in  $R^p$ , let  $w(x) = \sum c_i v_i(x)$  in  $R^{n+p}$ . Now we have that  $\|k(x, w) - k'(x, w)\| = \|w(x) - w(m)\|$ . Thus on  $Z$ , since  $\|w\| \leq 1$ , we may choose the point  $x$  sufficiently close to  $m$ , to insure that  $\|w(x) - w(m)\| < \delta$ . We next wish to choose  $b = (x, w)$  sufficiently close to  $(m, 0)$  so that  $\|dk_b(z) - dk'_b(z)\| < \delta \|z - b\|$ , or equivalently so that  $\|Dk(x, w) - Dk'(x, w)\| < c\delta$ . (The norm of a matrix is the absolute value of its maximum entry;  $c = (n+p)^{3/2}$ .)

Now  $Dk(x, w) = (C + c_1 B_1(x) + \dots + c_p B_p(x); v_1(x); \dots; v_p(x))$  where the  $n$  columns of the  $(n+p) \times n$  matrix  $C$  span the  $n$ -plane determined by an  $n$ -simplex in  $\text{St}(m, M)^-$  containing  $x$ , and the entries of the  $(n+p) \times n$  matrices  $B_i(x)$  are bounded. Since  $Dk'(x, w) = (C; v_1(m); \dots; v_p(m))$  we have that  $\|Dk(x, w) - Dk'(x, w)\| = \|(c_1 B_1(x) + \dots + c_p B_p(x); v_1(x) - v_1(m); \dots; v_p(x) - v_p(m))\|$ . Thus choose  $\|w\|$  small enough, and  $x$  sufficiently close to  $m$  to insure the desired inequality. (Of course the Jacobians chosen depend upon the  $(n+p)$  simplex chosen to contain the point  $(x, w)$ , but there are only a finite number of such simplices.) Let  $U$  be the neighborhood of  $(m, 0)$  so determined to insure the above inequalities. Subdivide the complex  $Z$  sufficiently fine to obtain the desired complex  $Y$  contained in  $U$ .

**8. Corollary.** *There is a neighborhood of the zero section of the normal bundle  $N$  of  $M$  on which the map  $f: N \rightarrow R^{n+p}$  induced by the piecewise smooth transverse field  $g: M \rightarrow G_{n,p}$  is a homeomorphism which maps this neighborhood onto an open subset of  $R^{n+p}$ .*

PROOF. By Lemma 5.7 of [7], it is sufficient to prove that each point  $(m, 0)$  in the zero section of  $N$  has a neighborhood which  $f$  maps homeomorphically onto an open set of  $R^{n+p}$ . But this holds by Lemma 7, the desired neighborhood being the interior of the complex  $Y$ . The image is open, for if  $m$  belongs to the simplex  $s$ , the fact that  $P(s)$  is nonempty implies that  $\text{St}(m, M) \times R^p$ , which equals  $\text{St}(s, M) \times R^p$ , is homeomorphic with a subset of Euclidean  $n+p$  space. Thus Brouwer's theorem on the invariance of domain [3] implies that the image is open.

Let  $V$  denote the neighborhood of the zero section of the normal bundle  $N$  which by the previous corollary,  $f$  maps homeomorphically onto an open set  $f(V)$  in  $R^{n+p}$ . We shall give  $f(V)$  a microbundle structure so that it is a normal microbundle of  $M$ . In order to do this we need the result that every vector bundle has a microbundle structure (see [4] or [8]). In fact, we use not only the result, but also the proof of that result as presented in [8].

**9. Theorem.** *Let  $M^n$  denote a nonbounded combinatorial manifold rectilinearly imbedded in some Euclidean space. If  $M^n$  possesses a transverse field, then  $M^n$  has a normal microbundle.*

PROOF. Let  $e: K \rightarrow N$  be the triangulation of the normal bundle  $N$  given in [8]. The simplicial complex  $K$  is thus a microbundle over  $M$ . Let  $L = e^{-1}(V)$ . According to [1, p. 143] we may choose a rectilinear triangulation of  $L$  such that the inclusion map of  $L$  into  $K$  is piecewise linear. The map  $fe: L \rightarrow R^{n+p}$  is a piecewise smooth imbedding. This is due to the fact that in constructing the triangulation  $(e, K)$  of the normal bundle  $N$ , we may, as in [8], start with the piecewise smooth imbeddings  $h: \text{St}(m, M) \times R^p \rightarrow N$ , where  $m$  is a vertex of  $M$ . The maps  $h$  are then changed slightly to maps  $h'$  which we choose sufficiently close to the maps  $h$  to insure that the map  $fe$ , which locally is the map  $fh'$ , is a piecewise smooth imbedding. (We leave the details to the reader, noting however, that for a detailed proof, Lemma 7 is essential.) Now let  $d: L \rightarrow R^{n+p}$  denote a piecewise linear approximation to the map  $fe$ , sufficiently close to insure that it is a piecewise smooth imbedding, and that  $d(L) = fe(L) = f(V)$ , an open set in  $R^{n+p}$ . To show that  $d(L)$  is a microbundle with base  $M$ , it is sufficient to show that  $L$  is a microbundle with base  $M$ . But any open neighborhood of the zero section of a microbundle is a microbundle over the

base. (In the definition of a microbundle we may replace  $R^q$  by any open ball about the origin, since the two are piecewise linearly homeomorphic.) Thus  $d(L)$  is a normal microbundle for  $M$ , the piecewise linear map  $j: d(L) \rightarrow M$  is that induced by the piecewise linear projection  $K \rightarrow M$ .

We now prove a corollary to this theorem. Since this corollary is independent of the global codimension of the imbedding of  $M$  it differs from results of A. Haefliger and C. T. C. Wall [2].

**10. Definition.** The imbedding of  $M^n$  in  $R^{n+p}$  is *locally normal* if for each vertex  $m$  of  $M$  the set  $P(m)$  is nonempty. Hence by Remark 2 the set  $P(s)$  is nonempty for each simplex  $s$ .

**11. Definition.** The imbedding of  $M^n$  in  $R^{n+p}$  is of *local codimension  $k$*  if for each vertex  $m$  of  $M$  there is an  $n+k$  plane  $J$  (depending on  $m$ ) such that  $\text{St}(m, M)$  is contained in some translation of  $J$ . (This suggestive terminology of local codimension is due to H. S. Davis.)

**12. Corollary to Theorem 9.** *Let  $M^n$  denote a nonbounded combinatorial manifold rectilinearly imbedded in some Euclidean space. If the imbedding is locally normal and of local codimension 1, then  $M^n$  possesses a normal microbundle.*

**PROOF.** The principal result of [9, Theorem 1.8], states that the hypothesis implies that  $M^n$  has a transverse field. Hence by Theorem 9,  $M^n$  possesses a normal microbundle.

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