

A PROOF OF WHITMAN'S REPRESENTATION THEOREM FOR FINITE LATTICES¹

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ABSTRACT. The theorem to be proved states that every finite lattice is isomorphic to a sublattice of the lattice $\mathcal{E}(S)$ of all equivalence relations on a countable set S . Our proof combines concreteness with freedom from long routine computations.

Earlier proofs appear in [1] and [2]. Let L be a finite lattice. Without loss of generality assume that the elements of L are subsets of $\{1, \dots, m\}$, that the unit and zero of L are $\{1, \dots, m\}$ and \emptyset respectively, and that the meet operation of L is set intersection. Write \vee for the join in L , \cup for set union. If $r = (a_1, \dots, a_m) \in N^m$ define $(r)_l = a_l$ ($l = 1, \dots, m$); if $r, s \in N^m$ and $\alpha \in L$ define $r \equiv_\alpha s \Leftrightarrow (r)_l = (s)_l$ for all $l \in \alpha$; finally let $\gamma(r, s)$ be the greatest $\gamma \in L$ such that $r \equiv_\gamma s$ if there is a greatest such γ , $\gamma(r, s)$ undefined otherwise.

It is sufficient to find a set $S \subseteq N^m$ such that the equivalence relations \equiv_α form a sublattice of $\mathcal{E}(S)$ dually isomorphic to L , which will certainly be the case if:

I. For each $\alpha, \beta \in L$ with $\alpha \not\leq \beta$ there are $r, s \in S$ such that $r \equiv_\beta s$ but $r \not\equiv_\alpha s$.

II. $\gamma(r, s)$ is defined for all $r, s \in S$.

III. For each $r, s \in S$ and $\alpha, \beta \in L$ such that $r \equiv_\alpha \cap \beta s$, there are $u, v, w \in S$ such that $r \equiv_\alpha u \equiv_\beta v \equiv_\alpha w \equiv_\beta s$.

Now it is easy to construct a finite $S_0 \subseteq N^m$ satisfying I and II. Suppose $S_n \subseteq N^m$ is finite and satisfies I and II. If S_n also satisfies III let $S_{n+1} = S_n$. If not let $r, s \in S_n$ and $\alpha, \beta \in L$ be the least (in some previously fixed well-ordering of type ω of $N^m \times N^m \times L \times L$) counterexample to III. Define $w \in N^m$ by

$$\begin{aligned} (w)_l &= (s)_l && \text{if } l \in \beta, \\ &= b && \text{if } l \notin \beta \end{aligned}$$

where $b \in N$ is distinct from all $(q)_l$ with $q \in S_n$. Then for all $q \in S_n$, $\gamma(q, w) = \beta \cap \gamma(q, s)$. Thus $S'_n = S_n \cup \{w\}$ still satisfies I and II. Now define $u, v \in N^m$ by

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$$\begin{aligned}
 (u)_l &= (r)_l & \text{if } l \in \alpha, & & (v)_l &= (w)_l & \text{if } l \in \alpha, \\
 &= c & \text{if } l \in \beta - \alpha, & & &= c & \text{if } l \in \beta - \alpha, \\
 &= d & \text{if } l \notin \alpha \cup \beta, & & &= e & \text{if } l \notin \alpha \cup \beta,
 \end{aligned}$$

where c, d, e are distinct natural numbers not equal to any $(q)_i$ for $q \in S'_n$. Then $\gamma(u, v) = \beta$ (since if $u \equiv_{\gamma} v$ then $\gamma \subseteq \alpha \cup \beta$ and $\gamma \cap \alpha \subseteq \gamma(r, w) = \alpha \cap \beta$ so by set algebra $\gamma \subseteq \beta$), and for all $q \in S'_n$, $\gamma(q, u) = \alpha \cap \gamma(q, r)$ and $\gamma(q, v) = \alpha \cap \gamma(q, w)$. Let $S_{n+1} = S'_n \cup \{u, v\}$. Then S_{n+1} is finite and satisfies I and II, moreover $r \equiv_{\alpha} u \equiv_{\beta} v \equiv_{\alpha} w \equiv_{\beta} s$.

Plainly $S = \bigcup_{n \geq 0} S_n$ satisfies I, II, and III. We note that S is recursive provided that reasonable choices are made for the well-ordering and for b, c, d , and e ; and that the representation is of type 3 in the sense of [1].

REFERENCES

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