

## SOLVABLE AUTOMORPHISM GROUPS AND AN UPPER BOUND FOR $|A(G)|$

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ABSTRACT. The objective of this work is to find a subgroup  $H$  of a finite group  $G$  which will give information about the order of the automorphism group of  $G$  and the structure of the automorphism group of  $G$ . An upper bound is found for the order of the automorphism group and conditions are given which insure that the automorphism group is solvable. Some information is given about a normal subgroup of a particular subgroup of the automorphism group. In this paper all groups are assumed to be finite.

**1.0. Notations.**  $H \leq G$ ,  $H \triangleleft G$ , shall mean respectively, that  $H$  is a subgroup, a normal subgroup of a group  $G$ .

$A(G)$  shall mean the automorphism group of  $G$ .

$\langle S \rangle$  = subgroup generated by the subset  $S$  in  $G$ .

$N_G(H)$  = the normalizer of the subset  $H$  in the group  $G$ .

$H^x = x^{-1}Hx$  for  $x$  in  $G$ .

$[G:H]$  = index of the subgroup  $H$  in  $G$ .

$g^\alpha$  is the image of the element  $g$  under the mapping  $\alpha$ .

$H^\alpha$  is the image of the subset under the mapping  $\alpha$ .

$F(G; H) = \{ \alpha \in A(G) \mid h^\alpha = h \text{ for each } h \text{ in } H \}$ .

$|G|$  is the number of elements in the group  $G$ .

$I(G)$  shall mean the inner automorphism group of the group  $G$ .

**1.1. Basic properties of  $H$ -automorphisms.** Hans Liebeck introduced the notation for  $H$ -automorphism which is adopted.

DEFINITION 1.1.1. Let  $H \leq G$ . An automorphism  $\alpha$  of  $G$  is called an  $H$ -automorphism if  $g^{-1}g^\alpha \in H$  for each  $g$  in  $G$ . Denote the set of all  $H$ -automorphisms by  $A(G; H)$ .

If  $H \leq G$ , then  $A(G; H) \leq A(G)$ . Conversely, any subgroup  $T$  of  $A(G)$  can be regarded as a group of  $H$ -automorphisms for various choices of  $H$ . Since  $A(G; G) = A(G)$ , one can always choose  $H = G$ .

Let  $T \leq A(G)$  for a group  $G$ .  $K(G; T)$  is used to represent  $\langle g^{-1}g^\alpha \mid \alpha \in T, g \in G \rangle$ .  $K(G; T)$  is called the multiplier subgroup of  $T$ . Liebeck [3] has shown that  $T$  may be a proper subgroup of the group of all  $K(G; T)$ -automorphisms. One easily shows that  $K(G; T) \triangleleft G$ .

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The set of  $\alpha \in A(G)$  such that  $H^\alpha = H$  for  $H$  a subgroup of  $G$  is denoted by  $\Gamma(G; H)$ .  $\Gamma(G; H)$  is clearly a subgroup of  $A(G)$  which contains  $A(G; H)$ . This brings us to

PROPOSITION 1.1.2. *If  $H \leq G$ , then  $A(G; H) \triangleleft \Gamma(G; H)$ .*

PROOF. Let  $\alpha \in A(G; H)$  and let  $\gamma \in \Gamma(G; H)$ . If  $g \in G$  then  $g^{-1}g^{\gamma^{-1}\alpha\gamma} = g^{-1}(g^{\gamma^{-1}h})^\gamma = h^\gamma \in H$  for some  $h$  in  $H$ . Therefore  $A(G; H) \triangleleft \Gamma(G; H)$ .

Since  $A(G; H) \triangleleft \Gamma(G; H)$ , an obvious question to ask is, "What relationship exists between  $\Gamma(G; H)$ ,  $A(G; H)$ , and  $K(G; A(G; H))$ ?" The following will give some information in this direction.

LEMMA 1.1.3. *If  $H \leq G$ , then  $K(G; A(G; H))$  is admissible with respect to  $\Gamma(G; H)$ .*

PROOF. If  $\gamma \in \Gamma(G; H)$  and  $g^{-1}g^\alpha$  is a generator of  $K(G; A(G; H))$ , then

$$(g^{-1}g^\alpha)^\gamma = (g^\gamma)^{-1}(g^\gamma)^{\gamma^{-1}\alpha\gamma} \in K(G; A(G; H)).$$

Therefore  $K(G; A(G; H))$  is admissible with respect to  $\Gamma(G; H)$ .

THEOREM 1.1.4. *If  $H \leq G$ , then there exists a normal subgroup  $M$  of  $G$  such that  $K(G; A(G; H)) \leq M \leq H$ ,  $\Gamma(G; H)/A(G; H)$  is isomorphic to a subgroup of  $A(G/M)$ , and  $M$  is admissible with respect to  $\Gamma(G; H)$ .  $M$  is also maximal with respect to being normal in  $G$ , being a subgroup of  $H$ , and being admissible with respect to  $\Gamma(G; H)$ .*

PROOF.  $K(G; A(G; H))$  is normal in  $G$ , is a subgroup of  $H$ , and is admissible with respect to  $\Gamma(G; H)$ . Let  $M$  be a subgroup of  $G$  maximal with respect to these three properties.

Now define  $\phi$  mapping  $\Gamma(G; H)$  into  $A(G/M)$  by  $(g^\sigma)^{(\gamma)\phi} = (g^\gamma)^\sigma$  where  $\sigma$  is the natural homomorphism of  $G$  onto  $G/M$  and  $\gamma \in \Gamma(G; H)$ . If  $g^\sigma$  and  $b^\sigma$  are two elements of  $G/M$ , then  $(g^\sigma b^\sigma)^{(\gamma)\phi} = (g^\sigma)^{(\gamma)\phi} (b^\sigma)^{(\gamma)\phi}$ .

It then follows that  $(\gamma)\phi$  is an automorphism of  $G/M$  for each  $\gamma$  in  $\Gamma(G; H)$ .

For  $\gamma$  and  $B$  in  $\Gamma(G; H)$ ,  $(g^\sigma)^{(\gamma B)\phi} = (g^\sigma)^{(\gamma)\phi(B)\phi}$ . It follows from this that  $\phi$  is a homomorphism of  $\Gamma(G; H)$  into  $A(G/M)$ .

The kernel of  $\phi$  is  $A(G; H)$ . Therefore  $\Gamma(G; H)/A(G; H)$  is isomorphic to a subgroup of  $A(G/M)$ .

If  $H \leq G$ , then  $\Gamma(G; H)^\alpha = \Gamma(G; H^\alpha)$  and  $A(G; H)^\alpha = A(G; H^\alpha)$  for  $\alpha$  in  $A(G)$ .

**1.2 A-invariant and A-closed subgroups.** Helmut W. Wielandt [4] calls a subgroup  $H$  of  $G$  invariant in  $G$  if and only if for each automorphism  $\alpha$  in  $A(G)$  there exists a  $g$  in  $G$  such that  $(H^\alpha)^\sigma = H$ . This definition is generalized to give

**DEFINITION 1.2.1.** A subgroup  $H$  of  $G$  is  $A$ -invariant in  $G$  for  $A$  a subgroup of  $A(G)$  if and only if for each automorphism  $\alpha \in A$  there exists a  $g$  in  $G$  such that  $(H^\alpha)^g = H$ .

Some of the better known  $A(G)$ -invariant subgroups are Sylow subgroups and characteristic subgroups of any group  $G$ ; and Hall subgroups, Carter subgroups, and system normalizers of solvable groups.

**PROPOSITION 1.2.2.** *If  $H \leq G$ , then  $H$  is  $A$ -invariant in  $G$  for  $A$  a subgroup of  $A(G)$  if and only if  $A \leq \Gamma(G; H)I(G)$ .*

**PROOF.** Since  $I(G) \triangleleft A(G)$ ,  $\Gamma(G; H)I(G)$  is a well-defined subgroup of  $A(G)$ . If  $H$  is  $A$ -invariant then for each  $\alpha \in A$  there exist a  $g$  in  $G$  such that  $(H^\alpha)^g = H$ . Therefore  $\alpha \in \Gamma(G; H)I(G)$  and  $A \leq \Gamma(G; H)I(G)$ .

If  $A \leq \Gamma(G; H)I(G)$  then each element in  $A$  may be represented as the product of an element from  $\Gamma(G; H)$  and an element from  $I(G)$ . Therefore  $H$  is  $A$ -invariant.

Upon considering the subgroup  $\Gamma(G; H) \cap I(G)$  for  $H \leq G$ , it is easily shown that  $\Gamma(G; H) \cap I(G) \cong N_G(H)/Z(G)$ . This leads to

**PROPOSITION 1.2.3.** *Let  $H \leq G$  and assume that  $I(G) \leq A \leq A(G)$ . Then  $H$  is  $A$ -invariant if and only if  $[G: N_G(H)] = [A: A \cap \Gamma(G; H)]$ .*

**PROOF.** Since  $A \leq \Gamma(G; H)I(G)$ ,

$$A = A \cap \Gamma(G; H)I(G) = I(G)(A \cap \Gamma(G; H)).$$

Hence

$$|A| = |I(G)| |A \cap \Gamma(G; H)| / |\Gamma(G; H) \cap I(G)|.$$

Therefore  $[A: A \cap \Gamma(G; H)] = [G: N_G(H)]$ .

Conversely; suppose that  $[G: N_G(H)] = [A: A \cap \Gamma(G; H)]$ . Since  $I(G) \leq A$ ,  $I(G)(A \cap \Gamma(G; H)) \leq A$ . Note that  $|A| = |I(G)(A \cap \Gamma(G; H))|$ . Hence  $A = I(G)(A \cap \Gamma(G; H)) = A \cap \Gamma(G; H)I(G)$ . Therefore  $A \leq \Gamma(G; H)I(G)$  and  $H$  is  $A$ -invariant.

It is easy to show that if  $H$  is  $A$ -invariant in  $G$ , then  $N_G(H)$  is  $A$ -invariant in  $G$  for  $A$  a subgroup of  $A(G)$ .

In 1937 Philip Hall [2] showed that if  $G$  is a solvable group, then the Sylow system  $S$  is  $A(G)$ -invariant. Then he showed that  $A(G) = I(G)\Gamma(G; S)$ . With this he was able to arrive at an upper bound for the order of  $A(G)$ . Later on an upper bound for  $|A(G)|$  will be found.

**1.3.  $A$ -closed subgroups.** Recall that  $H$  is weakly closed in the subgroup  $K$  if  $H^g \leq K \leq G$  implies that  $H^g = H$  for  $g$  in  $G$ .

**DEFINITION 1.3.1.** A subgroup  $H$  of a group  $G$  is  $A$ -closed in  $G$  for  $A$  a subgroup of  $A(G)$  if:

- (i)  $H$  is  $A$ -invariant in  $G$ , and,  
 (ii)  $H$  is weakly closed in  $N_G(H)$ .

PROPOSITION 1.3.2. *If  $H \leq G$ , then  $A(G; H) \leq \bigcap_{g \in G} \Gamma(G; H^g) \leq A(G; N_G(H))$ .*

PROOF. Assume that  $\alpha \in A(G; H)$ . It then follows that  $(h^\sigma)^{-1}(h^\sigma)^\alpha \in K(G; A(G; H)) \triangleleft G$ . Therefore  $K(G; A(G; H)) \leq H^\sigma$  and  $(h^\sigma)^\alpha \in H^\sigma$ .

Suppose that  $\alpha \in \bigcap_{g \in G} \Gamma(G; H^g)$ . If  $g \in G$  and  $h \in H$ , then  $h^{\sigma^{-1}} = (x^{\sigma^{-1}})^\alpha$  for some  $x$  in  $H$ . On the other hand,  $(x^{\sigma^{-1}})^\alpha = (x^\alpha)^{(\sigma^\alpha)^{-1}} = h^{\sigma^{-1}}$ . Hence  $x^\alpha = h^{\sigma^{-1}\sigma^\alpha}$  is an element of  $H$  and  $g^{-1}g^\alpha \in N_G(H)$ . Therefore  $\alpha \in A(G; N_G(H))$ . It is useful to know when  $\bigcap_{g \in G} \Gamma(G; H^g) = A(G; N_G(H))$ . This brings us to

PROPOSITION 1.3.3. *If  $H$  is a  $\Gamma(G; N_G(H))$ -closed subgroup of  $G$ , then  $A(G; N_G(H)) = \bigcap_{g \in G} \Gamma(G; H^g)$ .*

PROOF. By 1.3.2,  $\bigcap_{g \in G} \Gamma(G; H^g) \leq A(G; N_G(H)) \leq \bigcap_{g \in G} \Gamma(G; N_G(H)_g)$ . It is easily shown that  $\Gamma(G; H^g) = \Gamma(G; N_G(H)^g)$  for each  $g$  in  $G$ . Therefore  $A(G; N_G(H)) = \bigcap_{g \in G} \Gamma(G; N_G(H)^g)$ .

The converse of 1.3.3. is not true. Let  $H$  be the subgroup of the alternating group of degree 5 which is equal to  $\langle (1, 2) (3, 4) \rangle$ .  $N_G(H) = \langle (1, 2) (3, 4), (1, 3)(2, 4) \rangle$  and it can be shown that  $H$  is not weakly closed in  $N_G(H)$ .

**1.4.  $A(G)$ -closed and  $A(G)$ -invariant subgroups.** In this section the existence of an  $A(G)$ -closed subgroup  $H$  in the group  $G$  will be used to establish the structure of  $A(G)$ .

LEMMA 1.4.1. *If  $H$  is an  $A(G)$ -invariant subgroup of  $G$ , then  $A(G)$  is solvable if and only if  $\Gamma(G; H)/\Gamma(G; H) \cap I(G)$  and  $G$  are solvable.*

PROOF. If  $A(G)$  is solvable then it is obvious that  $\Gamma(G; H)/\Gamma(G; H) \cap I(G)$  and  $G$  are solvable.

Conversely, suppose that  $G$  and  $\Gamma(G; H)/\Gamma(G; H) \cap I(G)$  are solvable. By 1.2.2  $A(G) = \Gamma(G; H)I(G)$ . Hence

$$A(G)/I(G) \cong \Gamma(G; H)/\Gamma(G; H) \cap I(G).$$

Since  $G$  is solvable,  $I(G)$  is solvable and  $A(G)$  is solvable.

THEOREM 1.4.2. *Suppose that  $H$  is  $A(G)$ -closed in the solvable group  $G$  with  $\Gamma(G; H)$  a maximal subgroup of  $A(G)$ . If  $\Gamma(G; H)/\Gamma(G; H) \cap I(G)$  is solvable with  $A(G; N_G(H)) \cong (1)$ , then  $A(G)$  is solvable and there exists a minimal normal subgroup  $T$  of  $A(G)$  and  $A(G)$  satisfies the following:*

- (i)  $C_{A(G)}(T) = T$ ;

- (ii)  $T$  is the minimal normal subgroup of  $A(G)$ ;
- (iii)  $A(G) = \Gamma(G; H)T$ ;
- (iv)  $T \cap \Gamma(G; H) \cong \langle 1 \rangle$ ;
- (v)  $|T| = [A(G) : \Gamma(G; H)]$ ;
- (vi) if  $G_0/T$  is a minimal normal subgroup of  $A(G)/T$  and  $A_0 = \Gamma(G; H) \cap G_0$ , then  $\Gamma(G; H) = N_{A(G)}(A_0)$ ,  $|G_0| = |T||A_0|$ ,  $|T| = p^a$ ,  $|A_0| = q^b$  and  $p \neq q$  for primes  $p$  and  $q$  and nonnegative integers  $a$  and  $b$ .

PROOF. By 1.4.1,  $A(G)$  is a solvable group. Hence  $A(G)$  has a minimal normal subgroup  $T$ .

Note that  $A(G; N_G(H)) = \bigcap_{g \in G} \Gamma(G; H^g) = \bigcap_{\alpha \in A(G)} \Gamma(G; H)^\alpha$ . It follows from [4] that  $A(G) = \Gamma(G; H)T$  where  $T$  is the minimal normal subgroup of  $G$ . Then  $C_{A(G)}(T) = T$ ,  $\Gamma(G; H) \cap T \cong \langle 1 \rangle$ ,  $[A(G) : \Gamma(G; H)] = T$ , and condition (vi) is satisfied.

The condition that  $\Gamma(G; H)$  be a maximal subgroup of  $A(G)$  could be replaced by  $[G : N_G(H)]$  is a prime number. Then  $|T| = [G : N_G(H)]$ .

Continuing in the search for groups whose automorphism groups are solvable we arrive at

**PROPOSITION 1.4.3.** *Let each subgroup in a composition series of the subgroup  $H$  of the solvable group  $G$  be  $\Gamma(G; H)$ -closed for  $H$  an  $A(G)$ -invariant subgroup of  $G$ . If  $F(G; H)$  is solvable then  $A(G)$  is solvable.*

PROOF. By 1.4.1,  $A(G)$  is solvable if  $\Gamma(G; H)/\Gamma(G; H) \cap I(G)$  is solvable. Note that  $F(G; H) \Delta \Gamma(G; H)$ .

Let  $\langle 1 \rangle = N_r \leq N_{r-1} \leq \dots \leq N_0 = H$  be the composition series of  $H$  mentioned in the hypothesis. Using induction it is easy to show that each  $N_i \Delta H$  for  $i = 0, 1, \dots, r$ . If  $\alpha \in \Gamma(G; H)$ , then  $N_i^\alpha = N_i^\alpha \leq H$  for some  $g$  in  $G$ . Since  $N_i^\alpha \leq H \leq N_G(H)$  we have that  $N_i^\alpha = N_i$ . Therefore  $\Gamma(G; H)$  leaves each subgroup in the series fixed. Note that  $\Gamma(G; H)/F(G; H)$  is isomorphic to a subgroup of  $A(H)$ . By Gaschütz [1],  $\Gamma(G; H)/F(G; H)$  is solvable,  $\Gamma(G; H)$  is solvable and the proof is complete.

**1.5. An upper bound for the order of  $A(G)$ .** An upper bound for  $|A(G)|$  will be derived by using the concept of  $H$  being  $A(G)$ -invariant in  $G$ .

**THEOREM 1.5.1.** *Let  $H$  be an  $A(G)$ -invariant subgroup of  $G$  with  $F(G; K_1) \leq A(G; H)$  where  $K_1 = K(G; A(G; H))$ . Then  $|A(G)|$  divides  $[G : N_G(H)] |A(K_1)| |F(G; K_1)|$ . The prime divisors of  $|F(G; K_1)|$  are prime divisors of  $|K_1|$ .*

PROOF. If  $p$  is a prime dividing  $|F(G; K_1)|$  then there exists an  $\alpha$  in  $F(G; K_1)$  such that  $|\alpha| = p$ . Since  $\alpha$  is not the identity, there exists a  $g$

in  $G$  such that  $g^{-1}g^\alpha = k \in K_1$ ,  $k \neq 1$ . Hence  $g^{\alpha^p} = gk^p = g$ . Therefore  $k^p = 1$  and  $p$  divides  $|K_1|$ .

By 1.1.3,  $K_1$  is admissible with respect to  $\Gamma(G; H)$ . Define  $\phi$  mapping  $\Gamma(G; H)$  into  $A(K_1)$  by  $(k)^{(\gamma)\phi} = k^\gamma$  for each  $k$  in  $K_1$ .  $\phi$  is a homomorphism of  $\Gamma(G; H)$  into  $A(K_1)$  and the kernel of  $\phi$  is  $F(G; K_1)$ . Therefore  $\Gamma(G; H)/F(G; K_1)$  is isomorphic to a subgroup of  $A(K_1)$ .

It follows from 1.2.3 that  $[A(G):\Gamma(G; H)] = [G:N_G(H)]$ . Since  $|\Gamma(G; H)/F(G; K_1)|$  divides  $|A(K_1)|$ ,  $|A(G)|$  divides  $[G:N_G(H)]|A(K_1)||F(G; K_1)|$ .

We are now in a position to prove

**COROLLARY 1.5.2.** *Let  $H$  be a characteristic subgroup of  $G$  such that  $F(G; K_1) \leq A(G; H)$  where  $K_1 = K(G; A(G; H))$  is a cyclic  $p$ -group of  $G$ , then  $|A(G)|$  divides  $p^m(p-1)$  for some nonnegative integer  $m$ .*

**PROOF.** If  $|K_1| = 2^t$  then  $|A(G)|$  divides some power of 2.

Assume that the order of  $K_1$  is equal to  $p^n$  for an odd prime  $p$ . Then  $|A(K_1)| = p^{n-1}(p-1)$  and  $|A(G)|$  divides  $p^m(p-1)$  for some nonnegative integer  $m$ .

**COROLLARY 1.5.3.** *Let  $H$  be a characteristic subgroup of  $G$  such that  $K_1 = K(G; A(G; H))$  is a cyclic  $p$ -group with  $p$  a prime of the form  $2^m+1$  for some integer  $m$ . If  $F(G; K_1) \leq A(G; H)$  then  $|A(G)|$  divides  $p^n 2^m$ ,  $A(G)$  is solvable, and  $G$  is solvable.*

**PROOF.** By 1.5.2,  $|A(G)|$  divides  $p^n 2^m$  for some integer  $n$ . Hence  $A(G)$  and  $G$  are solvable.

**COROLLARY 1.5.4.** *If  $H$  is a normal Sylow 2-subgroup of  $G$  such that  $F(G; K_1) \leq A(G; H)$  for  $K_1 = K(G; A(G; H))$  cyclic, then  $G = H$ .*

**PROOF.** By 1.5.2,  $|A(G)| = 2^n$  for some integer  $n$ . Hence  $A(G)$  is a nilpotent group and  $G$  is nilpotent.

Assume that there exists a prime  $p \neq 2$  which divides  $|G|$ . Since  $G$  is a nilpotent group,  $A(G)$ , is a direct product of the automorphism groups of the Sylow subgroups of  $G$ .

Let  $\alpha \in A(H_p)$  where  $H_p$  is the Sylow  $p$ -subgroup of  $G$ . Note that  $\alpha$  can be thought of as an element in  $A(G)$  that acts as the identity on  $H$  and is an element of  $A(G; H)$ . If  $g \in H_p$  then  $g^{-1}g^\alpha \in H \cap H_p = \langle 1 \rangle$ . Therefore  $\alpha$  is the identity on  $H_p$  and  $A(H_p) \cong \langle 1 \rangle$ . Since  $2 < p$ , we have a contradiction. Therefore  $H = G$ .

The upper bound for  $|A(G)|$  found in 1.5.1 is actually attained if  $G$  is the symmetric group of degree 3 and  $H$  is the alternating group of degree 3.

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