

CONCORDANT MAPPINGS AND THE CONCORDANT- DISSONANT FACTORIZATION OF AN ARBITRARY CONTINUOUS FUNCTION

P. J. COLLINS

ABSTRACT. The property of concordance (weaker than monotonicity) is introduced, and a characterization of concordant mappings using quotient spaces enables the derivation of a new factorization, the concordant-dissonant factorization of an arbitrary continuous function.

1. Introduction. The present paper includes and extends results presented to the International Congress of Mathematicians in Moscow (1966).

§2 introduces concordant mappings and characterizes those which are quasi-compact (in the sense of Whyburn [3]) by the existence of a certain homeomorphism. The characterization allows us in §3 to obtain a new factorization, the concordant-dissonant factorization, of any continuous function whose domain and range may be arbitrary topological spaces.

§4 introduces quasi-concordant mappings on which we state one result, and which in our view deserve more attention.

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2. Concordant mappings. We begin by recalling Whyburn's definition [3] that a continuous surjective function f is *quasi-compact* provided the image under f of every open inverse set under f is open. That is, a continuous function f on a topological space X onto a topological space Y is quasi-compact if given any open $X' \subset X$ such that $X' = f^{-1}(Y')$ for some $Y' \subset Y$, then Y' is an open subset of Y . We note that open and closed surjective functions are quasi-compact and state three lemmas, the first of which is due to Whyburn [3].

LEMMA 1. *Suppose g_i on a topological space X to a topological space Y_i is a continuous surjective quasi-compact function for $i=1, 2$. If there*

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exists a bijection h on Y_1 to Y_2 such that $g_1 = h^{-1} \circ g_2$, $g_2 = h \circ g_1$, then h is a homeomorphism.

LEMMA 2. The composition $f = h \circ g$ of two quasi-compact functions g on a topological space X onto a topological space Y and h on Y onto a topological space Z is quasi-compact.

LEMMA 3. Suppose that f is a continuous function on X to Y and that A is contained in a quasi-component of X . Then $f(A)$ is contained in a quasi-component of Y .

Let Q_X denote the topological space whose points are the quasi-components of a topological space X and whose topology is the quotient topology derived from that of X . Suppose that f is a continuous function on X to Y . Then the last easily proved lemma allows us to define, in a natural way, an induced function f_Q on Q_X to Q_Y . Specifically, if Q_X is a quasi-component of X and if $f(Q_X)$ is contained in the quasi-component Q_Y of Y , we define $f_Q(Q_X) = Q_Y$. We note that an induced function f_C on the space C_X of components of X to the space C_Y of components of Y may be defined in a similar manner.

A continuous function s on a topological space X to a topological space Y is said to be *concordant* if $s^{-1}(y)$ is contained in a quasi-component of X for each $y \in Y$. We are now in a position to state and prove the main result of this section.

THEOREM 4. A necessary and sufficient condition for a surjective continuous quasi-compact function s on a topological space X to a topological space Y to be concordant is that the induced map s_Q is a homeomorphism on Q_X to Q_Y .

PROOF. Sufficiency is obvious.

Let π_X denote the natural function on X to Q_X and similarly define π_Y . Suppose there exists $Q_Y \in Q_Y$ such that $(s^{-1} \circ \pi_Y^{-1})Q_Y$ is not contained in a quasi-component of X ; that is, there exists a partition $H|K$ of X with both $(s^{-1} \circ \pi_Y^{-1})Q_Y \cap H$ and $(s^{-1} \circ \pi_Y^{-1})Q_Y \cap K$ non-empty. Since s is concordant we have that, for each $y \in Y$, $s^{-1}(y)$ is contained wholly in H or wholly in K . Hence $\pi_Y^{-1}(Q_Y)$ is the disjoint union of two sets H', K' such that $s^{-1}(H') \subset H$ and $s^{-1}(K') \subset K$. But, since s is quasi-compact, $s(H) | s(K)$ is a partition of Y (s is concordant), and hence $\pi_Y^{-1}(Q_Y)$ cannot be a quasi-component. Lemma 3 now allows us to conclude that s_Q is a bijection.

¹ A partition $H|K$ of a topological space X is a pair H, K of nonempty disjoint open subsets of X whose union is X .

π_X, π_Y , being natural functions to quotient spaces, are quasi-compact, and $\pi_Y \circ s = s_Q \circ \pi_X$. Hence s_Q is continuous, its required openness is given by Lemma 2, and necessity is proved.

We recall that a continuous function f on a topological space X to a topological space Y is said to be *monotone* if $f^{-1}(y)$ is connected for all $y \in Y$. Clearly, if f is monotone it is also concordant.

3. The concordant-dissonant factorization. A *factorization* of a function f on a topological space X to a topological space Y is an (ordered) triple (s, t, M) where M is a topological space (the "middle-space" of the factorization), s is a surjective function on X to M , t is a function on M to Y , and $f = t \circ s$. A factorization (s, t, M) of f will be said to be *topologically-unique* if, whenever (s', t', M') is another factorization of f , there exists a homeomorphism h on M onto M' such that $h \circ s = s'$ and $t' \circ h = t$.

A continuous function t on a topological space M to a topological space Y is said to be *dissonant* if each quasi-component of M intersects $t^{-1}(y)$ in a degenerate set² for each $y \in Y$. A *concordant-dissonant factorization* of a function f on X to Y is a factorization (s, t, M) of f where s is concordant and t is dissonant.

THEOREM 5. *There exists a topologically-unique concordant-dissonant factorization of any continuous function with concordant factor quasi-compact.*

PROOF. Let f be a continuous function on X to Y and, as y varies over Y , consider the set $M = \{m\}$ of nonempty intersections of the quasi-components of X with $f^{-1}(y)$ to be a topological space, its topology being the quotient topology given by X . Let s be the natural map on X to M . Clearly, s is (surjective,) concordant, and quasi-compact. Define t on M to Y by $t = f \circ s^{-1}$. Since s is quasi-compact, clearly t is a (well-defined) continuous function on M to Y . Suppose t is not dissonant; that is, there exists $y \in Y$ and a quasi-component Q of M such that Q intersects $t^{-1}(y)$ in at least two distinct points $m_1, m_2 \in M$. As in the proof of Theorem 4, the inverse image under s of a quasi-component of M is contained in a quasi-component of X . Thus $s^{-1}(m_1), s^{-1}(m_2)$ are contained in the same quasi-component of X as well as in $f^{-1}(y)$, contradicting the definition of M . Hence t is dissonant and we have demonstrated the existence of a concordant-dissonant factorization of f .

Now suppose there are two such factorizations (s, t, M) and (s', t', M') . If $m \in M$, the fact that s is concordant implies that $s^{-1}(m)$

² A *degenerate set* is a set which is either empty or a singleton.

is contained in a quasi-component Q of X . In fact

$$s^{-1}(m) = Q \cap ((f^{-1} \circ t)(m)) = Q',$$

say. For if $s^{-1}(m)$ were properly contained in Q' , the singleton $\{m\}$ would be properly contained in $s(Q')$, and, using Lemma 3, we contradict the dissonance of t . Noting that $(t' \circ s' \circ s^{-1})(m) = t(m)$, similar arguments prove that $h = s' \circ s^{-1}$ is single-valued. Likewise $h' = s \circ (s')^{-1}$ is single-valued, and h' is clearly the inverse of h . Topological-uniqueness and our theorem now follow from Lemma 1.

We recall that a continuous function f on a topological space X to a topological space Y is said to be *light* if $f^{-1}(y)$ is totally disconnected for all $y \in Y$. Clearly, if f is dissonant it is also light. A *monotone-light factorization* of a function f on X to Y is a factorization (g, h, M) where g is monotone and h is light.

As in Theorem 5 we may deduce the following theorem which has been noted and used by Michael [1].

THEOREM 6. *There exists a topologically-unique monotone-light factorization of any continuous function with monotone factor quasi-compact provided that the range of the function is a T_1 -space.*

We note that the hypothesis that the range of the function in Theorem 6 be a T_1 -space is needed to prove that there exists a monotone-light factorization of the function but is not needed to prove topological-uniqueness.

The fact that any continuous function on a compact space to a T_1 -space has compact point inverses allows the immediate deduction of the following famous result of Whyburn [2].

COROLLARY 7. *Any continuous surjective function on a compact metric space X to a compact metric space Y has a topologically-unique factorization (g, h, M) such that g is monotone and such that $g^{-1}(m)$ is compact for all $m \in M$ and h is light.³*

4. Quasi-concordant mappings. A continuous function f on a topological space X to a topological space Y is said to be *quasi-concordant* if $(f(Q) \cap R)$ is either empty or equal to R for any quasi-components Q of X and R of Y . The following result is not difficult to prove.

THEOREM 8. *Let f be a continuous function on a topological space X*

³ Whyburn calls a continuous function f on X to Y *monotone* if $f^{-1}(y)$ is a continuum for all $y \in Y$. Clearly, if X is compact and singletons of Y are closed, the two definitions agree.

to a locally connected space Y such that $f^{-1}(y)$ is compact for each $y \in Y$. Then in order that f be quasi-concordant it is both necessary and sufficient that it map clopen sets to clopen sets.

To our mind, quasi-concordant maps require further study.

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ST. EDMUND HALL, OXFORD UNIVERSITY, OXFORD, ENGLAND