

## A COMMUTATIVITY CRITERION FOR CLOSED SUBGROUPS OF COMPACT LIE GROUPS

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ABSTRACT. Let  $\Gamma$  be a closed subgroup of a compact Lie group  $G$ . If the identity component  $\Gamma_0$  is commutative, and if the order of  $\Gamma/\Gamma_0$  is prime to the order of the Weyl group of  $G$ , then it is shown that  $\Gamma$  is commutative. If  $G$  is a classical group this extends a theorem of Burnside on finite linear groups. If  $G$  is exceptional this gives some information on Cayley-Dickson algebras, Jordan algebras and the Cayley projective plane.

Let  $\Gamma$  be a complex linear group of degree  $n$  and finite order  $|\Gamma|$ . If every prime divisor  $p$  of  $|\Gamma|$  satisfies  $p > n$ , then it is both standard and clear that the character of the representation  $\Gamma \subset GL(n, \mathbb{C})$  is a sum of characters of degree 1, so  $\Gamma$  is a commutative group on  $\leq n$  generators. Here we extend that simple comment to a remark on subgroups of compact connected Lie groups:

THEOREM. Let  $G$  be a compact connected Lie group and let  $W_G$  be its Weyl group. Let  $\Gamma \subset G$  be a closed subgroup such that

- (i) the identity component  $\Gamma_0$  of  $\Gamma$  is commutative, and
- (ii) the orders  $|\Gamma/\Gamma_0|$  and  $|W_G|$  are relatively prime.

Then  $\Gamma$  is contained in a maximal torus subgroup  $T$  of  $G$ . In particular,  $\Gamma$  is commutative and  $\Gamma/\Gamma_0$  can be generated by  $\leq \dim T - \dim \Gamma_0$  elements.

The special case  $\Gamma_0 = \{1\}$ , i.e.  $\Gamma$  finite, is:

COROLLARY 1. Let  $G$  be a compact connected Lie group,  $W_G$  its Weyl group, and  $\Gamma \subset G$  a finite subgroup such that the orders  $|\Gamma|$  and  $|W_G|$  are relatively prime. Then  $\Gamma$  is contained in a maximal torus subgroup  $T$  of  $G$ . In particular,  $\Gamma$  is commutative on  $\leq \dim T = \text{rank } G$  generators.

Let  $\pi(W_G)$  denote the set of all prime divisors of  $|W_G|$ .  $G$  is locally isomorphic to a direct product of a torus and some simple groups  $G_i$ , and  $W_G$  is the direct product of the  $W_{G_i}$ , so  $\pi(W_G)$  is the union of the  $\pi(W_{G_i})$ . To apply the theorem and its special case Corollary 1, now, one must know  $\pi(W_G)$  when  $G$  is simple. For simple  $G$ , it is given as follows (cf. [2, §8.10]).

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Cartan class of $G_{\mathbb{C}}^{\times}$	$\pi(W_a)$
$A_n, n \geq 1$	$\{\text{primes } p: p \leq n+1\}$
$B_n, C_n \text{ or } D_n, n \geq 2$	$\{\text{primes } p: p \leq n\}$
$G_2 \text{ or } F_4$	$\{2, 3\}$
$E_6$	$\{2, 3, 5\}$
$E_7 \text{ or } E_8$	$\{2, 3, 5, 7\}$

The result mentioned at the beginning of this note is the case  $\Gamma_0 = \{1\}$  of part 1 of the following specialization of the theorem to classical linear groups.

**COROLLARY 2.** *Let  $\Gamma$  be a compact linear group of degree  $d$ . Suppose that its identity component  $\Gamma_0$  is commutative. Let  $\pi(\Gamma/\Gamma_0)$  denote the set of all prime divisors of  $|\Gamma/\Gamma_0|$ .*

1. *If  $p > d$  for every  $p \in \pi(\Gamma/\Gamma_0)$  then  $\Gamma$  is commutative and  $\Gamma/\Gamma_0$  can be generated by  $\leq d - \dim \Gamma_0$  elements.*

2. *If  $\Gamma$  has a nonsingular symmetric or antisymmetric bilinear invariant, and if  $p > \max(2, [d/2])$  for every  $p \in \pi(\Gamma/\Gamma_0)$ , then  $\Gamma$  is commutative and  $\Gamma/\Gamma_0$  can be generated by  $\leq [d/2] - \dim \Gamma_0$  elements.*

One also has an interesting specialization of the theorem to groups of type  $G_2$  or  $F_4$ . Here  $F$  denotes a subfield of the complex number field  $\mathbb{C}$ , and "compact" refers to the topology on matrices over  $\mathbb{C}$  of appropriate degree.

**COROLLARY 3.** *Let  $\Gamma$  be a compact group,  $\Gamma_0$  commutative, and  $2, 3 \notin \pi(\Gamma/\Gamma_0)$ .*

1. *If  $\Gamma$  is a group of automorphisms of a Cayley-Dickson algebra  $A$  over  $F$ , then  $\Gamma$  is commutative and  $\Gamma/\Gamma_0$  can be generated by  $\leq 2 - \dim \Gamma_0$  elements.*

2. *If  $\Gamma$  is a group of automorphisms of an exceptional simple Jordan algebra  $J$  over  $F$ , then  $\Gamma$  is commutative and  $\Gamma/\Gamma_0$  can be generated by  $\leq 4 - \dim \Gamma_0$  elements.*

3. *If  $\Gamma$  is a group of collineations of the (real) Cayley projective plane  $P$ , then  $\Gamma$  is commutative,  $\Gamma/\Gamma_0$  can be generated by  $\leq 4 - \dim \Gamma_0$  elements, and  $\Gamma$  has a fixed point on  $P$ .*

PROOF OF THEOREM. If  $|W_G| = 1$  then  $G$  is a torus and the assertion is vacuous. Now suppose  $|W_G| > 1$ . As  $W_G$  is generated by reflections it has even order, so  $\Gamma/\Gamma_0$  has odd order, and the Feit-Thompson Theorem [1] proves  $\Gamma/\Gamma_0$  solvable.

If  $\Gamma = \Gamma_0$  the assertion is vacuous. Now assume  $|\Gamma/\Gamma_0| > 1$ . As  $\Gamma/\Gamma_0$  is solvable it has a normal subgroup  $\Delta/\Gamma_0$  of prime index  $p$ . By induction on  $|\Gamma/\Gamma_0|$ ,  $\Delta$  is contained in a maximal torus subgroup  $S$  of  $G$ .

Let  $Z_G(\Delta)$  denote the centralizer of  $\Delta$  in  $G$ . Let  $K$  denote the identity component of  $Z_G(\Delta)$ . As  $S$  is connected,  $\Delta \subset S \subset Z_G(\Delta)$  implies  $\Delta \subset S \subset K$ . Thus  $K$  is a closed connected subgroup of maximal rank in  $G$ , and  $\Delta$  is central in  $K$ .

$\Gamma$  normalizes  $\Delta$ , thus  $Z_G(\Delta)$ , and thus normalizes  $K$ . Let  $\gamma \in \Gamma - \Delta$ . Now  $K$  has a maximal torus  $T$  that is stable under conjugation by  $\gamma$ . Note  $\Delta \subset T$  because  $\Delta$  is central in  $K$ . Now  $\Gamma$  normalizes  $T$ . Let  $N_G(T)$  denote the normalizer of  $T$  in  $G$ , so  $\Gamma \subset N_G(T)$ , and represent  $W_G = N_G(T)/T$ . Then  $N_G(T) \rightarrow W_G$  induces a homomorphism  $\Gamma/\Gamma_0 \rightarrow W_G$ . As  $|\Gamma/\Gamma_0|$  is prime to  $|W_G|$ , the image of  $\Gamma/\Gamma_0 \rightarrow W_G$  is trivial, so  $\Gamma \subset T$ . Q.E.D.

PROOF OF COROLLARY 1. Let  $\Gamma_0 = \{1\}$  in the theorem.

PROOF OF COROLLARY 2. We start with a compact group  $\Gamma$  in the general linear group  $GL(d, \mathbf{C})$ , so we may conjugate and assume  $\Gamma$  in the unitary group  $U(d)$ . The chart says  $\pi(W_{U(d)}) = \{\text{primes } p: p \leq d\}$  because  $U(d)$  is locally isomorphic to the product of the special unitary group  $SU(d)$  (type  $A_{d-1}$ ) and a circle group. So the hypothesis of part 1 says that  $|\Gamma/\Gamma_0|$  is prime to  $|W_{U(d)}|$ , and the assertion of part 1 follows from the theorem.

Suppose that  $\Gamma$  has a nonsingular bilinear invariant  $\beta$ . If  $\beta$  is symmetric then  $\Gamma \subset O(d)$  orthogonal group. Under the hypothesis of part 2,  $\Gamma/\Gamma_0$  has odd order, so  $\Gamma \subset SO(d)$ . The latter is of type  $B_n$  for  $d = 2n + 1$ , type  $D_n$  for  $d = 2n$ , so we have  $\Gamma \subset G$  with rank  $G = [d/2]$  and  $|\Gamma/\Gamma_0|$  prime to  $|W_G|$ . If  $\beta$  is antisymmetric then  $\Gamma \subset Sp(d/2)$ , symplectic group which is of type  $C_{d/2}$ , and again  $\Gamma \subset G$  with rank  $G = [d/2]$  and  $|\Gamma/\Gamma_0|$  prime to  $|W_G|$ . The assertion of part 2 now follows from the theorem. Q.E.D.

PROOF OF COROLLARY 3. We write  $\text{Aut}(\cdot)$  for the automorphism group,  $\mathbf{A}_C$  and  $\mathbf{J}_C$  for the scalar extensions  $\mathbf{A} \otimes_{\mathbf{F}} \mathbf{C}$  and  $\mathbf{J} \otimes_{\mathbf{F}} \mathbf{C}$ ,  $\mathbf{G}_2$  and  $\mathbf{F}_4$  for the compact connected simple groups of types  $G_2$  and  $F_4$ , and  $\mathbf{G}_2^C$  and  $\mathbf{F}_4^C$  for their complexifications.

In part 1,  $\Gamma \subset \text{Aut}(\mathbf{A}) \subset \text{Aut}(\mathbf{A}_C) = \mathbf{G}_2^C$ , and the maximal compact subgroups of  $\mathbf{G}_2^C$  are the conjugates of  $\mathbf{G}_2$ , so we may take  $\Gamma \subset \mathbf{G}_2$ . As

$\pi(W_{G_2}) = \{2, 3\}$  our assertion follows directly from the theorem.

In part 2,  $\Gamma \subset \text{Aut}(J) \subset \text{Aut}(J_C) = F_4^C$ , so we may assume  $\Gamma \subset F_4$  as above, and the assertion follows directly from the theorem.

The collineation group of the Cayley plane  $P$  is a connected Lie group of type  $E_6$  whose maximal compact subgroups are the conjugates of the elliptic group  $F_4$  of  $P$ . Thus we may take  $\Gamma \subset F_4$ , and the theorem says that  $\Gamma$  is contained in a maximal torus  $T$  of  $F_4$ . As a homogeneous space,  $P = F_4/\text{Spin}(9)$ , so  $T$  (and thus also  $\Gamma$ ) has a fixed point. Q.E.D.

REMARK 1. It would be preferable to avoid use of the powerful Feit-Thompson result [1].

REMARK 2. Parts 1 and 2 of Corollary 3 remain valid when  $\Gamma$  is finite and  $F$  is an arbitrary field of characteristic zero.

#### REFERENCES

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