

## NONASYMPTOTICALLY ABELIAN FACTORS OF TYPE III

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ABSTRACT. There exists a continuum of nonisomorphic non-asymptotically abelian type III factors on separable Hilbert spaces.

1. A von Neumann algebra  $R$  is called *asymptotically abelian* if there exists a sequence  $\{\alpha_n\}$  of  $*$ -automorphisms of  $R$  such that for any  $S, T \in R$ ,  $[\alpha_n(S), T] \rightarrow 0$  strongly, where  $[A, B] = AB - BA$ , i.e.  $\{\alpha_n(S)\}$  is a central sequence<sup>2</sup> for each  $S \in R$ . This definition was introduced by Sakai in [6], where it was proved that no finite type I factor is asymptotically abelian, and that there exist asymptotically abelian and nonasymptotically abelian factors of type  $II_1$ , both having nontrivial central sequences. In [7] Willig proved that the type  $I_\infty$  factor is not asymptotically abelian. Glaser [4] showed that no type  $II_\infty$  factor is asymptotically abelian, and also applied the method of [7] to show that one example of type III factors in Pukanszky [5] is not asymptotically abelian. Indeed, this particular factor has no nontrivial central sequence. In this note we shall show that the continuum of nonisomorphic type III factors constructed in [2] consists entirely of nonasymptotically abelian factors each with nontrivial central sequences.

2. Let  $R$  be a von Neumann algebra. A von Neumann subalgebra  $B$  of  $R$  is called a *residual subalgebra* of  $R$  if for any central sequence  $\{T_n\}$  in  $R$  there exists a bounded sequence  $\{S_n\}$  in  $B$  such that  $T_n - S_n \rightarrow 0$  strongly.

THEOREM. *Let  $R$  be a properly infinite von Neumann algebra on a Hilbert space  $H$ . Let  $x \in H$  be a unit vector, and  $B$  a residual subalgebra of  $R$  be such that  $(STx|x) = (TSx|x)$  for all  $T \in R$  and  $S \in B$ . Then  $R$  is not asymptotically abelian.*

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<sup>2</sup> A bounded sequence  $\{T_n\}$  of operators in a von Neumann algebra  $R$  is called *central* if  $[T_n, T] \rightarrow 0$  strongly for all  $T \in R$ . The central sequence  $\{T_n\}$  is called *trivial* if there exists a sequence  $\{c_n\}$  of complex numbers such that  $T_n - c_n I \rightarrow 0$  strongly. See Dixmier and Lance [3].

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PROOF. Suppose that  $R$  is asymptotically abelian, and that  $\{\alpha_n\}$  is the requisite sequence of  $*$ -automorphisms of  $R$ . Define a sequence of functionals on  $R$  by

$$\phi_n(T) = (\alpha_n(T)x \mid x), \quad T \in R.$$

Since  $\|\phi_n\| = 1$ , by the weak  $*$ -compactness of the unit ball of the dual of  $R$ , there exists a subsequence of  $\{\phi_n\}$  (which we again call  $\{\phi_n\}$ ) converging weakly to some  $\phi_0$  in the unit ball. Now for any  $T, S \in R$ ,  $\{\alpha_n(T)\}$  and  $\{\alpha_n(S)\}$  are central sequences, and hence there exist sequences  $\{T_n\}$  and  $\{S_n\}$  in  $B$  such that  $\alpha_n(T) - T_n \rightarrow 0$  strongly and  $\alpha_n(S) - S_n \rightarrow 0$  strongly. Since strong convergence of operators is jointly continuous on bounded sets, it follows that

$$\alpha_n(T)\alpha_n(S) - \alpha_n(T)S_n - T_n\alpha_n(S) + T_nS_n \rightarrow 0 \quad \text{strongly.}$$

Now note that

$$\begin{aligned} \phi_0(TS) &= \lim_n (\alpha_n(T)\alpha_n(S)x \mid x) = \lim_n ((\alpha_n(T)S_n + T_n\alpha_n(S) - T_nS_n)x \mid x) \\ &= \lim_n ((S_n\alpha_n(T) + \alpha_n(S)T_n - S_nT_n)x \mid x) = \phi_0(ST). \end{aligned}$$

Thus  $\phi_0$  is a finite trace on  $R$ , contradicting the assumption that  $R$  is properly infinite. Q.E.D.

**COROLLARY.** *There exists a continuum of nonisomorphic nonasymptotically abelian factors of type III.*

PROOF. Let  $R_1 = M(X, \mu) \otimes \Delta$  be the factor of type III on the Hilbert space  $H_1 = L^2(X, \mu) \otimes l^2(\Delta)$  with  $1 \otimes \delta_e$  as a separating cyclic vector, as constructed in §3 of [1] (or see [5]). Let  $\{G_\alpha\}_{\alpha \in I}$  be the continuum of groups constructed in §2 of [2]. Let  $R_\alpha = R_1 \otimes_\phi G_\alpha$  be the factor of type III on the Hilbert space  $H = H_1 \otimes l^2(G_\alpha)$  with a separating cyclic unit vector  $\xi = 1 \otimes \delta_e \otimes \delta_e$ . By Remark 4 of [2],  $B_\alpha = M(X, \mu) \otimes \mathcal{A}(H_N)$  is residual in  $R_\alpha$ . As observed in the proof of that remark, we have

$$(TS\xi \mid \xi) = (ST\xi \mid \xi) \quad \text{for any } T \in R_\alpha, S \in B_\alpha.$$

Hence by the theorem above and by Theorem 1 in [2],  $\{R_\alpha\}_{\alpha \in \tilde{I}}$  is a continuum of pairwise nonisomorphic nonasymptotically abelian factors of type III.

Q.E.D.

**REMARK 1.** If  $R$  is properly infinite and has only trivial central sequences, then take  $B = \mathbb{C}$ , the field of complex numbers, and  $x$  any unit vector in the above Theorem, so that  $R$  is not asymptotically abelian. Thus any asymptotically abelian properly infinite von Neumann algebra must have nontrivial central sequence. It is worth noting, in this regard, that the factors  $\{R_\alpha\}_{\alpha \in \tilde{I}}$  all have nontrivial central sequences.

REMARK 2. By the same proof as in the Corollary, the factors  $R_3$  and  $R_4$  constructed in [1] are not asymptotically abelian.

Finally, we remark that it is not known whether the corresponding  $\text{II}_1$ -factors  $\{\mathcal{A}(G_\alpha)\}_{\alpha \in I}$  constructed in [2] are asymptotically abelian or not.

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