

NONUNIQUENESS OF COEFFICIENT RINGS  
 IN A POLYNOMIAL RING

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ABSTRACT. An example is given of commutative rings  $B, C$  with 1 such that  $B \not\cong C$  but  $B[t] \cong C[t]$ , where  $t$  is an indeterminate.

Several authors [1], [2], [3] have recently studied the question, if  $B[t] \cong C[t]$  ( $B, C$  are commutative rings with 1,  $t$  is an indeterminate), does  $B \cong C$  follow? A simple counterexample is given below.

Let  $R$  be the reals and let  $P, Q, t, U, V, W, X, Y, Z$  be indeterminates. Let  $A = R[X, Y, Z]/(X^2 + Y^2 + Z^2 - 1) = R[x, y, z]$ . Let  $\phi: A^3 \rightarrow A$  by  $\phi(a, b, c) = ax + by + cz$ . Then  $\phi$  splits: map  $a$  to  $a(x, y, z)$ .  $E = \ker \phi$  is well known to be a rank 2 projective which is not free, and hence requires 3 generators (that  $E$  is not free may be deduced from the fact that the tangent bundle of the real 2-sphere has no nonvanishing continuous sections). The splitting of  $\phi$  shows that  $A^3 \cong E \oplus A$ . If we pass to symmetric algebras, we obtain the isomorphisms

$$S(A^3) \cong A[P, Q, t] \cong S(E) \otimes_A S(A) \cong S(E) \otimes_A A[t] \cong S(E)[t],$$

and since  $E \cong A^3/(x, y, z)A$ ,

$$S(E) \cong A[U, V, W]/(xU + yV + zW).$$

Let  $B = A[P, Q]$  and  $C = A[U, V, W]/(xU + yV + zW)$ . We have shown that  $B[t] \cong C[t]$ . It remains only to show that  $B \not\cong C$ . Suppose  $h: B \cong C$ .  $B$  and  $C$  are  $A$ -subalgebras of the polynomial ring  $B[t] = A[P, Q, t]$  over  $A$ . It is easy to show that the only invertible elements of  $A$ , hence of  $B[t]$ , and therefore of  $B$  and  $C$ , are the nonzero real numbers. Since  $R$  has no nontrivial automorphisms,  $h$  must be an  $R$ -isomorphism. It is easy to check that  $A$  is a formally real domain. If  $D$  is a formally real domain and  $T$  is an indeterminate over  $D$ , the only solutions of  $X^2 + Y^2 + Z^2 = 1$  in  $D[T]$  already lie in  $D$ . Hence, the only solutions of this equation in  $B[t]$  lie in  $A$ , and the same holds for  $B$  and  $C$ . Thus,  $h(A) \subset A$ , and  $h^{-1}(A) \subset A$ . After composing  $h$  with the automorphism of  $B$  which agrees with  $h^{-1}$  on  $A$  and fixes  $P, Q$ , we can assume that  $h$  is an  $A$ -isomorphism of  $B$  and  $C$ .  $C$  is a

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graded  $A$ -algebra. It follows that there are two elements  $c=c_0+c_1+\cdots$ ,  $c'=c'_0+c'_1+\cdots$  (where  $c_i$  or  $c'_i$  is the  $i$ -form component of  $c$  or  $c'$ ) such that  $C=A[c, c']=A[c-c_0, c'-c'_0]$ . It follows easily that  $c_1, c'_1$  span the  $A$ -module of 1-forms of  $C$ . But this module is isomorphic to  $E$ , and  $E$  requires three generators, a contradiction. Thus,  $B \not\cong C$ .

A similiar example has been noted by M. P. Murthy (unpublished).

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