

## CONTRACTIBLE HILBERT CUBE MANIFOLDS

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**ABSTRACT.** In this note we give an example of a contractible Hilbert cube manifold which cannot be embedded as an open subset of the Hilbert cube  $Q$  so that its complement (in  $Q$ ) lies in a face of the boundary of  $Q$ . This example provides a negative answer to a question raised by the author.

**1. Introduction.** Let the Hilbert cube  $Q$  be represented by  $\prod_{i=1}^{\infty} I_i$ , where each  $I_i$  is the closed interval  $[0, 1]$ , and let  $W = \{(x_i) \in Q \mid x_1 = 1\}$ . By a *Hilbert cube manifold* (or  $Q$ -manifold) we mean a separable metric space for which each point has a closed neighborhood homeomorphic to  $Q$ . In this paper we will only be concerned with noncompact contractible  $Q$ -manifolds, since all compact contractible  $Q$ -manifolds are homeomorphic to  $Q$  [3]. If  $A \subset W$  is a closed set, then it is clear that  $Q \setminus A$  is a contractible  $Q$ -manifold. In general define a  $Q$ -manifold to be of *type  $Q$*  provided that it is homeomorphic to  $Q \setminus A$ , for some closed  $A \subset W$ . We remark that any closed set  $A \subset W$  has Property Z in  $Q$  (in the sense of Anderson [1]), but this concept will not be used in this paper. In fact we use no infinite-dimensional topology other than some elementary topological properties of  $Q$ .

The  $Q$ -manifolds of type  $Q$  form a particularly distinguished collection because of the following representation and characterization theorems.

**THEOREM 1 ([3]).** *If  $X$  is a  $Q$ -manifold of type  $Q$ , then there exists a countable locally-finite simplicial complex  $K$  such that  $X$  is homeomorphic to  $|K| \times Q$ .*

**THEOREM 2 ([5]).** *If  $X$  and  $Y$  are  $Q$ -manifolds of type  $Q$  which have the same proper homotopy type, then  $X$  and  $Y$  are homeomorphic.*

(See §2 for definitions concerning the *proper category*.)

Attempting to extend these results to the class of all contractible  $Q$ -manifolds one is naturally led to the following question (see Question 4 of [4] and  $QM5$  of [1]):

*Question.* *Is every contractible  $Q$ -manifold of type  $Q$ ?*

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It is obvious that an affirmative answer to this question would extend Theorem 1 and Theorem 2 to the class of all contractible  $Q$ -manifolds.

The objective of this note is to answer the above question negatively by giving an example of a contractible  $Q$ -manifold which is not of type  $Q$ . If  $M$  is Whitehead's well-known example of an open contractible 3-manifold which is not homeomorphic to  $E^3$  [7], then we prove that  $M \times Q$  is a contractible  $Q$ -manifold which is not of type  $Q$ . To be more precise (and in the language of §2) we prove that  $M \times Q$  is not *proper homotopically dominated* by any  $Q$ -manifold of the form  $Q \setminus A$ , where  $A \subset W$  is closed. We also remark that this example sharpens the following result of [3] concerning decompositions of contractible  $Q$ -manifolds into open sets of type  $Q$ : *Any contractible  $Q$ -manifold can be written as the union of two dense open sets, each one of which is of type  $Q$ .*

The question of extending Theorem 1 and Theorem 2 to the class of all contractible  $Q$ -manifolds remains open. The example given in this paper merely rules out one method of attack.

**2. Proper maps.** We give here some basic definitions concerning proper maps, and in Lemma 2.1 we establish a result which will be used in §3.

For spaces  $X$  and  $Y$  we say that a map (i.e. a continuous function)  $f: X \rightarrow Y$  is *proper* provided that  $f^{-1}(C)$  is compact, for all compact sets  $C \subset Y$ . Two proper maps  $f, g: X \rightarrow Y$  are said to be *proper homotopic* (written  $f \simeq_p g$ ) provided that there exists a proper map  $F: X \times [0, 1] \rightarrow Y$  which satisfies  $F(x, 0) = f(x)$  and  $F(x, 1) = g(x)$ , for all  $x \in X$ . (We reserve the symbol  $\simeq$  for the usual notion of homotopic maps.) We say that spaces  $X$  and  $Y$  have the same *proper homotopy type* provided that there exist proper maps  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$  such that  $g \circ f \simeq_p \text{id}_X$  and  $f \circ g \simeq_p \text{id}_Y$  (where  $\text{id}_X$  and  $\text{id}_Y$  denote the identity maps of  $X$  and  $Y$ , respectively). As an analogue of the concept of homotopy domination we say that a space  $X$  *proper homotopically dominates* a space  $Y$  provided that there exist proper maps  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$  such that  $f \circ g \simeq_p \text{id}_Y$ .

The following result will be needed in §3. It pinpoints a property of locally compact metric spaces which are proper homotopically dominated by  $Q \setminus A$ , for some closed  $A \subset W$ .

**LEMMA 2.1.** *Let  $X$  be a locally compact metric space which is proper homotopically dominated by  $Q \setminus A$ , for some closed  $A \subset W$ . Then for each compact set  $K_1 \subset X$  there exists a compact set  $K_2 \subset X$  containing  $K_1$  and there exists a map*

$$F: (X \setminus K_2) \times [0, 1] \rightarrow X \setminus K_1$$

*such that  $F(x, 0) = x$  and  $F(x, 1) \in K_2 \setminus K_1$ , for all  $x \in X \setminus K_2$ .*

PROOF. We will first establish the above property for the space  $Q \setminus A$ . Thus let  $K'_1 \subset Q \setminus A$  be a compact set; we want to find a compact set  $K'_2 \subset Q \setminus A$  which contains  $K'_1$  and a map  $F': (Q \setminus (A \cup K'_2)) \times [0, 1] \rightarrow Q \setminus (A \cup K'_1)$  such that  $F'(x, 0) = x$  and  $F'(x, 1) \in K'_2 \setminus K'_1$ , for all  $x \in Q \setminus (A \cup K'_2)$ .

Note that  $Q \setminus K'_1$  is an open set containing  $A$ . Choose  $V$  to be a relatively open subset of  $W$  such that  $A \subset V \subset \text{Cl}_W(V) \subset Q \setminus K'_1$ , where  $\text{Cl}_W(V)$  is the closure of  $V$  in  $W$ . Let  $\text{Bd}_W(V)$  be the topological boundary of  $V$  in  $W$  and let  $p: W \rightarrow \prod_{i=2}^{\infty} I_i$  be projection. Clearly there exists a map  $r: \text{Cl}_W(V) \rightarrow [0, 1]$  such that  $r(V) \subset (0, 1)$ ,  $r(\text{Bd}_W(V)) = \{1\}$ , and  $[r(x), 1] \times \{p(x)\} \subset Q \setminus K'_1$ , for all  $x \in \text{Cl}_W(V)$ . Let

$$U = \bigcup \{(r(x), 1] \times \{p(x)\} \mid x \in V\},$$

which is an open subset of  $Q$  containing  $A$ . Then we put  $K'_2 = Q \setminus U$ .

Note that  $U \setminus A = Q \setminus (A \cup K'_2)$  and define  $F': (U \setminus A) \times [0, 1] \rightarrow Q \setminus (A \cup K'_1)$  by setting  $F'((s, p(x)), t) = ((1-t)s + tr(x), p(x)) \in [r(x), 1] \times \{p(x)\}$ , for all  $x \in V$ ,  $(s, p(x)) \in ((r(x), 1] \times \{p(x)\}) \setminus A$ , and  $t \in [0, 1]$ . It is clear that  $F'$  fulfills our requirements.

Passing to the general case let  $f: X \rightarrow Q \setminus A$  and  $g: Q \setminus A \rightarrow X$  be proper maps such that  $g \circ f \simeq_p \text{id}_X$  and let  $K_1 \subset X$  be a given compact set. Since  $g$  is proper there exists a compact set  $K'_1 \subset Q \setminus A$  such that  $g(Q \setminus (A \cup K'_1)) \cap K_1 = \emptyset$ . Using the special case treated above there exist a compact set  $K'_2 \subset Q \setminus A$  containing  $K'_1$  and a map  $F': (Q \setminus (A \cup K'_2)) \times [0, 1] \rightarrow Q \setminus (A \cup K'_1)$  such that  $F'(x, 0) = x$  and  $F'(x, 1) \in K'_2 \setminus K'_1$ , for all  $x \in Q \setminus (A \cup K'_2)$ .

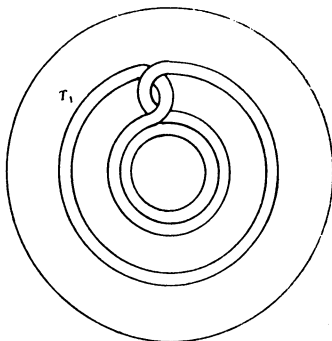
Since  $f$  is proper and  $g \circ f \simeq_p \text{id}_X$  we can find a compact set  $K_2^* \subset X$  containing  $K_1$  such that  $f(X \setminus K_2^*) \subset Q \setminus (A \cup K'_2)$  and we can find a map  $G: (X \setminus K_2^*) \times [0, 1] \rightarrow X \setminus K_1$  such that  $G(x, 0) = x$  and  $G(x, 1) = g \circ f(x)$ , for all  $x \in X \setminus K_2^*$ . Define  $F^*: (X \setminus K_2^*) \times [0, 1] \rightarrow X \setminus K_1$  by setting

$$\begin{aligned} F^*(x, t) &= G(x, 2t) & 0 \leq t \leq \frac{1}{2}, \\ &= g \circ F'(f(x), 2t - 1) & \frac{1}{2} \leq t \leq 1, \end{aligned}$$

for all  $(x, t) \in (X \setminus K_2^*) \times [0, 1]$ . Note that  $F^*(x, 0) = x$  and  $F^*(x, 1) = g \circ F'(f(x), 1) \in g(K'_2)$ , for all  $x \in X \setminus K_2^*$ . If  $K_2$  is a compact set in  $X$  containing  $g(K'_2) \cup K_2^*$  and  $F = F^*|_{(X \setminus K_2) \times [0, 1]}$ , then we have  $F(x, 1) = g \circ F'(f(x), 1) \in g(K'_2) \subset K_2$ , for all  $x \in X \setminus K_2$ . Thus we have constructed  $K_2$  and  $F$  to fulfill our requirements.

3. **The example.** We will first need to describe Whitehead's example of an open contractible 3-manifold which is topologically different from  $E^3$  [7]. We use the description of Bing [2]. Consider a solid torus  $T_1$  embedded in a solid torus  $T_2$  as shown in the figure below (where  $T_2$  is a subset of  $E^3$ ). Since  $T_1$  is tame in  $E^3$  there exists a homeomorphism  $h$  of  $E^3$  onto itself such that  $h(T_1) = T_2$ . Let  $M = T_1 \cup h(T_1) \cup h^2(T_1) \cup \dots$ , which

is Whitehead's open contractible 3-manifold. Let  $T_{n+1}$  be the torus  $h^n(T_1)$ , for all  $n \geq 2$ .



In [7] Whitehead proved that  $M$  is not PL homeomorphic to  $E^3$ . In [6] Newman and Whitehead examined the fundamental groups of various subsets of  $M$  and used this information to prove that  $M$  is not homeomorphic to  $E^3$ . As a consequence of the work in [6] we have the following:

1.  $\pi_1(M \setminus T_1)$  is not finitely generated (*f.g.*).
2.  $\pi_1(T_n \setminus T_1)$  is *f.g.*, for all  $n > 1$ .

Since  $M$  is a manifold it is obvious that  $M \times Q$  is a  $Q$ -manifold, therefore a contractible  $Q$ -manifold (since  $M$  is contractible). We now proceed to prove that  $M \times Q$  is not of type  $Q$ . We do this by showing that the assumption that  $M \times Q$  is of type  $Q$  leads to a contradiction. The assumption that  $M \times Q$  is of type  $Q$  implies that  $M \times Q$  is proper homotopically dominated by  $Q \setminus A$ , for some closed  $A \subset W$ . Since  $Q$  is compact and contractible, it easily follows that  $M$  and  $M \times Q$  have the same proper homotopy type. Thus  $M$  is proper homotopically dominated by  $Q \setminus A$ . Using Lemma 2.1 there exists a compact set  $C \subset M$  containing  $T_1$  and a map  $F: (M \setminus C) \times [0, 1] \rightarrow M \setminus T_1$  such that  $F(x, 0) = x$  and  $F(x, 1) \in C \setminus T_1$ , for all  $x \in M \setminus C$ .

Now choose  $n > 1$  large enough so that  $C \subset T_n$ . It is obvious that  $\partial T_n = \text{Bd}_M(T_n)$  is *collared* in  $T_n \setminus C$ , i.e. there exists an embedding  $f: \partial T_n \times [0, 1] \rightarrow T_n \setminus C$  such that  $f(\partial T_n \times [0, 1])$  is open in  $T_n$  and  $f(x, 0) = x$ , for all  $x \in \partial T_n$ . We now define a map  $G: (M \setminus T_1) \times [0, 1] \rightarrow M \setminus T_1$ . To define  $G$  on  $(M \setminus f(\partial T_n \times [0, 1])) \times [0, 1]$  we put

$$\begin{aligned} G(x, t) &= x && \text{for } x \in T_n \setminus f(\partial T_n \times [0, 1]), t \in [0, 1], \\ &= F(x, t) && \text{for } x \in M \setminus T_n^o, t \in [0, 1]. \end{aligned}$$

To define  $G$  on  $f(\partial T_n \times [0, 1]) \times [0, 1]$  choose any  $x \in \partial T_n$  and put  $G(f(x, s), t) = F(f(x, s), (1-s)t)$ , for all  $s, t \in [0, 1]$ . It is clear that  $G$  satisfies  $G(x, 0) = x$ , for all  $x \in M \setminus T_1$ . Since  $F(f(\partial T_n \times [0, 1]) \times [0, 1])$  is

a compact subset of  $M \setminus T_1$  there exists an integer  $m \geq n$  such that  $F(f(\partial T_n \times [0, 1]) \times [0, 1]) \subset T_m \setminus T_1$ . It then follows that  $G(x, 1) \in T_m \setminus T_1$ , for all  $x \in M \setminus T_1$ .

Choose any  $x_0 \in C \setminus T_1$  and let  $\sigma: I \rightarrow M \setminus T_1$  be a loop at  $x_0$ , i.e.  $\sigma: I \rightarrow M \setminus T_1$  is a map and  $\sigma(0) = \sigma(1) = x_0$ . For each  $t \in [0, 1]$  define a loop  $\sigma_t: I \rightarrow M \setminus T_1$  by  $\sigma_t(s) = G(\sigma(s), t)$ , for all  $s \in [0, 1]$ . Then each  $\sigma_t$  is a loop at  $x_0$ ,  $\sigma_0 = \sigma$ , and  $\sigma_1$  is a loop in  $T_m \setminus T_1$ . This proves that each loop in  $M \setminus T_1$  at  $x_0$  can be continuously deformed to a loop in  $T_m \setminus T_1$  at  $x_0$  (with the point  $x_0$  being kept fixed at each level of the deformation). Restating this it means that if  $i: T_m \setminus T_1 \rightarrow M \setminus T_1$  is the inclusion map, then

$$i_*: \pi_1(T_m \setminus T_1, x_0) \rightarrow \pi_1(M \setminus T_1, x_0)$$

is a surjection. But this contradicts the facts that  $\pi_1(T_m \setminus T_1)$  is f.g. and  $\pi_1(M \setminus T_1)$  is not f.g.

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