

## TRIANGULAR MATRIX ALGEBRAS OVER HENSEL RINGS

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**ABSTRACT.** Let  $(R, \mathfrak{m})$  be a local Hensel ring and  $A$  an algebra over  $R$  which is finitely generated and projective as an  $R$ -module. If  $A$  contains a complete set of mutually orthogonal primitive idempotents  $e_1, \dots, e_n$  indexed so that  $e_i N e_j \subseteq \mathfrak{m}A$  whenever  $i \geq j$ , we show that  $A$  is isomorphic to a generalized triangular matrix algebra and that  $A$  is the epimorphic image of a finitely generated, projective  $R$ -algebra  $B$  of Hochschild dimension less than or equal to one.

**Introduction.** The class of residue algebras of semiprimary hereditary algebras has been thoroughly discussed in [5], [9], [2], [6] and [12]. They consist of those finitely generated algebras  $A$  over a field  $R$  which contain a complete set of mutually orthogonal primitive idempotents  $e_1, \dots, e_n$  which can be indexed so that  $e_i N e_j = (0)$  whenever  $i \geq j$ , where  $N$  denotes the Jacobson radical of  $A$ , and  $A/N$  is  $R$ -separable. All such algebras are isomorphic to generalized triangular matrix algebras.

The purpose of this paper is to show that every finitely generated, projective algebra  $A$  over a local Hensel ring  $(R, \mathfrak{m})$  satisfies the "triangular" idempotent condition  $e_i N e_j \subseteq \mathfrak{m}A$  whenever  $i \geq j$  if and only if  $A$  is a residue algebra of a finitely generated, projective algebra  $B$  of Hochschild dimension less than or equal to one. We will call such algebras "almost one-dimensional."

Such an algebra  $B$ , the maximal algebra for  $A$ , is usually neither semiprimary nor hereditary. In fact, if we assume that  $R$  is also noetherian, it has been shown in [11] that  $B$  is hereditary if and only if  $R$  is a field ( $R$ -dim  $B \leq 1$ ) or a DVR ( $R$ -dim  $B = 0$ );  $B$  is semiprimary if and only if  $R$  has a nilpotent radical—in which case every finitely generated, projective algebra over  $R$  has infinite global dimension—or  $R$  is a field.

A generalization of a result of S. U. Chase [2] will provide a sort of converse to Theorem 2.7 of [10] in the case of a Hensel ring:

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**THEOREM 0.** *Let  $A$  be a finitely generated, projective algebra over a commutative ring  $R$ . If  $R\text{-dim } A = 1$ , then, for every ideal  $I$  containing  $\mathfrak{m}A$  or some maximal ideal  $\mathfrak{m}$  of  $R$ ,  $R\text{-dim}(A/I)$  is finite.*

**CONVENTIONS.** Throughout this paper, all rings will have one and all ring homomorphisms will take the identity to the identity. All modules are unitary. By a local ring  $R$ , we mean only that  $R$  has a unique maximal ideal  $\mathfrak{m}$ . By a finitely generated  $R$ -algebra or a projective  $R$ -algebra, we shall mean that  $A$  is finitely generated or projective as a module over  $R$ . All homological dimensions will be taken as left modules and  $R\text{-dim } A = \text{hd}_{A^e}(A)$  will denote the Hochschild (or cohomological) dimension of the algebra  $A$ , where  $A^e = A \otimes_{\mathbb{P}} A^{\text{op}}$ .  $N$  will always denote the Jacobson radical of the algebra  $A$ .

**1. Almost one-dimensional algebras.** We shall say that a finitely generated, projective algebra  $A$  over a local Hensel ring  $(R, \mathfrak{m})$  is *almost one-dimensional* if every complete set of mutually orthogonal primitive idempotents  $e_1, \dots, e_n$  can be indexed so that  $e_i N e_j \subseteq \mathfrak{m}A$  whenever  $i \geq j$ . The definition is justified by the following results.

**THEOREM 1.** *Let  $A$  be a finitely generated, projective algebra over a local Hensel ring  $R$ . (a) If  $R\text{-dim } A \leq 1$ , then  $A$  is almost one-dimensional. (b) If  $A$  is almost one-dimensional, then  $A/\mathfrak{m}A$  is triangular in the sense of Chase; i.e.,  $A/\mathfrak{m}A$  contains a complete set of mutually orthogonal primitive idempotents  $e_1, \dots, e_n$  indexed so that  $e_i(N/\mathfrak{m}A)e_j = (0)$  whenever  $i \geq j$ . (c) If  $A$  is almost one-dimensional, then  $R\text{-dim } A$  is finite.*

(We note that the hypotheses that  $R$  is Hensel and  $A$  is  $R$ -projective are not necessary to prove (a) or (b).)

**PROOF.** (a) is contained in Theorems 3.4 and 3.6 of [10]. (b) is obvious. For (c), an application of (b) and Theorem 4.1 of [2] shows that  $R/\mathfrak{m}\text{-dim } A/\mathfrak{m}A = \text{gl dim } A/\mathfrak{m}A$  is finite. But then, by 2.1 of [10],  $R\text{-dim } A = R/\mathfrak{m}\text{-dim } A/\mathfrak{m}A$  is finite.

By means of part (b) of Theorem 1 and the idempotent lifting theorem of G. Azumaya [1, Theorem 24], it is easy to deduce the following equivalent conditions for an algebra to be almost one-dimensional from Theorem 4.1 of [2].

**THEOREM 2.** *If  $A$  is a finitely generated, projective algebra over a local Hensel ring  $R$ , the following conditions are equivalent:*

- (a)  *$A$  is almost one-dimensional.*
- (b) *There exists a complete set of mutually orthogonal primitive idempotents  $e_1, \dots, e_n$  of  $A$  which can be indexed so that  $e_i N e_j \subseteq \mathfrak{m}A$  whenever  $i \geq j$ .*

(c) For every ideal  $I$  of  $A$  containing  $\mathfrak{m}A$ ,  $A/I$  has finite Hochschild dimension.

(d)  $A/(N^2 + \mathfrak{m}A)$  has finite Hochschild dimension.

Results of [2], [5] and [9] on the nilpotence degree of the Jacobson radical are translatable in terms of the nilpotence degree of  $N$  modulo  $\mathfrak{m}A$  by use of the same techniques.

**2. Construction of the maximal algebra.** If  $A$  is almost one-dimensional, we shall call the one-dimensional algebra  $B$  which we are going to construct the "maximal algebra" for  $A$ .

Recall that a generalized triangular matrix algebra  $T_n(A_i; M_{ij}/R)$  is the algebra defined in the following way: the  $A_i$  are algebras over  $R$ , the  $M_{ij}$  are left  $A_i$ - and right  $A_j$ -bimodules with  $M_{ij} = (0)$  for  $i > j$  and  $M_{ii} = A_i$ ;  $R$  commutes with the  $M_{ij}$ . Multiplication is defined via homomorphisms  $\phi_{ij}^t: M_{it} \otimes_{A_t} M_{tj} \rightarrow M_{ij}$  with the  $\phi_{it}^t$  and the  $\phi_{it}^i$  isomorphisms; these mappings satisfy the "associative" law:  $\phi_{ik}^j(\text{id}_{ij} \otimes \phi_{jk}^t) = \phi_{ik}^t(\phi_{it}^j \otimes \text{id}_{tk})$ . These functions induce a matrix multiplication on

$$T_n(A_i; M_{ij}/R) = \left\{ \begin{pmatrix} a_{11} & m_{12} & \dots & m_{1n} \\ & a_{22} & \dots & m_{2n} \\ & & \ddots & \\ \mathbf{0} & & & a_{nn} \end{pmatrix} : a_{ii} \text{ in } A_i, m_{ij} \text{ in } M_{ij} \right\}$$

(cf. [10] or [6, p. 465]).

**THEOREM 3.** Let  $A$  be a finitely generated, projective algebra over a local Hensel ring  $R$ . If  $A$  is almost one-dimensional, then  $A$  is isomorphic to a generalized triangular matrix algebra over  $R$ .

**PROOF.** The proof is essentially contained in the case where  $A/N$  is isomorphic to a direct sum of division algebras over  $R$ . In this case, the  $e_i$  are all nonisomorphic. Now  $e_i A e_i$  is a separable, projective  $R$ -algebra. So  $S = \sum_{i=1}^n e_i A e_i$  is the inertial subalgebra whose existence is guaranteed by [10, Proposition 2.5] and [1, Theorem 33], since  $S/\mathfrak{m}S = A/N$ .

Furthermore, if  $j > k$ , then

$$e_j A e_k = e_j S e_k + e_j N e_k \subseteq \mathfrak{m} \left( \bigoplus_{i,t} e_i A e_t \right),$$

where the first sum is not direct. Thus  $e_j A e_k = \mathfrak{m}(e_j A e_k)$ . Hence by Nakayama's lemma,  $e_j A e_k = (0)$  for all  $j > k$ . Hence under the natural maps induced by the multiplication in  $A$  from  $e_i A e_t$  and  $e_t A e_j$  to  $e_i A e_j$ , we have that  $A \cong T_n(e_i A e_i; e_i A e_j/R)$ .

The general case follows by noting that if  $e = \sum_{i=1}^n e_{i1}$ , where  $i$  denotes the distinct isomorphism classes of primitive idempotents, then by

[6, Proposition 2]:  $R/\mathfrak{m}$ -dim  $e(A/\mathfrak{m}A)e = \text{gl dim } e(A/\mathfrak{m}A)e = \text{gl dim } A/\mathfrak{m}A = R/\mathfrak{m}$ -dim  $A/\mathfrak{m}A$ . Again, by Theorem 2.1 of [10],  $R$ -dim  $A = R$ -dim  $eAe$ .  $eAe$  is almost one-dimensional and  $eAe/eNe$  is isomorphic to a direct sum of division algebras. Finally, following the techniques of [10] and [6], we have that if  $eAe \cong T_n(e_{i1}Ae_{i1}; e_{i1}Ae_{j1}/R)$ , then  $A \cong T_n(A_i; M_{ij}/R)$  where  $A_i = (e_{i1}Ae_{i1})_{s_i \times s_i}$  and  $M_{ij} = (e_{i1}Ae_{j1})_{s_i \times s_j}$ , the  $s_i$  by  $s_j$  matrices with entries from  $e_{i1}Ae_{j1}$ .  $\square$

We are now in a position to construct the maximal algebra for  $A$ . Let  $N^* = \sum_{j < k} M_{jk}$ ,  $P = \sum_{i=1}^{n-1} M_{i, i+1}$ , and  $M = \sum_{j+1 < k} M_{jk}$ . Clearly,  $A = S \oplus N^* = S \oplus P \oplus M$  as  $S$ - $S$  bimodules, where  $P$ ,  $M$ , and  $N^*$  are finitely generated, projective  $R$ -modules. Set  $P^{(k)}$  equal to the  $k$ -fold tensor product of  $P$  with itself over  $S$ . The middle-four-interchange gives that  $(P \otimes_S P) \otimes R/\mathfrak{m} \cong P/\mathfrak{m}P \otimes_{S/\mathfrak{m}S} P/\mathfrak{m}P$ . An easy induction then shows that  $P^{(k)}/\mathfrak{m}P^{(k)} \cong (P/\mathfrak{m}P)^{(k)}$ .

Let  $B = S \oplus P \oplus P^{(2)} \oplus P^{(3)} \oplus \dots = S \oplus P \oplus T$  be the algebra with multiplication defined as in a graded ring with  $S = P^{(0)}$ ; i.e.,

$$(p_1 \otimes \dots \otimes p_q) \cdot (p'_1 \otimes \dots \otimes p'_s) = (p_1 \otimes \dots \otimes p_q \otimes p'_1 \otimes \dots \otimes p'_s)$$

(cf. [8, Definition 1.4]). To apply Theorem 2.1 of [10], we need to know that  $T$  is finitely generated and projective as an  $R$ -module. That  $T$  is  $R$ -projective follows by noting that  $P$  is  $R$ -projective and hence by [3, Proposition 2.3]  $S$ -projective; whence  $P \otimes_S P$  is  $S$ -projective and therefore  $R$ -projective. That  $T$  is finitely generated is shown by the following: Since  $P/\mathfrak{m}P$  is the  $S/\mathfrak{m}S$ -complement of  $(N/\mathfrak{m}A)^2$  in  $(N/\mathfrak{m}A)$ , by [9, p. 71],  $(P/\mathfrak{m}P)^{(n)} = (0)$  for some  $n$ ; so by Nakayama's lemma,  $P^{(n)} = (0)$ . Finite generation is now clear.

But  $B/\mathfrak{m}B$  is the maximal algebra over the triangular algebra  $A/\mathfrak{m}A$ . Hence,  $R$ -dim  $B \leq 1$ . Defining  $f: B \rightarrow A$  by  $f(s, p, p_1 \otimes p_2, \dots) = s + p + p_1 p_2 + \dots$ , we obtain an algebra epimorphism of  $B$  onto  $A$ . It is clear that  $B/T$  is isomorphic to  $A/N^{*2}$ . Thus we have just shown

**THEOREM 4.** *Let  $A$  be a finitely generated, projective algebra over a local Hensel ring  $R$ . The following are equivalent.*

- (a)  *$A$  is almost one-dimensional.*
- (b) *There is a finitely generated, projective algebra  $B$  over  $R$  such that  $R$ -dim  $B \leq 1$ ,  $A$  is an epimorphic image of  $B$  with  $B/T \cong A/N^{*2}$ , where  $N^{*2}$  and  $T$  are the squares of the  $R$ -complements of the inertial subalgebras of  $A$  and  $B$  respectively.*

Combining Theorems 2 and 4, one obtains the complete analogue of the results of [9], [2] and [6] for finitely generated triangular algebras over a field.

**3. Miscellaneous results and corollaries.** In [4, p. 311] S. Eilenberg gave necessary and sufficient conditions for a finitely generated algebra over a field to have a given Hochschild dimension. This characterization involved the Jacobson radical of the algebra. We note the following extension of that result: (Recall that an inertial subalgebra of an algebra  $A$  is a separable subalgebra  $S$  of  $A$  such that  $A=S+N$ , where the sum is not necessarily direct.)

**THEOREM 5.** *Let  $A$  be a finitely generated, projective algebra over a local ring  $R$ . Suppose that  $A$  has finite Hochschild dimension. Let  $S$  be an inertial subalgebra of  $A$  such that  $A=S\oplus I$  as an  $R$ -direct sum for some ideal  $I$  of  $A$ . Then the following hold: (a)  $R\text{-dim } A=\text{hd}_A(S)$ ; and (b)  $R\text{-dim } A=1+\text{hd}_A(I)$ .*

**PROOF.** Since  $A$  and  $S$  are  $R$ -projective, by the argument of Theorem 2.1 of [10], and by the corollary to Theorem 3 of [4], one sees that the following equalities hold:

$$\begin{aligned} R\text{-dim } A &= R/m\text{-dim } A/mA = \text{hd}_{A/mA}(A/N) \\ &= \text{hd}_{A/mA}(S/mS) = \text{hd}_A(S). \end{aligned}$$

The second part follows directly from the first.

**COROLLARY 5.1.** *Let the setting be as in the theorem. Then  $A$  is one-dimensional if and only if  $I$  is projective as an  $A$ -module;  $A$  is  $R$ -separable if and only if  $I=(0)$ .*

In particular, the setting of Theorem 5 always holds true for the algebras considered in this paper. It gives us a particularly interesting characterization of which almost one-dimensional algebras are actually one-dimensional:

**COROLLARY 5.2.** *Suppose  $A$  is a finitely generated, projective, almost one-dimensional algebra over a local Hensel ring  $R$ . Then,  $A=S\oplus N^*$ , where  $N^*$  is an ideal of  $A$ , and  $\text{hd}_A(S)=R\text{-dim } A$ . Moreover,  $A$  has Hochschild dimension one if and only if  $N^*$  is projective as an  $A$ -module.*

Finally, one can give the following characterization of almost one-dimensional algebras based upon the ideal  $N^*$  rather than the Jacobson radical, which allows one to restate Theorem 2 in terms of  $N^*$ .

**THEOREM 6.** *Let  $A$  be a finitely generated, projective algebra over a local Hensel ring  $R$ ; let  $A$  have finite Hochschild dimension.  $A$  is almost one-dimensional if and only if there is an ideal  $N^*$  of  $A$  such that  $A=S\oplus N^*$  (direct sum as  $R$ -modules), where  $S$  is the inertial subalgebra of  $A$ , and there exists a complete set of mutually orthogonal primitive idempotents  $e_1, \dots, e_n$  such that  $e_i N^* e_j = (0)$  for  $i \geq j$ .*

PROOF. The "only if" part is a direct consequence of Theorem 3. Suppose that  $A = S \oplus N^*$ , and  $A$  contains the required set of idempotents. Then  $e_i N e_j = e_i (mS + N^*) e_j = e_i (mS) e_j \subseteq mA$  whenever  $i \geq j$  and  $N$  denotes the Jacobson radical of  $A$ .

CONCLUDING REMARKS. The algebras of Hochschild dimension one over a local Hensel ring act as the semiprimary hereditary algebras over a field. In fact, an almost one-dimensional algebra  $A$  and its maximal algebra  $B$  can easily be seen to satisfy the definition of quasi-cyclic algebras and related algebras (with  $N^*$  and  $T$  replacing the Jacobson radicals) as given by Hochschild in [7, pp. 369 and 372].

It would be useful to know that the ideal  $N^*$  was a radical of the algebra  $A$ . In a future paper, we will show that if  $R$  is a noetherian domain which is a local Hensel ring, then  $N^*$  is the (Baer) lower radical of  $A$ . This is not true if  $R$  is a complete local ring with nilpotent radical, in which case the Jacobson and lower radicals are equal.

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