

ON KNESER'S ADDITION THEOREM IN GROUPS

GEORGE T. DIDERRICH

ABSTRACT. The following theorem is proved.

THEOREM A. Let G be a group written additively with finite nonempty subsets A, B . Assume that B is commutative, i.e. $b_1 + b_2 = b_2 + b_1$, for $b_1, b_2 \in B$. Then there exists an Abelian subgroup H of G such that $A + B + H = A + H + B = A + B$ and $|A + B| \geq |A + H| + |B + H| - |H|$.

This is Kneser's theorem, if G is Abelian. Also, as an application of the above theorem, the following is proved.

THEOREM B. Let G be a finite group of order v ($v > 1$) and let a_1, \dots, a_v be a sequence (repeats are allowed) of nonzero elements of G . The set S of sums $a_{i_1} + \dots + a_{i_t}$ where $1 \leq i_1 < \dots < i_t \leq v$ and $1 \leq t \leq v$ must contain a nontrivial subgroup H of G .

Finally, the Kemperman d -transform, a transform similar to the Dyson e -transform, is introduced and evidence is given to support the conjecture that Theorem A remains true, if the commutativity of B is dropped.

1. Introduction. Let G be an arbitrary group written additively, and let A, B , and C be finite nonempty subsets of G . $A + B$, the Schnirelmann sum of A and B , denotes the set of sums of the form $a + b$ where $a \in A$ and $b \in B$. A set B in G is said to be commutative, if $b_2 + b_1 = b_1 + b_2$ for $b_1, b_2 \in B$. Also, $|A|$ denotes the cardinal number of elements in A . Finally, for a set B the notation $\langle B \rangle$ denotes the group generated by B . We follow the notation and terminology in Mann [3] and Kemperman [1] and [2].

In §2 we prove that Knéser's theorem (cf. Theorem 1.5 in [3]) holds in an arbitrary group provided that one of the component sets is commutative. We prove

THEOREM 1. Let G be an arbitrary group and let A, B denote finite nonempty subsets of G . Assume that B is commutative. Then there exists an Abelian subgroup H of G such that

$$(1) \quad A + B + H = A + H + B = A + B;$$

$$(2) \quad |A + B| \geq |A + H| + |B + H| - |H|.$$

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Theorem 1 is Kneser's theorem, if G is Abelian. In §3 we give an application of Theorem 1, and in §4 we discuss the conjecture that Theorem 1 is true, if the commutativity of B is omitted.

We now introduce the Dyson e -transform (cf. pp. 5–6 in [3]) and show that its main properties hold, if B is commutative.

DEFINITION 1.1. Let G be a group with finite nonempty subsets A and B . Assume that B is commutative and $0 \in B$. Fix $e \in A$ and define the sets

$$(3) \quad A' = A \cup (e + B),$$

$$(4) \quad B' = B \cap (-e + A).$$

We call A' , B' the Dyson e -transform of A , B respectively.

LEMMA 1.2. Let A' , B' be the Dyson e -transform of A , B . Then

$$(a) \quad A' \supseteq A \text{ and } B' \subseteq B,$$

$$(b) \quad A' + B' \subseteq A + B,$$

$$(c) \quad |A'| + |B'| = |A| + |B|.$$

PROOF. Part (a) of the lemma clearly holds. For (c), it suffices to prove that $x \in A' \setminus A$ iff $-e + x \in B \setminus B'$. If $x \in A' \setminus A$, then for some $b \in B$, we have $x = e + b$; hence $-e + x = b$ and $b \notin B'$, otherwise for some $a \in A$ we would have $-e + x = -e + a$, thus $x = a$, a contradiction. So, $x \in A' \setminus A$ implies that $-e + x \in B \setminus B'$. Conversely, if $-e + x \in B \setminus B'$, then for some $b \in B$ we have $-e + x = b$, so $x = e + b$ and $x \notin A$, otherwise for some $a \in A$ we would have $x = a = e + b$, hence $-e + x \in B'$, a contradiction. Thus we have proved $x \in A' \setminus A$ iff $-e + x \in B \setminus B'$, so $|A' \setminus A| = |B \setminus B'|$ which proves (c).

For (b), if $x \in A$, then $x + B' \subseteq A + B$. So we may assume that $x \in A' \setminus A$, hence $x = e + b$ for some $b \in B$. Now $x + B' = e + b + B'$, but b and B' commute because $B' \subseteq B$, so $x + B' = (e + B') + b$. However, $B' \subseteq -e + A$, so $e + B' \subseteq A$. Consequently, $x + B' = (e + B') + b \subseteq A + b \subseteq A + B$ which proves (b). This completes the proof of the lemma.

Since the Dyson e -transform holds, if B is commutative, we proceed in a straightforward way (with some minor modifications) following Mann's proof (cf. pp. 6–9 in [3]). Further, we introduce some additional notation. For a set A in G , \bar{A} denotes the complement of A in G , i.e. $\bar{A} = G \setminus A$; and for a subgroup H of G , $N(H)$ denotes the normalizer of H in G , i.e. $N(H) = \{x \in G \mid x + H = H + x\}$.

2. The lemmas and proof of Theorem 1. Lemmas 2.0, 2.1, and 2.2 below are analogous to Lemmas 1.5.1, 1.5.2, and 1.5.3 respectively in Mann [3]. The main point is that the properties of the Dyson e -transform hold, if B is commutative. Hence, by repeatedly applying the e -transform, we

can "essentially" reduce the problem to the case when $A+B=A$. The choice of $H=\langle B \rangle$ then clearly satisfies (1) and (2) in the theorem.

LEMMA 2.0. *Let G be a group with subgroups H_1 and H_2 , and let C_1 and C_2 be finite nonempty subsets of G such that*

- (a) $C_1 + H_1 = C_1$,
- (b) $C_2 + H_2 = C_2$,
- (c) $N(H_1) \supseteq H_2$ and $N(H_2) \supseteq H_1$, and
- (d) neither $\bar{C}_1 \cap C_2$ nor $\bar{C}_2 \cap C_1$ is empty.

Then either

- (1) $|\bar{C}_1 \cap C_2| \geq |H_1| - |H_1 \cap H_2|$ or
- (2) $|\bar{C}_2 \cap C_1| \geq |H_2| - |H_1 \cap H_2|$.

PROOF. We first observe that $H_1 + H_2$ is a group with finite normal subgroups H_1, H_2 and $H_1 + H_2 = H_2 + H_1$. This follows from (c) in the lemma. Put $h_1 = |H_1|$ and $h_2 = |H_2|$. Let $H^* = H_1 + H_2$ and $H = H_1 \cap H_2$ and put $h = |H|$. Since $H^*/H_2 \cong H_1/H$ and $H^*/H_1 \cong H_2/H$, we have $m_2 h = h_1$ and $m_1 h = h_2$ where $m_2 = |H^*/H_2|$ and $m_1 = |H^*/H_1|$. The relations (1) and (2) become

- (3) $|\bar{C}_1 \cap C_2| \geq h_1 - h = h(m_2 - 1)$;
- (4) $|\bar{C}_2 \cap C_1| \geq h_2 - h = h(m_1 - 1)$.

Without loss of generality we may assume that $m_2 \geq m_1$. Let $e \in \bar{C}_2 \cap C_1$ and form the H^* -coset $R = e + H^*$. R is a union of m_1 disjoint H_1 -cosets as well as a union of m_2 H_2 -cosets. Conditions (a) and (b) in the lemma imply that C_1 is a union of H_1 -cosets and C_2 is a union of H_2 -cosets. Assume that $C_1 \cap R$ consists of v_1 disjoint H_1 -cosets and $C_2 \cap R$ consists of v_2 disjoint H_2 -cosets. Then $\bar{C}_1 \cap R$ consists of $m_1 - v_1$ disjoint H_1 -cosets and $\bar{C}_2 \cap R$ consists of $m_2 - v_2$ disjoint H_2 -cosets. However, one readily verifies using (c) in the lemma that the intersection of an H_1 -coset and an H_2 -coset in R is exactly an H -coset. Thus $(\bar{C}_1 \cap R) \cap (C_2 \cap R)$ is a union of $(m_1 - v_1)v_2$ disjoint H -cosets and $(\bar{C}_2 \cap R) \cap (C_1 \cap R)$ is a union of $(m_2 - v_2)v_1$ disjoint H -cosets. This yields

- (5) $|\bar{C}_1 \cap C_2 \cap R| = (m_1 - v_1)v_2 h$;
- (6) $|\bar{C}_2 \cap C_1 \cap R| = (m_2 - v_2)v_1 h > 0$.

The inequality in (6) is justified by choice of e .

From (3), (4), (5), and (6) it suffices to prove either

$$(7) \quad (m_1 - v_1)v_2 \geq m_2 - 1 \quad \text{or}$$

$$(8) \quad (m_2 - v_2)v_1 \geq m_1 - 1.$$

From (6) we have $v_1 \geq 1$ and $m_2 \geq v_2 + 1$.

Now we must have $v_2 \geq 1$, for if $v_2 = 0$ then (8) holds because $m_2 \geq m_1$. Also, we must have $m_1 \geq v_1 + 1$, for if $m_1 = v_1$ then again (8) holds. Consequently from the above we have

$$(9) \quad v_1 \geq 1, \quad v_2 \geq 1, \quad m_1 \geq v_1 + 1, \quad \text{and} \quad m_2 \geq v_2 + 1.$$

If we deny (7) and (8) and add, we get using (9)

$$(10) \quad \begin{aligned} 2v_1v_2 - 2 &> m_1v_2 + m_2v_1 - m_1 - m_2 = m_1(v_2 - 1) + m_2(v_1 - 1) \\ &\geq (v_1 + 1)(v_2 - 1) + (v_2 + 1)(v_1 - 1) = 2v_1v_2 - 2, \end{aligned}$$

which is a contradiction. This proves the lemma.

LEMMA 2.1. *Let G be a group with finite nonempty subsets A and B . Assume that B is commutative. Let c_0 be a fixed element of $A+B$ where $c_0 = a_0 + b_0$, $a_0 \in A$ and $b_0 \in B$. Then there exist sets A_1, B_1 , and an Abelian subgroup H_1 of G satisfying*

- (a) $c_0 \in A_1 + B_1 \subseteq A + B$,
- (b) $A_1 \supseteq A$ and $B_1 \subseteq B$ (hence B_1 is commutative),
- (c) $|A_1| + |B_1| = |A| + |B|$,
- (d) $H_1 + B_1 = B_1 + H_1$ and H_1 is a subgroup of $\langle B \rangle$,
- (e) $A_1 + B_1 + H_1 = A_1 + H_1 + B_1 = A_1 + B_1$, and
- (f) $|A_1 + B_1| \geq |A_1| + |B_1| - |H_1|$.

Hence from (c) and (f), $|A_1 + B_1| \geq |A| + |B| - |H_1|$.

PROOF. Without loss of generality, we may assume that $a_0 = b_0 = c_0 = 0$. For let $A' = -a_0 + A$ and let $B' = B - b_0$. Note that B' is commutative because B is. Suppose we are supplied by the conclusion of this lemma (for A', B' in place of A, B respectively) sets A'_1, B'_1 , and an Abelian subgroup H'_1 satisfying (a) through (f). Then one easily verifies that the choice $A_1 = a_0 + A'_1, B_1 = B'_1 + b_0$, and $H_1 = H'_1$ satisfies (a) through (f).

If $A+B=A$, then the choice $A_1=A, B_1=B$, and $H_1=\langle B \rangle$ satisfies (a) through (f). Hence, we may assume that $A+B \not\subseteq A$. Consequently, there exists $e \in A$ such that $e+B \not\subseteq A$. Fix such an e and consider the Dyson e -transform. From Lemma 1.2 we have

$$(11) \quad \begin{aligned} A' \supset A \text{ and } B' \subset B, \quad |A'| + |B'| &= |A| + |B|, \\ 0 \in A' + B' \subseteq A + B, \quad |B'| < |B|, \end{aligned}$$

where A', B' is the Dyson e -transform of A, B . Then by induction on $|B|$ we are done. This proves the lemma.

One sees that, for each $c \in A+B$, Lemma 2.1 yields an "inner approximation" to $C=A+B$ satisfying (1) and (2) in the theorem. The next lemma combines both Lemma 2.0 and Lemma 2.1 to piece all of these inner approximations together.

LEMMA 2.2. *Let G be a group with finite nonempty subsets A and B . Assume that B is commutative. For each subset $\{c_1, \dots, c_k\} \subseteq A+B$, there exists a set C_0 and an Abelian subgroup H_0 satisfying*

- (a) $C_0+H_0=C_0$,
- (b) $H_0+B=B+H_0$ and H_0 is a subgroup of $\langle B \rangle$,
- (c) $\{c_1, \dots, c_k\} \subseteq C_0 \subseteq A+B$, and
- (d) $|C_0| \geq |A|+|B|-|H_0|$.

PROOF. We use induction on k . For $k=1$ the lemma follows from Lemma 2.1. For $k>1$, let C_1, H_1 satisfy (a) through (d) in place of C_0, H_0 where $\{c_1, \dots, c_{k-1}\} \subseteq C_1$, and similarly let C_2, H_2 satisfy (a) through (d) where $c_k \in C_2$. If either $C_1 \subseteq C_2$ or $C_2 \subseteq C_1$ we are done. Hence, we may assume that neither $\bar{C}_1 \cap C_2$ nor $\bar{C}_2 \cap C_1$ is empty. Since H_1 and H_2 are Abelian subgroups in $\langle B \rangle$, we may apply Lemma 2.0. Thus, either

$$(12) \quad |\bar{C}_1 \cap C_2| \geq |H_1| - |H_1 \cap H_2| \quad \text{or}$$

$$(13) \quad |\bar{C}_2 \cap C_1| \geq |H_2| - |H_1 \cap H_2|.$$

If (12) holds, let $H_0=H_1 \cap H_2$ and $C_0=C_1 \cup C_2$. Then $C_0+H_0=C_0$ and $\{c_1, \dots, c_k\} \subseteq C_0 \subseteq A+B$; furthermore $C_0=C_1 \cup (\bar{C}_1 \cap C_2)$ so $|C_0|=|C_1|+|\bar{C}_1 \cap C_2|$. But $|C_1| \geq |A|+|B|-|H_1|$ and from (12) we get $|C_0| \geq |A|+|B|=|H_0|$. If (13) holds the proof is similar. This completes the proof of the lemma.

To prove the theorem, take $\{c_1, \dots, c_k\}=A+B$ in Lemma 2.2. Inequality (2) in the theorem follows by considering $A+H, B+H$ in place of A, B respectively, since $(A+H)+(H+B)=A+B$.

3. An application of Theorem 1. Examples of commutative sets B in a group G are subsets of the cyclic group $\langle d \rangle$ where $d \in G$ and $d \neq 0$. In particular sets in the form $\{0, d, \dots, sd\}$, i.e. arithmetic progressions with first term 0, are commutative sets.

As an easy application of Theorem 1, we now prove

THEOREM 3. *Let G be a finite group of order v ($v>1$) and let a_1, \dots, a_v be a sequence (repeats are allowed) of nonzero elements of G . The set of sums $a_{i_1} + \dots + a_{i_t}$ where $1 \leq i_1 < \dots < i_t \leq v$ and $1 \leq t \leq v$ must include a nontrivial subgroup H of G .*

PROOF. Let $S' = \{0, a_1\} + \cdots + \{0, a_v\}$. Then $S' = S$ unless $0 \notin S$. But $0 \in S$ by the following pyramidal argument. Write

$$\begin{aligned}
 s_1 &= a_1 \\
 s_2 &= a_1 + a_2 \\
 &\cdot \\
 &\cdot \\
 &\cdot \\
 s_v &= a_1 + \cdots + a_v.
 \end{aligned}
 \tag{1}$$

If all the s_i 's are distinct then $S = G$, hence $0 \in S$. And, if two are equal say $s_i = s_j$ ($i < j$), then $a_{i+1} + \cdots + a_j = 0$ and again $0 \in S$. Thus $S' = S$ and to prove the theorem we need only estimate S' by Theorem 1, since each $\{0, a_i\}$ is commutative.

Put $A_i = \{0, a_i\}$ for $1 \leq i \leq v$. Theorem 1 implies that for each $2 \leq j \leq v$ there is a subgroup H of G such that

$$(A_1 + \cdots + A_j) + H = A_1 + \cdots + A_j.
 \tag{2}$$

If one such subgroup H were nontrivial, then clearly $S' \supset H$ and we are done. If all such subgroups were trivial, then by induction and Theorem 1 we have

$$\begin{aligned}
 |A_1 + \cdots + A_v| &\geq |A_1| + \cdots + |A_v| - (v - 1) \\
 &\geq 2v - (v - 1) = v + 1,
 \end{aligned}
 \tag{3}$$

a contradiction since $A_1 + \cdots + A_v \subseteq G$ and this concludes the proof of the theorem.

4. The Kemperman d -transform and a conjecture. Kemperman (cf. Theorem 5 in [1]) proved the following

THEOREM 4. *Let A and B be finite nonempty subsets of a semigroup G such that $k(A, B) \geq 2$ where $k(A, B) = |A| + |B| - |A + B|$. Let $c_0 = a_0 + b_0$ ($a_0 \in A$ and $b_0 \in B$) be an element of $A + B$ possessing an inverse in G . Then G contains a finite group H such that*

$$\begin{aligned}
 (1) \quad &|A + B| \geq |A| + |B| - |H|, \\
 (2) \quad &a_0 + H + b_0 \subseteq A + B.
 \end{aligned}$$

This result is proved by means of a transform similar to the Dyson e -transform. We now proceed to define this transform and examine its properties.

DEFINITION 4.1. Let A, B be finite nonempty subsets of an arbitrary

group G . Put $C=A+B$ and $\lambda=|C|-|A|$, $\mu=|C|-|B|$. Assume that $0 \in A \cap B$. Let $D=A \cap B$ and let $k(A, B)=|A|+|B|-|C|$.

(3) Assume that $A + d \not\subset A$ for some $d \in D$ and fix such a d .

Define the sets

$$(4) \quad A_0 = \{a_0 \in A \mid a_0 + d \notin A\},$$

$$(5) \quad B_0 = \{b_0 \in B \mid d + b_0 \notin B\}.$$

Put $p=|A_0|$ and $q=|B_0|$. From (3) we have $d \neq 0$, $0 \notin A_0$, $0 \notin B_0$, and $p \geq 1$. Define the sets A' , B' by

$$(6) \quad A' = A \cup (A_0 + d), \quad B' = B \setminus B_0, \quad \text{if } p \geq q;$$

$$(7) \quad A' = A \setminus A_0, \quad B' = B \cup (d + B_0), \quad q > p.$$

We call A' , B' the *Kemperman d -transform* of A , B respectively.

In what follows let λ' , μ' be defined as λ , μ with A' , B' in place of A , B respectively. Also, let $C'=A'+B'$.

LEMMA 4.2. *Let A' , B' be the Kemperman d -transform of A , B . Then*

- (a) $A'+B' \subseteq A+B$ and $0 \in A' \cap B' \subseteq A'+B'$,
- (b) $|A'|+|B'| \geq |A|+|B|$ with equality iff $p=q$,
- (c) $\lambda'+\mu' \leq \lambda+\mu$ and either $\lambda' < \lambda$ or $\mu' < \mu$, and
- (d) $k(A', B') \geq k(A, B)$.

PROOF. For (a), we clearly have $0 \in A' \cap B' \subseteq A'+B'$. To show $A'+B' \subseteq A+B$ first assume that $p \geq q$ and consider $(a_0+d)+b'$ where $a_0+d \in A_0+d$ and $b' \in B'$. Then $(a_0+d)+b'=a_0+(d+b')$, but $d+b' \in B$ by definition of B_0 , so $a_0+d+b' \in A+B$. The proof for $q > p$ is similar.

For (b), assume that $p \geq q$. Then $|A'|=|A|+p$ and $|B'|=|B|-q$; hence $|A'|+|B'|=|A|+|B|+(p-q) \geq |A|+|B|$. The proof for $q > p$ is similar.

For (c), note that $\lambda+\mu=2|C|-|A|-|B|$ and $\lambda'+\mu'=2|C'|-|A'|-|B'|$, but, by (a), $|C'| \leq |C|$; hence, by (b), $\lambda'+\mu' \leq \lambda+\mu$. If $p \geq q$, then $|A'| > |A|$ hence, by (a), $|C'|-|A'| < |C|-|A|$ thus $\lambda' < \lambda$. The proof for $q > p$ is similar.

For (d), we have $k(A, B)=|A|+|B|-|C|$ and $k(A', B')=|A'|+|B'|-|C'|$. So, by (a) and (b), $k(A', B') \geq k(A, B)$.

This completes the proof of the lemma.

Using the Kemperman d -transform, we now prove a lemma equivalent to Theorem 4 in the case that G is a group.

LEMMA 4.3. *Let G be a group with finite nonempty subsets A and B . Let c_0 be a fixed element of $A+B$ where $c_0=a_0+b_0$, $a_0 \in A$, and $b_0 \in B$.*

Then there exist finite subsets A_1, B_1 , and a finite subgroup H_1 of G such that

- (a) $A_1 + B_1 \subseteq A + B$,
- (b) $a_0 \in A_1, b_0 \in B_1$, and $c_0 \in A_1 + B_1$,
- (c) $|A_1| + |B_1| \geq |A| + |B|$,
- (d) $A_1 + H_1 + B_1 = A_1 + B_1$,
- (e) either $H_1 \subseteq \langle A_1 \rangle$ or $H_1 \subseteq \langle B_1 \rangle$, and
- (f) $|A_1 + B_1| \geq |A_1| + |B_1| - |H_1|$.

Hence, from (a), (c), and (f):

$$(8) \quad |A + B| \geq |A| + |B| - |H_1|.$$

PROOF. As in the proof of Lemma 2.1, we may assume that $c_0 = a_0 = b_0 = 0$. Thus, $0 \in A \cap B$ and $C = A + B \supseteq A \cup B$, therefore

$$(9) \quad |C| \geq |A| + |B| - |D|$$

where $D = A \cap B$. This implies that $|D| \geq k(A, B)$. If $k(A, B) \leq 1$, we are done. So, we may further assume that $|D| \geq k(A, B) \geq 2$.

Put $\lambda = |C| - |A|$ and $\mu = |C| - |B|$. Clearly $\lambda \geq 0$ and $\mu \geq 0$. If $\lambda = 0$, then $A + B = A$. The choice $A_1 = A, B_1 = B$, and $H_1 = \langle B \rangle$ clearly satisfies the conditions in the lemma. Similarly, the lemma holds if $\mu = 0$. Further, if $A + D \subseteq A$, then the choice $A_1 = A, B_1 = B$, and $H_1 = \langle D \rangle$ also satisfies the conditions in the lemma where (f) follows from (9). Consequently, we may assume that $A + d \not\subseteq A$ for some $d \in D$. Fix such a d .

By induction on λ and μ , we can assume the lemma holds when A, B is replaced by A', B' respectively such that for the corresponding integers $\lambda' + \mu' \leq \lambda + \mu$ and either $\lambda' < \lambda$ or $\mu' < \mu$. By means of the Kemperman d -transform (Lemma 4.2), we now construct sets A', B' (the Kemperman d -transform of A, B) such that

- (1) $A' + B' \subseteq A + B$ and $0 \in A' \cap B'$,
- (2) $|A'| + |B'| \geq |A| + |B|$,
- (3) $k(A', B') \geq k(A, B) \geq 2$,
- (4) $|D'| \geq 2$, and
- (5) $\lambda' + \mu' \leq \lambda + \mu$ and either $\lambda' < \lambda$ or $\mu' < \mu$.

Therefore, by induction this concludes the proof.

Note, Lemma 4.3 is the analogue of Lemma 2.1. Also, Lemma 4.3 implies that

$$(10) \quad C = \bigcup_{a \in A, b \in B} (a + H_{a,b} + b)$$

where for each $a \in A, b \in B, H_{a,b}$ is a subgroup of G satisfying

$$(11) \quad |C| \geq |A| + |B| - |H_{a,b}|.$$

Now, if we could prove a lemma analogous to Lemma 2.0, then we could find a "uniform" H (as in Lemma 2.2) such that

$$(12) \quad A + H + B = A + B;$$

$$(13) \quad |C| \geq |A| + |B| - |H|.$$

So, on the basis of the above results and Theorem 1, we make the

CONJECTURE. Let G be an arbitrary group and let A, B be finite non-empty subsets of G . Then G contains a finite group H such that

$$(14) \quad A + H + B = A + B;$$

$$(15) \quad |A + B| \geq |A + H| + |H + B| - |H|.$$

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Current address: 2973 North Cramer Street, Milwaukee, Wisconsin 53211