

TANGENTIAL ASYMPTOTIC VALUES OF BOUNDED ANALYTIC FUNCTIONS

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ABSTRACT. Suppose f is a bounded analytic function on the unit disc whose Fatou boundary function is approximately continuous from above at 1 with value 0. It is well known that f tends to zero radially and therefore along every nontangential arc. Tanaka [3] and Boehme and Weiss [1] have shown that f must also tend to zero along certain arcs which are tangential from above. The purpose of this paper is to improve their results by producing a larger collection of such tangential arcs along which f tends to zero. We construct a class of examples to show that our result is actually better.

1. Introduction and main theorem. Let f be a bounded analytic function on the unit disc $D = \{re^{i\theta} : r < 1\}$. By a classical theorem of Fatou, f has radial limits for almost all θ . We denote these limits by $f(e^{i\theta})$ and call this function the (Fatou) boundary function of f . Given $\varepsilon > 0$ let

$$S_\varepsilon = S'_\varepsilon(f) = \{e^{i\theta} : 0 \leq \theta \leq \pi, |f(e^{i\theta})| < \varepsilon\}$$

and let S'_ε denote the complement of this set in the upper semicircle. Then, by definition, $f(e^{i\theta})$ is approximately continuous from above at 1 with value 0 if

$$\lim_{\theta \rightarrow 0^+} \frac{1}{\theta} \int_0^\theta \chi_{S'_\varepsilon}(e^{it}) dt = 0$$

for every $\varepsilon > 0$, where χ_M denotes the characteristic function of the set M . If we let $\delta(\theta) = \theta^{-1} \int_0^\theta |f(e^{it})| dt$, then it is easily seen that f is approximately continuous from above at 1 with value 0 if and only if $\lim_{\theta \rightarrow 0^+} \delta(\theta) = 0$.

Supposing that $\lim_{\theta \rightarrow 0^+} \delta(\theta) = 0$, Tanaka [3] proved that $f(re^{i\theta})$ tends to zero along any curve for which $2\theta(\delta(2\theta))^{1/2}/(1-r)$ remains bounded.

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This was improved in [1, Theorem 3.1], where it is shown that $f(re^{i\theta})$ still tends to zero along any curve for which $2\theta\delta(2\theta)/(1-r)$ tends to zero. Using Jensen's inequality and Lemma 3.2 of [1] we obtain the following theorem.

THEOREM 1. *Let f be a bounded analytic function on the unit disc with boundary function $f(e^{i\theta})$. Suppose $f(e^{i\theta})$ is approximately continuous from above at 1 with value 0. Then, f tends to zero along any upper tangential curve Γ for which*

$$\limsup_{re^{i\theta} \rightarrow 1, re^{i\theta} \in \Gamma} \frac{1}{1-r} \int_0^{2\theta} \chi_{S_\varepsilon}'(e^{it}) dt = o(\log 1/\varepsilon)$$

as $\varepsilon \rightarrow 0$.

PROOF. Lemma 3.2 of [1] proves that for any measurable subset S of $\{e^{it}: 0 \leq t \leq \pi\}$ and all small enough $\theta > 0$,

$$u_S(re^{i\theta}) \geq \frac{2}{\pi} \tan^{-1} \left\{ \frac{a/2\theta}{((1-r)/\tan(\theta/2) + 4\theta[1 - (a/2\theta)]/(1-r))} \right\}$$

where u_S is the harmonic measure of S and

$$a = a(S, \theta) = \int_0^{2\theta} \chi_S(e^{it}) dt.$$

Thus, if Γ is any upper tangential arc in D terminating at 1 and if $a(S, \theta)/2\theta$ tends to 1 as $\theta \rightarrow 0^+$, then

$$\liminf_{re^{i\theta} \rightarrow 1, re^{i\theta} \in \Gamma} u_S(re^{i\theta}) \geq \frac{2}{\pi} \tan^{-1} \left\{ \frac{1}{2} \limsup_{re^{i\theta} \rightarrow 1, re^{i\theta} \in \Gamma} \frac{a(S', \theta)}{1-r} \right\}.$$

We assume now without loss of generality that $|f(e^{i\theta})| < 1$. Using Jensen's inequality we then obtain the estimates for $re^{i\theta} \in \Gamma$, $\theta \rightarrow 0$, and $0 < \varepsilon < 1$,

$$\begin{aligned} \limsup \log |f(re^{i\theta})| &\leq \limsup \frac{1}{2\pi} \int_0^{2\pi} \log |f(e^{it})| P_r(\theta - t) dt \\ &\leq \limsup \frac{1}{2\pi} \int_{S_\varepsilon} \log |f(e^{it})| P_r(\theta - t) dt \\ &\leq \log \varepsilon \liminf u_{S_\varepsilon}(re^{i\theta}) \\ &\leq \log \varepsilon \frac{2}{\pi} \tan^{-1} \left\{ \frac{1}{2} \limsup \frac{a(S'_\varepsilon, \theta)}{1-r} \right\} \end{aligned}$$

where $P_r(\theta)$ is the Poisson kernel. It is easily seen that our assumption implies that the last member of the above string of inequalities tends to $-\infty$ along Γ . Consequently, f must tend to zero along Γ as claimed.

If we now return to the condition of Theorem 3.1 of [1] we see that it implies the condition of Theorem 1. To verify this, suppose $2\theta\delta(2\theta)/(1-r)$ tends to zero along the upper tangential curve Γ . Then, for any $\varepsilon > 0$,

$$\varepsilon \cdot \frac{1}{1-r} \int_0^{2\theta} \chi_{S'_\varepsilon}(e^{it}) dt \leq \frac{1}{1-r} \int_0^{2\theta} |f(e^{it})| dt = \frac{2\theta\delta(2\theta)}{1-r}.$$

Therefore, for any $\varepsilon > 0$, $\limsup a(S'_\varepsilon, \theta)/(1-r) = 0$ along Γ and the condition of Theorem 1 above is easily satisfied.

2. A class of examples. If $f(e^{i\theta})$ is actually continuous from above at 1 with value 0, then for every $\varepsilon > 0$, S_ε includes an interval abutting 1 from above. Thus, the condition of Theorem 1 is satisfied for any upper tangential curve at 1 as one would hope. This is not the case for the result of [1]. It does not prove, for example, that such a continuous function must tend to zero along the upper tangential curve $2\theta\delta(2\theta) = 1-r$.

In this section we will construct a class of functions which demonstrates further the distance between Theorem 3.1 of [1] and Theorem 1 of this paper. Before proceeding to the construction of these examples we require some preliminaries.

Throughout the remainder of the paper $\rho(\theta)$ will denote a nondecreasing convex function defined near 0^+ with

$$\rho(0) = \rho'(0) = 0, \quad \rho(\theta) > 0 \quad \text{for } \theta \neq 0.$$

LEMMA 1. *With ρ as above and c any constant*

$$\lim_{\theta \rightarrow 0^+} \frac{\rho(\theta + c\rho(\theta))}{\rho(\theta)} = 1.$$

The proof of this lemma is not hard and can be found in [2].

LEMMA 2. *Let ρ be as above. Let c be a positive constant and suppose $\theta_1 > \theta_2 > \dots$, $\theta_n \rightarrow 0^+$ are defined so that the system of intervals $[\theta_n - c\rho(\theta_n), \theta_n + c\rho(\theta_n)]$, $n=1, 2, \dots$, is nonoverlapping. Suppose further that, as $n \rightarrow \infty$, $\sum_{m=n+1}^{\infty} \rho(\theta_m) = o(\rho(\theta_n))$. Then, if I is the union of the above system of intervals,*

$$\limsup_{\theta \rightarrow 0^+} \frac{1}{\rho(\theta)} \int_0^\theta \chi_I(e^{it}) dt = 2c.$$

PROOF. Let $F(\theta) = (1/\rho(\theta)) \int_0^\theta \chi_I(e^{it}) dt$. Clearly, when $\theta_{n+1} + c\rho(\theta_{n+1}) \leq \theta \leq \theta_n - c\rho(\theta_n)$, we have $F(\theta) \leq F(\theta_{n+1} + c\rho(\theta_{n+1}))$. Thus, $\limsup F(\theta)$

can be computed restricting θ to lie in the intervals of I . If $\theta_n - c\rho(\theta_n) \leq \theta \leq \theta_n + c\rho(\theta_n)$, then

$$\begin{aligned} F(\theta) &= \left[\theta - (\theta_n - c\rho(\theta_n)) + \sum_{m=n+1}^{\infty} 2c\rho(\theta_m) \right] / \rho(\theta) \\ &\leq \left[2c\rho(\theta_n) + \sum_{m=n+1}^{\infty} 2c\rho(\theta_m) \right] / \rho(\theta_n - c\rho(\theta_n)) \\ &= \frac{\rho(\theta_n + c\rho(\theta_n))}{\rho(\theta_n)} \frac{\rho(\theta_n)}{\rho(\theta_n - c\rho(\theta_n))} F(\theta_n + c\rho(\theta_n)). \end{aligned}$$

From Lemma 1 and our hypotheses we have

$$\begin{aligned} \limsup_{\theta \rightarrow 0^+} F(\theta) &= \limsup_{n \rightarrow \infty} F(\theta_n + c\rho(\theta_n)) \\ &= \limsup_{n \rightarrow \infty} \frac{2c\rho(\theta_n) + \sum_{m=n+1}^{\infty} 2c\rho(\theta_m)}{\rho(\theta_n + c\rho(\theta_n))} \\ &= 2c. \end{aligned}$$

EXAMPLE. Let ρ be a convex function as above, let Γ_ρ be the convex upper tangential curve $1-r=\rho(2\theta)$. Then, there exists a corresponding bounded analytic function f such that, as $\varepsilon \rightarrow 0^+$,

$$(1) \quad \limsup_{r\varepsilon^{i\theta} \rightarrow 1, r\varepsilon^{-i\theta} \in \Gamma_\rho} \frac{1}{1-r} \int_0^{2\theta} \chi_{S_\varepsilon'}(e^{it}) dt = o(\log 1/\varepsilon)$$

while

$$(2) \quad \lim_{\varepsilon \rightarrow 0^+} \limsup_{r\varepsilon^{i\theta} \rightarrow 1, r\varepsilon^{-i\theta} \in \Gamma_\rho} \frac{1}{1-r} \int_0^{2\theta} \chi_{S_\varepsilon'}(e^{it}) dt = \infty$$

so that $\limsup 2\theta\delta(2\theta)/(1-r) \neq 0$ as $\theta \rightarrow 0^+$.

PROOF. The example is constructed in several stages. For fixed $\varepsilon \in (0, 1/e)$ let $m(\varepsilon)$ denote the greatest integer in $(\log 1/\varepsilon)^{1/2}$. Choose a nondecreasing sequence $\{a(k)\}$ of positive numbers tending to ∞ but so slowly that

$$(3) \quad 2 \sum_{k=1}^{m(\varepsilon)} a(k) \leq (\log 1/\varepsilon)^{3/4} \quad \text{for all } 0 < \varepsilon < 1/e.$$

For example, choose $\{a(k)\}$ so that the average of the first N elements is less than $\sqrt{N/2}$.

We construct below a doubly infinite sequence of nonoverlapping intervals $I_{n,k} = [\theta_{n,k} - a(k)\rho(\theta_{n,k}), \theta_{n,k} + a(k)\rho(\theta_{n,k})]$, $n, k = 1, 2, 3, \dots$ in such a way that, for each k ,

$$(4) \quad \sum_{m=n+1}^{\infty} \rho(\theta_{m,k}) = o(\rho(\theta_{n,k})), \quad \text{as } n \rightarrow \infty.$$

We, furthermore, require that if J_0, J_1, \dots are the intervals complementary to the system $\{I_{n,k}\}$ in $(0, \pi/2)$, then the right-hand endpoints $\varphi_0, \varphi_1, \dots$ of J_0, J_1, \dots proceed to 0 at least as fast as a geometric sequence so that

$$(5) \quad \sum_{k=1}^{\infty} k^2 \varphi_k < \infty.$$

Explicitly, choose $\theta_1 = \frac{1}{2}$ and positive values of θ_n such that $\theta_{n+1} < \theta_n/4^n$ and $\rho(\theta_{n+1}) < \rho(\theta_n)/4^n$. Let $\theta'_{n,k} = \theta_{2^k(2n-1)}$, $n, k = 1, 2, 3, \dots$; and let

$$I'_{n,k} = \{\theta : \theta'_{n,k} - a(k)\rho(\theta'_{n,k}) \leq \theta \leq \theta'_{n,k} + a(k)\rho(\theta'_{n,k})\}.$$

For fixed k and m and $n = 1, 2, 3, \dots$,

$$\begin{aligned} \frac{\theta_n - a(k)\rho(\theta_n)}{\theta_{n+1} + a(m)\rho(\theta_{n+1})} &> \frac{\theta_n - a(k)\rho(\theta_n)}{\theta_n/4^n + a(m)\rho(\theta_n/4^n)} \\ &= 4^n \frac{1 - a(k)\rho(\theta_n)/\theta_n}{1 + a(m)\rho(\theta_n/4^n)/(\theta_n/4^n)} \rightarrow \infty \text{ as } n \rightarrow \infty, \end{aligned}$$

since $\rho'(0) = 0$. Because of this we may choose n_1 so large that the intervals $I'_{n_1,1}, I'_{n_1+1,1}, \dots$ are nonoverlapping. Call these intervals $I_{1,1}, I_{2,1}, \dots$ and denote their centers by $\theta_{1,1}, \theta_{2,1}, \dots$. This done, we may choose n_2 so large that the intervals $I_{1,1}, I_{2,1}, \dots$ and $I'_{n_2,2}, I'_{n_2+1,2}, \dots$ are nonoverlapping and so that $I'_{n_2,2}$ is closer to zero than $I_{1,1}$. Rename the latter (primed) intervals $I_{1,2}, I_{2,2}, \dots$ and their centers $\theta_{1,2}, \theta_{2,2}, \dots$. Continuing inductively we obtain a system $I_{n,k}$ of nonoverlapping subintervals of $(0, \pi/2)$. It is also clear that the endpoints $\varphi_0, \varphi_1, \dots$ of the complementary intervals to this system proceed to zero at least as rapidly as the midpoints θ_n of the original system. Since these latter are geometric, condition (5) above is satisfied. To verify condition (4), we first estimate

$$\frac{\sum_{m=n+1}^{\infty} \rho(\theta_m)}{\rho(\theta_n)} < \sum_{j=1}^{\infty} \frac{1}{4^{jn} + j(j-1)/2} < \frac{1}{4^n} \frac{1}{1 - 1/4^n} \rightarrow 0 \text{ as } n \rightarrow \infty.$$

Then, using the identity $\theta_{m,k} = \theta'_{(m+n_k-1),k} = \theta_{2^k(2m+2n_k-3)}$, which follows from our construction, we have for fixed k

$$\frac{\sum_{m=n+1}^{\infty} \rho(\theta_{m,k})}{\rho(\theta_{n,k})} \leq \frac{\sum_{m=2^k(2n+2n_k-1)}^{\infty} \rho(\theta_m)}{\rho(\theta_{2^k(2n+2n_k-3)})} < \frac{\sum_{m=N}^{\infty} \rho(\theta_m)}{\rho(\theta_{N-1})} \rightarrow 0$$

where $N = 2^k(2n + 2n_k - 1)$.

The next step is to define the function f of the example. For $k = 1, 2, \dots$ let $I_k = \bigcup_{n=1}^{\infty} I_{n,k}$. Define $k(\theta)$ for $0 \leq \theta \leq 2\pi$ by setting $k(\theta) = 0$ for $\theta \in [\pi/2, 2\pi]$ and for $\theta \in J_0$. For $k = 1, 2, \dots$ let $k(\theta) = -k^2$ for $\theta \in I_k \cup J_k$.

Since by condition (5) above $-\int_0^{2\pi} k(\theta) d\theta \leq \sum_{k=1}^{\infty} k^2 \varphi_k < \infty$, we have that $k(\theta)$ is integrable. Therefore, the function

$$f(z) = \exp \frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + z}{e^{it} - z} k(t) dt$$

is bounded analytic on D and as is well known, $|f(e^{i\theta})| = e^{k(\theta)}$ a.e.

We now see that for $0 < \varepsilon < 1/e$, $|f(e^{i\theta})| \geq \varepsilon$ if and only if $e^{k(\theta)} \geq \varepsilon$ or $k(\theta) \geq \ln \varepsilon$. Since the values of $k(\theta)$ are $0, -1, -4, -9, \dots$ we have $-k^2 \geq \ln \varepsilon$ if and only if $k \leq m(\varepsilon)$. Thus, for each $0 < \varepsilon < 1/e$ there is an interval K_ε abutting 0 on the right such that $S'_\varepsilon \cap K_\varepsilon$ consists exactly of all but a finite number of the intervals of $\bigcup_{k=1}^{m(\varepsilon)} I_k$.

We are now in a position to verify the properties (1) and (2) of the example. Recalling Lemma 2 and the definitions of $a(k)$, $m(\varepsilon)$, S'_ε , Γ_ρ , and I_k we make the following estimates for $\theta \rightarrow 0^+$, $\theta \in K_\varepsilon$ and $re^{i\theta} \in \Gamma_\rho$,

$$\begin{aligned} 2a(m(\varepsilon)) &= \limsup \frac{1}{\rho(2\theta)} \int_0^{2\theta} \chi_{I_{m(\varepsilon)}}(e^{it}) dt \\ &= \limsup \frac{1}{1-r} \int_0^{2\theta} \chi_{I_{m(\varepsilon)}}(e^{it}) dt \\ &\leq \limsup \frac{1}{1-r} \int_0^{2\theta} \chi_{S'_\varepsilon}(e^{it}) dt \\ &= \limsup \sum_{k=1}^{m(\varepsilon)} \frac{1}{\rho(2\theta)} \int_0^{2\theta} \chi_{I_k}(e^{it}) dt \leq 2 \sum_{k=1}^{m(\varepsilon)} a(k) \\ &\leq (\log 1/\varepsilon)^{3/4} = o(\log 1/\varepsilon). \end{aligned}$$

Finally, the assertion following (2) of the example is seen from the computations of the last paragraph of §1.

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