

## AN EXTENSION THEOREM FOR $H^p$ FUNCTIONS

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**ABSTRACT.** Let  $V$  be a pure  $(n-1)$ -dimensional variety in the polydisc  $U^n$  with the distance from  $V$  to the torus  $T^n$  positive and assume  $f$  is analytic on  $\Omega \equiv U^n \setminus V$ . Further let  $u(z)$  be the real part of a function  $g$  analytic on  $\Omega$  and assume  $|f(z)|^p \leq u(z)$  for  $z \in \Omega$ . Then  $f$  can be analytically extended to a function  $\hat{f}$  in  $H^p(U^n)$ .

**1. Notation and definitions.** In this note,  $C$  will denote the complex field and  $C^n$  will be the cartesian product of  $n$  copies of  $C$ . The open unit disc in  $C$  is denoted by  $U$ ; its boundary is the circle  $T$ . The unit polydisc  $U^n$  and the torus  $T^n$  are the subsets of  $C^n$  which are cartesian products of  $n$  copies of  $U$  and  $T$ , respectively. If  $Z = (z_1, \dots, z_n) \in C^n$  the norm of  $Z$  is given by

$$\|Z\| = \sup_{1 \leq i \leq n} |z_i|$$

and the polydisc of radius  $\rho > 0$  with center  $Z^0 = (z_1^0, \dots, z_n^0)$  is given by

$$U_\rho^n(Z^0) = \{Z \in C^n : \|Z - Z^0\| < \rho\}.$$

A complex-valued function  $f$  defined on  $U^n$  is said to be holomorphic in  $U^n$  provided  $f$  is continuous in  $U^n$  and  $f$  is holomorphic in each variable separately. For  $1 \leq p < \infty$  the Banach space  $H^p(U^n)$  consists of those holomorphic functions  $f$  which satisfy

$$(1) \quad \sup_{0 < r_i < 1} \int_{T^n} |f(r_1 e^{iz_1}, \dots, r_n e^{iz_n})|^p dm_n(x) < \infty,$$

where  $m_n$  is the normalized Haar measure on  $T^n$ . A variety in  $U^n$  is a closed subset  $V \subset U^n$  such that for each point  $p \in V$  there is a neighborhood  $W$  of  $p$ ,  $W \subseteq U^n$  and analytic functions  $f_1, \dots, f_k$  (analytic in  $W$ ) such that

$$V \cap W = Z(f_1) \cap \dots \cap Z(f_k)$$

where  $Z(f)$  is the zero set of  $f$ . If each of the irreducible branches of  $V$

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have dimension  $n-1$  then  $V$  is a pure  $(n-1)$ -dimensional variety. A theorem of Cartan (see [1, p. 251]) implies that if  $V$  is a pure  $(n-1)$ -dimensional variety in  $U^n$  there is a function  $g$  analytic in  $U^n$  with  $V=Z(g)$ . The set  $RP(U^n)$  denotes the set of real-valued functions defined on  $U^n$  which are the real parts of functions holomorphic on  $U^n$ . A real-valued function  $a(Z)$  defined on  $U^n$  is  $n$ -harmonic if for each fixed  $Z=(z_1, \dots, z_n)$  in  $U^n$  the mapping

$$\zeta_j \rightarrow a(z_1, z_2, \dots, \zeta_j, \dots, z_n)$$

is harmonic in  $U$  for each  $j=1, 2, \dots, n$ . Each function in  $RP(U^n)$  is  $n$ -harmonic in  $U^n$  but there are  $n$ -harmonic functions which are not the real parts of functions holomorphic in  $U^n$ .

**2. An extension theorem.** Let  $\Omega$  be a domain in the complex plane  $C$ . For  $1 \leq p < \infty$ , let  $H^p(\Omega)$  be the space of functions  $f$ , holomorphic on  $\Omega$ , and such that the subharmonic function  $|f(Z)|^p$  has a harmonic majorant in  $D$  (see [4, p. 46]). If  $Z_0$  is a fixed point of  $\Omega$  and  $u(Z)$  is the least harmonic majorant of  $|f(Z)|^p$ , then  $\|f\| = [u(Z_0)]^{1/p}$  can be taken as the norm for  $f \in H^p(\Omega)$ . It is easily seen that with  $n=1$  and  $\Omega=U$  that this gives the same set of functions as those satisfying equation (1).

The following lemma appears to be known but since we have no specific reference we outline a short proof.

**LEMMA 1.** *Assume  $f(z)$  is holomorphic in  $0 < |z| < 1$  and that there exists a function  $u(z)$  harmonic on  $0 < |z| < 1$  such that  $f$  satisfies  $|f(z)| \leq u(z)$  there. Then  $f$  can be extended to be a holomorphic function on  $U$ .*

**PROOF.** Assume first that  $f$  has a pole at  $z=0$ , say  $f(z)=Z^{-k}S(z)$  with  $S(0) \neq 0$  and  $k$  a positive integer. It is possible to select a (multivalued) function  $V(z)$  so that  $g(z) \equiv \exp(u(z)+iV(z))$  is analytic in  $0 < |z| < 1$ . In this case  $g$  will have a pole at  $z=0$  and also  $g$  has growth rate  $\exp(r^{-k})$ , which is not possible.

If  $f$  has an essential singularity at  $z=0$ , we conclude from the inequalities

$$|e^f| \leq e^{|f|} \leq |g| = e^u \quad \text{and} \quad e^{|f|} \geq 1$$

that  $g$  has a pole at  $z=0$ . This implies the existence of an integer  $q$  such that the function  $z^q e^f$  is bounded near  $z=0$ . This is not possible.

In his thesis [2], Parreau has proven the following result. If  $K$  is a compact subset of  $U$  with the logarithmic capacity of  $K$  being zero, then each  $f \in H^p(U \setminus K)$  can be continued to a function  $\hat{f}$  analytic on  $U$  and  $\hat{f}$  is in  $H^p(U)$ . The following theorem is our analogue of Parreau's theorem for  $U^n$ .

**THEOREM 1.** *Let  $V$  be a pure  $(n-1)$ -dimensional variety in  $U^n$  with the distance of  $V$  to  $T^n$  being positive. If  $f$  is holomorphic in  $\Omega \equiv U^n \setminus V$  and if there is a function  $u(Z) \in RP(\Omega)$  which satisfies the inequality  $|f(Z)|^p \leq u(Z)$  for all  $Z \in \Omega$  then there is a function  $\hat{f}$  which extends  $f$  holomorphically to all of  $U^n$  and such that  $\hat{f}$  is in  $H^p(U^n)$ .*

**PROOF.** Without loss of generality we assume  $V$  is the zero set of a function  $g$  analytic on  $U^n$ . Let us prove first that  $f$  can be extended analytically to points  $Z^0 = (z_1^0, \dots, z_n^0)$  of  $V = Z(g)$ . We assume  $Z^0 \in V$ . There is a nonsingular linear change of coordinates in  $C^n$  which will make  $g$  regular of order  $k$  in  $z_n$  at the point  $Z^0$ . That is, there is a positive number  $p$  such that

$$g(Z) = \Lambda(Z)Q(z_1, \dots, z_n),$$

where  $\Lambda(Z)$  is holomorphic and nonzero in the polydisc  $U_p^n(Z_0)$  and  $Q$  is a polynomial of degree  $k$  in the variable  $z_n - z_n^0$ . The coefficients of  $Q$  are holomorphic functions  $A_j = A_j(z_1, \dots, z_{n-1})$  of  $z_1, \dots, z_{n-1}$  which vanish at  $(z_1^0, \dots, z_{n-1}^0)$ . From this representation one can find two positive numbers  $p_1$  and  $p_2$  ( $p_i \leq p$ ) such that if

$$\zeta = (\zeta_1, \dots, \zeta_{n-1}) \in U_{p_1}^{n-1}(z_1^0, \dots, z_{n-1}^0)$$

then there are precisely  $k$  points  $\zeta_n^1(\zeta), \zeta_n^2(\zeta), \dots, \zeta_n^k(\zeta)$  which satisfy

$$|\zeta_n^i(\zeta) - z_n^0| < p_2 \quad \text{and} \quad g(\zeta, \zeta_n^i(\zeta)) = 0.$$

The numbers  $p_1$  and  $p_2$  can also be chosen so that  $g(\zeta, \zeta_n) \neq 0$  if

$$\zeta \in U_{p_1}^{n-1}(z_1^0, \dots, z_{n-1}^0) \quad \text{and} \quad |\zeta_n - z_n^0| = p_2.$$

If  $\zeta \in U_{p_1}^{n-1}(z_1^0, \dots, z_{n-1}^0)$  is fixed the set  $\{\zeta_n^j(\zeta)\}_{j=1,2,\dots,k}$  is finite and hence we may apply Lemma 1 to conclude that  $f(\zeta, \zeta_n)$  is holomorphic in  $\zeta_n, |\zeta_n - z_n^0| \leq p_2$ . Define the function

$$F(\zeta, \zeta_n) = \frac{1}{2\pi i} \int_{|z_n - z_n^0| = p_2} \frac{f(\zeta, z_n) dz_n}{(z_n - \zeta_n)}$$

on  $\zeta \in U_{p_1}^{n-1}(z_1^0, \dots, z_{n-1}^0)$  and  $|\zeta_n - z_n^0| < p_2$ . It is holomorphic on its domain of definition. By the Cauchy integral theorem  $F(\zeta, \zeta_n) = f(\zeta, \zeta_n)$ , so that we have extended  $f$  to a holomorphic function in a neighborhood of  $Z^0 \in V$ .

We show next that the extended function  $f$  is in  $H^p(U^n)$ . Our hypothesis implies the existence of an annulus

$$A(r) = \prod_{i=1}^n \{r_i \leq |z_i| < 1\}$$

which is contained in  $\Omega$ . We have also assumed the existence of a function  $h(Z)$  which is holomorphic on  $\Omega$  such that  $\operatorname{Re} h(Z) = u(Z)$ . The function  $h(Z)$  has a Laurent expansion about the origin given by

$$h(Z) = \sum C(k_1, \dots, k_n) z_1^{k_1} \cdots z_n^{k_n},$$

where  $Z \in A(r)$ , the exponents  $k_i \in \mathbb{Z}$  and the series converges absolutely and uniformly on compacta in  $A(r)$ . But for  $\rho = (\rho_1, \dots, \rho_n)$  sufficiently close  $(1, \dots, 1)$  we have

$$\begin{aligned} & \int_{T^n} |f(\rho_1 e^{ix_1}, \dots, \rho_n e^{ix_n})|^p dm_n(x) \\ & \cong \operatorname{Re} \left[ \sum C(k_1, \dots, k_n) \left( \int_T \rho_1^{k_1} e^{ik_1 x_1} \frac{dx_1}{2\pi} \right) \cdots \left( \int_T \rho_n^{k_n} e^{ik_n x_n} \frac{dx_n}{2\pi} \right) \right]. \end{aligned}$$

The right side of the above inequality has only a finite number of terms and these are uniformly bounded as  $\rho_j \rightarrow 1$ . The integral means are increasing on  $\rho$  and hence they are bounded. This completes the proof.

REMARKS. In proving that  $f$  has an analytic extension to  $U^n$  we did not need that  $u(Z) \in RP(\Omega)$  or that the distance from  $V$  to  $T^n$  was positive. One can obtain the extension for any pure  $(n-1)$ -dimensional variety  $B$  if only  $|f(Z)|^p$  has an  $n$ -harmonic majorant  $u(Z)$  (in  $\Omega$ ). It is known [3, p. 52] that  $f$  is in  $H^p(U^n)$  if and only if  $|f|^p$  has an  $n$ -harmonic majorant on  $U^n$ .

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