

A SPACE OF SMALL SPREAD WITHOUT THE USUAL PROPERTIES

JUDITH ROITMAN

ABSTRACT. A space is found, for any α , which has spread α and which is not the set-theoretic union of a hereditarily α -Lindelof and a hereditarily α -separable space.

Introduction. At the 1972 Bolyai János Mathematical Society Colloquium, A. Hajnal and I. Juhasz noted that every known Hausdorff space of spread ω was the union of a hereditary separable space and a hereditarily Lindelof space. The main result of this paper is a family of counterexamples to a generalization of this situation; the method of proof will also yield, in Lemma 2(c), a family of spaces such that no “large” subspaces are regular.

Some notational conventions. If X is a space, by its topology \mathcal{T} we mean the family of open sets; if \mathcal{A} is a family of subsets of X , the topology on X induced by $\mathcal{T} \cup \mathcal{A}$ is the closure of $\mathcal{T} \cup \mathcal{A}$ under arbitrary union and finite intersection. We write $\langle X, \mathcal{T} \rangle$ for X with the topology \mathcal{T} ; if $Y \subset X$, $\langle Y, \mathcal{T} \rangle$ means $\langle Y, \{u \cap Y : u \in \mathcal{T}\} \rangle$. Given any set S , $|S|$ denotes the cardinality of S .

Statement of results.

DEFINITION. Given a topological space X , we define its spread by

$$\text{sp}(X) = \sup\{|Y| : Y \text{ is a discrete subspace of } X\}.$$

DEFINITION. Let α be any cardinal, X a space. Then X is α -Lindelof iff every open cover of X has a subcover of cardinality $\leq \alpha$. Similarly, X is α -separable iff every subspace has a dense set of cardinality $\leq \alpha$.

DEFINITION. Let X be a space, P any property of topological spaces. Then X is hereditarily P iff every subspace of X has property P .

We note that if X is either hereditarily α -separable or hereditarily α -Lindelof, $\text{sp}(X) \leq \alpha$.

Received by the editors June 12, 1973.

AMS (MOS) subject classifications (1970). Primary 54C15, 54G20; Secondary 54D99, 54D20, 54D10.

Key words and phrases. Spread, Lindelof, separable.

© American Mathematical Society 1974

THEOREM. *Let α be a cardinal. Then there exists a Hausdorff space X of cardinality α^+ such that $\text{sp}(X)=\alpha$ and X is not the set-theoretic union of a hereditarily α -Lindelof space and a hereditarily α -separable space.*

COROLLARY OF PROOF. *For every cardinal α there exists a Hausdorff space of cardinality α^+ with no regular subspaces of cardinality α^+ .*

Construction. From now on we fix some cardinal α . The construction proceeds by taking a space X of cardinality α^+ which is hereditarily α -separable and hereditarily α -Lindelof (any $X \subseteq 2^\alpha$, $|X|=\alpha^+$ will do). The points are then thought of as being indexed by the “square” array $\alpha^+ \times \alpha^+$. Lemma 1 ensures that no “vertical” or “diagonal” section is Lindelof; Lemma 2 ensures that no “horizontal” section is separable.

LEMMA 1. *Let X be a hereditarily α -separable space under the topology \mathcal{T} , and suppose X is the disjoint union of α^+ nonempty sets, $X = \bigcup_{\beta < \alpha^+} X_\beta$. Let \mathcal{T}' be the topology induced on X by $\mathcal{T} \cup \{\bigcup_{\beta \leq \gamma} X_\beta : \gamma < \alpha^+\}$. Then*

(a) $\langle X, \mathcal{T}' \rangle$ is not α -Lindelof; in fact if $Y \subseteq X$, $|\{\beta : Y \cap X_\beta \neq \emptyset\}| = \alpha^+$ then Y is not α -Lindelof.

(b) $\langle X_\beta, \mathcal{T}' \rangle = \langle X_\beta, \mathcal{T} \rangle$ for all $\beta < \alpha^+$. Thus if X is hereditarily α -Lindelof under \mathcal{T} , $\langle X_\beta, \mathcal{T}' \rangle$ will be both hereditarily α -Lindelof and hereditarily α -separable.

(c) $\langle X, \mathcal{T}' \rangle$ is hereditarily α -separable.

PROOF. (a) Let Y be as in the hypothesis, and consider the open cover of Y , $\{Y \cap \bigcup_{\beta \leq \gamma} X_\beta : \gamma < \alpha^+\}$. Clearly no subfamily of cardinality α will cover Y .

(b) Clear.

(c) Let $Y \subseteq X$. Let A be a dense set of cardinality $\leq \alpha$ for $\langle Y, \mathcal{T} \rangle$, and let $\gamma = \sup\{\beta : A \cap X_\beta \neq \emptyset\}$. If $y \in Y \cap \bigcup_{\beta \geq \gamma} X_\beta$ and $y \in u \in \mathcal{T}'$ then $u \cap A \neq \emptyset$. For $\beta \leq \gamma$, let A_β be dense for $\langle Y \cap X_\beta, \mathcal{T}' \rangle$, $|A_\beta| \leq \alpha$. Then $A \cup \bigcup_{\beta \leq \gamma} A_\beta$ is dense for $\langle Y, \mathcal{T}' \rangle$ and has cardinality $\leq \alpha$.

LEMMA 2. *Let $X = \{x_\beta : \beta < \alpha^+\}$ be a hereditarily α -Lindelof space of cardinality α^+ with topology \mathcal{T} . Let \mathcal{A} be any collection of subsets of X such that $|X - A| \leq \alpha$ for all $A \in \mathcal{A}$. Let \mathcal{T}' be the topology induced on X by $\mathcal{T} \cup \mathcal{A}$. Then*

(a) $\langle X, \mathcal{T}' \rangle$ is hereditarily α -Lindelof.

(b) If, for all $\gamma < \alpha^+$, $\{x_\beta : \beta \geq \gamma\} \in \mathcal{A}$, then $\langle X, \mathcal{T}' \rangle$ is not α -separable.

(c) If, for all $\gamma < \alpha^+$, $\{x_\beta : \beta \geq \gamma\} \in \mathcal{A}$ and $\langle X, \mathcal{T} \rangle$ is hereditarily α -separable, then $\forall Y \subseteq X$ ($|Y| = \alpha^+ \rightarrow \langle Y, \mathcal{T}' \rangle$ is not regular).

PROOF. (a) Let $Y \subseteq X$, $B \subset \mathcal{T}'$ be a basic open cover of Y . We may assume \mathcal{A} is closed under finite intersection. Then $\forall b \in B$, $b = u \cap v$ for some $u \in \mathcal{T}$, $v \in \mathcal{A}$. Let $\mathcal{B}_\mathcal{T} = \{u \in \mathcal{T} : \exists b \in B, \exists v \in \mathcal{A} (b = u \cap v)\}$,

and let $\mathcal{C} \subseteq \mathcal{B}_{\mathcal{F}}$ be a subcover of Y , $|\mathcal{C}| \leq \alpha$. Then $\forall u \in \mathcal{C}, \exists b \in \mathcal{B}$ such that $|u - b| \leq \alpha$. For each $u \in \mathcal{C}$, fix such a $b \in \mathcal{B}$, calling it b_u , and let $\mathcal{C}_u \subset \mathcal{T}'$ cover $(u - b_u) \cap Y$, $|\mathcal{C}_u| \leq \alpha$. Then $\{b_u : u \in \mathcal{B}_{\mathcal{F}}\} \cup \bigcup_{u \in \mathcal{B}_{\mathcal{F}}} \mathcal{C}_u$ is a subcover of Y in \mathcal{T}' of cardinality α .

(b) Let $A \subseteq X, |A| \leq \alpha$. Let $\gamma = \sup\{\beta : x_\beta \in A\}$. Then $\{x_\delta : \delta > \gamma\}$ is open and $A \cap \{x_\delta : \delta > \gamma\} = \emptyset$.

(c) Let $Y \subseteq X, |Y| = \alpha^+$. Since $\langle X, \mathcal{T}' \rangle$ is hereditarily α -Lindelof, we may without loss of generalization, assume that all open sets of $\langle Y, \mathcal{T}' \rangle$ have cardinality α^+ . Suppose A is dense in $\langle Y, \mathcal{T}' \rangle, |A| \leq \alpha$. Again, let $\gamma = \sup\{\delta : x_\delta \in A\}$. Suppose $\beta > \alpha$. Then x_β is not an element of the closed set $\{x_\delta : \delta \leq \gamma\} = w_\gamma$. We show that x_β and w_γ cannot be separated by open sets in \mathcal{T}' .

Let $u, v \in \mathcal{T}', x_\beta \in u, w_\gamma \subset v$. Then $u = u' \cap a, v = v' \cap c$ for some $u', v' \in \mathcal{T}$, and $a, c \in \mathcal{A}$. Since A is dense relative to $\mathcal{T}, u' \cap v' \neq \emptyset$; hence $|u' \cap v'| = \alpha^+$. But then $|u \cap v| = |u' \cap v' \cap a \cap c| = \alpha^+$; clearly $u \cap v \neq \emptyset$.

PROPOSITION. *There exists a Hausdorff space X of spread α such that if $X = Y_0 \cup Y_1$ then $\exists i \exists Z \exists Z' (Z \subseteq Y_i, Z' \subseteq Y_i, Z$ is not α -separable and Z' is not α -Lindelof).*

PROOF. Let X be a hereditarily α -separable, hereditarily α -Lindelof Hausdorff space of spread $\alpha, X = \bigcup_{\beta < \alpha^+} X_\beta$ as in Lemma 1, and suppose each X_β has cardinality α^+ . Let \mathcal{T}' be as in Lemma 1. We list the elements of X_β as $\{X_\beta^\delta : \delta < \alpha^+\}$ and note that $\langle X_\beta, \mathcal{T}' \rangle$ is hereditarily α -separable and hereditarily α -Lindelof. Let \mathcal{A}_β be as in Lemma 2(b) for X_β . We construct the topology \mathcal{T}^* as follows:

Given $x_\beta^\delta \in X, u \in \mathcal{T}', v \in \mathcal{A}_\beta$ such that $x_\beta^\delta \in u \cap v$, the following is a neighborhood basic open set: $u \cap [v \cup \bigcup_{\rho < \beta} X_\rho]$.

These sets are closed under finite intersection, hence they form a basis. Let \mathcal{T}^* be the topology they generate. Clearly $\langle X, \mathcal{T}^* \rangle$ is Hausdorff and has spread $\geq \alpha$. We show the spread is α : Suppose $Y \subseteq X, |Y| = \alpha^+$. Then either

- (a) $\exists Z \subseteq Y$ such that $|\{\beta : Z \cap X_\beta \neq \emptyset\}| = \alpha^+$, or
- (b) $\exists Z \subseteq Y$ such that $|Z| = \alpha^+$ and for some $\beta < \alpha^+, Z \subseteq X_\beta$.

In case (a) we may assume $|Z \cap X_\beta| \leq 1$ for all $\beta < \alpha^+$. Then $\langle Z, \mathcal{T}^* \rangle = \langle Z, \mathcal{T}' \rangle$ and by Lemma 1, Z is hereditarily α -separable, hence not discrete. In case (b), by Lemma 2, Z is hereditarily α -Lindelof, hence not discrete. In either case, Y is not discrete. Now suppose $X = Y_0 \cup Y_1$. Suppose $|\{\beta : Y_0 \cap X_\beta \neq \emptyset\}| < \alpha^+$. Then letting $\gamma = \sup\{\beta : Y_0 \cap X_\beta \neq \emptyset\}$ we have $Y_1 \cap X_{\gamma+1}$ which is not α -separable, and $\{x_\delta^\beta : \delta > \gamma\}$ is a non- α -Lindelof subspace of Y_1 . So we can assume $|\{\beta : Y_i \cap X_\beta \neq \emptyset\}| = \alpha^+$ for each i .

Hence neither Y_0 nor Y_1 is α -Lindelöf. Consider some $\delta < \alpha^+$. Then $|X_\delta \cap Y_{i_0}| = \alpha^+$ for some i_0 . But then $X_\delta \cap Y_{i_0}$ is not α -separable, and this completes the proof.

In closing, we notice that by Lemma 2(c) this space is most definitely not regular; it would be interesting to know if a regular space can satisfy the main theorem.

REFERENCES

1. A. Hajnal and I. Juhász, *On hereditarily α -Lindelöf and α -separable spaces*, Ann. Univ. Sci. Budapest Eötvös Sect. Math. **11** (1968), 115–124. MR 39 #2124.
2. ———, *A consistency result concerning hereditarily α -separable spaces*, Proceedings of the Bolyai János Mathematical Society Colloquium on Topology, Keszthely, Hungary, 1972 (to appear).

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, BERKELEY, CALIFORNIA 94720