

GLOBAL GEOMETRY OF POLYGONS. I: THE THEOREM OF FABRICIUS-BJERRE

THOMAS F. BANCHOFF

ABSTRACT. Deformation methods provide a direct proof of a polygonal analogue of a theorem proved by Fabricius-Bjerre and by Halpern relating the numbers of crossings, pairs of inflections, and lines of double tangency for smooth closed plane curves.

Let $X: [a, b] \rightarrow E^2$ describe a closed curve with a finite number C of crossings, a finite number F of inflection points (or inflection intervals), and a finite number of double support lines (i.e. lines containing two points of the curve each with a neighborhood lying to one side of the line, I_t being the number for which the neighborhoods lie on opposite sides of the line and II_t being the number with both neighborhoods to the same side). For a convex curve all these numbers are zero. Examination of a few examples leads to the conjecture that $C + \frac{1}{2}F + I_t - II_t = 0$, and in [2] Halpern announced a proof of this result for smooth curves satisfying certain regularity conditions. The proof described there uses techniques of critical points for vector fields and winding numbers and requires that the curve be of differentiability class C^4 . The result was discovered by Fabricius-Bjerre [3], but again the proof made use of regularity slightly stronger than C^1 .

In this note we present an elementary proof of this result for polygonal curves in the plane. As in [2], this result generalizes to the case of mappings of an arbitrary 1-manifold into the plane (Theorem 1').

The techniques developed in this paper will be used in subsequent investigations of polygonal analogues of a number of other global theorems on plane and space curves. There is also a sense in which these techniques fit in with the polyhedral analogue of catastrophe theory as developed in [1].

Definition. By a *polygon* we mean a mapping $X: [a, b] \rightarrow E^2$ of a closed interval into the Euclidean plane such that for some finite subdivision, $a = t_0 < t_1 < \dots < t_m = b$, the mapping X restricted to the interval $t_i \leq t \leq t_{i+1}$

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is linear, so in this interval

$$X(t) = \frac{t_{i+1} - t}{t_{i+1} - t_i} X(t_i) + \frac{t - t_i}{t_{i+1} - t_i} X(t_{i+1}).$$

The points $X(t_i)$ are abbreviated X_i and are called the *vertices* of the polygon. If $X_0 = X_m$, then X is a *closed* m -gon and in this case we consider all indices to be reduced modulo m .

A polygon is said to be *general* if the vertices $\{X_i | i = 0, \dots, m - 1\}$ are in general position, so no three vertices are collinear.

For a general polygon X , we may make precise the four indices referred to in the introduction: Let $C(X)$ be the number of *crossings*, i.e. pairs of indices (i, j) with $0 \leq i < j \leq m - 1$ such that the edge $[X_i, X_{i+1}]$ meets the edge $[X_j, X_{j+1}]$. Let $F(X)$ be the number of *inflection edges*, i.e. edges $[X_i, X_{i+1}]$ such that X_{i+1} lies on one side of the line containing the edge and X_{i+2} lies on the other. By a *double support line* we mean a pair of indices (i, j) with $0 \leq i < j \leq m - 1$ such that X_{i-1} and X_{i+1} lie on the same side of the line through X_i and X_j and so do X_{j-1} and X_{j+1} . Let $I_t(X)$ denote the number of double support lines with X_{i-1} and X_{j+1} on opposite sides of the line through X_i and X_j and let $\Pi_t(X)$ denote the number with X_{i-1} and X_{j+1} on the same side. We define the function

$$H(X) = C(X) + \frac{1}{2} F(X) + I_t(X) - \Pi_t(X).$$

We may now state the main result:

Theorem 1. *If X is general, then $H(X) = 0$.*

This theorem is clearly true for a convex polygon, for which all indices are zero. We shall prove the theorem by *deforming* the given polygon X in a certain way into a convex m -gon and by showing that the combination of indices remains unchanged throughout the deformation.

Definition. By an *elementary deformation* of a general polygon X determined by a cycle of vertices $\{X_i | i = 0, \dots, m - 1\}$ we mean a 1-parameter family $X(u) = \{X_i(u) | i = 0, \dots, m - 1\}$, $u_k \leq u \leq u_{k+1}$, of polygons such that for some index j and some point X'_j , $X_i(u) = X_i$ for all u if $i \neq j$ and

$$X_j(u) = \left(\frac{u_{k+1} - u}{u_{k+1} - u_k} \right) X_j + \left(\frac{u - u_k}{u_{k+1} - u_k} \right) X'_j.$$

This elementary deformation is said to be in *general position* if $X(u)$ is general for all but a finite number of the parameter values $u_k \leq u \leq u_{k+1}$, and if for these exceptional values, there is exactly one triple of collinear vertices.

Lemma 1. *For almost all choices of the vertex X'_j the elementary defor-*

tion described above is in general position.

Proof. Consider the finitely many lines containing distinct vertex pairs of the general polygon X . The only way that the elementary deformation can fail to be in general position is if a line containing X_j and X'_j contains a point of intersection of two lines from this collection, so we get an elementary deformation in general position by choosing X'_j in the complement of the finite set of lines from X_j to these intersection points.

By a *piecewise elementary deformation* of a general polygon X we mean a 1-parameter family $X(u)$ of polygons such that for some finite subdivision $u_0 < u_1 < \dots < u_r$ of the parameter space, $X(u_0) = X$ and each family $X(u)$, $u_k \leq u \leq u_{k+1}$, is an elementary deformation. Such a deformation is said to be in general position if each $X(u_k)$ is general and if each elementary deformation is in general position.

Lemma 2. *If X is general then there is a piecewise elementary deformation $X(u)$, $u_0 \leq u \leq u_r$, in general position such that $X(u_r)$ is a convex m -gon.*

Proof. Begin with a regular m -gon Y and proceed inductively to move the vertices X_j of X to vertices X'_j near the vertices Y_j of Y so that each successive elementary deformation is in general position and so that at each stage the polygon with vertices $X'_0, X'_1, \dots, X'_j, Y_{j+1}, \dots, Y_{m-1}$ is convex.

We now need only show that for an elementary deformation in general position, the changes ΔC , ΔF , ΔI_i , and $-\Delta \Pi_i$ add up to 0 as we pass any collineation. This we show simply by exhibiting these differences explicitly for the basic collineations which can occur, and by observing that for each of these

$$\Delta H = \Delta C + \Delta(\frac{1}{2})F + \Delta I_i - \Delta \Pi_i = 0.$$

We consider five different types of collineations:

(a) If no two of the three collinear vertices lie in an edge of X , then none of the indices involving crossings or inflections are affected at all and double tangency indices stay the same.

(b) If precisely two of the three vertices lie in an edge of X but the adjacent vertices of the third vertex are on opposite sides of the collineation line, then if the vertex does *not* pass through the edge we have no change of indices, and if it does, then one crossing edge is lost and one is gained so the change in each index is zero.

(c) If precisely two of the three vertices lie on an edge and the adjacent vertices of the third vertex are on the same side of the collineation line, then

we have eight possibilities, which we list schematically, together with the changes in the four indices, as cases 1 through 8 in the table.

(d) If the three vertices are consecutive but the polygon is not a triangle, then we have four possibilities, cases 9 through 12 in the table.

(e) If the polygon is a triangle, then all indices are zero before and after the deformation.

If X is a mapping of a compact 1-manifold (not necessarily connected) into the plane, then the definition in the connected case may be extended to yield indices $C(X)$, $F(X)$, $I_l(X)$, and $\Pi_l(X)$. A polygonal mapping X of a 1-manifold into the plane corresponds to a finite collection of m_l -gons X^l , $l = 0, \dots, n - 1$, and X is said to be *general* if the collection of all vertices of all of the polygons X^l is in general position.

	BEFORE	AFTER	ΔC	$\frac{1}{2}\Delta F$	ΔI_l	$\Delta \Pi_l$
1.			2	0	0	2
2.			-2	0	2	0
3.			0	0	0	0
4.			0	0	0	0
5.			0	0	1	1
6.			0	0	1	1
7.			2	0	-1	1
8.			-2	0	1	-1
9.			0	1	0	1
10.			0	0	0	0
11.			1	0	0	1
12.			-1	1	0	0

Theorem 1'. *As before, for a general polygonal mapping of a compact 1-manifold X , $H(X) = C(X) + \frac{1}{2}F(X) + I_t(X) - \Pi_t(X) = 0$.*

Proof. Let Y be a nested collection of disjoint convex m_l -gons Y^l , $l = 0, 1, \dots, n-1$, such that each polygon Y^l is contained in the open region bounded by Y^{l+1} , and such that the collection of all vertices of polygons in Y is in general position. Then $C(Y) = 0 = F(Y) = I_t(Y) = \Pi_t(Y)$ so $H(Y) = 0$, and the theorem follows as in the case of Theorem 1 by finding a piecewise elementary deformation in general position from X to be a general polygonal mapping X' with vertices near those of Y .

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DEPARTMENT OF MATHEMATICS, BROWN UNIVERSITY, PROVIDENCE, RHODE ISLAND 02912.