

## RINGS WHOSE MODULES ARE PROJECTIVE OVER ENDOMORPHISM RINGS

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**ABSTRACT.** Let  $R$  be either an Artin ring or a commutative ring. We show that every  $R$ -module is projective over its endomorphism ring if and only if  $R$  is uniserial.

We study rings, all of whose modules are projective over their endomorphism rings. We show that for Artin or commutative rings this is equivalent to being uniserial. We remark that we know of no examples other than uniserial rings and conjecture that all such rings are uniserial. Our proof uses the complete ring of quotients as in [4].

Our work is partially motivated by the work of Sally and Vasconcelos on commutative rings whose ideals are projective over their endomorphism rings [7].

We use  $J$  throughout to denote the Jacobson radical and  $\text{Soc}(R)$  to denote the socle of  $R$ .

### 1. Artin $U$ -rings.

**Definition.** A ring  $R$  is a left  $U$ -ring if every left  $R$ -module is projective over its endomorphism ring.

**Proposition 1.** *If  $S$  is Morita equivalent to a left  $U$ -ring  $R$ , then  $S$  is a left  $U$ -ring.*

**Proof.** There exists a finitely generated projective generator  $M_R$  such that  $S = \text{End}_R(M)$ . If  $B$  is an  $S$ -module, then there exists an  $R$ -module  $A$  with  $M \otimes_R A \cong B$ . Now  $\text{End}_R(A) \cong \text{End}_S(B)$  by  $f \rightarrow 1 \otimes f$ . Also there exists a right  $R$ -module  $N$  with  $M \oplus N = R^n$ . Hence

$$(M \otimes_R A) \oplus (N \otimes_R A) \cong (M \oplus N) \otimes_R A \cong R^n \otimes_R A \cong A^n.$$

Note all these isomorphisms are right  $\text{End}_R(A)$ -isomorphisms. Therefore  $B \cong M \otimes_R A$  is projective over  $\text{End}_S(B)$  since  $A^n$  is projective over  $\text{End}_R(A)$ .

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**Lemma 2.** *If  $R$  is a left Artin U-ring and  $e$  a primitive idempotent, then  $Re$  contains a submodule isomorphic to  $Re/Je$ .*

**Proof.**  $R = Re_1 \oplus \dots \oplus Re_n$  where the  $e_i$ 's are primitive idempotents and  $e = e_1$ .

*Case 1.*  $Re_1 \not\cong Re$  for  $i > 1$ . If  $e_i Re_1 = 0$  for  $i > 1$ , then the lemma is proved since  $\text{Soc}(Re_1) \neq 0$  and  $\text{Hom}(Re_i, Re_1) = e_i Re_1 = 0$  and hence the only possible simple submodules of  $Re_1$  are  $Re_1/Je_1$ .

Suppose then that  $e_2 Re_1 \neq 0$ . Let  $S = Re_1/Je_1$  and  $M = Re_1 \oplus S$ . Suppose further that  $Re_1$  contains no copy of  $S$ .

$$\text{End}(M) = \begin{pmatrix} \text{Hom}(Re_1, Re_1) & \text{Hom}(Re_1, S) \\ \text{Hom}(S, Re_1) & \text{Hom}(S, S) \end{pmatrix}.$$

$\text{Hom}(Re_1, Re_1) = e_1 Re_1$ .  $\text{Hom}(Re_1, S) = e_1 Re_1/e_1 Je_1$  and  $\text{Hom}(S, S) = e_1 Re_1/e_1 Je_1$ . Therefore

$$\text{End}(M) = \begin{pmatrix} e_1 Re_1 & e_1 Re_1/e_1 Je_1 \\ 0 & e_1 Re_1/e_1 Je_1 \end{pmatrix}.$$

Now  $Re_1 = e_1 Re_1 \oplus (1 - e_1)Re$  whence

$$M = Re_1 \oplus S = (e_1 Re_1 \oplus S) \oplus ((1 - e_1)Re_1 \oplus 0).$$

Since  $Re_1 \not\cong Re_i$  for  $i > 1$ ,  $(1 - e_1)Re_1 \subseteq J$ . Therefore the above decomposition is as  $\text{End}(M)$  modules whence  $(1 - e_1)Re_1 \oplus 0$  is a projective  $\text{End}(M)$  module. Also  $(1 - e_1)Re_1$  is projective over the local ring  $e_1 Re_1 = \text{End}(Re_1)$ . Therefore  $(1 - e_1)Re_1 \cong \bigoplus \Sigma e_1 Re_1$  as an  $e_1 Re_1$ -module and hence as an  $\text{End}(M)$  module. Therefore

$$e_1 Re_1 \cong \left( \begin{pmatrix} e_1 Re_1 & e_1 Re_1/e_1 Je_1 \\ 0 & e_1 Re_1/e_1 Je_1 \end{pmatrix} \right) / \left( \begin{pmatrix} 0 & e_1 Re_1/e_1 Je_1 \\ 0 & e_1 Re_1/e_1 Je_1 \end{pmatrix} \right).$$

But

$$\begin{pmatrix} 0 & e_1 Re_1/e_1 Je_1 \\ 0 & e_1 Re_1/e_1 Je_1 \end{pmatrix}$$

is not a right ideal summand of  $\text{End}(M)$  and hence  $e_1 Re_1$  is not projective, a contradiction.

*Case 2.*  $Re_1 \cong Re_i$  for some  $i > 1$ . Let  $M = Re_1 \oplus Re_{i1} \oplus \dots \oplus Re_{ir}$

where  $Re_i \not\cong Re_{ij}$  and each  $Re_j \cong Re_{ik}$  for some  $k$ .  $M$  is a progenerator and  $S = \text{End}_R(M)$  is Morita equivalent to  $R$ . Then  $S = Sf_1 \oplus \cdots \oplus Sf_r$  where  $Sf_i \not\cong Sf_j$  for  $i \neq j$ . By Case 1, each indecomposable projective  $Sf$  contains  $Sf/Jf$ . The Morita equivalence of  $R$  and  $S$  now easily gives the result for  $R$ .

**Proposition 3.** *If  $R$  is a left Artin  $U$ -ring, then  $R$  is uniserial.*

**Proof.** As in the proof of the lemma, replace  $R$  by a Morita equivalent ring  $S$  where  $S = Se_1 \oplus \cdots \oplus Se_n$  where the  $e_i$ 's are primitive idempotents and  $Se_i \not\cong Se_j$  for  $i \neq j$ . Each maximal left ideal of  $S$  is a two-sided ideal since  $S/J$  is a direct sum of fields. Since each type of simple module is contained in  $S$ , we see that the right annihilator of  $I$  is nonzero for each maximal ideal  $I$ . Therefore no proper left ideal of  $S$  is dense [4, p. 96]. Hence, it follows that  $S$  is its own complete ring of quotients. Let  $E$  be the injective hull of  $S$  and  $H = \text{End}_S E$ . Since  $S$  is its own quotient ring,  $S = \text{End}_H(E)$ .

$S$  has exactly  $n$  isomorphism classes of simple modules. Let  $M_1, \dots, M_n$  be nonisomorphic simples. Now

$$\text{Soc}(S) = \sum_{i=1}^n \sum_{j=1}^{t_i} M_{ij} \quad \text{where } M_{ij} \cong M_i.$$

Hence

$$E = \sum_{i=1}^n \sum_{j=1}^{t_i} E(M_{ij}).$$

$H$  is semiperfect by [4, Proposition 2, p. 103]. Also  $1$  in  $H$  is the sum of  $m = \sum_{i=1}^n t_i$  projections. These projections are primitive idempotents. If  $\pi_{ij}$  is the projection of  $E$  onto  $E(M_{ij})$ , then  $\pi_{ij}H \cong \pi_{in}H$  since  $E(M_{ij}) \cong E(M_{in})$ . Therefore,  $H$  has at most  $n$  isomorphism classes of indecomposable projectives.  $E$  is a sum of  $n$  indecomposable  $H$  modules since the  $e_i$ 's are primitive orthogonal idempotents and  $S = \text{End}_H(E)$ . Also these indecomposable  $H$  summands are not isomorphic since  $Se_i \not\cong Se_j$  for  $i \neq j$ .  $E$  is generated by  $1$  as an  $H$  module.

Therefore  $E$  contains all types of finitely generated indecomposable  $H$ -projectives as  $H$ -summands and hence  $E$  is a finitely generated projective  $H$  generator. By the theory of Morita equivalence,  $E$  is a finitely generated projective generator over  $\text{End}_H(E) = S$ . It now follows easily that  $S$  is self-injective and hence quasi-Frobenius. Therefore  $R$  is quasi-Frobenius. Since each homomorphic image of a  $U$ -ring is a  $U$ -ring, each image of  $\bar{R}$  is QF and hence by a theorem of Nakayama [5],  $R$  is uniserial.

**Proposition 4.** *If  $R$  is uniserial, then  $R$  is a  $U$ -ring.*

**Proof.** It clearly suffices to assume  $R$  is indecomposable and local. Let  $M$  be an  $R$ -module.  $M = \bigoplus_i N_i$ . Clearly, we may assume  $I$  is well ordered and  $l(N_i) \geq l(N_j)$  if  $i \leq j$ . ( $l(M)$  is the length of  $M$ .) If  $l(N_i) \leq l(N_s)$ , then  $\text{Hom}(N_i, N_s) \cong N_i$  and  $\text{Hom}(N_s, N_i) \cong N_i$ .  $\text{End}(M)$  is an infinite "matrix" ring  $\text{Hom}(N_i, N_j)$ . We see that  $M$  is isomorphic to the first row.

Combining Propositions 3 and 4, we obtain

**Theorem 5.** *An Artin ring is a  $U$ -ring if and only if it is uniserial.*

We note that Faith has proven that if  $R$  is a ring for which every  $R$ -module  $M$  is finitely generated and projective over  $\text{End}(M)$  and also  $R/\text{Ann}(M) \cong \text{End}_{\text{End}(M)}(M)$ , then  $R$  is uniserial [2]. This is an immediate corollary of our result since balanced rings are Artin [1].

## 2. Commutative $U$ -rings.

**Lemma 1.** *If  $R$  is a commutative  $U$ -ring and  $S$  is a multiplicatively closed set, then  $S^{-1}R$  is a  $U$ -ring.*

**Proof.** If  $M$  is an  $S^{-1}R$  module, then  $\text{End}_R(M) = \text{End}_{S^{-1}R}(M)$ .

**Lemma 2.** *A local domain  $R$  is a  $U$ -ring if and only if  $R$  is a field.*

**Proof.** Let  $M$  be the maximal ideal of  $R$  and  $E$  the injective hull of  $R/M$ .  $\text{End}(E)$  is a torsion free  $R$ -module. If  $E$  were a projective  $\text{End}(E)$  module, then  $E \subseteq \bigoplus_i \text{End}(E)$ , and hence  $E$  would be torsion free, a contradiction.

**Lemma 3.** *A commutative von Neumann regular  $U$ -ring is semisimple Artin.*

**Proof.** Let  $R$  be regular and  $Q$  the complete ring of quotients of  $R$ . Let  $I$  be an essential ideal of  $Q$ .  $\text{End}_Q(I) = Q$  since  $Q$  is self-injective and  $\text{Ann}(I) = 0$ . Let  $f$  be in  $\text{End}_R(I)$ .  $f$  extends to  $\bar{f}$  in  $Q$  since  $Q$  is the injective hull of  $R$  and  $Q = \text{End}_R(Q)$ . The map  $f \rightarrow \bar{f}$  is an isomorphism. Therefore  $I$  is a projective  $Q$ -module since  $R$  is a  $U$ -ring and hence  $Q$  is hereditary. A regular hereditary self-injective ring is semisimple Artin by a theorem of Osofsky [6]. It follows that  $Q$ , and hence  $R$ , has only finitely many idempotents whence  $R$  is semisimple Artin.

**Theorem 4.** *A commutative ring is a  $U$ -ring if and only if it is uniserial.*

**Proof.** Uniserial rings are  $U$ -rings by Theorem 5 above. Conversely,

let  $R$  be a commutative  $U$ -ring. We first show  $R$  has Krull dimension 0. Suppose then that  $P$  is a nonmaximal prime ideal and  $M$  is a maximal ideal containing  $P$ .  $R/P$  is a  $U$ -ring and hence by Lemma 1,  $R/P$  localized at  $M/P$  is a  $U$ -ring. This contradicts Lemma 2 and hence  $R$  has Krull dimension 0. If  $N$  is the nilradical, then  $R/N$  is von Neumann regular [4] and hence semisimple Artin by Lemma 3. Since idempotents may be lifted mod  $N$ ,  $R$  is a finite direct sum of local rings. We may clearly assume  $R$  itself is local with nil maximal ideal  $N$ . We may also assume  $N \neq 0$ . Let  $x$  in  $N$ ,  $x \neq 0$ , and choose an ideal  $I$  maximal with respect to  $x$  not in  $I$ .  $R/I$  is subdirectly irreducible. Since  $R/I$  is local with a unique minimal ideal,  $R/I$  is its own complete ring of quotients. As in the proof of Proposition 3 of §1,  $R/I$  is self-injective. It follows that every endomorphism of  $N/I$  is given by multiplication and hence  $\text{End}(N/I) \cong (R/I)/\text{Ann}(N/I)$ .  $N/I$  is indecomposable over this local ring and hence must be isomorphic to  $\text{End}(N/I)$  whence  $N/I$  is cyclic. Since  $N$  is nil and  $N/I$  is cyclic, there exists an integer  $r$  with  $N^r \subseteq I$ . Therefore  $N^2 \neq N$ . Since every Artin homomorphic image of  $R/N^2$  is uniserial, by Theorem 5 above, it follows that  $R/N^2$  is uniserial and by induction  $R/N^s$  for all positive integers  $s$ . Let  $x$  be an element of  $N$  with  $x$  not in  $N^2$ . Then  $x$  generates  $R/N^s$ . Since  $x$  is nilpotent it follows that  $N^s = 0$  for some  $s$  and hence  $R$  is uniserial.

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