

## UNITARY HARMONIC NUMBERS

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ABSTRACT. If  $d^*(n)$  and  $\sigma^*(n)$  denote the number and sum, respectively, of the unitary divisors of the natural number  $n$  then the harmonic mean of the unitary divisors of  $n$  is given by  $H^*(n) = nd^*(n)/\sigma^*(n)$ . Here we investigate the properties of  $H^*(n)$ , and, in particular, study those numbers  $n$  for which  $H^*(n)$  is an integer.

1. **Introduction.** Let  $d(n)$  and  $\sigma(n)$  denote, respectively, the number and sum of the positive divisors of the natural number  $n$ . Ore [6] showed that the harmonic mean of the positive divisors of  $n$  is given by  $H(n) = nd(n)/\sigma(n)$ , and several papers (see [1], [5], [6], [7]) have been devoted to the study of  $H(n)$ . In particular the set of numbers  $S$  for which  $H(n)$  is an integer has attracted the attention of number theorists, since the set of perfect numbers is a subset of  $S$ . The elements of  $S$  are called *harmonic numbers* by Pomerance [7]. This paper is devoted to a study of the unitary analogue of  $H(n)$ . We recall that the positive integer  $d$  is said to be a unitary divisor of  $n$  if  $d|n$  and  $(d, n/d) = 1$ . It is easy to verify that if the canonical prime decomposition of  $n$  is given by

$$(1) \quad n = p_1^{a_1} p_2^{a_2} \cdots p_k^{a_k}$$

and  $d^*(n)$  and  $\sigma^*(n)$  denote the number and sum, respectively, of the unitary divisors of  $n$  then

$$(2) \quad d^*(n) = 2^k; \quad \sigma^*(n) = (p_1^{a_1} + 1)(p_2^{a_2} + 1) \cdots (p_k^{a_k} + 1).$$

It is also easy to show that the unitary harmonic mean (the harmonic mean of the unitary divisors) of  $n$  is given by

$$(3) \quad H^*(n) = nd^*(n)/\sigma^*(n) = \prod_{i=1}^k 2p_i^{a_i}/(p_i^{a_i} + 1).$$

We shall say that  $n$  is a *unitary harmonic number* if  $H^*(n)$  is an integer,

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and shall denote by  $UH$  the set of these numbers. A computer search (which required approximately 2.5 hours of CDC 6400 time at the Temple University Computer Center) was made for the elements of  $UH$  in the interval  $[1, 10^6]$ , and 45 such numbers were found. These are given in Table I at the end of this paper.

Subbarao and Warren [10] have defined  $n$  to be a *unitary perfect number* if  $\sigma^*(n) = 2n$ . Five such numbers are presently known [9]. Since  $d^*(n)$  is even the following result is immediate from (3).

**Proposition 1.** *The set of unitary perfect numbers is a subset of  $UH$ .*

2. **Some elementary results concerning  $H^*(n)$  and  $UH$ .** We now establish some facts which will be of use in the sequel and which, in certain cases, are of some interest in themselves.  $n$  will always denote a natural number with prime decomposition as given in (1);  $p, q, r$  with or without subscripts will always denote primes.

**Lemma 1.**  $2^{k+1}/(k+2) \leq H^*(n) < 2^k$  with equality on the left if and only if  $n = 2$  or  $6$ .

**Proof.** Since  $x/(x+1)$  is monotonic increasing and bounded by 1 for positive  $x$ , it follows from (3) that

$$2^k > H^*(n) \geq 2^k(2/3)(3/4)(4/5) \cdots ((k+1)/(k+2)) = 2^{k+1}/(k+2).$$

**Lemma 2.** *If  $p^a \parallel n$ , then  $p^a \geq H^*(n)/(2^k - H^*(n))$  with equality if and only if  $k = 1$ .*

**Proof.** From (3),  $H^*(n) \leq 2^k p^a / (p^a + 1)$ .

**Lemma 3.** *If  $p^a \{r^c\}$  is the minimum {maximum} prime power divisor of  $n$  in (1) then*

$$p^a \leq kH^*(n)/(2^k - H^*(n)) \quad \{r^c \geq ((k-1)2^k + H^*(n))/(2^k - H^*(n))\}$$

with equality if and only if  $k = 1$  or  $n = p^a q^b r^c$  where  $q^b = p^a + 1$  (so that  $2|n$ ) and  $r^c = p^a + 2$  or  $c = 0$  {where  $q^b = r^c - 1$  (so that  $2|n$ ) and  $p^a = r^c - 2$  or  $a = 0$ }.

**Proof.**

$$\begin{aligned} H^*(n) &\geq 2^k \{p^a / (p^a + 1)\} \{(p^a + 1) / (p^a + 2)\} \cdots \{(p^a + k - 1) / (p^a + k)\} \\ &= 2^k p^a / (p^a + k), \end{aligned}$$

and

$$\begin{aligned} H^*(n) &\leq 2^k \{(r^c - k + 1)/(r^c - k + 2)\} \{(r^c - k + 2)/(r^c - k + 3)\} \cdots \{r^c/(r^c + 1)\} \\ &= 2^k (r^c - k + 1)/(r^c + 1) \end{aligned}$$

with equality only in the specified "exceptional" cases.

We turn now to some results concerning  $UH$ . The following proposition was proved by Ore [6, p. 617] for harmonic numbers and holds for elements of  $UH$  since  $H(n) = H^*(n)$  if and only if  $n$  is square-free.

**Proposition 2.** *If  $n$  is square-free and  $n \neq 6$ , then  $n$  is not a unitary harmonic number.*

Since  $2|(p^\alpha + 1)$  if  $p$  is odd, and since  $4|(p^\alpha + 1)$  if  $p = 4j + 3$  and  $\alpha$  is odd, our next two results follow immediately from (3).

**Proposition 3.** *If  $n$  is odd and  $n \in UH$ , then  $H^*(n)$  is odd.*

**Proposition 4.** *If  $n$  is odd,  $n \in UH$ ,  $p^\alpha || n$ , and  $p = 4j + 3$  then  $\alpha$  is even.*

From (2) and (3) it is immediate that  $H^*(n)$  is a multiplicative function. Therefore, if  $(n, m) = 1$  then  $H^*(nm) = H^*(n) \cdot md^*(m)/\sigma^*(m)$  from which we easily deduce the following result.

**Proposition 5.** *If  $n \in UH$ ,  $(p, n) = 1$ , and  $(p^\alpha + 1) | 2H^*(n)$ , then  $p^\alpha n \in UH$ .*

For example, since  $40950 \in UH$  and  $30 = 2H^*(40950)$  we see that  $29 \cdot 40950 \in UH$  also.

### 3. Two cardinality theorems.

**Theorem 1.** *If  $S_c$  is the set of natural numbers  $n$  such that  $H^*(n) = c$ , then  $S_c$  is finite (or empty) for every real number  $c$ .*

**Proof.** Our proof is based on an idea due to Shapiro [8]. Since  $2^{k+1}/(k+2) \geq k$  we note first that if  $H^*(n) = c$  then Lemma 1 implies that the number of prime factors of  $n$  is bounded (by  $c$ ). Now assume that  $S_c$  is infinite. Then  $S_c$  must contain an infinite subset, say  $S_{cm}$ , each of whose elements has exactly  $m$  prime factors. It is not difficult to see that an infinite sequence  $n_1, n_2, \dots$  of distinct integers exists with the following properties:

(i)  $n_i \in S_{cm}$  so that  $H^*(n_i) = c$  for  $i = 1, 2, \dots$ ;

$$(ii) \quad n_i = p_1^{\alpha_1} \cdots p_{s-1}^{\alpha_{s-1}} p_{is}^{\alpha_{is}} \cdots p_{im}^{\alpha_{im}} = P \prod_{j=s}^m p_{ij}^{\alpha_{ij}}$$

where

$$p_1^{\alpha_1} < \cdots < p_{s-1}^{\alpha_{s-1}} < p_{is}^{\alpha_{is}} < \cdots < p_{im}^{\alpha_{im}} \quad \text{for } i = 1, 2, \dots.$$

( $P$  may be empty, but  $s - 1 \neq m$ .)

(iii)  $p_{ij}^{\alpha_{ij}} \rightarrow \infty$  as  $i \rightarrow \infty$  for  $j = s, \dots, m$ .

(That is, each  $n_i$  is composed of a fixed, constant block of prime powers and a variable block of prime powers arranged monotonically within the block and such that each component of this variable block goes to infinity with  $i$ .)

From (i) and (ii) we see that

$$\frac{c}{H^*(P)} = \prod_{j=s}^m H^*(p_{ij}^{\alpha_{ij}}) < 2^{m+1-s}$$

so that there exists a fixed positive number  $v$  such that  $\prod_{j=s}^m H^*(p_{ij}^{\alpha_{ij}}) = 2^{m+1-s} - v$  for  $i = 1, 2, 3, \dots$ . But from (iii) it follows that

$H^*(p_{ij}^{\alpha_{ij}}) \rightarrow 2$  as  $i \rightarrow \infty$  for  $s \leq j \leq m$ . Therefore, for "large"  $i$ ,  $\prod_{j=s}^m H^*(p_{ij}^{\alpha_{ij}}) > 2^{m+1-s} - v$ . This contradiction completes the proof.

Since there are only finitely many integers between  $2^{k+1}/(k+2)$  and  $2^k$  the following theorem follows from Lemma 1 and Theorem 1.

**Theorem 2.** *There exist at most finitely many unitary harmonic numbers with a specified number of distinct prime factors.*

From Proposition 1 we have the following corollary which was first proved by Subbarao and Warren [10].

**Corollary 2.1.** *There are at most a finite number of unitary perfect numbers with a specified number of prime factors.*

**4. Elements of  $UH$  with a specified number of prime factors.** Let  $T_k$  denote the set of unitary harmonic numbers which have exactly  $k$  prime factors. In connection with Theorem 2 it is perhaps of some interest to identify the elements of  $T_k$  for a few selected values of  $k$ .

**Proposition 6.**  $T_1$  is empty.

**Proof.**  $H^*(p^\alpha) = 2p^\alpha/(p^\alpha + 1)$ , and it is easy to see that  $(p^\alpha + 1) \nmid 2p^\alpha$ .

**Proposition 7.**  $T_2 = \{6, 45\}$ .

**Proof.** If  $n \in T_2$  then, from Lemma 1,  $H^*(n) = 2$  or  $3$ . If  $H^*(n) = 2$  then from Lemma 3,  $2 \parallel n$  and consequently  $n = 6$ . If  $H^*(n) = 3$  then from (3) and Lemma 2,  $3^b \parallel n$  where  $b > 1$ . From Lemmas 2 and 3 either  $2^2 \parallel n$  or  $5 \parallel n$ . If  $n = 2^2 3^b$  then  $5(3^b + 1) = 16 \cdot 3^{b-1}$  which is impossible. If  $n = 5 \cdot 3^b$  then  $3 = H^*(n) \geq H^*(5 \cdot 3^2) = 3$  so that  $n = 45$ .

**Proposition 8.**  $T_3 = \{60, 90, 1512, 15925, 55125\}$ .

**Proof.** From Lemma 1  $H^*(n) = 4, 5, 6$  or  $7$  if  $n \in T_3$ . We consider these possibilities separately.  $p^a$  will always denote the minimal prime power in (1). It can be bounded by using Lemmas 2 and 3. We shall also rely heavily on the fact that  $x/(x+1)$  is monotonic increasing.

*Case I.*  $H^*(n) = 4$ . Then  $p^a = 2$  or  $3$ , and from Proposition 3  $n$  is even. If  $p^a = 2$ , then, since  $n$  is not square-free,  $4 = H^*(n) \geq H^*(2 \cdot 3^2 \cdot 5) = 4$ . If  $p^a = 3$  then  $4 = H^*(n) \geq H^*(2^2 \cdot 3 \cdot 5) = 4$ . Therefore,  $n = 60$  or  $90$ .

*Case II.*  $H^*(n) = 5$ .  $p^a = 2, 3$  or  $4$ , and from (3)  $5 \mid n$ . If  $p^a = 2$  then  $n = 2 \cdot 3^b 5^c$ , and from (3):  $(3^{b-1} - 5)(5^{c-1} - 3) = 16$  which is impossible. If  $p^a = 3$  then from Proposition 4  $n$  is even and  $n = 2^b 3 \cdot 5^c$ . It follows that  $(2^b - 5)(5^{c-1} - 1) = 6$  which is impossible. If  $p^a = 4$  then  $n = 4 \cdot 5^b q^c$  and  $5 = H^*(n) \geq H^*(20q^c) = 16q^c/3(q^c + 1)$  which implies that  $q^c = 7, 9, 11$  or  $13$ . Each of these possibilities leads to a contradiction.

*Case III.*  $H^*(n) = 6$ . Then  $n$  is even,  $3 \mid n$ , and  $p^a = 4, 5, 7$  or  $8$ . If  $p^a = 4$  then  $n = 4 \cdot 3^b \cdot 5^c$ ; if  $p^a = 5$  then  $n = 2^b 3^c 5$ ; if  $p^a = 8$  then  $n = 8 \cdot 3^b \cdot q^c$  where  $q^c \geq 11$ . From (3):  $(3^{b-1} - 5)(5^{c-1} - 3) = 16$ ; or  $(2^b - 9)(3^{c-2} - 1) = 10$ ; or  $(5 \cdot 3^{b-3} - 1)(5q^c - 27) = 32$ . None of these is possible. If  $p^a = 7$  then  $n = 2^b 3^c 7$ , and  $(2^{b-1} - 3)(3^{c-1} - 2) = 7$ . Therefore,  $b = c = 3$  and  $n = 1512$ .

*Case IV.*  $H^*(n) = 7$ . Then  $7 \mid n$  and  $p^a = 8, 9, 11, 13, 16, 17$  or  $19$ . Assume first that  $2 \parallel n$ . Then, if  $p^a = 9, 11, 17$  or  $19$ ,  $n$  has four prime factors (from (3)). If  $p^a = 13$  then  $H^*(n) \geq H^*(2^5 7^3 13) > 7$ ; if  $p^a = 16$  then  $H^*(n) \geq H^*(16 \cdot 7^3 17) > 7$ ; if  $p^a = 8$  then  $n = 8 \cdot 3^b 7^c$ , and  $(3^{b-2} - 7) \cdot (7^{c-1} - 9) = 64$  which is impossible. Now assume that  $n$  is odd. Then, using Proposition 4,  $7^2 \mid n$ ; and  $p^a = 9, 13$  or  $17$ . If  $p^a = 17$  then  $H^*(n) \geq H^*(17 \cdot 3^4 \cdot 7^2) > 7$ . If  $p^a = 9$  then  $n = 9 \cdot 5^b 7^c$ , and  $(5^{b-1} - 7)(7^{c-1} - 5) = 36$ . Therefore,  $b = 3, c = 2$  and  $n = 55125$ . If  $p^a = 13$  then  $n = 13 \cdot 7^b q^c$ . If  $b \geq 4$  then, from (3),  $7^2 \mid (q^c + 1)$  so that  $q^c \geq 97$ . But  $H^*(13 \cdot 7^4 97) > 7$ . Therefore,  $b = 2$  and it then follows that  $n = 13 \cdot 7^2 \cdot 5^2 = 15925$ .

5. **The distribution of the unitary harmonic numbers.** For each positive real number  $x$  we shall denote by  $A(x)$  the number of integers  $n$  such that

$n \leq x$  and  $n \in UH$ . This section is devoted to a proof of

**Theorem 3.** For any  $\epsilon > 0$ ,  $A(x) < 2.2x^{1/2} 2^{(1+\epsilon)\log x / \log \log x}$  for "large"  $x$ .

**Proof.** We use an argument of Kanold [5]. A *powerful number* is a positive integer  $m$  with the property that if  $p|m$  then  $p^2|m$ . It is obvious that every positive integer can be written uniquely in the form  $N_P N_F$  where  $(N_P, N_F) = 1$ ,  $N_P$  is powerful (or 1) and  $N_F$  is square-free. If  $P(x)$  is the number of powerful numbers not exceeding  $x$  it is proved in [2] that  $P(x) \sim cx^{1/2}$  where  $c = \zeta(3/2)/\zeta(3) = 2.173 \dots$ . It follows that  $P(x) < 2.2x^{1/2}$  for large  $x$ .

If  $N_P$  is a (fixed) powerful number let  $g(N_P, x)$  denote the number of square-free numbers  $N_F$  such that  $(N_P, N_F) = 1$ ,  $N_P N_F \leq x$ , and  $N_P N_F \in UH$ . If  $G(x) = \max\{g(N_P, x)\}$  for  $N_P \leq x$  it follows that

$$(4) \quad A(x) < 2.2x^{1/2} G(x) \quad \text{for large } x.$$

We now investigate the magnitude of  $G(x)$ . Let  $N_P$  be a powerful number for which square-free numbers  $m_1, m_2, \dots, m_{G(x)}$  exist such that  $(N_P, m_i) = 1$ ,  $N_P m_i \leq x$  and  $N_P m_i \in UH$  for  $i = 1, 2, \dots, G(x)$ . Then  $H^*(N_P m_i) = H^*(N_P) H^*(m_i) = Z_i$  where  $Z_i$  is an integer for  $i = 1, \dots, G(x)$ . If  $Z_i = Z_j$  where  $i \neq j$ , and  $(m_i, m_j) = d$  then, of course,  $H^*(M_i) = H^*(M_j)$  where  $M_i = m_i/d$  and  $M_j = m_j/d$ . If  $M_i = p_1 \dots p_s$  (or 1) and  $M_j = q_1 \dots q_t$  (where  $p_1 < \dots < p_s$ ,  $q_1 < \dots < q_t$  and  $p_u \neq q_v$ ) then from (3):

$$(5) \quad 2^s p_1 \dots p_s (1 + q_1) \dots (1 + q_t) = 2^t q_1 \dots q_t (1 + p_1) \dots (1 + p_s).$$

It is not difficult to see that  $p_s \leq 3$  and  $q_t \leq 3$  so that (assuming  $M_i < M_j$ )  $M_j = 6$ ,  $M_i = 1$ ;  $M_j = 3$ ,  $M_i = 1$  or  $2$ ;  $M_j = 2$ ,  $M_i = 1$  are the only logical possibilities. Since none of these satisfies (5) we conclude that  $Z_i \neq Z_j$  unless  $i = j$ . Therefore, without loss of generality,  $Z_1 < Z_2 < \dots < Z_{G(x)}$  so that  $G(x) \leq Z_{G(x)} = H^*(N_P m_{G(x)}) < 2^k$ , where  $k$  is the number of prime factors in  $N_P m_{G(x)}$ . If  $N = 2 \cdot 3 \cdot 5 \dots p_K$  is the "longest prime product" not exceeding  $x$  then  $k \leq K$ . Since  $K \sim \log N / \log \log N$  (see [4, §22.10]) it follows that if  $\epsilon > 0$  then

$$(6) \quad G(x) < 2^{(1+\epsilon)\log x / \log \log x} \quad \text{for large } x.$$

Our theorem follows from (4) and (6).

**Remark.** It follows easily from Theorem 3 that  $UH$  has zero density. That the set of unitary perfect numbers has density zero was first shown by Subbarao [3, p. 1117].

Table 1. The unitary harmonic numbers in  $[1, 10^6]$ .

$n$	$H^*(n)$	$n$	$H^*(n)$	$n$	$H^*(n)$
1	1	27300	15	232470	15
6	2	31500	10	257040	20
45	3	40950	15	330750	10
60	4	46494	9	332640	20
90	4	51408	12	464940	18
420	7	55125	7	565488	22
630	7	64260	17	598500	19
1512	6	66528	12	646425	13
3780	9	81900	18	661500	12
5460	13	87360	16	716625	13
7560	10	95550	14	790398	17
8190	13	143640	19	791700	29
9100	10	163800	20	859950	18
15925	7	172900	19	900900	33
16632	11	185976	12	929880	20

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