

## ON A THEOREM OF KŌMURA-KOSHI AND OF ANDŌ-ELLIS

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ABSTRACT. Kōmura and Koshi's result, which states that the topology  $\mathcal{J}$  of a nuclear locally convex vector lattice  $(E, C, \mathcal{J})$  is the topology  $\sigma(E, E')$  of uniform convergence on all order-intervals in  $E'$ , is generalized to the case when  $(E, C, \mathcal{J})$  is only a locally solid space. Andō-Ellis' theorem, concerning the duality of strict  $\mathfrak{B}$ -cones and normality in normed vector spaces, is generalized to the metrizable case.

1. **Introduction.** By a locally solid space we mean an ordered convex space  $(E, C, \mathcal{J})$  such that  $\mathcal{J}$  admits a neighbourhood base at 0 consisting of convex and solid sets in  $E$ . Locally solid spaces share a number of important properties with locally convex vector lattices (for example, see Walsh [9] and [10]).

Kōmura and Koshi [4] have shown that the topology  $\mathcal{J}$  of a nuclear locally convex vector lattice  $(E, C, \mathcal{J})$  is the topology  $\sigma(E, E')$  of uniform convergence on all order-intervals in  $E'$ . This result is generalized to the case when  $(E, C, \mathcal{J})$  is a locally solid space.

Andō-Ellis' theorem, which states that for a normed space  $(E, \|\cdot\|)$  ordered by a complete cone  $C$  the normality of  $C'$  in  $(E', \|\cdot\|)$  implies that  $C$  be a strict  $\mathfrak{B}$ -cone in  $(E, \|\cdot\|)$ , is one of the important results in the theory of ordered normed spaces. We shall give, in the final section, a generalization on Andō-Ellis' theorem to the metrizable case.

2. **A generalization of Kōmura and Koshi's theorem.** Let  $(E, C, \mathcal{J})$  be a locally solid space ( $C$  is binormal for  $\mathcal{J}$  in the sense of Walsh [9]) whose dual is denoted by  $E'$ , and suppose that  $C'$  is the dual cone of  $C$ . Then the weak topology  $\sigma(E, E')$  is locally  $\sigma$ -convex (i.e.,  $C$  is normal in  $(E, \sigma(E, E'))$ ), and it is generated by the family  $\{p_f: f \in C'\}$  of monotone seminorms, where each  $p_f$  is defined by  $p_f(x) = |f(x)|$  ( $x \in E$ ). Suppose  $V_f = \{x \in E: p_f(x) \leq 1\}$  and  $S(V_f) = \bigcup\{-u, u\}: u \in V_f \cap C\}$ . Walsh [9, (1.3.7)] has shown that the gauge function of  $S(V_f)$ , denoted by  $p_{f,S}$ , is given by

$$(1) \quad p_{f,S}(x) = \inf\{p_f(y): y \in C, -y \leq x \leq y\} = \inf\{f(y): y \in C, -y \leq x \leq y\}$$

therefore the family  $\{p_{f,S}: f \in C'\}$  of seminorms generates the locally solid

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topology  $\sigma_S(E, E')$  associated with  $\sigma(E, E')$ . As  $p_f(u) = p_{f,S}(u)$  for all  $u \in C$ , it follows that  $p_{f,S}$  is additive on  $C$ .

For any  $f \in C'$ , as the topological dual  $E'$  of  $(E, C, \mathcal{F})$  is a solid subspace of  $E^*$  (see [10, (6.5)]), it follows that  $[-f, f] \subset E'$ ; we now define

$$(2) \quad q_f(x) = \sup\{g(x) : -f \leq g \leq f\} \quad (x \in E).$$

It is known from Peressini [6, p. 130] that  $\{q_f : f \in C'\}$  generates the topology  $\alpha(E, E')$  of uniform convergence on all order-intervals in  $E'$ .

It is not hard to see that  $q_f(x) \leq p_{f,S}(x)$  ( $x \in E$ ). On the other hand, if  $g$  is in the polar of  $\{x \in E : p_{f,S}(x) \leq 1\}$ , then  $|g(u)| \leq p_{f,S}(u) = f(u)$  ( $u \in C$ ), so  $-f \leq g \leq f$ , and thus  $p_{f,S}(x) \leq q_f(x)$  ( $x \in E$ ). This remark makes the following result clear.

**Lemma 1.** *Let  $(E, C, \mathcal{F})$  be a locally solid space. For any  $f \in C'$ , we have  $p_{f,S} = q_f$ ; consequently,  $\sigma_S(E, E') = \alpha(E, E')$ .*

**Theorem 2.** *For a nuclear locally solid space  $(E, C, \mathcal{F})$ ,  $\mathcal{F}$  coincides with  $\alpha(E, E')$ .*

**Proof.** This theorem can be deduced from Walsh's result [9, (3.2.5)] and the polar characterization of local decomposability (see [9, (1.3.3)] or [10, (3.10)]); but we present here a somewhat more direct and elementary proof. Let  $U$  be any closed, convex, circled  $\mathcal{F}$ -neighbourhood of 0 and suppose that  $p_U$  is the gauge of  $U$ . The nuclearity of  $E$  insures that there exists a convex solid  $\mathcal{F}$ -neighbourhood  $V$  of 0, a sequence  $(g_n)$  in the polar  $V^0$  of  $V$ , and  $(\lambda_n) \in l_1$  such that

$$(3) \quad p_U(x) \leq \sum_n |\lambda_n g_n(x)| \quad (x \in E).$$

Since  $E'$  is a solid subspace of  $E^*$ , by a theorem of Jameson (see [3, (1.7.1)] or [10, (1.17)]),  $V^0$  is a solid subset of  $E'$ , hence for any  $g_n$ , there exists  $f_n \in V^0 \cap C'$  such that  $-f_n \leq g_n \leq f_n$ . Define  $f(x) = \sum_n |\lambda_n| f_n(x)$  ( $x \in E$ ). Clearly  $f$  is a positive continuous linear functional on  $E$ . On the other hand, the inequality (3) insures that

$$P_U(x) \leq \inf\{f(y) : y \in C, -y \leq x \leq y\} = p_{f,S}(x) \quad (x \in E),$$

hence  $\mathcal{F}$  is coarser than  $\sigma_S(E, E')$ . As  $\sigma_S(E, E')$  is the smallest locally solid topology finer than  $\sigma(E, E')$ , we conclude that  $\mathcal{F} = \sigma_S(E, E')$ , and hence from Lemma 1 that  $\mathcal{F} = \alpha(E, E')$ .

The preceding result was proved by Kōmura and Koshi [4] in the special case when  $(E, C, \mathcal{F})$  is a locally convex vector lattice.

A sequence  $(x_n)$  in an ordered convex space  $(E, C, \mathcal{F})$  is said to be *positive* if  $x_n \in C$  for all  $n$ . Let  $(E, C, \mathcal{F})$  be a locally solid space and  $N$  the set of all natural numbers. We denote by  $l^1[N, E]$  (resp.  $l^1(N, E)$ ) the

space consisting of all absolutely summable (resp. summable) sequences in  $E$ . It is not hard to see that if  $(E, C, \mathcal{J})$  is metrizable, then  $l^1[N, E]$  is a solid subspace of the (ordered) product space  $(E^N, C^N)$ .

**Corollary 3.** *A metrizable locally solid space  $(E, C, \mathcal{J})$  is nuclear if and only if it satisfies the following conditions:*

(a)  $\mathcal{J} = \alpha(E, E')$ ;

(b) *each summable sequence in  $E$  is the difference of two positive summable sequences in  $E$ .*

**Proof.** Suppose that  $E$  is nuclear. Then  $\mathcal{J} = \alpha(E, E')$  by Theorem 2, and  $l^1(N, E) = l^1[N, E]$  in view of a well-known result. According to the remark preceding the corollary,  $l^1[N, E]$  is a solid subspace of  $(E^N, C^N)$ , hence (b) holds; therefore the condition is necessary. To see that it is also sufficient, we first note that each positive summable sequence in  $(E, C, \alpha(E, E'))$  is absolutely summable with respect to the topology  $\alpha(E, E')$ . Therefore  $l^1(N, E) = l^1[N, E]$ . As  $E$  is metrizable, we conclude from Pietsch [8, (4.2.5)] that  $E$  is nuclear.

For a base normed space  $(E, C, \|\cdot\|)$ , the norm  $\|\cdot\|$  is additive on  $C$ , it follows from Walsh [9, (3.2.5)] that the norm topology coincides with  $\alpha(E, E')$ . But a normed space with infinite dimension is not nuclear, therefore condition (b) in Corollary 3 is essential.

3. **A generalization of Andō-Ellis' theorem.** For a subset  $V$  of  $(E, C)$ , we define

$$D(V) = \{x \in V : x = \lambda u - (1 - \lambda)w, \lambda \in [0, 1] \text{ and } u, w \in V \cap C\}.$$

It is clear that if  $V$  is convex and circled, then  $D(V) = \text{co}\{-(V \cap C) \cup (V \cap C)\}$  and

$$(4) \quad (V \cap C - V \cap C)/2 \subset D(V) \subset V \cap C - V \cap C.$$

A subset  $V$  of  $(E, C)$  is said to be *decomposable* if  $V = D(V)$ . An ordered convex space  $(E, C, \mathcal{J})$  is called a *locally decomposable space* ( $C$  is *conormal* in  $(E, \mathcal{J})$  in the sense of Walsh [9]) if  $\mathcal{J}$  admits a neighbourhood base at 0 consisting of convex decomposable sets in  $E$ . According to formula (4), an ordered convex space  $(E, C, \mathcal{J})$  is locally decomposable if and only if  $C$  gives an open decomposition in  $(E, \mathcal{J})$  in the sense of Jameson [3, p. 94]. The topological dual  $E'$  of a locally decomposable space  $(E, C, \mathcal{J})$  is an order-convex subspace of  $E^*$ .

In this section  $\beta(E', E)$  denotes the strong topology on  $E'$  while  $\sigma(E', E)$  is the weak topology on  $E'$ .

**Theorem 4.** *Let  $(E, C, \mathcal{J})$  be an infrabarrelled ordered convex space with a countable fundamental system of  $\mathcal{J}$ -bounded subsets of  $E$ . If  $(E, C, \mathcal{J})$*

is locally decomposable then  $(E', C', \beta(E', E))$  is locally  $o$ -convex.

**Proof.** According to the hypotheses,  $(E', C', \beta(E', E))$  is metrizable, therefore it is sufficient to show, by [10, (5.2)], that the order-convex hull (in  $E'$ ) of each  $\beta(E', E)$ -bounded subset  $B$  of  $E'$  is  $\beta(E', E)$ -bounded. Let  $B$  be such a set.  $B$  is  $\mathcal{J}$ -equicontinuous, it follows from the polar characterization of local decomposability (see Walsh [9, (1.3.3)] or [10, (3.10)]) that  $(B + C^*) \cap (B - C^*)$  is  $\mathcal{J}$ -equicontinuous; consequently, the order-convex hull of  $B$  is  $\beta(E', E)$ -bounded.

**Corollary 5.** Let  $(E, C, \mathcal{J})$  be an infrabarrelled ordered convex space with a countable fundamental system of bounded sets. If  $(E, C, \mathcal{J})$  is locally solid then so is  $(E', C', \beta(E', E))$ .

**Proof.** It is known from [10, (6.3)] that an ordered convex space is locally solid if and only if it is both locally  $o$ -convex and locally decomposable. Now Theorem 4 insures that  $(E', C', \beta(E', E))$  is locally  $o$ -convex. On the other hand, by Schaefer's theorem [8, p. 220], the local  $o$ -convexity of  $\mathcal{J}$  implies that  $C'$  is a strict  $\mathcal{B}$ -cone in  $(E', \beta(E', E))$ ; by Jameson [3, (3.3.6)] (or [9, (1.2.4)]),  $(E', C', \beta(E', E))$  is locally decomposable because  $(E', \beta(E', E))$  is metrizable and surely bornological.

The following result should be compared with Jameson [3, (3.5.4)].

**Theorem 6.** Let  $(E, C, \mathcal{J})$  be a metrizable ordered convex space such that  $C$  is  $\mathcal{J}$ -complete. If  $(E', C', \beta(E', E))$  is locally  $o$ -convex then  $(E, C, \mathcal{J})$  is locally decomposable, and hence  $E$  is  $\mathcal{J}$ -complete.

**Proof.** For any convex circled  $\mathcal{J}$ -neighbourhood  $V$  of 0, it is known from [10, (2.11)] that  $(D(V))^0 = (V^0 + C^*) \cap (V^0 - C^*) \cap E'$ , therefore  $(D(V))^0$  is the order-convex hull in  $E'$  of  $V^0$ . As  $E$  is metrizable and surely infrabarrelled, it follows from the local  $o$ -convexity of  $(E', C', \beta(E', E))$  that  $\overline{D(V)}$  is a  $\mathcal{J}$ -neighbourhood of 0. As  $C$  is complete, we conclude from the open mapping theorem (see Ng [5, Theorem 2]) that  $(E, C, \mathcal{J})$  is locally decomposable.

Finally, since  $(E, C, \mathcal{J})$  is locally decomposable for which  $C$  is  $\mathcal{J}$ -complete, it follows from Klee's theorem (see [10, (3.6)]) that  $E$  must be complete. Therefore the proof is complete.

The preceding theorem, together with a result of Schaefer [8, p. 220], shows that for a metrizable ordered convex space  $(E, C, \mathcal{J})$  such that  $C$  is  $\mathcal{J}$ -complete, if  $\beta(E', E)$  is locally  $o$ -convex then so is  $\sigma(E', E)$ .

Clearly an ordered normed space  $(E, C, \|\cdot\|)$  is locally decomposable if and only if  $C$  is a strict  $\mathcal{B}$ -cone in  $(E, \|\cdot\|)$ . Therefore Theorems 4 and 6 constitute a generalization of Andô-Ellis' theorem [1], [2] and [5, Theorem 3].

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