

MODULES SATISFYING BOTH CHAIN CONDITIONS WITH RESPECT TO A TORSION THEORY

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ABSTRACT. Goldman [3] has introduced the notion of the length of a module with respect to a torsion theory and has studied finitely-generated modules over left noetherian rings which have finite length. In this note we simplify the proofs of some of Goldman's results and generalize them by removing both the finite-generation and noetherianness conditions.

0. **Background and notation.** Throughout the following, R will denote an associative (but not necessarily commutative) ring with unit element 1. We will denote by $R\text{-mod}$ the category of all unitary left R -modules and will abuse notation by writing $M \in R\text{-mod}$ when we mean to say that M is an object of $R\text{-mod}$.

The set of all (hereditary) torsion theories on $R\text{-mod}$ will be denoted by $R\text{-tors}$. The reader is referred to [2], [4], [7] for basic information about such theories. If $\tau \in R\text{-tors}$ we denote the class of all τ -torsion left R -modules by \mathcal{T}_τ and the class of all τ -torsion-free left R -modules by \mathcal{F}_τ . The τ -torsion subfunctor of the identity functor on $R\text{-mod}$ is denoted by $T_\tau(_)$. A submodule N of a left R -module M is said to be τ -pure in M if and only if M/N is τ -torsion-free. The set $R\text{-tors}$ is a complete lattice when we define $\tau \leq \tau'$ if and only if $\mathcal{T}_\tau \subseteq \mathcal{T}_{\tau'}$ (or equivalently if and only if $\mathcal{F}_\tau \supseteq \mathcal{F}_{\tau'}$) and when, for any subset U of $R\text{-tors}$, $\bigwedge U$ is characterized by $\mathcal{T}_{\bigwedge U} = \bigcap \{\mathcal{T}_\tau \mid \tau \in U\}$. For each $M \in R\text{-mod}$ there is a unique largest element of $R\text{-tors}$ relative to which M is torsion-free. We denote this theory by $\chi(M)$.

Let $\tau \in R\text{-tors}$. A nonzero left R -module M is said to be τ -cocritical if and only if M is τ -torsion-free and, for any nonzero submodule N of M , M/N is τ -torsion. Such modules are discussed, under various names, in [2] and [8]. Every nonzero submodule of a τ -cocritical left R -module is τ -cocritical and every τ -cocritical left R -module is uniform. Moreover, a left R -module M is τ -cocritical for some torsion theory τ if and only if it is $\chi(M)$ -cocritical.

It is not true that every $\tau \in R\text{-tors}$ is of the form $\chi(M)$ for some τ -cocritical left R -module M . If a torsion theory τ can be so represented then it is said to be *prime* [2]. Indeed, if τ is prime then $\tau = \chi(M)$ for every τ -cocritical left R -module M . We call the set of all prime torsion theories on $R\text{-mod}$ the *left spectrum* of R and denote it by $R\text{-sp}$. If $\tau \in R\text{-tors}$ we denote by $\text{pgen}(\tau)$ the set of all prime torsion theories $\pi \in R\text{-sp}$ satisfying $\pi \geq \tau$. (These are the *prime generalizations* of τ .) It is not always true that, for a given torsion

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theory τ , we have $\tau = \bigwedge \text{pgen}(\tau)$. When this happens τ is said to be *semiprime*. If $\tau = \bigwedge U$ for some $U \subseteq \{\chi(M) \mid M \in R\text{-mod is } \tau\text{-cocritical}\}$ then τ is said to be *strongly semiprime*. The rings over which every torsion theory is semiprime and those over which every torsion theory is strongly semiprime are characterized in [6]. The latter are precisely the left seminoetherian rings, i.e. those rings having left Gabriel dimension.

Let M be a left R -module. We define the *support*, $\text{supp}(M)$, of M to be $\text{supp}(M) = \{\pi \in R\text{-sp} \mid M \notin \mathcal{F}_\pi\}$. See [1] for details. We define the *assassin* $\text{ass}(M)$ of M to be $\text{ass}(M) = \{\pi \in R\text{-sp} \mid \text{there exists a } \pi\text{-cocritical submodule of } M\}$. See [1], [2], [8]. For any submodule N of M , $\text{ass}(N) \subseteq \text{ass}(M) \subseteq \text{ass}(N) \cup \text{ass}(M/N)$. Moreover, if M is π -cocritical for some $\pi \in R\text{-sp}$ then $\text{ass}(N) = \{\pi\}$ for any nonzero submodule N of M .

1. Modules which are both τ -artinian and τ -noetherian. Let $\tau \in R\text{-tors}$. Following Manocha [5], we say that a left R -module M is τ -artinian [resp. τ -noetherian] if and only if the set of all τ -pure submodules of M satisfies the descending chain condition [resp. the ascending chain condition]. The class of all τ -artinian [resp. τ -noetherian] left R -modules is a Serre class [5] and hence so is the class of all left R -modules which are both τ -artinian and τ -noetherian. We denote this class by \mathcal{U}_τ . Then $\mathcal{F}_\tau \subseteq \mathcal{U}_\tau$ and for any left R -module M , $M \in \mathcal{U}_\tau$ if and only if $M/T_\tau(M) \in \mathcal{U}_\tau$.

(1.1) **Proposition.** *If $\tau, \tau' \in R\text{-tors}$ then:*

- (1) $\tau \leq \tau' \implies \mathcal{U}_\tau \subseteq \mathcal{U}_{\tau'}$.
- (2) $\mathcal{U}_{\tau \wedge \tau'} = \mathcal{U}_\tau \cap \mathcal{U}_{\tau'}$.

Proof. (1) Let $M \in \mathcal{U}_\tau$. If $M_1 \subseteq M_2 \subseteq \dots$ is an ascending chain of τ' -pure submodules of M then $M/M_i \in \mathcal{F}_{\tau'} \subseteq \mathcal{F}_\tau$ for each index i and so there exists an index k for which $M_k = M_{k+1} = \dots$. Thus M is τ' -noetherian. Similarly, M is τ' -artinian and so $M \in \mathcal{U}_{\tau'}$.

(2) By (1), $\mathcal{U}_{\tau \wedge \tau'} \subseteq \mathcal{U}_\tau \cap \mathcal{U}_{\tau'}$. Conversely, let $M \in \mathcal{U}_\tau \cap \mathcal{U}_{\tau'}$ and let $M_1 \subseteq M_2 \subseteq \dots$ be an ascending chain of $(\tau \wedge \tau')$ -pure submodules of M . Then $M/M_j \notin \mathcal{F}_\tau \cap \mathcal{F}_{\tau'}$ for each index j and so we can assume without loss of generality that there are an infinite number of indices j for which M/M_j is not τ -torsion. By throwing away all of the other links in the chain we can in fact assume that M/M_j is not τ -torsion for all indices j .

For each index j , let N_j be the submodule of M defined by $N_j/M_j = T_\tau(M/M_j)$. Then we have an ascending chain $N_1 \subseteq N_2 \subseteq \dots$ of τ -pure submodules of M which must therefore terminate at some index k , i.e. $N_k = N_{k+1} = \dots$. For each $j > k$, we have $M_j/M_k \subseteq N_j/M_k = N_k/M_k \in \mathcal{F}_\tau$. But $M_j/M_k \subseteq M/M_k \in \mathcal{F}_{\tau \wedge \tau'}$ and so we must have $M_j/M_k \notin \mathcal{F}_{\tau'}$ for all $j > k$. For each $j > k$ let N'_j be the submodule of N_k defined by $N'_j/M_j = T_{\tau'}(N_k/M_j)$. Then we have the ascending chain $N'_k \subseteq N'_{k+1} \subseteq \dots$ of τ' -pure submodules of N_k . Since $M \in \mathcal{U}_{\tau'}$, we

have $N_k \in \mathfrak{U}_\tau$, and so there exists an index b for which $N'_b = N'_{b+1} = \dots$. If $j > b$ then $M_j/M_b \subseteq N'_j/M_b = N'_b/M_b \in \mathfrak{F}_\tau$, and so $M_j/M_b \in \mathfrak{F}_\tau \cap \mathfrak{F}_{\tau'} = \mathfrak{F}_{\tau \wedge \tau'}$. But $M_j/M_b \subseteq M/M_b \in \mathfrak{F}_{\tau \wedge \tau'}$, and so we must have $M_j = M_b$. Therefore M is $(\tau \wedge \tau')$ -noetherian. A similar proof shows that M is $(\tau \wedge \tau')$ -artinian and so $M \in \mathfrak{U}_{\tau \wedge \tau'}$. \square

(1.2) **Corollary.** *The following conditions are equivalent for $M \in R\text{-mod}$:*

- (1) $M \in \mathfrak{U}_{\chi(M)}$.
- (2) $M \in \mathfrak{U}_\tau \cap \mathfrak{F}_\tau$ for some $\tau \in R\text{-tors}$.

Proof. (1) \Rightarrow (2): Trivial. (2) \Rightarrow (1): If $M \in \mathfrak{U}_\tau \cap \mathfrak{F}_\tau$ then $\chi(M) \geq \tau$ and so $M \in \mathfrak{U}_{\chi(M)}$ by Proposition 1.1(1). \square

Goldman [3] has shown that $M \in \mathfrak{U}_\tau$ if and only if there exists a chain $T_\tau(M) = M_0 \subset M_1 \subset \dots \subset M_n = M$ of submodules of M having the property that M_{i+1}/M_i is τ -cocritical for each $0 \leq i < n$. Such a chain is called a τ -chain in M . Moreover, if $T_\tau(M) = M'_0 \subset M'_1 \subset \dots \subset M'_k = M$ is another τ -chain in M then $k = n$ and

$$\{\chi(M_{i+1}/M_i) \mid 0 \leq i < n\} = \{\chi(M'_{j+1}/M'_j) \mid 0 \leq j < k\}.$$

The integer n is therefore unique and is called the τ -length of M ; it is denoted by $\text{len}_\tau(M)$. The set $\{\chi(M_{i+1}/M_i) \mid 0 \leq i < n\}$ of prime torsion theories is called the set of τ -invariants of M and is denoted by $\text{inv}_\tau(M)$. For each $M \in \mathfrak{U}_\tau$ we have

$$\text{len}_\tau(M) = \text{len}_\tau(M/T_\tau(M)) \quad \text{and} \quad \text{inv}_\tau(M) = \text{inv}_\tau(M/T_\tau(M)).$$

Moreover, Goldman [3, Corollary 2.8] showed that if $M \in \mathfrak{U}_\tau$ then $\text{inv}_\tau(M) = \text{pgen}(\tau) \cap \text{supp}(M)$. Thus, if $\tau \leq \tau'$ we have $\text{inv}_\tau(M) \supseteq \text{inv}_{\tau'}(M)$.

For each $M \in R\text{-mod}$ and each $\tau \in R\text{-tors}$ let $W(M, \tau)$ be the set of all prime torsion theories π satisfying both of the following conditions:

- (1) π is a minimal element of $\text{pgen}(\tau)$;
- (2) π is a maximal element of $\text{supp}(M)$.

(1.3) **Proposition.** *If $\tau \in R\text{-tors}$ and $M \in \mathfrak{U}_\tau$ then $\text{inv}_\tau(M) = W(M, \tau)$.*

Proof. We have already noted that $\text{inv}_\tau(M) = \text{pgen}(\tau) \cap \text{supp}(M) \supseteq W(M, \tau)$. Conversely, if $\pi \in \text{inv}_\tau(M)$ and if $\tau' \in R\text{-tors}$ satisfies $\tau' > \pi$ we want to show that $\tau' \notin \text{supp}(M)$. Assume the contrary. Then $0 \neq N = M/T_{\tau'}(M) \in \mathfrak{F}_{\tau'} \subseteq \mathfrak{F}_\pi \subseteq \mathfrak{F}_{\tau'}$. Moreover, $M \in \mathfrak{U}_\tau$ implies that $N \in \mathfrak{U}_{\tau'}$. If $0 = N_0 \subset \dots \subset N_k = N$ is a τ' -chain in N then N_1 is a τ' -cocritical submodule of N and so $\chi(N_1)$ is a minimal element of $\text{pgen}(\tau')$ for if $\pi'' \in \text{pgen}(\tau')$ and $\pi'' \leq \chi(N_1)$, then $N_1 \in \mathfrak{F}_{\chi(N_1)} \subseteq \mathfrak{F}_{\pi''}$. On the other hand, for any nonzero submodule N' of N_1 , $N_1/N' \in \mathfrak{F}_{\tau'} \subseteq \mathfrak{F}_{\pi''}$. Therefore N_1 is also π'' -cocritical and so $\pi'' = \chi(N_1)$. But $\chi(N_1) \geq \chi(N) \geq \tau' > \pi \in \text{pgen}(\tau)$, a contradiction. Therefore we must have $\tau' \notin \text{supp}(M)$ and so π is a maximal element of $\text{supp}(M)$.

We now want to show that π is a minimal element of $\text{pgen}(\tau)$. If $T_\tau(M) = M_0 \subset M_1 \subset \dots \subset M_n = M$ is a τ -chain in M then there exists an index k , $0 \leq k < n$, for which $\pi = \chi(M_{k+1}/M_k)$, where M_{k+1}/M_k is a τ -cocritical left R -module. But we have already seen that this suffices to prove that π is minimal in $\text{pgen}(\tau)$. Therefore $\pi \in W(M, \tau)$ and so we have that $\text{inv}_\tau(M) = W(M, \tau)$. \square

(1.4) **Corollary.** *If $M \in \mathfrak{A}_\tau$ for some torsion theory τ then $M \in \mathfrak{F}_\tau$ if and only if $W(M, \tau) = \emptyset$.*

(1.5) **Proposition.** *Let $M \in R\text{-mod}$ and let $\tau \in R\text{-tors}$ be semiprime. Then the following conditions are equivalent:*

- (1) $M \in \mathfrak{A}_\tau$.
- (2) $W(M, \tau)$ is a finite set and $M \in \mathfrak{A}_\pi$ for each $\pi \in W(M, \tau)$.

Proof. (1) \Rightarrow (2): By Proposition 1.3, (1) implies that $W(M, \tau) = \text{inv}_\tau(M)$ and the latter is a finite set. By Proposition 1.1(1), $M \in \mathfrak{A}_\pi$ for each $\pi \geq \tau$ and so in particular for each $\pi \in W(M, \tau)$.

(2) \Rightarrow (1): Let $U = \text{pgen}(\tau) \setminus W(M, \tau)$. Then $M \in \mathfrak{F}_{\wedge U} \subseteq \mathfrak{A}_{\wedge U}$. Moreover, since τ is semiprime we have $\tau = \bigwedge \text{pgen}(\tau) = [\bigwedge U] \wedge [\bigwedge W(M, \tau)]$. Since $W(M, \tau)$ is a finite set and since $M \in \mathfrak{A}_\pi$ for each $\pi \in W(M, \tau)$, we have $M \in \mathfrak{A}_\tau$ by Proposition 1.1(2). \square

2. Modules having finite intrinsic length. In Corollary 1.2 we saw the importance of asking when a left R -module M belongs to $\mathfrak{A}_{\chi(M)}$. Goldman [3] calls modules having this property modules with *finite intrinsic length*.

(2.1) **Proposition.** *If $0 \neq M \in \mathfrak{A}_{\chi(M)}$ then:*

- (1) $\emptyset \neq \text{ass}(M) = \text{inv}_{\chi(M)}(M)$;
- (2) $\text{ass}(M)$ is a finite set;
- (3) there exists a large submodule of M of the form $\bigoplus_{i=1}^n N_i$ where the N_i are cyclic cocritical submodules of M ;
- (4) M has finite uniform dimension;
- (5) to each $\pi \in \text{ass}(M)$ we can associate a submodule N_π of M such that the following conditions are satisfied:

- (i) $\text{ass}(M/N_\pi) = \{\pi\}$,
 - (ii) $\text{ass}(N_\pi) = \text{ass}(M) \setminus \{\pi\}$;
 - (iii) $0 = \bigcap \{N_\pi \mid \pi \in \text{ass}(M)\}$ and this intersection is reduced;
- (6) $\chi(M) = \bigwedge \text{ass}(M)$;
 - (7) $\chi(M)$ is strongly semiprime.

Proof. We will first prove that for any left R -module $M' \in \mathfrak{F}_{\chi(M)} \cap \mathfrak{A}_{\chi(M)}$ we have $\text{ass}(M') \subseteq \text{inv}_{\chi(M)}(M')$. This will prove one inclusion of (1). The proof of the reverse inclusion will be delayed until later. We will proceed by induction on $\text{len}_{\chi(M)}(M')$. If $\text{len}_{\chi(M)}(M') = 1$ then M' is $\chi(M)$ -cocritical and so $\text{ass}(M') = \{\chi(M')\} = \text{inv}_{\chi(M)}(M')$. Now assume that $\text{len}_{\chi(M)}(M') = n$

and that the result has been established for all $\chi(M)$ -torsion-free modules the $\chi(M)$ -length of which is less than n . Let $0 = M'_0 \subset M'_1 \subset \dots \subset M'_n = M'$ be a $\chi(M)$ -chain in M' . Then M'/M'_1 is $\chi(M)$ -torsion-free [3] and

$\text{len}_{\chi(M)}(M'/M'_1) = n - 1$. Moreover, $\text{inv}_{\chi(M)}(M') = \{\chi(M_1)\} \cup \text{inv}_{\chi(M)}(M'/M'_1)$. Let $\pi \in \text{ass}(M')$. There then exists a submodule N of M' for which $\pi = \chi(N)$. If $N \cap M'_1 \neq 0$ then $N \cap M'_1$ is π -cocritical and so $\pi = \chi(N \cap M'_1) = \chi(M'_1) \in \text{inv}_{\chi(M)}(M')$. If $N \cap M'_1 = 0$ then $N \cong [N + M'_1]/M'_1 \subseteq M'/M'_1$ and so $\pi \in \text{ass}(M'/M'_1)$. By induction we then have $\pi \in \text{inv}_{\chi(M)}(M'/M'_1) \subseteq \text{inv}_{\chi(M)}(M')$.

In particular, we have $\text{ass}(M) \subseteq \text{inv}_{\chi(M)}(M)$ which is one direction of (1). Since $\text{inv}_{\chi(M)}(M)$ is a finite set, we immediately have (2).

The module M certainly has at least one cocritical submodule N (i.e. N is τ -cocritical for some $\tau \in R\text{-tors}$), namely the first nonzero link in a $\chi(M)$ -chain in M . Therefore $\emptyset \neq \text{ass}(M)$. If $0 \neq x \in N$ then Rx is also cocritical and so without loss of generality we can assume that N is a cyclic left R -module. Consider the set of all submodules of M of the form $\bigoplus_{i \in \Lambda} N_i$ where the N_i are cyclic cocritical submodules of M . This set is clearly inductive and so by Zorn's lemma it contains a maximal element $M' = \bigoplus_{i \in \Omega} N_i$. We claim that M' is a large submodule of M . Indeed, if not then there exists a nonzero submodule M'' of M for which $M'' \cap M' = 0$. By Proposition 1.1, $M'' \in \mathfrak{U}_{\chi(M)}$ and so M'' has a nonzero cocritical submodule N'' , which we can again assume to be cyclic. Therefore $M' \oplus N''$ is strictly larger than M' , contradicting the maximality of M' . Thus M' is large in M . We now want to show that the set Ω is finite. Assume not. Then without loss of generality we can assume that Ω contains the set of natural numbers. For each natural number i , let $Y_i = \bigoplus_{j=1}^i N_j$. Then for each index i , M'/Y_i is isomorphic to a submodule of M' and so is $\chi(M)$ -torsion-free. Hence we have an infinite ascending chain $Y_1 \subset Y_2 \subset \dots$ of $\chi(M)$ -pure submodules of M' . But if $M \in \mathfrak{U}_{\chi(M)}$ then $M' \in \mathfrak{U}_{\chi(M)}$, a contradiction. Therefore Ω is a finite set and so we have proven (3). As an immediate consequence of this we also have (4).

Now let $\pi \in \text{ass}(M)$ and consider the class of all submodules N' of M for which $\pi \notin \text{ass}(N')$. This set is clearly nonempty and by [8, Proposition 3.1] it is inductive. Therefore by Zorn's lemma there is a maximal such module N_π which clearly satisfies (5)(ii).

Let $\pi' \in \text{ass}(M/N_\pi)$. Then there exists a π' -cocritical submodule M'/N_π of M/N_π . Thus

$$\text{ass}(M') \subseteq \text{ass}(N_\pi) \cup \text{ass}(M'/N_\pi) = \text{ass}(N_\pi) \cup \{\pi'\}$$

and so, by the maximality of N_π , we have $\pi' = \pi$. This establishes (5)(i).

Now let $N' = \bigcap \{N_\pi \mid \pi \in \text{ass}(M)\}$. Then $\text{ass}(N') \subseteq \text{ass}(N_\pi)$ for all $\pi \in \text{ass}(M)$ and so $\text{ass}(N') = \emptyset$. But $M \in \mathfrak{U}_{\chi(M)}$ implies that $N' \in \mathfrak{U}_{\chi(M)}$ and

so by what we proved above this implies that $N' = 0$. If U is a proper subset of $\text{ass}(M)$ and if $N'' = \bigcap \{N_\pi \mid \pi \in U\}$, then $\text{ass}(N'') = \text{ass}(M) \setminus U \neq \emptyset$ and so $N'' \neq 0$. This proves (5)(iii) and so completes the proof of (5).

If $\pi \in \text{ass}(M)$ then $\pi \in \text{inv}_{\chi(M)}(M) \subseteq \text{pgen}(\chi(M))$. Therefore $\bigwedge \text{ass}(M) \geq \chi(M)$. On the other hand, by (5) there exists a canonical monomorphism $M \rightarrow M' = \bigoplus \{M/N_\pi \mid \pi \in \text{ass}(M)\}$. Then $\chi(M) \geq \chi(M') = \bigwedge \text{ass}(M)$ by [2, Proposition 5.4] and so $\chi(M) = \bigwedge \text{ass}(M)$, proving (6).

In particular, (6) implies that $\chi(M)$ is semiprime. Since $\text{ass}(M) \subseteq \text{inv}_{\chi(M)}(M)$, each $\pi \in \text{ass}(M)$ is of the form $\chi(N)$ where N is a $\chi(M)$ -cocritical left R -module. This implies that $\chi(M)$ is strongly semiprime, proving (7).

Finally, we return to prove the other direction of (1). Let $\pi \in \text{inv}_{\chi(M)}(M)$. Then $\pi \geq \chi(M) = \bigwedge \text{ass}(M)$. By (2), $\text{ass}(M)$ is a finite set of prime torsion theories and so by [3, Lemma 3.5] there exists a $\pi' \in \text{ass}(M)$ for which $\pi \geq \pi'$. But by Proposition 1.3, π and π' are both maximal elements of $\text{supp}(M)$ and so we must have $\pi = \pi'$. Therefore $\pi \in \text{ass}(M)$ and so $\text{inv}_{\chi(M)} \subseteq \text{ass}(M)$, proving equality. \square

(2.2) **Corollary.** *The following conditions are equivalent for $0 \neq M \in R\text{-mod}$:*

- (1) $M \in \mathcal{Q}_{\chi(M)}$.
- (2) (i) $\chi(M)$ is strongly semiprime;
 (ii) $W(M, \chi(M))$ is a finite set;
 (iii) $M \in \mathcal{Q}_\pi$ for each $\pi \in W(M, \chi(M))$.

Proof. By Propositions 2.1 and 1.5. \square

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