

A NOTE ON IDENTIFICATIONS OF METRIC SPACES

FRANK SIWIEC

DEDICATED TO PROFESSOR JUN-ITI NAGATA

ABSTRACT. A space X is said to be σMK provided that X has a countable closed cover \mathcal{C} of metrizable subspaces such that if K is a compact subset of X , there is a $C \in \mathcal{C}$ for which $K \subset C$. A Hausdorff space is σMK and Fréchet if and only if it is representable as a closed image of a metric space obtained by identifying a discrete collection of closed sets with hemicompact boundaries to points.

A familiar example of a nonmetrizable space is R/N , that is, the space obtained by identifying the set of natural numbers N , in the set of real numbers R , to a point and giving the resulting set the quotient topology. In [5], the concept of a σMK space proved useful in characterizing certain countably infinite spaces. This note relates identification spaces such as R/N with the concept of a σMK space.

All spaces in this paper are understood to be Hausdorff topological spaces and all mappings are continuous onto functions. A space X is σMK provided that X has a countable closed cover \mathcal{C} of metrizable subspaces such that if K is a compact subset of X , there is a $C \in \mathcal{C}$ for which $K \subset C$. We may assume that \mathcal{C} consists of sets $C_1 \subset C_2 \subset \dots$, and we will henceforth do so. A space X is *Fréchet* [2] provided that every accumulation point of a set A in X is the limit of some sequence in A . It is clear that σMK and Fréchet are each hereditary properties.

THEOREM 1. *If a space X is σMK and Fréchet, then it is an image of a metric space M under a closed mapping f , and there is a discrete collection \mathfrak{F} of closed subsets of M , such that $f(F)$ is a point for each $F \in \mathfrak{F}$, $\text{Bdy } F$ is hemicompact for each $F \in \mathfrak{F}$, and f is one-to-one upon restriction to $M - \cup \mathfrak{F}$.*

The proof follows from a number of propositions.

The concept of a σMK space arose in analogy to the concept of hemicompactness introduced by Arens [1]. A space X is *hemicompact* provided that there is a countable cover \mathcal{C} of compact subspaces such that if K is a compact subset of X , there is a $C \in \mathcal{C}$ for which $K \subset C$. A metrizable space is hemicompact if and only if it is separable and locally compact.

PROPOSITION 2. (a) *If a space X is σMK and Fréchet, then it is a closed image of a metric space having cardinality that of X .*

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(b) *A space X is hemicompact, Fréchet, and has every compact subspace metrizable if and only if it is a closed image of a locally compact separable metric space. (The metric space may be chosen to have cardinality that of X .)*

PROOF. We need only prove case (a), since case (b) is similar. (The "if" of case (b) is well known. In part, see [3].) Thus, assume that X is Fréchet and $X = \bigcup \{C_n | n \in N\}$ as given in the definition of σMK .

Let $C_0 = \emptyset$. Let $M_n = \text{cl}(C_n - C_{n-1})$ for each n . Let M be the discrete union of the M_n and let f be the natural mapping of M onto X . Clearly M is metrizable and f is continuous. We need to show that f is closed. Let x_0 be a point of X for which there is a sequence $\{x_i\}$ of distinct points of $X - \{x_0\}$ converging to x_0 . It suffices to show that if points $p_i \in f^{-1}(x_i)$ are chosen for each i , then the sequence $\{p_i\}$ has a convergent subsequence in the space M . So, let $p_i \in f^{-1}(x_i)$ for each i .

We will need the fact that there exists an integer n_0 for which $\{x_0, x_1, x_2, \dots\}$ is contained in $\bigcup \{M_n | n = 1, 2, \dots, n_0\}$, and $x_i \notin M_n$ for $i \in N$ and $n > n_0$. Suppose not. Then there exists a subsequence $\{x_{i_j}\}$ of $\{x_i\}$ and a subsequence $\{n_j\}$ of N such that $x_{i_j} \in \text{cl}(C_{n_j+1} - C_{n_j})$ for each j , with $n_j \neq n_k$ for distinct j, k . Then for each j , there is a sequence $\{x_k^j | k \in N\} \subset C_{n_j+1} - C_{n_j}$ such that $x_k^j \rightarrow x_{i_j}$. By the Fréchet assumption, since x_0 is an accumulation point of the set $\{x_k^j | k, l \in N\}$, there is a sequence $\{q_j\}$ contained in $\{x_k^j | k, l \in N\}$ such that $q_j \rightarrow x_0$ and $q_j \neq x_0$ for all j . Let F be the set $\{q_j | j \in N\}$. Then for each n , $F \cap C_n$ is finite and so closed. Since X is σMK and Fréchet, F must be closed. This is impossible, so our supposition is false.

By the fact that we have just shown, there is a subsequence $\{x_{i_j}\}$ and there is an integer $n_1 \leq n_0$ for which $\{x_0, x_{i_1}, x_{i_2}, \dots\}$ is contained in M_{n_1} , and for each $n > n_1$, $x_{i_j} \in M_n$ for at most finitely many $j \in N$. Thus there is an $n_2 \leq n_1$ for which a subsequence of $\{p_1, p_2, \dots\}$ is contained in M_{n_2} . This subsequence of $\{p_1, p_2, \dots\}$ converges in M_{n_2} , and so also in M .

LEMMA 3. *Suppose $S = \{0, i, (i, j, k) | i, j, k \in N\}$ has a topology with the following properties. Each point (i, j, k) is itself an open set. Each set $S_i = \{i, (i, j, k) | j, k \in N\}$ is an open set and is homeomorphic to the "sequential fan" (that is, a set G is a neighborhood of i in S_i if $i \in G$, and for each $j, (i, j, k) \in G$ for all but finitely many k). The sequence $\{i\}$ converges to 0. Then S cannot be both σMK and Fréchet.*

PROOF. Suppose on the contrary that S is Fréchet and $S = \bigcup \{C_i | i \in N\}$ as given by the definition of σMK . We may assume (without loss of generality) that $\{0, 1, 2, \dots\} \subset C_1$. Notice that for each i , S_i is not contained in C_i , since S_i is not metrizable. In fact, for each i , $S_i - C_i$ must contain a sequence $S'_i = \{(i, j, k_n) | n \in N\}$ for some $j \in N$ and some subsequence $\{k_n\}$ of $\{k | k \in N\}$. Let $S' = \bigcup \{S'_i | i \in N\}$. Then 0 is an accumulation point of S' . Since S is assumed to be Fréchet, there is a sequence T in S' which converges to 0. But then, there is an integer i_0 for which $T \subset C_{i_0}$. Since $T \subset S'$ and T converges to 0, there is a point x_0 common to T and $\bigcup \{S'_i | i \geq i_0\}$. Let $i_1 \geq i_0$ be such that $x_0 \in T \cap S'_{i_1}$. Then $x_0 \in T \subset C_{i_0} \subset C_{i_1}$. Thus, $x_0 \in S'_{i_1} \cap C_{i_1}$. This is a contradiction.

PROPOSITION 4. *If a space X is σMK and Fréchet, and D is the set of those*

points of X at which X is not first-countable, then no point of X is an accumulation point of D .

PROOF. Otherwise, there exists a sequence $\{x_n\}$ of distinct points converging to a point $x_0 \in X$ such that each x_n (for $n = 1, 2, \dots$) is a point of non-first-countability and $x_n \neq x_0$. There exists a sequence of disjoint open sets G_n such that $x_n \in G_n$ for $n = 1, 2, \dots$. Since each G_n is Fréchet, but not countably bisquential at x_n (since a closed image of a metric space which is countably bisquential is metrizable), by [6], there exists a copy of the sequential fan in G_n "at x_n ". Let S_n denote this copy. Thus, for each $n = 1, 2, \dots$, there is an S_n "at x_n " and these S_n are disjoint. Let $S = \cup \{S_n | n = 1, 2, \dots\} \cup \{x_0\}$. By Lemma 3, S is either not σMK or not Fréchet. We have a contradiction.

PROPOSITION 5. If a space $X = M/F$, where X is σMK , M is metrizable, and F is a closed subset of M , then $\text{Bdy } F$ is hemicompact.

PROOF. If $\text{Bdy } F$ is empty, it is trivially hemicompact. If $\text{Bdy } F$ is nonempty, $M/F = (M - \text{Int } F)/\text{Bdy } F$, so we may assume (without loss of generality) that the interior of F is empty. Let $X = \cup \{C_n | n \in N\}$ as given by the definition of σMK . Let f be the natural mapping of M into X . Since there exists an $n \in N$ for which the point $f(F) \in C_n$, we may assume that in fact, $f(F) \in C_1$. Since C_n is metrizable, $f_n = f|f^{-1}(C_n)$ is a closed mapping of $f^{-1}(C_n)$ onto C_n with $\text{Bdy } f_n^{-1}(x)$ being compact for each point x of C_n ([4] or [7]). Let $K_n = \text{Bdy } f_n^{-1}(f(F))$ for each n , where the boundary is taken relative to $f^{-1}(C_n)$. Then each K_n is compact. Also, $F = \cup \{K_n | n \in N\}$. Because, if $p \in F$, there is a sequence $\{p_i\}$ in $M - F$ which converges to p . But there is an integer n_0 for which $\{f(p), f(p_1), f(p_2), \dots\} \subset C_{n_0}$. Thus, $p \in \text{cl}(f^{-1}(C_{n_0}) - F) \cap F = K_{n_0}$.

In order to show that $\{K_n | n \in N\}$ is a sequence as in the definition of hemicompact, let K be a compact subset of F . Suppose that for all n , there is a point $x_n \in K - K_n \subset K - \text{cl}(f^{-1}(C_n) - F)$. Since K is sequentially compact, there is a point x in K which is the limit of some subsequence of $\{x_n\}$. For simplicity of notation, assume that the subsequence is $\{x_n\}$ itself. Let $\{G_n | n \in N\}$ be an open base at x in M such that $G_n \supset G_{n+1}$ for all n . We may also assume that $x_n \in G_n$ for all n . Since x_n is in (the boundary of) F , there exists a sequence $\{x_m^n | m \in N\}$ in $G_n - F$ which converges to x_n . Let $L = \{x, x_n, x_m^n | m, n \in N\}$. Then L is a compact subset of M . Since $f(L)$ is compact in X , there is an integer n_0 for which $f(L) \subset C_{n_0}$. Then the sequence $\{x_m^{n_0} | m \in N\}$ is contained in $f^{-1}(C_{n_0}) - F$. This means that $x_{n_0} \in \text{cl}(f^{-1}(C_{n_0}) - F)$. We have a contradiction, and thereby we have shown that (the boundary of) F is hemicompact.

PROOF OF THEOREM 1. Let X be σMK and Fréchet. By Proposition 2, X is a closed image of a metric space M' under a mapping h . Let D be the set of those points of X at which X is not first-countable. By Proposition 4, D is a discrete closed subspace of X , and ([4] or [7]) $X - D$ is metrizable. Let $M = h^{-1}(D) \cup (X - D)$, define $g: M' \rightarrow M$ by $g|h^{-1}(D) = \text{identity}$, $g|h^{-1}(X - D) = h|h^{-1}(X - D)$, and $f: M \rightarrow X$ by $f|h^{-1}(D) = h|h^{-1}(D)$, $f|(X - D) = \text{identity}$. Giving M the quotient topology as an image of M' , g

and f are closed continuous mappings and M is metrizable. Let $\mathfrak{F} = \{f^{-1}(x) \mid x \in D\}$. Then \mathfrak{F} is a discrete collection in M and by Proposition 5, each $F \in \mathfrak{F}$ has a hemicompact boundary. The proof is complete.

We now prove the converse of Theorem 1.

THEOREM 6. *If a space X is an image of a metric space M under a closed mapping f , and there is a discrete collection \mathfrak{F} of closed subsets of M , such that $f(F)$ is a point for each $F \in \mathfrak{F}$, $\text{Bdy } F$ is hemicompact for each $F \in \mathfrak{F}$, and f is one-to-one upon restriction to $M - \cup \mathfrak{F}$, then X is σMK and Fréchet.*

PROOF. It is well known that a closed image of a metric space is Fréchet. We prove that X is σMK under the stated hypotheses. We may assume (without loss of generality) that $\text{Int } F = \emptyset$ for each $F \in \mathfrak{F}$. Let each $F = \cup \{C_n^F \mid n \in N\}$ as given by the definition of hemicompact, and we may assume that each $C_n^F \subset C_{n+1}^F$. Since \mathfrak{F} is a discrete collection in M , there exists a discrete collection $\{G^F \mid F \in \mathfrak{F}\}$ of open sets such that $F \subset G^F$ for every $F \in \mathfrak{F}$. Also, let $G_n^F = G^F \cap S_{1/n}(F - C_n^F)$, where $S_{1/n}(A)$ denotes the $1/n$ open sphere around set A . Let $D_n^F = C_n^F - G_n^F$. Finally, let $M'_n = M - \cup \{G_n^F \mid F \in \mathfrak{F}\}$, $f_n = f \mid M'_n$, and $M_n = f_n(M'_n)$ for all n . Since f is a closed mapping and M'_n is a closed subset of M , each f_n is a closed mapping. Also, $f_n^{-1}(x)$ is compact for each point x of X , since each D_n^F is compact. By [4] or [7], each M_n is then metrizable. It is clear that $X = \cup \{M_n \mid n \in N\}$.

Now let K be a compact subset of X . And suppose that $K \not\subset M_n$ for all n . Then for each n , there is a point $x_n \in K - M_n$. But K is sequentially compact (being compact and Fréchet), and so there is a subsequence $\{x_{n_i}\}$ of distinct points, converging to a point x of K , with $x_{n_i} \neq x$ for all i . Fix $m \in N$. Since the sequence $\{x_{n_i}\}$ meets M_m in at most finitely many points, there exists an $i_m \in N$ such that $x_{n_i} \in f(\cup \{G_m^F \mid F \in \mathfrak{F}\})$ for all $i > i_m$. For each $i > i_m$, let $y_{n_i} \in f^{-1}(x_{n_i}) \cap \cup \{G_m^F \mid F \in \mathfrak{F}\}$. Since the set $\{y_{n_i} \mid i > i_m\}$ is not closed in M , let y be an accumulation point of this set. So there exists a subsequence of $\{y_{n_i}\}$ which converges to y . This means that $f(y) = x$. Also, $y \in \text{cl } \cup \{G_m^F \mid F \in \mathfrak{F}\} \subset \cup \{G_m^F \mid F \in \mathfrak{F}\}$ for all m . We then have that $y \in F_0$ for some $F_0 \in \mathfrak{F}$. Since $y \in G_m^{F_0} \subset S_{1/m}(F_0 - C_m^{F_0})$ for every m , let p_m be a point of $F_0 - C_m^{F_0}$ for which $d(y, p_m) < 1/m$. Then $p_m \rightarrow y$ in F_0 . So there is an integer n_0 for which $\{y, p_1, p_2, \dots\} \subset C_{n_0}^{F_0}$. Thus $p_{n_0} \in C_{n_0}^{F_0}$. This is a contradiction.

EXAMPLES 7. To illustrate the results of this paper we consider two examples of countable regular Fréchet spaces. Let Q denote the usual space of rational numbers. Let Q' be Q^2 in the plane together with the entire x -axis of real numbers, with the usual topology from the plane. Let X_1 be the quotient space obtained by considering Q' and identifying the x -axis to a point. Let X_2 be the quotient space obtained by considering Q^2 and identifying the set Q in the x -axis to a point. Then X_1 and X_2 are the desired spaces. By Theorem 6, X_1 is σMK . On the other hand, X_2 is not σMK . To see this, suppose $X_2 = \cup \{C_n \mid n \in N\}$ as given by the definition of σMK and suppose that $C_n \subset C_{n+1}$ for all n . Since X_2 is not metrizable, for each n there exists a point x_n in $[(0, 1/n]^2 \cap Q^2)/Q - C_n$. Then $x_n \rightarrow (0, 0)$. So there exists an integer n_0 for which $\{x_n \mid n \in N\} \subset C_{n_0}$, contradiction.

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DEPARTMENT OF MATHEMATICS, JOHN JAY COLLEGE OF THE CITY UNIVERSITY OF NEW YORK,
NEW YORK, NEW YORK 10019