

## RESULTANT OPERATORS OF A PAIR OF ANALYTIC FUNCTIONS

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**ABSTRACT.** The well-known results on resultant of polynomials and its continuous analogue is generalized for some classes of analytic functions.

**0. Introduction.** Let  $\lambda_j$  ( $j = 1, 2, \dots, \nu$ ) denote the distinct common zeroes of the quasi-polynomials  $A_n(z) = a_0 + a_1z + \dots + a_nz^n$  and  $B_{-m}(z) = b_0 + b_{-1}z^{-1} + \dots + b_{-m}z^{-m}$  with complex coefficients and let  $r_j$  be the common multiplicity of the zero  $\lambda_j$ .

The following result is well known (see [2]):

The vectors  $\{C_{p+k,p}\lambda_j^{-(p+k)}\}_{k=-n}^{m-1}$  ( $j = 1, 2, \dots, \nu$ ;  $p = 0, 1, \dots, r_j - 1$ )<sup>1</sup> form a basis for the kernel of the resultant matrix:

$$R(A_n, B_{-m}) = \left( \begin{array}{cccc} b_0 & b_{-1} & \cdots & b_{-m} \\ & b_0 & b_{-1} & \cdots & b_{-m} \\ & & \cdot & \cdot & \cdot \\ & & & \cdot & \cdot \\ & & & & \cdot \\ & & & & b_0 & b_{-1} & \cdots & b_{-m} \\ a_n & a_{n-1} & \cdots & a_0 \\ & a_n & a_{n-1} & \cdots & a_0 \\ & & \cdot & \cdot & \cdot \\ & & & \cdot & \cdot \\ & & & & \cdot \\ & & & & a_n & a_{n-1} & \cdots & a_0 \end{array} \right) \begin{array}{l} \left. \vphantom{\begin{matrix} b_0 \\ b_0 \\ \cdot \\ \cdot \\ \cdot \\ b_0 \\ a_n \end{matrix}} \right\} n \\ \left. \vphantom{\begin{matrix} a_n \\ a_n \\ \cdot \\ \cdot \\ \cdot \\ a_n \end{matrix}} \right\} m \end{array} \quad (1)$$

In particular,  $\dim \text{Ker } R(A_n, B_{-m}) = \sum_{j=1}^{\nu} r_j$ .

The main aim of the present paper is to extend the above result and its continuous analogue (see [3]) to some classes of analytic functions.

It is natural to expect that in such an extension some kind of a linear operator acting on a suitable infinite dimensional Banach space will play the role of the matrix (1). It will turn out that the choice of a suitable Banach

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<sup>1</sup> The numbers  $C_{m,p}$  are defined by:  $C_{m,0} = 1$ ,  $C_{m,p} = (m(m-1) \cdot \dots \cdot (m-p+1))/(1 \cdot 2 \cdot \dots \cdot p)$ , where  $m$  is an arbitrary integer and  $p$  is a positive integer.



$$A(z) \neq 0; \quad B(z) \neq 0 \quad (|z| = R; |z| = R^{-1}). \tag{4}$$

Let us introduce some notations. For a continuous function  $F(z)$  which does not vanish on the circle  $C_\rho = \{z \in \mathbb{C}^1 \mid |z| = \rho\}$  we denote by  $\kappa_F(\rho)$  its winding number on  $C_\rho$ , that is  $\kappa_F(\rho) = (2\pi)^{-1}[\arg F(\rho e^{i\phi})]_{\phi=0}^{2\pi}$ , where  $[\ ]_0^{2\pi}$  denotes the increment of the function on the segment  $[0; 2\pi]$ .

Let  $E$  be one of the Banach spaces  $l_p$  ( $p \geq 1$ ),  $c$ ,  $c^0$  or  $m$  of two-sided sequences  $\phi = \{\phi_j\}_{j=-\infty}^\infty$ . By  $E(R)$  we denote the Banach space  $E(R) = \{\phi = \{\phi_j\}_{j=-\infty}^\infty \mid \phi_R = \{R^{-|j|}\phi_j\}_{j=-\infty}^\infty \in E\}$ , with the norm  $|\phi| = |\phi_R|_E$ . In the spaces  $E(R)$  we consider the discrete Wiener-Hopf pair operator  $W(A, B)$ , which is defined on  $E(R)$  by  $W(A, B)\phi = \{\psi_j\}_{j=-\infty}^\infty$ , where

$$\psi_j = \begin{cases} \sum_{k=-\infty}^\infty a_{j-k}\phi_k, & \text{if } j \geq 0, \\ \sum_{k=-\infty}^\infty b_{j-k}\phi_k, & \text{if } j < 0. \end{cases}$$

It is well known [1] that the operator  $W(A, B)$  is a Fredholm operator in each of the spaces  $E(R)$  and that its index  $\kappa(W(A, B)) = \kappa_B(R) - \kappa_A(R^{-1})$ .

We now introduce the shifted operators  $W_l(A, B) = W(A, z^{-l}B)$  ( $l = 0, \pm 1, \dots$ ). One can easily check that the following proposition holds.

**PROPOSITION 1.** *The subspaces  $\text{Ker } W_l(A, B)$  form a descending sequence. There exists an integer  $l_s$  such that  $\text{Ker } W_l(A, B) = \text{Ker } W_{l_s}(A, B)$  for  $l \geq l_s$ , and if  $l_1 < l_2 \leq l_s$ , then  $\dim \text{Ker } W_{l_1}(A, B) > \dim \text{Ker } W_{l_2}(A, B)$ .*

The integer  $l_s$  will be called *the index of stabilization* of the pair of functions  $A(z)$  and  $B(z)$ . We shall call the operators  $W_l(A, B)$  with  $l \geq l_s$  *the resultant operators*. The last definition is justified by the following theorem.

**THEOREM 2.** *Let  $A(z)$  and  $B(z)$  be two functions of the form (2) which satisfy conditions (4). Let  $z_j$  ( $j = 1, 2, \dots, \nu$ ) be all the distinct common zeroes of  $A(z)$  and  $B(z)$  which lie in  $V_R$  and  $r_j$  ( $j = 1, 2, \dots, \nu$ ) be their common multiplicities.*

*Then the index of stabilization can be calculated as follows*

$$l_s = \kappa_B(R) - \kappa_A(R^{-1}) - \sum_{j=1}^\nu r_j$$

and for every  $l \geq l_s$  the vectors

$$\phi_{jp} = \left\{ C_{p+k,p} \lambda_j^{-(p+k)} \right\}_{k=-\infty}^\infty \quad (j = 1, 2, \dots, \nu; p = 0, 1, \dots, r_j - 1)$$

form a basis of the subspace  $\text{Ker } W_l(A, B)$ . In particular,

$$\dim \text{Ker } W_l(A, B) = \sum_{j=1}^\nu r_j.$$

Note that  $l' = \kappa(W(A, B)) \leq l_s$ , and therefore each operator  $W_l(A, B)$  with

$l > l'$  is a resultant operator. It is remarkable that the integer  $l'$  does not depend on the number of common zeroes of  $A(z)$  and  $B(z)$ .

**2. The continuous case.** To begin with let us introduce some notations.

Given a Banach space  $E$  of functions defined on the real axis  $R^1$  and given a continuous function  $\sigma(t)$  which does not vanish on that axis. Let us agree to denote by  $\sigma(t)E$  the Banach space of all functions  $f(t)$  ( $t \in R^1$ ) such that  $\sigma^{-1}(t)f(t) \in E$  with the norm  $|f| = |\sigma^{-1}f|_E$ . If  $a < b$  are two real numbers, we set  $\Gamma_a = \{z \in \mathbb{C}^1 | \text{Im } z = a\}$  and  $\Pi(a, b) = \{z \in \mathbb{C}^1 | a < \text{Im } z < b\}$ .

In this section we consider the case when the functions  $A(z)$  and  $B(z)$  are represented by Fourier transforms in the strip  $\Pi(-h, h)$  ( $h > 0$ ):

$$A(z) = 1 + \int_{-\infty}^{\infty} a(t)e^{izt} dt; \quad B(z) = 1 + \int_{-\infty}^{\infty} b(t)e^{izt} dt$$

$$(z \in \mathbb{C}^1 \cap \Pi(-h, h)). \quad (5)$$

As in §1 we assume that the functions  $A(z)$  and  $B(z)$  have no zeroes on the boundary of the domain:

$$A(z) \neq 0; \quad B(z) \neq 0 \quad (z \in \Gamma_h \cup \Gamma_{-h}). \quad (6)$$

For two such functions one can construct a complete analogue of the operators  $W_j(A, B)$  which corresponds to the "discrete" shift. The notion of the index of stabilization is well defined for these analogues and one can obtain results which are similar to Proposition 1 and Theorem 2. However, we present here a quite different class of resultant operators which are associated with a continuous shift. Let us denote by  $W^\varepsilon(A, B)$  ( $\varepsilon > 0$ ) the following operator acting on  $e^{h|t|}E$ :

$$(W^\varepsilon(A, B)\phi)(t) = \begin{cases} \phi(t) + \int_{-\infty}^{\infty} a(t-s)\phi(s) ds & (t \geq 0), \\ \phi(t+\varepsilon) + \int_{-\infty}^{\infty} b(t+\varepsilon-s)\phi(s) ds & (t < 0). \end{cases}$$

It turns out that these operators may play the role of the resultant operators. More precisely, the following result holds.

**THEOREM 3.** *Let  $A(z)$  and  $B(z)$  be two functions of the form (5) which satisfy conditions (6). Let  $z_j$  ( $j = 1, 2, \dots, \nu$ ) be all the distinct common zeroes of  $A(z)$  and  $B(z)$  in  $\Pi(-h, h)$  and let  $r_j$  ( $j = 1, 2, \dots, \nu$ ) be their multiplicities.*

*Then for every  $\varepsilon > 0$  the functions*

$$\phi_{jp}(t) = t^p \exp(-iz_j t) \quad (j = 1, 2, \dots, \nu; p = 0, 1, \dots, r_j - 1)$$

*form a basis of the subspace  $\text{Ker } W^\varepsilon(A, B)$ . In particular,*

$$\dim \text{Ker } W^\varepsilon(A, B) = \sum_{j=1}^{\nu} r_j.$$

**3. The proof.** We shall present here the proof of Theorem 3 only.

First of all we introduce the following notation. Let  $K(h)$  ( $h > 0$ ) denote

the ring of all functions  $A(\zeta)$  which are defined on the contour  $\Gamma_h \cup \Gamma_{-h}$  as follows

$$A(\zeta) = c + \int_{-\infty}^{\infty} e^{i\zeta t} a(t) dt \quad (\zeta \in \Gamma_h \cup \Gamma_{-h}),$$

where  $a(t) \in e^{-h|t|}L_1(-\infty, \infty)$  and  $c$  is a complex constant. It is clear that every function  $A(\zeta) \in K(h)$  can be extended to a function  $A(z)$  which is holomorphic in the strip  $\Pi(-h, h)$  and continuous on its closure. By  $K^+(h)$  we denote the subring of  $K(h)$  consisting of all functions  $A(\zeta) \in K(h)$  with  $a(t) = 0$  for  $t < 0$ . Obviously, each function  $A(\zeta) \in K^+(h)$  admits an extension which is holomorphic in  $\Pi(-h, \infty)$  and continuous on  $\text{Cl } \Pi(-h, \infty)$ . The symbol  $K^-(h)$  has an analogous meaning. Recall that the winding number of a function  $A(\zeta)$ , which is continuous and different from zero on the line  $\Gamma_b$ , is defined as the integer

$$\kappa_A(b) = (2\pi)^{-1} [\arg A(\lambda + ib)]_{\lambda=-\infty}^{\infty}.$$

Now let  $A(\zeta) \in K(h)$  and suppose that  $A(\zeta) \neq 0$  ( $\zeta \in \Gamma_h \cup \Gamma_{-h}$ ). We denote by  $\lambda_j$  ( $j = 1, 2, \dots, \alpha$ ) all the zeroes of  $A(z)$  in the strip  $\Pi(-h, h)$  counting multiplicities, and we define a rational function  $P_A(z)$  which corresponds to  $A(\zeta)$  as follows:

$$P_A(z) = [(z + \theta)/(z - \theta)]^\kappa \prod_{j=1}^{\alpha} [(z - \lambda_j)/(z - \theta)],$$

where  $\kappa = \kappa_A(h)$  and  $\theta$  is a fixed point in  $\Pi(-\infty, h)$ . Let us recall that by M. G. Krein's results [5] each function  $A(\zeta) \in K(h)$  which is nonzero on  $\Gamma_h \cup \Gamma_{-h}$  admits a factorization of the form  $A(z) = A_+(z)P_A(z)A_-(z)$ , where  $(A_{\pm})^{\pm 1} \in K^{\pm}(h)$ .

PROOF OF THEOREM 3. At first we shall establish that

$$\text{Ker } W^\varepsilon(A, B) = \text{Ker } W(A) \cap \text{Ker } W(B) \tag{7}$$

for every  $\varepsilon > 0$ , where the operator  $W(A)$  is defined on  $e^{h|t|}E$  as

$$(W(A)\phi)(t) = \phi(t) + \int_{-\infty}^{\infty} a(t-s)\phi(s) ds \quad (-\infty < t < \infty).$$

The relation  $\text{Ker } W^\varepsilon(A, B) \supset \text{Ker } W(A) \cap \text{Ker } W(B)$  is self-evident and therefore it remains only to prove the converse relation. Let  $\phi(t) \in \text{Ker } W^\varepsilon(A, B)$ . Because of Theorem 1.1 in the Appendix of [1] we may assume that  $\phi(t) \in e^{h|t|}L_1(-\infty, \infty)$ . Introduce two functions

$$f(t) = \begin{cases} 0 & (t > 0), \\ \phi(t) + \int_{-\infty}^{\infty} a(t-s)\phi(s) ds & (t < 0); \end{cases}$$

$$g(t) = \begin{cases} \phi(t) + \int_{-\infty}^{\infty} b(t-s)\phi(s) ds & (t > \varepsilon), \\ 0 & (t < \varepsilon). \end{cases}$$

It is easily seen that  $f \in e^{-ht}L_1(-\infty, \infty)$  and  $g \in e^{ht}L_1(-\infty, \infty)$  and therefore that the function  $F(z) = \int_{-\infty}^0 f(t)e^{izt} dt$  (accordingly  $G(z) = \int_0^{\infty} g(t)e^{izt} dt$ ) admits an extension which is holomorphic in  $\Pi(-\infty, -h)$  (accordingly  $\Pi(h, \infty)$ ) and continuous on its closure. The condition  $\phi \in \text{Ker } W^e(A, B)$  can be rewritten as the following system of equations

$$\begin{cases} \phi(t) + \int_{-\infty}^{\infty} a(t-s)\phi(s) ds = f(t) \\ \phi(t) + \int_{-\infty}^{\infty} b(t-s)\phi(s) ds = g(t) \end{cases} \quad (-\infty < t < \infty). \quad (8)$$

Let  $P$  be the projection defined on  $e^{h|t|}E$  by the rule:  $(P\phi)(t) = \phi(t)$ , if  $t \geq 0$ , and  $(P\phi)(t) = 0$ , if  $t < 0$ , and let  $Q = I - P$ . Then the first equation of (8) may be written as

$$\begin{aligned} (P\phi)(t) + \int_{-\infty}^{\infty} a(t-s)(P\phi)(s) ds \\ = -(Q\phi)(t) - \int_{-\infty}^{\infty} a(t-s)(Q\phi)(s) ds + f(t) \quad \left( \stackrel{\text{def}}{=} \omega_1(t) \right). \end{aligned} \quad (9)$$

It is not difficult to show that  $\omega_1(t) \in e^{-h|t|}L_1(-\infty, \infty)$  and therefore that  $\Omega_1(z) = \int_{-\infty}^{\infty} \omega_1(t)e^{izt} dt \in K(h)$ . Multiplying (9) by  $e^{-ht}$  or  $e^{ht}$  and writing in both cases the corresponding Fourier transform, we obtain the relations

$$\begin{aligned} A(\zeta)\Phi_+(\zeta) &= \Omega_1(\zeta) \quad (\zeta \in \Gamma_h); \\ A(\zeta)\Phi_-(\zeta) - F(\zeta) &= -\Omega_1(\zeta) \quad (\zeta \in \Gamma_{-h}), \end{aligned} \quad (10)$$

where

$$\Phi_+(\zeta) = \int_0^{\infty} \phi(t)e^{i\zeta t} dt \quad \text{and} \quad \Phi_-(\zeta) = \int_{-\infty}^0 \phi(t)e^{i\zeta t} dt.$$

An analogous procedure applied to the second equation of (8) leads to the equations

$$\begin{aligned} B(\zeta)\Phi_+(\zeta) + G(\zeta) &= \Omega_2(\zeta) \quad (\zeta \in \Gamma_h); \\ -B(\zeta)\Phi_-(\zeta) &= \Omega_2(\zeta) \quad (\zeta \in \Gamma_{-h}) \end{aligned} \quad (11)$$

with  $\Omega_2(\zeta) \in K(h)$ . We now define two functions on  $\text{Cl } \Pi(-h, h)$ :

$$\begin{aligned} X(z) &= [(z - \theta)/(z + \theta)]^{\kappa'} P_B(z) B_-(z) A_-^{-1}(z); \\ Y(z) &= [(z - \theta)/(z + \theta)]^{\kappa'} P_A(z) A_+(z) B_+^{-1}(z), \end{aligned}$$

where  $\kappa' = \kappa_B(-h)$ ,  $\theta \in \Pi(-\infty, -h)$  and  $A_{\pm}$ ,  $B_{\pm}$ ,  $P_A$ ,  $P_B$  are the factors of the factorization mentioned above of the functions  $A$  and  $B$ . One can easily check that  $X(z)A(z) - Y(z)B(z) = 0$  ( $z \in \text{Cl } \Pi(-h, h)$ ). Using this equation we can eliminate the functions  $\Phi_+$  and  $\Phi_-$  from (10)–(11) and obtain the following system.

$$\begin{cases} -Y(\xi)G(\xi) = X(\xi)\Omega_1(\xi) - Y(\xi)\Omega_2(\xi) & (\xi \in \Gamma_h), \\ X(\xi)F(\xi) = X(\xi)\Omega_1(\xi) - Y(\xi)\Omega_2(\xi) & (\xi \in \Gamma_{-h}). \end{cases} \tag{12}$$

A simple analysis of (12) shows that the functions  $X\Omega_1 - Y\Omega_2$ ,  $XF$  and  $YG$  can be well extended into the appropriate domains so that the function  $R(z)$  defined on  $C^1$  as

$$R(z) = \begin{cases} -Y(z)G(z) & (z \in \Pi(h, \infty)), \\ X(z)\Omega_1(z) - Y(z)\Omega_2(z) & (z \in \text{Cl } \Pi(-h, h)), \\ X(z)F(z) & (z \in \Pi(-\infty, -h)) \end{cases}$$

is holomorphic in the whole complex plane except perhaps of the point  $z = -\theta$ . This point may be a pole of a finite order ( $\leq \kappa_B(-h) - \kappa_A(h)$ ). In addition,  $R(\infty) = 0$ . Hence,  $R(z) = S(z)(z + \theta)^{-m}$ , where  $S(z)$  is a polynomial with  $\text{deg } S \leq m - 1$ . We shall show that in fact  $R(z) \equiv 0$ . Indeed, the function  $G(z)$  can be represented in the form  $G(z) = G_1(z)e^{ize}$ , where

$$G_1(z) = \int_0^\infty g(t + \varepsilon)e^{izt} dt \quad (z \in \text{Cl } \Pi(h, \infty)).$$

Hence,  $S(z) = -Y(z)G_1(z)e^{ize}(z + \theta)^m$ . This equation implies that  $S(z) \rightarrow 0$  if  $\text{Im } z \rightarrow \infty$  and therefore  $A(z) \equiv 0$  on  $C^1$ . Hence,  $R(z) \equiv 0$  on  $C^1$ .

The last equation leads to the equations  $F(z) = G(z) = 0$ , which are equivalent to the following:  $f(t) = g(t) = 0$ . This means obviously that  $\phi(t) \in \text{Ker } W(A) \cap \text{Ker } W(B)$  and therefore the relation (7) is proved. Now using Theorem 2.1 of the Appendix of [1], which describes the kernels of the operators  $W(A)$ , one can easily complete the proof.

**REMARK 4.** The above proof shows that in the case  $a(t) = 0$  ( $t < 0$ ) and  $b(t) = 0$  ( $t > 0$ ) Theorem 3 is valid also, if we assume  $\varepsilon = 0$ .

Indeed, in that case we may set  $X = B \in K^-(h)$ ,  $Y = A \in K^+(h)$  and the function  $YG$  is holomorphic in  $\Pi(h, \infty)$  for every  $\varepsilon > 0$ .

**4. Applications.** The results mentioned above may be used, for instance, to find a solution of a system of two equations with two unknowns by an elimination method.

Let us consider, for example, the discrete case. Suppose that the two functions

$$A(\lambda, \mu) = \sum_{j,k=-\infty}^\infty a_{jk}\lambda^j\mu^k \quad \text{and} \quad B(\lambda, \mu) = \sum_{j,k=-\infty}^\infty b_{jk}\lambda^j\mu^k$$

are represented as absolutely convergent series in the closed polyannulus  $\text{Cl } V_R \times V_R$  and consider the following system of equations

$$A(\lambda, \mu) = 0, \quad B(\lambda, \mu) = 0. \tag{13}$$

We assume that the functions  $A(\lambda, \mu)$  and  $B(\lambda, \mu)$  satisfy the following

conditions: (a) for some  $\mu'$  the system (13) has no solutions; (b)  $A(\lambda, \mu) \neq 0$ ,  $B(\lambda, \mu) \neq 0$  if  $\lambda \in C_{1/R} \cup C_R$ ,  $\mu \in \text{Cl } V_R$ .

Rewrite the functions as follows:

$$A(\lambda, \mu) = \sum_{j=-\infty}^{\infty} A_j(\mu)\lambda^j \quad \text{and} \quad B(\lambda, \mu) = \sum_{j=-\infty}^{\infty} B_j(\mu)\lambda^j$$

and denote by  $W_l(\mu)$  the operator generated in  $l_1(R)$  by the matrix

$$\left( \begin{array}{ccccccc} & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ \cdots & & B_{l+1}(\mu) & B_l(\mu) & B_{l-1}(\mu) & & \\ & & \cdots & A_1(\mu) & A_0(\mu) & A_{-1}(\mu) & \cdots \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \end{array} \right) \quad (14)$$

Let  $l' = \kappa_{B(\lambda, \mu)}(R) - \kappa_{A(\lambda, \mu)}(R^{-1})$ . The operator  $W_{l'}(\mu)$  is an analytic function of the variable  $\mu$  and it is invertible for all  $\mu \in V_R$  except perhaps a finite set of points  $M_0$ . At these points  $\dim \text{Ker } W_{l'}(\mu_0) > 0$  ( $\mu_0 \in M_0$ ). Now it follows from Theorem 2 that the system (13) is solvable if and only if  $\mu \in M_0$ . Setting  $\mu = \mu_0 \in M_0$  in (13) we obtain

$$A(\lambda, \mu_0) = 0, \quad B(\lambda, \mu_0) = 0. \quad (15)$$

Therefore in order to solve the system (13) with two unknowns we have to solve the system (15) with one unknown.

Now change the role of the variables  $\lambda$  and  $\mu$  and find a finite set of points  $\Lambda_0 \subset V_R$  for which the system (13) is solvable. It remains, therefore, to couple points from  $\Lambda_0$  and  $M_0$  which satisfy the equations  $A(\lambda_0, \mu_0) = 0$ ,  $B(\lambda_0, \mu_0) = 0$ .

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