

A NOTE ON SINGULAR INTEGRALS

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ABSTRACT. In this article we discuss what happens when we consider a convolution operator whose kernel is a Calderón-Zygmund kernel multiplied by a bounded radial function. Some generalizations are obtained.

The purpose of this note is to obtain the following results.

THEOREM. Let $\Omega(x)/|x|^n = K(x)$ be a Calderón-Zygmund kernel in R^n , $n > 2$ (i.e., let $\Omega(x)$ be homogeneous of degree 0, $\int_{S^{n-1}} \Omega(x) d\sigma(x) = 0$, and suppose Ω satisfies a Lipschitz condition of positive order on S^{n-1}). Let $h(x)$ be any bounded radial function, and put $H(x) = h(x) \cdot K(x)$. Then the convolution operator $T(f) = f * H$ is bounded on $L^p(R^n)$, if $1 < p < \infty$.

Notice that such singular integrals cannot be treated by use of the classical arguments, since, in general, nothing can be said about integrals like

$$\int_{|x| > 2|y|} |H(x+y) - H(x)| dx,$$

because H could be so rough.

Nevertheless, we shall prove the somewhat stronger theorem below, whose theme is that smoothness in the radial direction for a convolution kernel is unnecessary in order to have the boundedness of the corresponding operator.

THEOREM. (a) Suppose that for each $r > 0$, we are given a function Ω_r , defined on S^{n-1} in such a way that the family $\{\Omega_r\}$ is uniformly in the Dini class (i.e., if $\omega^*(\delta) = \sup\{|\Omega_r(x) - \Omega_r(y)| : x, y \in S^{n-1}, |x - y| < \delta, r > 0\}$, then $\int_0^1 \omega^*(\delta) d\delta / \delta < \infty$) and also $\int_{S^{n-1}} \Omega_r(x) d\sigma(x) = 0$. Let

$$H(x) = \Omega_{|x|}(x/|x|)/|x|^n.$$

Then $\|H * f\|_2 \leq C \|f\|_2$.

(b) Suppose in part (a) we replace the Dini class by a Lipschitz class of some positive order. Then $\|H * f\|_p \leq C_p \|f\|_p$, $1 < p < \infty$.

At this point, we would like to remark that in this work, we were very much motivated by the work of E. M. Stein on maximal spherical averages (see [3]).

PROOF OF (a). We estimate the Fourier transform of H :

$$\hat{H}(\xi) = \int_{R^n} \frac{\Omega_{|x|}(x/|x|)}{|x|^n} e^{-i\xi \cdot x} dx = \int_0^\infty [\int_{S^{n-1}} \Omega_r(x') d\sigma(x')]^\wedge(r\xi) \frac{dr}{r}.$$

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Let us get an estimate for $[\Omega_r(x')d\sigma(x')]^\Lambda(\xi)$. By the spherical symmetry we may assume $\xi = (|\xi|, 0, 0, \dots, 0)$.

Then if $S_t = \{(x_1, x_2, \dots, x_n) \in S^{n-1}: x_1 = t\}$, we have

$$[\Omega_r(x')d\sigma(x')]^\Lambda(\xi) = \int_0^\pi e^{i|\xi|\cos\theta} \int_{S_{\cos\theta}} \Omega_r(t)d\sigma_{n-2}^\theta(t)d\theta$$

where $d\sigma_{n-2}^\theta$ is the unit of surface area on the sphere $S_{\cos\theta}$. Changing variables this becomes

$$\begin{aligned} & \int_{-1}^{+1} e^{i|\xi|s} \int_{S_s} \Omega_r(t)d\sigma_{n-2}^s(t) \frac{1}{\sqrt{1-s^2}} ds \\ &= \int_{-1}^{+1} e^{i|\xi|s} (1-s^2)^{(n-3)/2} \int_{S^{n-2}} \Omega(s, \sqrt{1-s^2} t')d\sigma_{n-2}(t')ds \end{aligned}$$

($d\sigma_{n-2}^s$ the unit of area on S_s) where we have changed variables so that the inner integral is taken over a unit sphere, S^{n-2} . If we set

$$I(s) = \int_{S_s} \Omega(s, \sqrt{1-s^2} t')d\sigma_{n-2}(t')$$

then²

$$\begin{aligned} & \left| \int_{-1}^{+1} e^{i|\xi|s} (1-s^2)^{(n-3)/2} I(s) ds \right| \\ & \leq \int_{1-10/|\xi| < |s| < 1} (1-s^2)^{(n-3)/2} ds \\ & \quad + \int_{|s| < 1-10/|\xi|} \left| \left[1 - \left(s + \frac{\pi}{|\xi|} \right)^2 \right]^{(n-3)/2} I\left(s + \frac{\pi}{|\xi|} \right) \right. \\ & \quad \left. - (1-s^2)^{(n-3)/2} I(s) \right| ds \\ & \leq \frac{C}{|\xi|^{(n-1)/2}} + \int_{|s| < 1-10/|\xi|} \left| \left[1 - \left(s + \frac{1}{|\xi|} \right)^2 \right]^{(n-3)/2} \right. \\ & \quad \left. - (1-s^2)^{(n-3)/2} \right| \left| I\left(s + \frac{1}{|\xi|} \right) \right| ds \\ & \quad + \int_{|s| < 1-10/|\xi|} (1-s^2)^{(n-3)/2} \left| I\left(s + \frac{1}{|\xi|} \right) - I(s) \right| ds \\ & \leq \frac{C}{|\xi|^{1/2}} + \int_{|s| < 1-10/|\xi|} (1-s^2)^{(n-3)/2} \left| I\left(s + \frac{1}{|\xi|} \right) - I(s) \right| ds. \end{aligned}$$

²We warn the reader that in some inequalities we have ignored unimportant multiplicative constants.

Now

$$\begin{aligned} & \left| I\left(s + \frac{1}{|\xi|}\right) - I(s) \right| \\ & \leq \sup_{t' \in S^{n-2}} \left| \Omega_r\left(s + \frac{1}{|\xi|}, \sqrt{1 - \left(s + \frac{1}{|\xi|}\right)^2} t'\right) - \Omega_r(s, \sqrt{1 - s^2} t') \right| \\ & \leq \omega^*\left(\frac{1}{|\xi|^{1/2}}\right). \end{aligned}$$

So putting this together we see that

$$|\Omega_r(x') d\sigma(x')^\wedge(\xi)| \leq C \left[\frac{1}{|\xi|^{1/2}} + \omega^*\left(\frac{1}{|\xi|^{1/2}}\right) \right].$$

This is the estimate required for $|\xi| \geq 1$. For $|\xi| < 1$, $|\Omega_r(x') d\sigma(x')^\wedge(\xi)| \leq C|\xi|$, since $\widehat{\Omega_r d\sigma}(0) = 0$ ($\int_{S^{n-1}} \Omega_r d\sigma = 0$) and $[\Omega_r d\sigma]^\wedge$ is smooth, being the Fourier transform of a compactly supported measure. Then

$$|\hat{H}(\xi)| \leq \int_0^\infty J(r|\xi|) \frac{dr}{r} = \int_0^\infty J(r) \frac{dr}{r}$$

where

$$J(r) = \begin{cases} c \left[\frac{1}{r^{1/2}} + \omega^*\left(\frac{1}{r^{1/2}}\right) \right], & r \geq 1, \\ cr, & r < 1. \end{cases}$$

Of course $\int_0^\infty J(r) dr/r < \infty$, since, for example,

$$\int_1^\infty \omega^*\left(\frac{1}{r^{1/2}}\right) \frac{dr}{r} \sim \int_0^1 \omega^*(\delta) \frac{d\delta}{\delta}.$$

This finishes the proof of (a).

Now, in order to prove an L^p estimate $1 < p < \infty$, we shall view the singular integral as roughly speaking, a singular integral along a curve, using the methods of Nagel, Rivière and Wainger [2].

Consider the operators T_α , for α complex, defined by $T_\alpha f = I_\alpha(f * H(x)/|x|^\alpha)$, where $I_\alpha(f)(\xi) = |\xi|^{-\alpha} \hat{f}(\xi)$. We shall first observe that if $-\eta < \text{Re } \alpha < +\eta$, T_α is bounded on $L^2(\mathbb{R}^n)$. Then for $0 < \text{Re } \alpha < \eta$, if we can show that T_α is bounded on all $L^p(\mathbb{R}^n)$, $1 < p < \infty$, by Stein's interpolation theorem, that T_0 is bounded on all the classes L^p .

To see that T_α is bounded on L^2 wherever $|\text{Re } \alpha|$ is small, we use the method of part (a):

$$T_\alpha f = f * H_\alpha,$$

where

$$\hat{H}_\alpha(\xi) = \int_0^\infty [\Omega_r(x') d\sigma(x')]^\wedge(r\xi) \frac{dr}{r^{1+\alpha}} \cdot |\xi|^{-\alpha},$$

and the type of reasoning used in part (a) shows that $\hat{H}_\alpha(\xi)$ is bounded if $|\operatorname{Re} \alpha|$ is small. (We use here the fact that Ω_r is Lipschitz.)

Finally if $\operatorname{Re} \alpha > 0$ it follows that

$$\int_{|x|>2|y|} |H_\alpha(x+y) - H_\alpha(x)| dx \leq C_\alpha, \tag{\sim}$$

where C_α does not depend on y . By the methods of Calderón and Zygmund [1], T is bounded on all L^p , and we are done.

To see (\sim) , we shall prove that

$$\int_{|x|>2} |H_\alpha(x+y) - H_\alpha(x)| dx \leq C_\alpha, \tag{\approx}$$

assuming $|y| = 1$.

Then we observe that $H_\alpha(\delta x) = \delta^{-n} \tilde{H}_\alpha(x)$ where \tilde{H}_α differs from H_α only in the way the family Ω_r is parameterized; ω^* is invariant in the passage from H_α to \tilde{H}_α so that it is indeed enough to prove (\approx) :

$$H_\alpha = c_\alpha \cdot \frac{1}{|t|^{n-\alpha}} * \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}}.$$

Let

$$H_\alpha^1 = c_\alpha \frac{1}{|t|^{n-\alpha}} * X_{|t|<1}(t) \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}}, \quad H_\alpha^2 = c_\alpha \frac{1}{|t|^{n-\alpha}} * X_{|t|>1} \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}}$$

and assume $\operatorname{Re} \alpha > 0$.

We have, for $|x| > 2$,

$$\begin{aligned} |H_\alpha^1(x)| &= \left| c_\alpha \int_{|t|<1} \left[\frac{1}{|x-t|^{n-\alpha}} - \frac{1}{|x|^{n-\alpha}} \right] \frac{\Omega_{|t|}(t')}{|t|^{n+\alpha}} dt \right| \\ &\leq \int_{|t|<1} \frac{|t|}{|x|^{n-\operatorname{Re} \alpha + 1}} \cdot \frac{1}{|t|^{n+\operatorname{Re} \alpha}} dt \leq \frac{C_\alpha}{|x|^{n-\operatorname{Re} \alpha + 1}} \end{aligned}$$

and

$$\int_{|x|>2} |H_\alpha^1(x)| dx \leq C_\alpha.$$

As for H_α^2 , we have

$$\begin{aligned} \int_{|x|>2} |H_\alpha^2(x+y) - H_\alpha^2(x)| dx &\leq \left\| \left[\frac{1}{|x+y|^{n-\alpha}} - \frac{1}{|x|^{n-\alpha}} \right] * \frac{\Omega_{|x|}(x')}{|x|^{n+\alpha}} \right\|_1 \\ &\leq \left\| \frac{1}{|x+y|^{n-\alpha}} - \frac{1}{|x|^{n-\alpha}} \right\|_{L^1(|x|>1)} \left\| \frac{\Omega_{|x|}(x')}{|x|^{n+\alpha}} \right\|_{L^1(|x|>1)} < \infty. \end{aligned}$$

This concludes the proof of (b).

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