

A CONSTRUCTION OF HILBERT SPACES OF ANALYTIC FUNCTIONS¹

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ABSTRACT. A simple technique is presented for constructing a measure ν from a given measure so that $R^2(K, \nu)$ has certain properties. Here, $R^2(K, \nu)$ is the closure in $L^2(\nu)$ of the rational functions with poles off K , a compact set containing the support of ν . A typical example shows that there exists a measure ν mutually absolutely continuous with area measure on the unit disk such that \sqrt{z} (principal branch) is an element of $R^2(K, \nu)$, while each point of the open disk except on the negative real axis is an analytic bounded point evaluation for $R^2(K, \nu)$.

The purpose of this paper is to construct various examples of Hilbert spaces of analytic functions. A typical example involves the construction of a measure ν which is mutually absolutely continuous with respect to area measure on the unit disk and for which each element of $H^2(\nu)$ (see definition below) is analytic in the unit disk except possibly on a fixed line segment. Other examples show that $H^2(\nu)$ can "split" (into a direct sum) in a natural way. These examples have implications in the study of subnormal operators and bounded point evaluations.

If E is a compact subset of the complex plane \mathbb{C} , let $C(E)$ be the space of continuous functions on E with the supremum norm. If K is compact and $K \supset E$, let $R(K, E)$ be the closure in $C(E)$ of the rational functions with poles off K . Let μ be a measure with compact support $\text{spt } \mu$. (By "measure" we will always mean a finite, positive Borel measure on the complex plane with compact support.) If K is a compact set containing $\text{spt } \mu$, let $R^2(K, \mu)$ be the closure in $L^2(\mu)$ of $R(K, \text{spt } \mu)$. If K is the polynomially convex hull of $\text{spt } \mu$, then $R^2(K, \mu) = H^2(\mu)$, the closure in $L^2(\mu)$ of the analytic polynomials. If μ and ν are mutually absolutely continuous measures, we will write $\mu \sim \nu$.

A point $\lambda \in \mathbb{C}$ is said to be a bounded point evaluation (b.p.e.) for $R^2(K, \mu)$ if there exists a constant $C > 0$ such that $|r(\lambda)| \leq C \|r\|_\mu$ for every rational function r with poles off K . (Here, $\|r\|_\mu$ is the $L^2(\mu)$ -norm of r .) If λ is a b.p.e. for $R^2(K, \mu)$, then $f(\lambda)$ is well defined for each $f \in R^2(K, \mu)$. Let $\Lambda(K, \mu)$ be the set of all b.p.e.'s for $R^2(K, \mu)$. If $\lambda \in \text{int } \Lambda(K, \mu)$, the interior of $\Lambda(K, \mu)$, then λ is said to be an analytic b.p.e. if the mapping $z \mapsto f(z)$ is

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analytic at λ for every $f \in R^2(K, \mu)$. Let $\Lambda_a(K, \mu)$ be the set of all analytic b.p.e.'s for $R^2(K, \mu)$.

LEMMA. Suppose f is a μ -measurable function for which there exist compact sets $E_1 \subset E_2 \subset \dots \subset \text{spt } \mu$ and functions $g_n \in R(K, E_n)$ such that $\lim_{n \rightarrow \infty} \mu(E_n) = \mu(\mathbf{C})$ and $f(z) = g_n(z)$ for almost every (with respect to μ) $z \in E_n$. Then there exist a measure ν and constants $c_n > 0$ such that

- (a) $\nu \sim \mu$,
- (b) $\nu|_{E_n} \geq c_n \mu|_{E_n}$,
- (c) $f \in R^2(K, \nu)$.

PROOF. The technique of this proof is due to J. Bram [1, Theorem 6]. For each $n \geq 1$, choose a rational function r_n with poles off K such that $|f(z) - r_n(z)| < 1/n$ for almost every $z \in E_n$. Let $M_n = \max\{|r_n(z)|: z \in \text{spt } \mu\}$. Define ν as follows: $\nu|_{E_1} = \mu|_{E_1}$ and $\nu|_{E_{n+1} \setminus E_n} = c_n \mu|_{E_{n+1} \setminus E_n}$, where $\{c_n\}_{n \geq 1}$ is chosen with $1 \geq c_1 \geq c_2 \geq c_3 \geq \dots > 0$ such that $2M_n^2 c_n \leq n^{-2}$ and

$$\sum_{n=1}^{\infty} c_n \int_{E_{n+1} \setminus E_n} |f|^2 d\mu < \infty.$$

The last condition is possible because by hypothesis f is essentially bounded on $E_{n+1} \setminus E_n$. With these conditions on c_n , (a) and (b) are clearly satisfied, and (where A' denotes the complement of A in \mathbf{C})

$$\begin{aligned} \|f - r_n\|_{\nu}^2 &= \int_{E_n} |f - r_n|^2 d\nu + \int_{E_n'} |f - r_n|^2 d\nu \\ &\leq n^{-2} \mu(E_n) + 2c_n \int_{E_n'} |r_n|^2 d\mu + 2 \int_{E_n'} |f|^2 d\nu \\ &\leq n^{-2} \mu(\mathbf{C}) + 2 \sum_{k=n}^{\infty} c_k \int_{E_{k+1} \setminus E_k} |f|^2 d\mu. \end{aligned}$$

Hence $r_n \rightarrow f$ in $R^2(K, \nu)$.

EXAMPLE 1. Let \mathbf{D} be the open unit disk and let $\mu = \mu_1 + \mu_2$, where μ_1 is Lebesgue area measure restricted to \mathbf{D} and μ_2 is Lebesgue linear measure on $\partial\mathbf{D}$. Then there exists a measure $\nu \sim \mu$ such that the characteristic function of $\partial\mathbf{D}$ belongs to $H^2(\nu)$ and $\Lambda_a(\nu) = \Lambda_a(\overline{\mathbf{D}}, \nu) = \mathbf{D}$. Thus $H^2(\nu)$ "splits" naturally into

$$H^2(\nu) = H^2(\nu|_{\mathbf{D}}) \oplus H^2(\nu|_{\partial\mathbf{D}})$$

and each element of $H^2(\nu|_{\mathbf{D}})$ is analytic on \mathbf{D} . This splitting phenomenon is studied further by Kriete [5]. It is easily seen (cf. [2]) that $H^2(\nu|_{\partial\mathbf{D}}) = L^2(\nu|_{\partial\mathbf{D}})$.

PROOF. Let f be the characteristic function of $\partial\mathbf{D}$ and let

$$E_n = \{z: |z| \leq 1 - 1/n\} \cup \{z \in \partial\mathbf{D}: |\arg z| \geq 1/n\},$$

where $-\pi < \arg z \leq \pi$. By Runge's theorem, $f \in R(\overline{\mathbf{D}}, E_n)$ for each $n \geq 2$. Hence the result follows from the lemma.

PROPOSITION. *Let μ be a measure and K a compact set containing the support of μ . Suppose $U \subset K$ is an open subset of \mathbf{C} such that $\mu(K \setminus U) = 0$ and U' has a finite number of components each of which intersects K' . For any μ -measurable function f analytic on U , there exist a measure ν and constants $c_n > 0$ satisfying (a), (b) and (c) of the lemma.*

PROOF. Let $E_n = \{z \in U: \text{dist}(z, U') \geq 1/n\}$. We claim that each component of E_n' contains a component of U' . Indeed, suppose O is a component of E_n' . If $O \cap U' = \emptyset$, then O is an open subset of U , which is easily seen to contradict the definition of E_n . Hence there exists $z \in O \cap U'$. Since O is open and $\partial O \subset U$, the component of U' containing z is a subset of O and the claim is established. It follows that E_n' has only a finite number of components and each component of E_n' intersects K' . Therefore, $f|_{E_n} \in R(E_n, E_n)$ (cf. [4, Theorem II.10.4]). Furthermore, $R(E_n, E_n) = R(K, E_n)$, because the set of points z_0 for which the function $(z - z_0)^{-1}$ belongs to $R(K, E_n)$ is an open and relatively closed subset of E_n' containing at least one point from each component of E_n' . The result now follows from the lemma.

COROLLARY. *Suppose μ is Lebesgue area measure restricted to an open set G with $G \subset K$. Suppose U is an open subset of G such that $\mu(U') = 0$ and U' has a finite number of components each of which intersects K' . If f is analytic on U , then there exists a measure $\nu \sim \mu$ such that $f \in R^2(K, \nu)$ and $U \subset \Lambda_a(K, \nu)$.*

PROOF. Construct ν as indicated in the proposition and the lemma. Since ν is greater than a constant multiple of area measure on E_n , each point of $\text{int } E_n$ is an analytic b.p.e. for $R^2(K, \nu)$. Since $U = \bigcup_n \text{int } E_n$, the corollary follows.

REMARK. Let S be "multiplication by z " on $R^2(K, \nu)$, i.e., $(Sf)(z) = zf(z)$. Then S^* belongs to a class of operators $\mathfrak{B}_1(U)$ defined by Cowen and Douglas [3].

EXAMPLE 2. Suppose μ is Lebesgue area measure restricted to \mathbf{D} . Let $U = \mathbf{D} \setminus (-1, 0]$ and let $f(z) = \sqrt{z}$ or $f(z) = \log z$ (principal branch in either case). Then by the corollary, there exists a measure $\nu \sim \mu$ for which $f \in H^2(\nu)$ and U is the set of analytic b.p.e.'s for $H^2(\nu)$. Since f maps U onto a convex set, it is clear that $\Lambda(\nu) = U$.

EXAMPLE 3. The same as Example 2 except $f(z) = 1/z$ or $f(z) = (2z^2 + z)^{1/2}$. In either case, $\Lambda_a(\nu) = U$. The referee has pointed out that one can show, with a little work, that no point of the interval $(-1, 0]$ can be a b.p.e. Thus, as above, $\Lambda(\nu) = U$.

EXAMPLE 4. Take $U = \{z \in \mathbf{D}: \text{Im } z \neq 0\}$ and let f be the characteristic function of the upper half-plane. Then f is analytic on U . Hence there exists a measure $\nu \sim \mu$ for which $\Lambda_a(\nu) = \Lambda(\nu) = U$ and for which $H^2(\nu)$ "splits" naturally into $H^2(\nu) = H^2(\nu_1) \oplus H^2(\nu_2)$, where ν_1 is ν restricted to the upper half-plane and $\nu_2 = \nu - \nu_1$.

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