

## COMPLETE BASES AND WALLMAN REALCOMPACTIFICATIONS

JOSE L. BLASCO<sup>1</sup>

**ABSTRACT.** We study a particular class of separating nest generated intersection rings on a Tychonoff space  $X$ , that we call complete bases. They are characterized by the equality  $\beta(\nu(X, \mathfrak{D})) = \omega(X, \mathfrak{D})$  between their associated Wallman spaces. It is proven that for each separating nest generated intersection ring  $\mathfrak{D}$  there exists a unique complete base  $\hat{\mathfrak{D}}$  such that  $\nu(X, \mathfrak{D}) = \nu(X, \hat{\mathfrak{D}})$ . From this result we obtain a necessary and sufficient condition for the existence of a continuous extension to  $\nu(X, \mathfrak{D})$  of a real-valued function over  $X$ . Some applications of these results to certain inverse-closed subalgebras of  $C(X)$  are given.

The word space will refer to Tychonoff spaces. In this paper we consider the Wallman compactification  $\omega(X, \mathfrak{D})$  and the Wallman realcompactification  $\nu(X, \mathfrak{D})$  associated with a given base<sup>2</sup> on a space  $X$ . For definitions and basic results the reader is referred to [1], [9], [10]. We study the bases  $\mathfrak{D}$  that coincide with the trace on  $X$  of all zero-sets in its associated space  $\nu(X, \mathfrak{D})$ . These bases, that we call complete, have interesting properties. They are characterized by the relation  $\beta(\nu(X, \mathfrak{D})) = \omega(X, \mathfrak{D})$ .<sup>3</sup> For each base  $\mathfrak{D}$  on  $X$  there exists a unique complete base  $\hat{\mathfrak{D}}$  such that  $\nu(X, \mathfrak{D}) = \nu(X, \hat{\mathfrak{D}})$ . The base  $\hat{\mathfrak{D}}$  is the largest base with the above property and the smallest complete base on  $X$  containing  $\mathfrak{D}$ .

Frink [4] has shown that the real-valued functions over a space  $X$  which may be continuously extended to  $\omega(X, \mathfrak{D})$  are those which are  $\mathfrak{D}$ -uniformly continuous. In [3] D'Aristotle defined countable  $\mathfrak{D}$ -uniform continuity and he showed that it is a sufficient but not a necessary condition for the existence of a continuous extension to  $\nu(X, \mathfrak{D})$  of a real-valued function over  $X$ . A necessary and sufficient condition has been obtained by Bentley and Naimpally in [2, Theorem 6]. We give another condition by means of the base  $\hat{\mathfrak{D}}$ .

In order to provide examples of noncomplete bases, a general result

---

Received by the editors March 16, 1978 and, in revised form, August 11, 1978.

*AMS (MOS) subject classifications (1970).* Primary 54D60, 54D35.

*Key words and phrases.* Nest generated intersection ring, strong delta normal base, complete base, countable intersection property,  $Q$ -closure,  $Q$ -dense, algebra,  $\sigma$ -algebra.

<sup>1</sup>The author wishes to thank the referee for his suggestions.

<sup>2</sup>By a base on a space  $X$  is meant a separating nest generated intersection ring on  $X$  (A. K. Steiner and E. F. Steiner [10]). R. A. Alò and H. L. Shapiro [1] use the term strong delta normal base.

<sup>3</sup>Two extensions  $T_1$  and  $T_2$  of a space  $X$  are said to be equivalent if they are homeomorphic via a map that leaves  $X$  pointwise fixed. In this case we write  $T_1 = T_2$ .

(Theorem 4) is proven. From this result we derive that the  $\sigma$ -algebra of all Lebesgue measurable sets of the real line  $R$  is a noncomplete base for the discrete space  $R$ .

In the last section we give some applications of the complete bases to certain inverse-closed subalgebras of  $C(X)$  (called algebras), as a consequence of an important relationship between algebras and bases stated in [10]. To each algebra  $A$  on  $X$  a certain natural base  $\mathfrak{Z}(A)$  on  $X$  is associated. We find that an algebra  $A$  on  $X$  is  $C(Y)$  for some space  $Y$  if and only if  $\mathfrak{Z}(A)$  is complete. Hence, the examples of noncomplete bases provide examples of algebras that are isomorphic to no  $C(Y)$ .

**Complete bases.** When there is no question as to the space  $X$ , we will write  $\omega(X, \mathfrak{D})$  (resp.  $\nu(X, \mathfrak{D})$ ) as simply  $\omega(\mathfrak{D})$  (resp.  $\nu(\mathfrak{D})$ ). The family of all zero-sets in  $X$  will be denoted by  $Z(X)$ . Let  $Y$  be a nonempty subset of  $X$ . The  $Q$ -closure of  $Y$  is the set  $Q(Y, X)$  of all points  $x \in X$  for which every zero-set in  $Z(X)$  containing  $x$  has a nonempty intersection with  $Y$ . The subset  $Y$  is  $Q$ -dense in  $X$  if  $Q(Y, X) = X$ .

The following result about extension of maps is needed.

**THEOREM 1.** *Let  $X$  be a dense subspace of a space  $T$  and let  $\mathfrak{D}$  be a base on a space  $Y$ . A continuous map  $\varphi: X \rightarrow Y$  has a continuous extension from  $T$  to  $\nu(\mathfrak{D})$  if and only if for any sequence  $\{D_n\}_{n=1}^\infty$  of sets in  $\mathfrak{D}$  such that  $\bigcap_{n=1}^\infty D_n = \emptyset$ , we have  $\bigcap_{n=1}^\infty \text{cl}_T \varphi^{-1}(D_n) = \emptyset$ .*

Slight modifications in the proof of Theorem 9.9 in [11] show the result.

A base  $\mathfrak{D}$  on a space  $X$  is said to be complete if it coincides with the family  $\hat{\mathfrak{D}} = \{Z \cap X: Z \in Z(\nu(\mathfrak{D}))\}$ . Since  $\mathfrak{D}$  is the trace on  $X$  of all zero-sets in the Wallman compactification  $\omega(X, \mathfrak{D})$  [10, Theorem 2.2], we have  $\mathfrak{D} \subset \hat{\mathfrak{D}}$ . An example of a complete base is  $Z(X)$ . Later, various examples of noncomplete bases will be given.

The following theorem is the main result.

**THEOREM 2.** *If  $\mathfrak{D}$  is a base on a space  $X$ , then  $\nu(\hat{\mathfrak{D}}) = \nu(\mathfrak{D})$ .*

**PROOF.** For convenience we write  $E = \nu(\hat{\mathfrak{D}})$  and  $F = \nu(\mathfrak{D})$ . Since  $\mathfrak{D} \subset \hat{\mathfrak{D}}$ , from Theorem 1 there exists a continuous map  $\psi$  from  $E$  into  $F$  whose restriction to  $X$  is the identity. It suffices to prove that  $\psi$  is a bijection from  $E$  onto  $F$  whose inverse is continuous.

Let  $p$  be an arbitrary point in  $F$ . Then  $\{p\} = \bigcap \{Z \in Z(F): p \in Z\}$ . Since  $X$  is  $Q$ -dense in  $F$  [1, Theorem 5.16], the family  $\{Z \cap X: p \in Z, Z \in Z(F)\}$ , is a  $\hat{\mathfrak{D}}$ -ultrafilter with the countable intersection property. If  $q \in \bigcap \{\text{cl}_E(Z \cap X): p \in Z, Z \in Z(F)\}$ , then  $\psi(q) = p$  and therefore  $\psi$  is onto. Let us suppose now that  $q_1$  and  $q_2$  are distinct points of  $E$ . There exist  $Z_1, Z_2 \in \hat{\mathfrak{D}}$  such that  $q_i \in \text{cl}_E Z_i, i = 1, 2$ , and  $Z_1 \cap Z_2 = \emptyset$ . If  $Z'_i \in Z(F)$  and  $Z'_i \cap X = Z_i$ , then  $\psi(q_i) \in \text{cl}_F(Z'_i \cap X) \subset Z'_i, i = 1, 2$ . As  $X$  is  $Q$ -dense in  $F$  and  $Z_1 \cap Z_2 = \emptyset$ , we have that  $Z'_1 \cap Z'_2 = \emptyset$  and  $\psi(q_1) \neq \psi(q_2)$ .

On the other hand, from the  $Q$ -density of  $X$  in  $F$  it follows that for any sequence  $\{Z_n\}_{n=1}^\infty$  of sets in  $\hat{\mathcal{O}}$  with empty intersection, we have  $\bigcap_{n=1}^\infty \text{cl}_F Z_n = \emptyset$ . From Theorem 1, the identity from  $X \subset F$  onto  $X \subset E$  has a continuous extension from  $F$  into  $E$ , which coincides with the inverse of  $\psi$  [5, 0.12].

REMARK. In [6] Hager has proved the following interesting result: Let  $K$  be a compactification of a space  $X$  and let  $\mathcal{O}$  be the family  $\{Z \cap X: Z \in Z(K)\}$ . Then the  $Q$ -closure  $Q(X, K)$  is equivalent to the Wallman realcompactification  $\nu(X, \mathcal{O})$ . From Theorem 2 above we obtain  $Q(X, K) = \nu(X, \hat{\mathcal{O}})$ .

The following is an interesting characterization of the complete bases.

COROLLARY 2.1. *A base  $\mathcal{O}$  on a space  $X$  is complete if and only if  $\beta(\nu(\mathcal{O})) = \omega(\mathcal{O})$ .*

PROOF. We write  $\mathcal{E} = \{Z \cap \nu(\mathcal{O}): Z \in Z(\omega(\mathcal{O}))\}$ . *Necessity.* Let us prove that  $\mathcal{E} = Z(\nu(\mathcal{O}))$ . If  $Z \in Z(\nu(\mathcal{O}))$ , then by hypothesis  $Z \cap X \in \mathcal{O}$ , and there exists  $Z' \in Z(\omega(\mathcal{O}))$  such that  $Z \cap X = Z' \cap X$ . Since  $\nu(\mathcal{O})$  is the  $Q$ -closure of  $X$  in  $\omega(D)$ , then  $\text{cl}_{\nu(\mathcal{O})}(Z' \cap X) = Z' \cap \nu(\mathcal{O})$ . Therefore  $Z' \cap \nu(\mathcal{O}) \subset Z \subset \text{cl}_{\nu(\mathcal{O})}(Z \cap X) \subset Z' \cap \nu(\mathcal{O})$  and  $Z = Z' \cap \nu(\mathcal{O})$ . So  $Z \in \mathcal{E}$ ,  $\mathcal{E} = Z(\nu(\mathcal{O}))$  and consequently  $\omega(\nu(\mathcal{O}), \mathcal{E}) = \beta(\nu(\mathcal{O}))$ . On the other hand, as  $\omega(\mathcal{O}) = \omega(\nu(\mathcal{O}), \mathcal{E})$  [10, Theorem 2.9], it follows that  $\beta(\nu(\mathcal{O})) = \omega(\mathcal{O})$ .

*Sufficiency.* By hypothesis  $\omega(\mathcal{O}) = \omega(\nu(\mathcal{O}), Z(\nu(\mathcal{O})))$  and as  $\omega(\mathcal{O}) = \omega(\nu(\mathcal{O}), \mathcal{E})$ , thus  $\mathcal{E} = Z(\nu(\mathcal{O}))$  [10, Corollary 2.3] and therefore  $\mathcal{O} = \hat{\mathcal{O}}$ .

COROLLARY 2.2. *The following is true: (1)  $\hat{\mathcal{O}}$  is the largest base of  $X$  such that  $\nu(\mathcal{O}) = \nu(\hat{\mathcal{O}})$ . (2)  $\hat{\mathcal{O}}$  is the smallest complete base in  $X$  containing  $\mathcal{O}$ .*

PROOF. (1) Let  $\mathcal{L}$  be a base in  $X$  such that  $\nu(\mathcal{L}) = \nu(\mathcal{O})$ . Then  $\nu(\hat{\mathcal{O}}) = \nu(\hat{\mathcal{L}})$ . From Corollary 2.1 we have  $\omega(\hat{\mathcal{O}}) = \omega(\hat{\mathcal{L}})$ , therefore  $\hat{\mathcal{O}} = \hat{\mathcal{L}}$  and  $\mathcal{L} \subset \hat{\mathcal{O}}$ .

(2) Let  $\mathcal{F}$  be a complete base in  $X$  containing  $\mathcal{O}$ . Then  $\mathcal{O} \subset \hat{\mathcal{F}}$ . From Theorem 1 there exists a continuous map from  $\nu(\hat{\mathcal{F}})$  into  $\nu(\mathcal{O})$  whose restriction to  $X$  is the identity. By the definition of  $\hat{\mathcal{O}}$  we conclude that  $\hat{\mathcal{O}} \subset \hat{\mathcal{F}} = \mathcal{F}$ .

Let  $\mathcal{L}$  be a base on a space  $X$ . The countable covers of  $X$  consisting of sets whose complements are members of  $\mathcal{L}$  form a base for a (compatible) uniform structure on  $X$ , denoted by  $\mathcal{U}(\mathcal{L})$ . The countable  $\mathcal{L}$ -uniformly continuous functions in the sense of [3] are precisely those (into  $R$ ) which are uniformly continuous in the uniformity  $\mathcal{U}(\mathcal{L})$ .

THEOREM 3. *Let  $\mathcal{O}$  be a base on a space  $X$ . A real-valued function  $f$  on  $X$  can be continuously extended to  $\nu(\mathcal{O})$  if and only if it is uniformly continuous in the uniformity  $\mathcal{U}(\hat{\mathcal{O}})$*

PROOF. *Sufficiency.* It is a consequence of Theorem 2 above and the theorem of [3]. *Necessity.* Given  $\epsilon > 0$ , if  $g$  is the continuous extension of  $f$  to

$v(\mathcal{O})$ , the sets  $V_n = \{p \in v(\mathcal{O}): g(p) < ((n - 1)/3)\epsilon\} \cup \{p \in v(\mathcal{O}): g(p) > ((n + 1)/3)\epsilon, n = 0, \pm 1, \pm 2, \dots\}$  belong to  $Z(v(\mathcal{O}))$ . If  $O_n = X \sim V_n$ , then  $\{O_n: n = 0, \pm 1, \pm 2, \dots\}$  is a countable cover of  $X$  by  $\hat{\mathcal{O}}$ -complements, on each of which the oscillation of  $f$  is less than  $\epsilon$ , so  $f$  is uniformly continuous in the uniformity  $\mathcal{U}(\hat{\mathcal{O}})$ .

**THEOREM 4.** *Let  $X$  be a realcompact space in which every point is a  $G_\delta$  and let  $X^*$  be the set  $X$  with a finer completely regular topology. If  $\mathcal{B}$  is a base in  $X^*$  containing  $Z(X)$ , then  $\hat{\mathcal{B}} = Z(X^*)$ .*

**PROOF.** First, let us prove that  $X^* = v(\mathcal{B})$ . If  $\mathcal{U}$  is a  $\mathcal{B}$ -ultrafilter with the countable intersection property (c.i.p.) the family  $\mathcal{F} = \{Z \in Z(X): Z \in \mathcal{U}\}$  is a prime  $Z(X)$ -filter with c.i.p. Let  $\mathcal{V}$  be the (unique)  $Z(X)$ -ultrafilter with c.i.p. that contains  $\mathcal{F}$  [11, Theorem 6.16]. Since  $X$  is realcompact there is a point  $x_0$  in  $X$  such that  $\{x_0\} = \bigcap \{Z: Z \in \mathcal{V}\}$ . By our hypothesis there exists a decreasing sequence  $\{Z_n\}_{n=1}^\infty$  of zero-sets in  $Z(X)$  such that  $X \sim \text{int}_X Z_n \in Z(X), n = 1, 2, \dots$ , and  $\{x_0\} = \bigcap_{n=1}^\infty Z_n$ . As  $\mathcal{F}$  is prime we have that  $Z_n \in \mathcal{F} \subset \mathcal{U}, n = 1, 2, \dots$ , and therefore  $x_0 \in \bigcap \{U: U \in \mathcal{U}\}$ . Then  $\mathcal{U}$  is fixed and  $X^* = v(\mathcal{B})$ . Since  $X^* = v(Z(X^*))$ , from Corollary 2.2 we have  $\hat{\mathcal{B}} = Z(X^*)$ .

The following example shows that the assumption of  $Z(X) \subset \mathcal{B}$  is essential.

**EXAMPLE.** Let  $X$  be an uncountable discrete space and let  $\mathcal{O}$  be the family  $\{M \subset X: M \text{ is finite or } X \sim M \text{ is countable}\}$ . Thus  $\mathcal{O}$  is a base such that  $\omega(\mathcal{O}) = v(\mathcal{O})$  is the Alexandroff compactification of  $X$ . Since  $\omega(\mathcal{O}) = \beta(v(\mathcal{O}))$  we have  $\hat{\mathcal{O}} = \mathcal{O}$ , but  $\mathcal{O}$  is not the family  $\mathcal{P}(X)$  of all subsets of  $X$ .

**COROLLARY 4.1.** *Let  $X$  be a realcompact space in which every point is a  $G_\delta$ . Let  $\mathcal{O}$  be a base on  $X$  with the discrete topology. If  $Z(X) \subset \mathcal{O}$ , then  $\hat{\mathcal{O}} = \mathcal{P}(X)$ .*

Then, the  $\sigma$ -algebra of all Borel sets in  $R$  is a noncomplete base of the discrete space  $R$ , and also, the  $\sigma$ -algebra of all Lebesgue measurable sets in  $R$ .

**Subalgebras of  $C(X)$ .** As usual,  $C(X)$  will denote the ring of all continuous real-valued functions on a space  $X$ . By an algebra on  $X$  is meant a subalgebra of  $C(X)$  which separates points and closed sets, contains the constants, and is closed under inversion and uniform convergence. If  $A$  is an algebra on  $X$  and  $\mathcal{Z}(A) = \{Z(f): f \in A\}$ , the map  $A \rightarrow \mathcal{Z}(A)$  is a one-to-one correspondence between the family of all algebras on  $X$  and the family of all bases on  $X$  [10, Theorem 4.3]. Moreover, if  $A$  is an algebra on  $X$  isomorphic to  $C(Y)$  for some space  $Y$ , then  $vY = v(\mathcal{Z}(A))$  and  $\beta Y = \omega(\mathcal{Z}(A))$  [10, 4.4]. Therefore:

**THEOREM 5.** *An algebra  $A$  on  $X$  is isomorphic to  $C(Y)$  for some space  $Y$  if and only if  $\mathcal{Z}(A)$  is a complete base.*

It is known that an algebra on  $X$  needs not to be  $C(X)$ , nor any  $C(Y)$  [6]–[8], [10]. The following result shows that such a situation arises in a very large class of standard function algebras used in Topology and Analysis. It is a consequence of Theorems 4 and 5.

**THEOREM 6.** *Let  $X$  be a realcompact space in which every point is a  $G_\delta$  and let  $X^*$  be the set  $X$  with a finer completely regular topology. If  $A$  is an algebra on  $X^*$  containing  $C(X)$ , then  $A = C(X^*)$  or  $A$  is not of the form  $C(Y)$ .*

**REMARK.** The obvious open problem is to find a constructive method of the completion  $\hat{\mathcal{O}}$ . It has to be noted that since the  $\sigma$ -algebra of all Lebesgue measurable sets of the real line  $R$  is a noncomplete base of the discrete space  $R$ , many usual set operations have to be disregarded.

#### REFERENCES

1. R. A. Alò and H. L. Shapiro, *Normal topological spaces*, Cambridge Univ. Press, Cambridge, Mass., 1974.
2. H. L. Bentley and S. A. Naimpally,  $\mathcal{L}$ -realcompactifications as epireflections, *Proc. Amer. Math. Soc.* **44** (1974), 196–202.
3. A. J. D'Aristotle, *A note on  $\mathcal{L}$ -realcompactifications*, *Proc. Amer. Math. Soc.* **32** (1972), 615–618.
4. O. Frink, *Compactifications and seminormal spaces*, *Amer. J. Math.* **86** (1964), 602–607.
5. L. Gillman and M. Jerison, *Rings of continuous functions*, The Univ. Series in Higher Math., Van Nostrand, Princeton, N. J., 1960.
6. A. W. Hager, *On inverse-closed subalgebras of  $C(X)$* , *Proc. London Math. Soc.* **19** (1969), 233–257.
7. M. Henriksen and D. G. Johnson, *On the structure of a class of archimedean lattice-ordered algebras*, *Fund. Math.* **50** (1961), 73–94.
8. J. R. Isbell, *Algebras of uniformly continuous functions*, *Ann. of Math.* **68** (1958), 96–125.
9. E. F. Steiner, *Wallman spaces and compactifications*, *Fund. Math.* **61** (1968), 295–304.
10. A. K. Steiner and E. F. Steiner, *Nest generated intersection rings in Tychonoff spaces*, *Trans. Amer. Math. Soc.* **148** (1970), 589–601.
11. M. D. Weir, *Hewitt-Nachbin spaces*, North-Holland, Amsterdam, 1975.

CATEDRA DE MATEMATICAS II, FACULTAD DE CIENCIAS, BURJASOT, VALENCIA, SPAIN