

ON SYSTEM OF PARAMETERS, LOCAL INTERSECTION MULTIPLICITY AND BEZOUT'S THEOREM

EDUARD BODA AND WOLFGANG VOGEL¹

ABSTRACT. This paper provides effective methods for computing the local intersection multiplicity as the length of a well-defined ideal (see Theorem and Proposition 1). There are other ways of obtaining such an ideal (see [2], [9], [12], [18]) but ours is simpler because of our use of reducing systems of parameters. Applying these ideal theoretic methods we will give a new and simple proof of Bezout's Theorem (see §4). Hence this proof again provides the connection between the different viewpoints which are treated in the work of Lasker-Macaulay-Gröbner and Severi-van der Waerden-Weil concerning the multiplicity theory.

1. Introduction. Let X, Y be subvarieties of projective space \mathbf{P}_k^n over a fixed algebraically closed field K . If X and Y intersect properly, and C is an irreducible component of $X \cap Y$, we denote by $i(X, Y; C)$ the local intersection multiplicity of X and Y along C defined by A. Weil [17]. Let A be the local ring of the generic point of C on \mathbf{P}_k^n , and \mathfrak{a} and \mathfrak{b} the ideals of X and Y in A . Applying the reduction to the diagonal there is no loss of generality in assuming that one variety, say Y , is a complete intersection. Then we know that the multiplicity $i(X, Y; C)$ is given by the multiplicity of $\mathfrak{a} + \mathfrak{b}/\mathfrak{a}$ with respect to A/\mathfrak{a} (notation: $e_0(\mathfrak{b}, A/\mathfrak{a})$) where $e_0(\mathfrak{b}, A/\mathfrak{a})$ is the coefficient of the $\binom{n + \dim A/\mathfrak{a}}{\dim A/\mathfrak{a}}$ term in the Hilbert-Samuel polynomial $P(n; A/\mathfrak{a}, \mathfrak{b})$, see [10, Chapter II, §7.b]. The first example which showed that in general the length of $A/\mathfrak{a} + \mathfrak{b}$ is not $e_0(\mathfrak{b}, A/\mathfrak{a})$ is given by B. L. van der Waerden [16] by using Macaulay's famous space curve, given parametrically by

$$\{u^4, u^3v, uv^3, v^4\} \quad (\text{see [5, p. 98]}).$$

We know that $e_0(\mathfrak{b}, A/\mathfrak{a})$ is given by the naive definition, taking the length of $A/\mathfrak{a} + \mathfrak{b}$, if and only if A/\mathfrak{a} is a Cohen-Macaulay ring, see e.g. [19]. It is precisely this phenomenon which makes this naive definition, modeled after the case of curves on a surface, uninteresting.

However, the aim of this note is to give effective methods for computing the local intersection multiplicity as the length of A/\mathfrak{c} where \mathfrak{c} is a well-defined ideal of A/\mathfrak{a} (see Theorem and Proposition 1). There are other ways of obtaining such an ideal (see [2], [9], [12], [18]) but ours is simpler because of

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our use of reducing systems of parameters. Using the above notations we note that $c = a + b$ if and only if A/\mathfrak{a} is a Cohen-Macaulay ring. Applying these methods we will give a new and simple proof of Bezout's Theorem (see §4). In §5 we conclude by studying some examples and add some remarks.

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2. Results. We fix the following notations. Let A be a commutative Noetherian local ring of dimension $d \geq 1$. The maximal ideal of A will be denoted throughout by \mathfrak{m} . The dimension of an ideal \mathfrak{a} of A , written $\dim(\mathfrak{a})$, is the (Krull) dimension of A/\mathfrak{a} . The multiplicity of an \mathfrak{m} -primary ideal \mathfrak{q} is denoted $e_0(\mathfrak{q}, A)$; the length of the A -module A/\mathfrak{q} is denoted $l(A/\mathfrak{q})$ (see e.g. [19]). For an ideal \mathfrak{a} of A we set $U(\mathfrak{a}) = \bigcap \mathfrak{q}$ where \mathfrak{q} runs through the primary ideals belonging to \mathfrak{a} such that $\dim(\mathfrak{q}) = \dim(\mathfrak{a})$.

Let \mathfrak{q} be generated by the system of parameters a_1, \dots, a_d . Then we put $\mathfrak{a}_0 = (0)$ and $\mathfrak{a}_k = (a_k) + U(\mathfrak{a}_{k-1})$ for any $0 < k \leq d$. Using this algorithm we get

PROPOSITION 1. $e_0(\mathfrak{q}, A) = l(A/\mathfrak{a}_d)$

Our main result is the following theorem.

THEOREM. Let \mathfrak{q} be generated by the system of parameters a_1, \dots, a_d in A . Then the following statements are equivalent:

- (i) $e_0(\mathfrak{q}, A) = l(A/(a_d) + U((a_1, \dots, a_{d-1})))$.
- (ii) a_j is not in any prime \mathfrak{p} belonging to (a_1, \dots, a_{j-1}) , such that $\dim(\mathfrak{p}) = d - j$ for any $j = 1, \dots, d - 1$.
- (iii) $U(\mathfrak{a}_k) = U((a_1, \dots, a_k))$ for every $k = 0, 1, \dots, d - 1$.
- (iv) $U(\mathfrak{a}_{d-1}) = U((a_1, \dots, a_{d-1}))$.

In the language of [1, p. 643], (ii) above asserts that a_1, \dots, a_d is a reducing system of parameters. The following proposition makes this theorem useful and strengthens Proposition 4.9 of [1].

PROPOSITION 2. Let $\mathfrak{q} \subset A$ be an ideal generated by a system of parameters. Then \mathfrak{q} can be generated by the elements b_1, \dots, b_d such that b_k is not in any prime $\mathfrak{p} \neq \mathfrak{m}$ belonging to (b_1, \dots, b_{k-1}) for any $k = 1, \dots, d$.

3. Proofs.

PROOF OF PROPOSITION 1. It is known that (see for example Theorem 6, Chapter 7 in [7])

$$e_0(\mathfrak{q}, A) = e_0((a_2, \dots, a_d), A / ((a_1) + 0 : a_1^n))$$

for large n . In order to prove the proposition we will use induction on d . Let $d = 1$. We obtain

$$\begin{aligned} e_0((a_1), A) &= l(A / (a_1) + 0 : a_1^n) = l(A / (a_1) + U(0)) \quad \text{since } n \gg 0 \\ &= l(A/\mathfrak{a}_1). \end{aligned}$$

Let $d > 1$. We get

$$e_0(q, A) = e_0((a_2, \dots, a_d), A / (a_1) + 0: a_1^n).$$

We set $A' = A / (a_1) + 0: a_1^n$. We can apply the induction to (a_2, \dots, a_d) in A' . We put $\alpha'_1 = (0)$ in A' and $\alpha'_k = (a_k) + U(\alpha'_{k-1})$ for any $1 < k \leq d$. Therefore we obtain that

$$e_0(q, A) = l(A' / \alpha'_d).$$

We still have to show that

$$U((a_1) + 0: a_1^n) = U((a_1) + U(0)) \quad \text{for large } n. \quad (*)$$

From this follows the proposition since $\alpha_1 = (a_1) + U(0)$. First we will show that $(a_1) + 0: a_1^n$ and $(a_1) + U(0)$ have the same associated prime ideals of dimension $d - 1$. It suffices to check this after localizing at such a prime ideal of dimension $d - 1$ that contains a_1 . But then (a_1) contains a power of the maximal ideal, so $0: a_1^n = U(0)$ for large n (see also [2, Theorem 1]).

Considering the localizations of the ideals $(a_1) + U(0)$ and $(a_1) + 0: a_1^n$ at the prime ideals of dimension $d - 1$ which belong to $(a_1) + U(0)$ we get our statement (*). Q.E.D.

In order to prove the theorem we give the following lemma.

LEMMA. *Let q be generated by the system of parameters a_1, \dots, a_d in A . If an integer n is sufficiently large we have*

$$U((a_1, \dots, a_{d-1})) \cap (a_1, \dots, a_{d-1}, a_d^n) = (a_1, \dots, a_{d-1}).$$

PROOF. We will show that

$$U(0) \cap (a_d^n) = (0) \quad \text{in } A / (a_1, \dots, a_{d-1}).$$

We will work in the ring $A / (a_1, \dots, a_{d-1})$. Suppose now that n is large enough to ensure that $U(0) = (0): a_d^n$. Hence we get

$$\begin{aligned} U(0) \cap (a_d^n) &= ((0): (a_d^n)) \cap (a_d^n) \\ &= ((0): (a_d^n)) \cdot (a_d^n) \quad \text{because } a_d \text{ is a non-zero-divisor on } A / U(0) \\ &= (0). \quad \text{Q.E.D.} \end{aligned}$$

PROOF OF THE THEOREM. (i) \Rightarrow (ii): We put $q' = (a_1, \dots, a_{d-1}, a_d^n)$ for $n \gg 0$ and $q_{d-1} = (a_1, \dots, a_{d-1})$. Since a_d is a non-zero-divisor on $A / U(q_{d-1})$ we obtain

$$\begin{aligned} n \cdot e_0(q, A) &= e_0(q', A) = l(A / (a_d^n) + U(q_{d-1})) \\ &= l(A / q') - l((a_d^n) + U(q_{d-1}) / q') \\ &= l(A / q') - l(U(q_{d-1}) / q' \cap U(q_{d-1})) \\ &= l(A / q') - l(U(q_{d-1}) / q_{d-1}), \quad \text{by the lemma,} \\ &= l(A / q') - l(q_{d-1} : a_d^n / q_{d-1}), \quad \text{since } n \gg 0. \end{aligned}$$

Hence Corollary 4.8 of [1] yields the statement (ii).

(ii) \Rightarrow (iii): We will use induction on k . If $k = 0$ then the assertion is trivial. Suppose $U(\alpha_k) = U((a_1, \dots, a_k))$ for any $0 < k < d - 1$. Since

$(a_1, \dots, a_{k+1}) \subseteq \mathfrak{a}_{k+1}$ and $\dim((a_1, \dots, a_{k+1})) = \dim(\mathfrak{a}_{k+1})$ we get

$$U((a_1, \dots, a_{k+1})) \subseteq U(\mathfrak{a}_{k+1}).$$

We now show that $U((a_1, \dots, a_k)) \subseteq U((a_1, \dots, a_k, a_{k+1}))$. Take an element $x \notin U((a_1, \dots, a_{k+1}))$. Then there is a prime ideal \mathfrak{p}_0 belonging to (a_1, \dots, a_{k+1}) with $\dim(\mathfrak{p}_0) = d - k - 1$ such that we have

$$(a_1, \dots, a_k): x \subseteq (a_1, \dots, a_{k+1}): x \subseteq \mathfrak{p}_0.$$

By assumption \mathfrak{p}_0 cannot belong to (a_1, \dots, a_k) since $a_{k+1} \in \mathfrak{p}_0$. There is a prime ideal \mathfrak{p}_1 belonging to (a_1, \dots, a_k) such that

$$(a_1, \dots, a_k): x \subseteq \mathfrak{p}_1 \subset \mathfrak{p}_0.$$

Hence we get $\dim(\mathfrak{p}_1) = d - k$; that is $x \notin U((a_1, \dots, a_k))$.

Using the induction hypothesis we therefore obtain

$$\begin{aligned} \mathfrak{a}_{k+1} &= (a_{k+1}) + U(\mathfrak{a}_k) = (a_{k+1}) + U((a_1, \dots, a_k)) \\ &\subseteq (a_{k+1}) + U((a_1, \dots, a_{k+1})) = U((a_1, \dots, a_{k+1})). \end{aligned}$$

Thus it follows $U(\mathfrak{a}_{k+1}) \subseteq U((a_1, \dots, a_{k+1}))$ and the statement (iii) is proved.

(iii) \Rightarrow (iv) is trivial.

(iv) \Rightarrow (i): This follows from Proposition 1. Q.E.D.

PROOF OF PROPOSITION 2. We set $\mathfrak{q} = (a_1, \dots, a_d)$. Let i be an integer with $1 \leq i \leq d$. Suppose there exist elements b_1, \dots, b_{i-1} such that $\mathfrak{q} = (b_1, \dots, b_{i-1}, a_i, \dots, a_d)$ and b_j is not in any prime $\mathfrak{p} \neq \mathfrak{m}$ belonging to (b_1, \dots, b_{j-1}) for any $j = 1, \dots, i-1$. We set $\mathfrak{q}_i = (b_1, \dots, b_{i-1}, a_{i+1}, \dots, a_d)$. We note that $\mathfrak{q} \not\subseteq \mathfrak{m} \cdot \mathfrak{q} + \mathfrak{q}_i$. Hence there is an element $b_i \in \mathfrak{q}$ such that $b_i \notin \mathfrak{m} \cdot \mathfrak{q} + \mathfrak{q}_i$ and $b_i \notin \mathfrak{p}$ for any $\mathfrak{p} \neq \mathfrak{m}$ belonging to (b_1, \dots, b_{i-1}) . Since $b_1, \dots, b_i, a_{i+1}, \dots, a_d$ are linear independent mod $\mathfrak{m} \cdot \mathfrak{q}$, Nakayama's lemma implies $\mathfrak{q} = (b_1, \dots, b_{i-1}, b_i, a_{i+1}, \dots, a_d)$. Q.E.D.

4. Bezout's Theorem. Applying the above methods we will give a new proof of the following theorem.

BEZOUT'S THEOREM. *Let K be an arbitrary algebraically closed field. Let X, Y be two subvarieties of \mathbf{P}_K^n of pure dimension such that $\dim(X \cap Y) = \dim(X) + \dim(Y) - n$. Then*

$$\deg(X) \cdot \deg(Y) = \sum_C i(X, Y; C) \cdot \deg(C)$$

where C runs through the irreducible components of $X \cap Y$ with $\dim(C) = \dim(X \cap Y)$.

PROOF. In order to prove Bezout's Theorem there is no loss of generality in assuming that one variety, say Y , is a complete intersection. One does this by replacing X by the join, X' , in \mathbf{P}^{2n+1} , of X and Y regarded as embedded in disjoint linear subspaces of dimension n , and Y by a linear subspace Y' of dimension n which is disjoint from each. One checks algebraically, by considering homogeneous ideals, that the local intersection multiplicities of X' and Y' are the same as those of X and Y , see [17]. We fix the following

notations: Let $\alpha, \mathfrak{b} = (F_1, \dots, F_t)$ and \mathfrak{p}_C be the defining ideals of X, Y and C in $K[x_0, x_1, \dots, x_n] =: R$. Then $\dim(X \cap Y) = \dim(X) - t$, and the degree of X is given by $h_0(\alpha)$ where h_0 is the first coefficient of the Hilbert polynomial of α . We put in R : $\alpha_0 = \alpha$ and $\alpha_k = (F_k) + U(\alpha_{k-1})$ for any $0 < k \leq t$. Then we prove our first claim.

Claim 1. $h_0(\alpha_t) = h_0(\alpha) \cdot h_0(\mathfrak{b})$.

PROOF. $h_0(\alpha_t) = h_0((F_t) + U(\alpha_{t-1})) = h_0(U(\alpha_{t-1})) \cdot h_0((F_t))$ since $U(\alpha_{t-1}): F_t = U(\alpha_{t-1})$. This follows, for example from [4, 143.7].

Now, it is $h_0(U(\alpha_{t-1})) = h_0(\alpha_{t-1})$ (see e.g. [4, 143.1]). Therefore we get that $h_0(\alpha_t) = h_0((F_1)) \cdot \dots \cdot h_0((F_t)) \cdot h_0(\alpha) = h_0 \cdot (\alpha)h_0(\mathfrak{b})$ since Y is a complete intersection (see e.g. [4, 142.4]).

Claim 2. $h_0(\alpha_t) = \sum_C i(X, Y; C) \cdot \deg(C)$.

PROOF. First we note that α_t and $\alpha + \mathfrak{b}$ have the same associated prime ideals of $\dim(X \cap Y)$. A simple induction on t will give this result by applying Krull's principal ideal theorem. Let \mathfrak{q}_C be the associated primary ideal of α_t which belongs to \mathfrak{p}_C . Then it follows (see e.g. [4, 143.1 and 143.5]) that

$$h_0(\alpha_t) = \sum_C l(R_{\mathfrak{p}_C}/\mathfrak{q}_C R_{\mathfrak{p}_C}) \cdot h_0(\mathfrak{p}_C).$$

Now, $l(R_{\mathfrak{p}_C}/\mathfrak{q}_C R_{\mathfrak{p}_C}) = l((R/\alpha)_{\mathfrak{p}_C}/\alpha_t(R/\alpha)_{\mathfrak{p}_C})$. We consider an ideal generated by the system of parameters F_1, \dots, F_t in $(R/\alpha)_{\mathfrak{p}_C} =: R'$. We set $\mathfrak{b}_0 = (0)$ in R' and $\mathfrak{b}_k = (F_k) + U(\mathfrak{b}_{k-1})$ for any $0 < k \leq t$ in R' . Then we get that $\mathfrak{b}_t = \alpha_t \cdot R'$. Hence Proposition 1 and the Theorem of reduction of P. Samuel [10, Chapter II, §7] yield that $l(R_{\mathfrak{p}_C}/\mathfrak{q}_C R_{\mathfrak{p}_C}) = i(X, Y; C)$. Q.E.D.

5. Remarks and examples. (1) Let A be a local ring such that each system of parameters is a reducing system of parameters. Such local rings yield a generalization of the (local) Buchsbaum rings and were studied in [3]. For example, let X be a locally Cohen-Macaulay projective variety, and let A be the local ring of the vertex of the affine cone over X . Then we know that each system of parameters of A is a reducing system of parameters. Hence we can apply our Theorem to A for each system of parameters. We have this situation in the following example.

(2) EXAMPLE. Take the classical example from [16, §11] (see also [4, p. 180] and [6, p. 126]). We use the notation from the introduction. Let X, Y and C be the subvarieties of \mathbf{P}_K^4 with defining prime ideals

$$\begin{aligned} \mathfrak{p}_X &= (x_1x_4 - x_2x_3, x_1^2x_3 - x_2^3, x_1x_3^2 - x_2^2x_4, x_2x_4^2 - x_3^3), \\ \mathfrak{p}_Y &= (x_1, x_4), \mathfrak{p}_C = (x_1, x_2, x_3, x_4). \end{aligned}$$

Van der Waerden proves by a difficult method that $i(X, Y; C) = 4$. Taking the length of $A/(\mathfrak{p}_X + \mathfrak{p}_Y)A$ we get 5. Using the Theorem we obtain

$$\begin{aligned} i(X, Y; C) &= e_0((x_1, x_4), A/\mathfrak{p}_X) \\ &= l(K[x_0, \dots, x_4]_{(x_1, \dots, x_4)} / (x_1, x_4, x_2^2, x_2x_3, x_3^3)) = 4 \end{aligned}$$

since, as is not hard to show, $U(p_X + (x_1)) = (x_1, x_2^2, x_2x_3, x_2x_4^2 - x_3^3)$.

(3) The statement of the Lemma is true for $n = 1$ if A is a Buchsbaum ring (see e.g. [13], [14], [15]). This follows from Lemma 11 and Corollary 13 of [13].

(4) The statements of the Theorem and Proposition 1 are not true in general if we replace the definition of $U(\mathfrak{a})$ by $Ui(\mathfrak{a}) = \bigcap \mathfrak{q}$ where \mathfrak{q} runs through the minimal primary ideals belonging to \mathfrak{a} . To see this take $A = K[[x, y, z]]/(xy, xz)$ over any field K and $\mathfrak{a} = (y, x + z)A$.

(5) We relate our observations to some global questions by considering the (affine) cones over projective varieties. We will give a numerical criterion for determining whether a variety is arithmetically Cohen-Macaulay (see also [8]).

We consider the polynomial ring $R = K[x_0, \dots, x_n]$ in $n + 1$ indeterminates over an arbitrary field K . Let \mathfrak{a} be a homogeneous ideal in R of h -dimension $d \geq 0$; that is, (Krull) dimension of \mathfrak{a} in R minus 1. The degree of the ideal \mathfrak{a} is denoted $h_0(\mathfrak{a})$. The extended ideal of \mathfrak{a} in $R[x_{n+1}]$ is denoted \mathfrak{a}^* . If $\mathfrak{q} \subset R$ is a primary ideal belonging to (x_0, \dots, x_n) then \mathfrak{q}^* has h -dimension zero and $h_0(\mathfrak{q}^*) > 0$ in $R[x_{n+1}]$. We note that $h_0(\mathfrak{a}) = h_0(\mathfrak{a}^*)$ if \mathfrak{a} has h -dimension $d \geq 0$ (see e.g. [4, 143.12]). Now we end with our application.

PROPOSITION 3. *The following statements are equivalent:*

(a) \mathfrak{a} is perfect.

(b) *There are forms F_1, \dots, F_{d+1} in R such that $\mathfrak{a} + (F_1, \dots, F_{d+1})$ is a primary ideal belonging to (x_0, \dots, x_n) and*

$$h_0((\mathfrak{a} + (F_1, \dots, F_{d+1}))^*) = h_0(\mathfrak{a}_{d+1}^*).$$

PROOF. If \mathfrak{a} is perfect then the assertion (b) is trivial. Suppose now that (b) is true. The first claim in our proof of Bezout's Theorem provides

$$h_0((\mathfrak{a} + (F_1, \dots, F_{d+1}))^*) = h_0(\mathfrak{a}) \cdot h_0((F_1, \dots, F_{d+1})).$$

The Theorem of [8] shows that this equality is equivalent with (a). Q.E.D.

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FACULTY OF SCIENCES, DEPARTMENT OF GEOMETRY, UNIVERSITY OF KOMENSKÝ, 81631 BRATISLAVA, CZECHOSLOVAKIA

DEPARTMENT OF MATHEMATICS, MARTIN-LUTHER-UNIVERSITY, 401 HALLE, GERMAN DEMOCRATIC REPUBLIC