

FROBENIUS EXTENSIONS OF QF-3 RINGS

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ABSTRACT. We investigate the inheritance of QF-3 property for ring extensions, mainly, for Frobenius extensions. Let A be a ring with identity. It is proved that a group ring $A[G]$ of A with a finite group G is left QF-3 iff A is left QF-3 and that in case A is a G -Galois extension of the fixed subring A^G relative to a finite group G of ring automorphism of A , A is left QF-3 iff A^G is left QF-3.

Let A be a ring with identity. It is well known that a group ring $A[G]$ with a finite group G is Quasi-Frobenius (QF) iff A is QF. Using the concept of Frobenius extensions introduced by F. Kasch [4], we shall obtain a similar result for QF-3 rings in this paper, namely, $A[G]$ is left QF-3 iff A is left QF-3. Here a ring is called left QF-3 if it has a minimal faithful left module, that is, a faithful left module which is isomorphic to a direct summand of every faithful left module. Further we shall show that in case A is a G -Galois extension of the fixed subring A^G relative to a finite group G of ring automorphism of A in the sense of [7], A is left QF-3 iff A^G is left QF-3. It should be noted that A and A^G are not always left QF-3 even if A^G and A are left QF-3, respectively and that in case A/A^G is finite G -Galois, A is QF whenever A^G is QF but the converse is not necessarily true.

Throughout this paper, all rings, all modules, all subrings and all ring homomorphisms are assumed to be unitary. We follow the notation of [10] unless specified otherwise. For A - A' -bimodules ${}_A M_{A'}$, ${}_A N_{A'}$, the notation ${}_A M_{A'} | {}_A N_{A'}$ denotes the fact that ${}_A M_{A'}$ is isomorphic to a direct summand of a direct sum $N^{(n)}$ of finitely many copies of ${}_A N_{A'}$. A module M is said to be *cofinitely generated* (co-f.g.) in case for every set $\{M_i; i \in I\}$ of submodules of M if the intersection $\bigcap_i M_i = 0$, then there exist i_1, \dots, i_n in I such that $\bigcap_k M_{i_k} = 0$ (see [11]). If a module M is f.g. projective, co-f.g. injective and faithful, then M will be called a $*$ -module for convenience. If a ring A is left QF-3, then a minimal faithful left A -module is a $*$ -module, and conversely if A has a left $*$ -module, then A is left QF-3 (see [2, Theorem 1]). Let $A \supset B \ni 1_A$ be a ring extension. We say A is a *Frobenius* (resp. a *left QF*) *extension* of B if ${}_B A$ is f.g. projective and if ${}_A A_B \cong$ (resp. \cong) ${}_A \text{Hom}({}_B A, {}_B B)_B$, and a right QF extension is defined symmetrically (see [4] and [8]). If A is a Frobenius extension of B , then there exist a B - B -homomorphism h of A to B and $r_1, \dots, r_n; l_1, \dots, l_n$ in A such that $x = \sum_i r_i h(l_i x) = \sum_i h(x r_i) l_i$ for all x in A , and conversely (see [9]). When this is the case, we shall call such a system $(h; l_i, r_i)_{1 \leq i \leq n}$ a *Frobenius system*.

Received by the editors November 15, 1978.

AMS (MOS) subject classifications (1970). Primary 16A56, 16A36.

Key words and phrases. Frobenius extensions, QF-3 rings, group rings, G -Galois extensions, Quasi-Frobenius extensions, minimal faithful modules, cofinitely generated modules, trivial crossed products.

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PROPOSITION 1. *Let $A \supset B$ be a ring extension. Let Y be a faithful left B -module. If ${}_B A$ (resp. A_B) is torsionless, then ${}_A \text{Hom}({}_B A, {}_B Y)$ (resp. ${}_A A \otimes_B Y$) is faithful.*

PROOF. Let a be an arbitrary nonzero element of A . First assume that ${}_B A$ is torsionless. Then there is $f: {}_B A \rightarrow_B B$ such that $(a)f \neq 0$. But, ${}_B Y$ being faithful, we have $(a)f \cdot y \neq 0$ for some y in Y , and so $(1)(a \cdot (f \circ g_y)) = (a)f \cdot y \neq 0$, where $g_y: {}_B B \rightarrow_B Y$ is given by $(b)g_y = by$ for b in B . Thus ${}_A \text{Hom}({}_B A, {}_B Y)$ is faithful. Next assume that A_B is torsionless. Then there is $f: A_B \rightarrow B_B$ such that $f(a) \neq 0$. But, ${}_B Y$ being faithful, we have $f(a) \cdot y \neq 0$ for some y in Y , and so $a(1 \otimes y) = a \otimes y \neq 0$ in $A \otimes_B Y$. Thus ${}_A A \otimes_B Y$ is faithful.

The following is well known (see e.g. [1, Exercise 10, p. 261]).

LEMMA 2. *Let $A \supset B$ be a ring extension. Then the following hold.*

- (1) *If A_B is flat and ${}_A X$ is injective, then ${}_B X$ is injective.*
- (2) *If ${}_B A$ is f.g. projective, and if ${}_A X$ is f.g. projective, then ${}_B X$ is f.g. projective.*

PROPOSITION 3. *Let $A \supset B$ be a ring extension. Then the following hold.*

- (1) *If A_B is torsionless and if ${}_A A$ is co-f.g., then ${}_B B$ is co-f.g.*
- (2) *If ${}_B A$ is imbedded in a direct sum $B^{(n)}$ of finitely many copies of B , and if ${}_B B$ is co-f.g., then ${}_A A$ is co-f.g.*

PROOF. (1) Let $\{X_i; i \in I\}$ be a set of submodules of ${}_B B$ such that $\bigcap_i X_i = 0$. Suppose that $a \in AX_i$ for all $i \in I$. Then, for any $f: A_B \rightarrow B_B$, we have $f(a) \in X_i$ for all $i \in I$, and so $f(a) = 0$. Thus, A_B being torsionless, we have $a = 0$, that is, $\bigcap_i AX_i = 0$. Since ${}_A A$ is co-f.g., there are $i_1, \dots, i_s \in I$ such that $\bigcap_k AX_{i_k} = 0$, and so $\bigcap_k X_{i_k} = 0$, proving (1). (2) is evident.

PROPOSITION 4 (cf. [5, Theorem 2.4]). *Let $A \supset B$ be a ring extension such that A_B and ${}_B A$ are f.g. projective. If A is left QF-3 such that ${}_A A$ is co-f.g., then B is left QF-3 such that ${}_B B$ is co-f.g. The converse is true if $A \supset B$ is a left or right QF extension.*

PROOF. Suppose that A is left QF-3 such that ${}_A A$ is co-f.g. Let ${}_A U$ be a *-module. We shall show that U is a *-module as a left B -module. By Lemma 2, ${}_B U$ is f.g. projective and injective. On the other hand, ${}_B B$ is co-f.g. by Proposition 3. Thus ${}_B U$ is co-f.g. Further ${}_B U$ is faithful obviously. Hence we obtain the first half. Conversely assume that B is left QF-3 such that ${}_B B$ is co-f.g. Then ${}_A A$ is co-f.g. by Proposition 3. Let ${}_B V$ be a *-module. It is obvious that ${}_A A \otimes_B V$ is f.g. projective and that ${}_A \text{Hom}({}_B A, {}_B V)$ is injective. Thus the first module is co-f.g. Further both modules are faithful by Proposition 1. If $A \supset B$ is a left QF extension, then we have ${}_A A \otimes_B V | {}_A \text{Hom}({}_B A, {}_B B) \otimes_B V \cong {}_A \text{Hom}({}_B A, {}_B V)$, and so ${}_A A \otimes_B V$ is injective. If $A \supset B$ is a right QF extension, then we have ${}_A \text{Hom}({}_B A, {}_B V) | {}_A A \otimes_B V$. Thus, ${}_A \text{Hom}({}_B A, {}_B V)$ is f.g. projective, and so it is co-f.g. It follows that ${}_A A \otimes_B V$ is a *-module in the first case and that ${}_A \text{Hom}({}_B A, {}_B V)$ is a *-module in the second case. Thus the proof is complete.

REMARK. If $A \supset B$ is a left or right QF extension, then ${}_B A$ and A_B are f.g. projective.

Following [6], a bimodule ${}_A M_A$ is said to be *generated by normalizing elements* if there are sets $\{m_i; i \in I\} \subset M$ and $\{\sigma_i; i \in I\} \subset \text{Aut}(A)$ such that $M = \sum_i A m_i$ and $m_i a = \sigma_i(a) m_i$ for all $i \in I, a \in A$, where $\text{Aut}(A)$ denotes the set consisting of all ring automorphisms of A . Let ${}_A X$ be an arbitrary A -module and σ an arbitrary ring endomorphism of A . Then a left A -module X_σ is defined as follows. X_σ coincides with X as the additive group and the ring A operates on X_σ by $a \circ x = \sigma(a)x$ ($a \in A, x \in X_\sigma$).

PROPOSITION 5. *Let $A \supset B$ be a ring extension. Suppose that A is f.g. over B by normalizing elements, and let $\{a_1, \dots, a_t\} \subset A, \{\sigma_1, \dots, \sigma_t\} \subset \text{Aut}(B)$ be subsets such that $A = \sum_i B a_i$ and $a_i b = \sigma_i(b) a_i$ for all i and $b \in B$. Let X be an arbitrary left B -module. Then the mapping*

$$\eta_X: \text{Hom}({}_B A, {}_B X) \rightarrow \bigoplus_{i=1}^t X_{\sigma_i}, \quad f \mapsto (a_i f)_i,$$

is a left B -monomorphism. Consequently, if ${}_B X$ is co-f.g., then ${}_A \text{Hom}({}_B A, {}_B X)$ is co-f.g. as a B -module and hence as an A -module.

PROOF. It is easy to see that η_X is a B -monomorphism. If ${}_B X$ is co-f.g., then obviously so is X_{σ_i} for every σ_i . Thus, η_X being B -monic, we obtain the second assertion.

PROPOSITION 6. *Let $A \supset B$ be a ring extension such that ${}_B A$ and A_B are f.g. projective. Suppose that A is f.g. over B by normalizing elements. If A is left QF-3, then B is left QF-3. The converse is true if $A \supset B$ is a left or right QF extension.*

PROOF. Suppose that A is left QF-3 and let ${}_A U$ be a minimal faithful module. Then ${}_B U$ is f.g. projective, injective and faithful as mentioned previously. To prove ${}_B U$ co-f.g., let $\{S_i; i \in I\}$ be a complete set of representatives for the distinct isomorphism classes of simple left B -modules. Set $X = \bigoplus_i E(S_i)$, where $E(S_i)$ denotes the injective envelope of S_i . Since ${}_B X$ is a cogenerator, ${}_A \text{Hom}({}_B A, {}_B X)$ is a cogenerator as is easily seen. But, ${}_B A$ being f.g., the last module is isomorphic to $\bigoplus_i \text{Hom}({}_B A, {}_B E(S_i))$. Hence, recalling ${}_A U$ f.g., there are $i_1, \dots, i_t \in I$ such that ${}_A U$ can be imbedded in $\bigoplus_{k=1}^t \text{Hom}({}_B A, {}_B E(S_{i_k}))$ which is co-f.g. as a B -module by Proposition 5. Thus ${}_B U$ is co-f.g. It follows that B is left QF-3. Conversely assume that B is left QF-3. Let ${}_B V$ be a $*$ -module. Then ${}_A \text{Hom}({}_B A, {}_B V)$ is co-f.g. by Proposition 5. Moreover we can see by the same way as the proof of Proposition 4 that ${}_A A \otimes {}_B V$ (resp. ${}_A \text{Hom}({}_B A, {}_B V)$) is a $*$ -module if $A \supset B$ is a left (resp. right) QF extension. It follows that A is left QF-3.

As an immediate consequence of Proposition 6, we have

COROLLARY 1. *Let $A \supset B$ be a left or right QF extension such that A is f.g. as a B -module by elements which commute with every element of B . Then A is left QF-3 iff B is left QF-3.*

COROLLARY 2. *A group ring $A[G]$ of a ring A with a finite group G is left QF-3 iff A is left QF-3.*

PROOF. It is easy to see that $(h; \sigma, \sigma^{-1})_{\sigma \in G}$ is a Frobenius system for $A[G]/A$, where $h: A[G] \rightarrow A$ is defined by $h(\sum_{\sigma} a_{\sigma} \cdot \sigma) = a_1$. Thus Corollary 2 follows from Corollary 1.

We can also have the following well-known result as a consequence of Proposition 6.

COROLLARY 3. $(A)_n$ is left QF-3 iff A is left QF-3.

PROOF. It is easy to see that $(\text{tr}; E_{ij}, E_{ji})_{i,j}$ is a Frobenius system for $(A)_n \supset A$, where $\text{tr}: (A)_n \rightarrow A$ is defined by $\text{tr}((a_{ij})) = \sum_i a_{ii}$, and E_{ij} ($1 < i, j < n$) denotes the matrix in $(A)_n$ with 1 in the (i, j) -component and 0 elsewhere. Thus Corollary 3 follows from Corollary 1.

PROPOSITION 7. Let e be an idempotent of A such that ${}_A Ae$ and eA_A are faithful. If A is left QF-3, then so is eAe .

PROOF. Let Ae_1 ($e_1^2 = e_1 \in A$) be a unique minimal faithful left A -module, and set $V = eAe_1$ and $B = eAe$. Since ${}_A Ae$ is faithful, ${}_A Ae = {}_A Ae_1 \oplus *$, and so ${}_B B \cong {}_B \text{Hom}({}_A Ae, {}_A Ae) \cong {}_B \text{Hom}({}_A Ae, {}_A Ae_1) \oplus ** \cong {}_B V \oplus **$. Thus ${}_B V$ is f.g. projective. Further ${}_B V$ is injective: Let L be a left ideal of B and f a homomorphism of L to V . Noting eA_A is faithful, it is not hard to see that a mapping $\tilde{f}: AL \rightarrow Ae_1$ defined by $(\sum a_i x_i) \tilde{f} = \sum a_i x_i f$ ($a_i \in A, x_i \in L$) is well defined. Thus, Ae_1 being injective, there exists some $x_1 \in Ae_1$ such that $x \tilde{f} = xx_1$ for all $x \in AL$. Hence we have $ex_1 \in V$ and $l \tilde{f} = lf = lx_1 = lex_1$ for all $l \in L$. Therefore, ${}_B V$ is injective. To prove ${}_B V$ co-f.g., let $\{V_i; i \in I\}$ be a set of submodules of ${}_B V$ such that $\bigcap_i V_i = 0$. Suppose that $a \in AV_i$ for all $i \in I$. Since $eAa \subset eAAV_i = eAeV_i = V_i$ for all $i \in I$, we then have $eAa = 0$. But, eA_A being faithful, we have $a = 0$, that is, $\bigcap_i AV_i = 0$. Since Ae_1 is co-f.g., there are $i_1, \dots, i_k \in I$ such that $\bigcap_k AV_{i_k} = 0$, which yields $\bigcap_k V_{i_k} = 0$. Hence ${}_B V$ is co-f.g. Finally, ${}_A Ae_1$ being faithful, ${}_B V$ is faithful. It follows that B is left QF-3.

PROPOSITION 8. Let $A \supset B$ be a Frobenius extension with Frobenius system $(h; l_i, r_i)_{1 \leq i \leq n}$. Then the following hold.

(1) $H = (h(l_i r_j)) \in (B)_n$ is an idempotent such that the left annihilator of $(B)_n H$ in $(B)_n$ and the right annihilator of $H(B)_n$ in $(B)_n$ both vanish.

(2) $\text{End}(A_B)$, the endomorphism ring of A_B , is left QF-3 if B is left QF-3.

PROOF. (1) Recalling $x = \sum_i r_i h(l_i x)$ for all $x \in A$, it is easy to see that H is an idempotent. Let E_{ij} ($1 < i, j < n$) be the matrix with 1 in the (i, j) -component and 0 elsewhere. Let $Y = (b_{st})$ be an arbitrary nonzero element of $(B)_n$, say $b_{st} \neq 0$. Since $1 = \sum h(r_i) l_i$, we have $b_{st} h(r_i) \neq 0$ for some i . Setting $Y' = \sum_j h(r_j) E_{ij}$, the (s, i) -component of $YY'H$ is equal to $b_{st} h(r_i) \neq 0$, which proves that the left annihilator of $(B)_n H$ in $(B)_n$ is zero. A similar argument shows that the right annihilator of $H(B)_n$ in $(B)_n$ is zero. (2) Recalling the mention at the beginning of the proof, the ring $\text{End}(A_B)$ is isomorphic to $H(B)_n H$. Thus (2) follows from Proposition 7 together with (1) and Corollary 3 to Proposition 6.

Let G be a finite group of ring automorphism of A . Let A^G denote the fixed

subring of A under G , i.e. $A^G = \{a \in A; \sigma(a) = a \text{ for all } \sigma \in G\}$. Let $\Delta = \Delta(A; G)$ be the trivial crossed product of A with G , that is, Δ is a free (left) A -module with free generator $\{u_\sigma\}$ indexed by G and with multiplication defined by $au_\sigma bu_\tau = a\sigma(b)u_{\sigma\tau}$. The ring Δ has u_1 for its identity and the mapping $a \mapsto au_1$ imbeds A as a subring of Δ . Moreover Δ is a Frobenius extension of A with Frobenius system $(h; u_\sigma, u_\sigma^{-1})_{\sigma \in G}$, where h is defined by $h(\sum_\sigma a_\sigma u_\sigma) = a_1 u_1$. Furthermore A has a natural structure as a left Δ -module by means of the operation $au_\sigma \circ x = a\sigma(x)$. The endomorphism ring $\text{End}(\Delta A)$ then may be identified with A^G by the mapping $g \mapsto 1g$. In what follows, Δ will denote the trivial crossed product $\Delta(A; G)$ of A with G .

As an immediate consequence of Proposition 6, we have

PROPOSITION 9. *If A is left QF-3, then so is Δ , and conversely.*

Assume now that A is a G -Galois extension of A^G . Let $x_1, \dots, x_n; y_1, \dots, y_n$ be elements in A such that $\sum_i x_i \sigma(y_i) = \delta_{\sigma, 1}$ for every $\sigma \in G$. Let $\text{tr}(a)$ denote the trace of $a \in A$ defined by $\text{tr}(a) = \sum_\sigma \sigma(a)$. Set $B = A^G$ and $C = \text{End}(A_B)$. Then, as is easily seen, $(\text{tr}; y_i, x_i)_{1 \leq i \leq n}$ is a Frobenius system for A/B . Moreover, a mapping $j: \Delta \rightarrow C$ defined by $j(au_\sigma)(x) = a\sigma(x)$ is a ring isomorphism whose inverse is given by $j^{-1}(f) = \sum_\sigma (\sum_i f(x_i)\sigma(y_i))u_\sigma$, and so, we shall identify C with Δ . If B is left QF-3, then Δ is left QF-3 by Proposition 8(2). Thus A is left QF-3 by Proposition 9. Conversely, if A is left QF-3, then Δ is left QF-3 by Proposition 9. Let ${}_\Delta U$ be a *-module, and set ${}_B V = {}_B \text{Hom}({}_\Delta A, {}_\Delta U)$. We shall show ${}_B V$ is a *-module. It follows that B is left QF-3. Since A_B is f.g. projective, ${}_\Delta A$ is a generator as is well known. Further, the mapping $a \mapsto a \sum_\sigma u_\sigma$ imbedding A as a Δ -submodule of Δ , ${}_\Delta A$ is torsionless. Thus ${}_B V$ is f.g. projective, injective and faithful by [5, Proposition 2.5]. To prove ${}_B V$ co-f.g., let $\{V_i; i \in I\}$ be a chain of nonzero submodules of V . Then, recalling A_B f.g. projective, $\{A \otimes_B V_i; i \in I\}$ may be regarded as that of ${}_\Delta A \otimes_B V$. But ${}_\Delta A \otimes_B V$ is isomorphic to ${}_\Delta U$ by the mapping $a \otimes v \mapsto av$, because ${}_\Delta A$ is a generator. Thus, ${}_\Delta U$ being co-f.g., there exists some $x \in A \otimes_B V$ such that $0 \neq x \in A \otimes_B V_i$ for all $i \in I$. Since the mapping $\lambda: A \otimes_B V \rightarrow \text{Hom}({}_B A, {}_B V)$ defined by $(a')(a \otimes v)\lambda = \text{tr}(a'a)v$ is an isomorphism whose inverse $\lambda^{-1}: \text{Hom}({}_B A, {}_B V) \rightarrow A \otimes_B V$ is given by $\lambda^{-1}(f) = \sum_i x_i \otimes y_i f$, we have $a \in A$ such that $(a)\lambda(x)$ is nonzero and contained in V_i for all $i \in I$, which proves ${}_B V$ co-f.g. (see [1, Exercise 6, p. 131]).

We have proved the following.

THEOREM 10. *Assume that A is a G -Galois extension of A^G . Then A is left QF-3 iff A^G is left QF-3.*

REMARK. In the above theorem, the assumption seems to be very strong. The following examples illustrate that some hypothesis is needed about the relationship between A and A^G .

EXAMPLE 1. Let A be the subring

$$\begin{pmatrix} \mathbb{Q} & 0 & 0 \\ \mathbb{Q} & \mathbb{Z} & 0 \\ \mathbb{Q} & \mathbb{Q} & \mathbb{Q} \end{pmatrix}$$

of the 3×3 matrix ring $(\mathbf{Q})_3$, where \mathbf{Q} denotes the field of rational numbers and \mathbf{Z} the ring of integers. Let σ be the inner automorphism of A determined by

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

and $G = \langle \sigma \rangle$. Then A^G coincides with

$$\begin{pmatrix} \mathbf{Q} & 0 & 0 \\ \mathbf{Q} & \mathbf{Z} & 0 \\ 0 & 0 & \mathbf{Q} \end{pmatrix}.$$

As was mentioned in H. Tachikawa [10, pp. 44 and 70], A is left QF -3 and right QF -3 but A^G is neither left QF -3 nor right QF -3.

EXAMPLE 2. Let A be the subring of the 2×2 matrix ring $(\mathbf{R})_2$ consisting of all elements of the form $\begin{pmatrix} x & 0 \\ y & x \end{pmatrix}$; $x \in \mathbf{Q}, y \in \mathbf{R}$, where \mathbf{R} denotes the field of real numbers. Then A is a commutative ring without idempotents other than 0 and 1. If I denotes the ideal of A generated by $\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$, then $I = \begin{pmatrix} 0 & 0 \\ \mathbf{Q} & 0 \end{pmatrix}$ and $\text{Ann}(\text{Ann } I) = \begin{pmatrix} 0 & 0 \\ \mathbf{R} & 0 \end{pmatrix}$, where $\text{Ann } I = \{a \in A; aI = 0\}$. Hence A is not a self-injective ring (see [3, Theorem 1]). Thus A is not QF -3. Let σ be the automorphism of A given by $\sigma\begin{pmatrix} x & 0 \\ y & x \end{pmatrix} = \begin{pmatrix} x & 0 \\ -y & x \end{pmatrix}$, and $G = \langle \sigma \rangle$. It is easy to see that A^G coincides with the field consisting of all elements of the form $\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix}$; $x \in \mathbf{Q}$.

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