

## A PROOF OF THE BOUNDARY THEOREM

KENNETH R. DAVIDSON

**ABSTRACT.** This note contains a simple proof of the following theorem of Arveson: If  $\mathcal{Q}$  is an irreducible subspace of  $\mathfrak{B}(H)$ , then the identity map  $\phi_0(A) = A$  on  $\mathcal{Q}$  has a unique completely positive extension to  $\mathfrak{B}(H)$  if and only if the quotient map  $q$  by the compact operators is not completely isometric on  $\mathfrak{S} = [\mathcal{Q} + \mathcal{Q}^*]$ .

Given a linear map  $\phi: \mathcal{Q} \rightarrow \mathfrak{B}$  of one  $C^*$  algebra into another, we can form the maps  $\phi \otimes \text{id}_n$  of  $n \times n$  matrices with coefficients in  $\mathcal{Q}$  to  $n \times n$  matrices with coefficients in  $\mathfrak{B}$  by taking  $(A_{ij})$  to  $(\phi(A_{ij}))$ . The map  $\phi$  is said to be completely positive if  $\phi \otimes \text{id}_n$  is positive for all  $n$ . These maps have proved to be of importance in the study of extensions of  $C^*$  algebras (e.g., [3], [4]), and in the study of nonselfadjoint subalgebras of  $C^*$  algebras [1], [2]. The difference between positive and completely positive maps has provided insight into the difference between positivity and sums of squares and Hilbert's seventeenth problem [5].

Stinespring [7] showed that complete positivity is intimately connected with the algebraic structure of the  $C^*$  algebra. He showed that if  $\phi: \mathcal{Q} \rightarrow \mathfrak{B}(\mathcal{H})$  is a unital ( $\phi(I) = I$ ), completely positive map of a  $C^*$  algebra  $\mathcal{Q}$  into the bounded operators on a Hilbert space  $\mathcal{H}$ , then  $\phi$  has the form  $\phi(A) = V^* \pi(A) V$ , where  $\pi$  is a  $*$  representation of  $\mathcal{Q}$  on another Hilbert space  $\mathcal{K}$  and  $V: \mathcal{H} \rightarrow \mathcal{K}$  is an isometric embedding of  $\mathcal{H}$  into  $\mathcal{K}$ . In general, positive maps are not this nice, but in commutative algebras every positive map is completely positive.

Arveson [1] recognized that  $\mathfrak{B}(\mathcal{H})$  is injective for completely positive maps. He proved that if  $\phi$  is a completely positive map from a selfadjoint subspace (containing the identity) of a  $C^*$  algebra  $\mathcal{Q}$  into  $\mathfrak{B}(\mathcal{H})$ , then  $\phi$  has a completely positive extension  $\phi_1: \mathcal{Q} \rightarrow \mathfrak{B}(\mathcal{H})$ . In his study [1], [2] of nonselfadjoint subalgebras of  $C^*$  algebras, he showed that completely positive maps on these subalgebras which have a unique completely positive extension of the whole  $C^*$  algebra play an important role. In the important special case of an irreducible subalgebra  $\mathcal{Q}$  of  $\mathfrak{B}(\mathcal{H})$ , it was shown that "sufficiently many" of these maps exist provided the identity map restricted to  $\mathcal{Q}$  has a unique completely positive extension.

Let  $\mathcal{Q}$  be an irreducible linear subspace of  $\mathfrak{B}(\mathcal{H})$ , and let  $\mathfrak{S}$  be the closed linear span of  $\mathcal{Q} \cup \mathcal{Q}^*$ . A map  $\phi: \mathcal{Q} \rightarrow \mathfrak{B}(\mathcal{H})$  is completely contractive if  $\|\phi \otimes \text{id}_n\| < 1$  for all  $n$ . Such a  $\phi$  has a unique completely positive extension to  $\mathfrak{S}$ , namely set  $\phi(A^*) = \phi(A)^*$  and extend by linearity. Corresponding, every completely positive map with  $\phi(I) = I$  is completely contractive. We say that  $\phi$  is completely isometric

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if  $\phi \otimes \text{id}_n$  is isometric for all  $n$ . Let  $q$  denote the quotient map of  $\mathfrak{B}(\mathfrak{H})$  onto the Calkin algebra  $\mathfrak{B}(\mathfrak{H})/\mathcal{C}(\mathfrak{H})$  where  $\mathcal{C}(\mathfrak{H})$  is the ideal of compact operators.

We can now state Arveson’s “Boundary Theorem” [2] which gives necessary and sufficient conditions for the identity map on  $\mathcal{A}$  to have a unique completely positive extension to  $\mathfrak{B}(\mathfrak{H})$  (namely the identity map). The purpose of this note is to provide a simpler proof of this theorem.

**THEOREM** *The identity map  $\phi_0(A) = A$  restricted to  $\mathcal{A}$  has a unique completely positive extension to  $\mathfrak{B}(\mathfrak{H})$  if and only if  $q$  is not completely isometric on  $\mathfrak{S} = [\mathcal{A} + \mathcal{A}^*]$ .*

**PROOF.** One direction is straightforward. If  $q$  is completely isometric on  $\mathfrak{S}$ , then the map  $\psi_0: q(A) = A$  is a completely positive map of  $q(\mathfrak{S})$  into  $\mathfrak{B}(\mathfrak{H})$ . By Arveson’s extension theorem, there is a completely positive map  $\psi$  from the Calkin algebra into  $\mathfrak{B}(\mathfrak{H})$  which extends  $\psi_0$ . Then  $\phi = \psi \cdot q$  extends  $\phi_0$  and annihilates the compact operators; so it is not the identity map.

For the converse, let  $\phi$  be any completely positive extension of  $\phi_0$ . Since  $q$  is not completely isometric on  $\mathfrak{S}$ , there is an integer  $n$  so that  $q \otimes \text{id}_n$  is not isometric on  $\mathfrak{S} \otimes \mathfrak{M}_n$ . ( $\mathfrak{M}_n$  denotes the  $n \times n$  matrices over  $\mathcal{C}$ .) The map  $\phi_0 \otimes \text{id}_n$  has a completely positive extension  $\phi \otimes \text{id}_n$  to  $\mathfrak{B}(\mathfrak{H}) \otimes \mathfrak{M}_n$  which is the identity map if and only if  $\phi$  is the identity. So without loss of generality, we can suppose that  $q$  is not isometric on  $\mathfrak{S}$ .

By Stinespring’s theorem [7], there is a representation  $\pi$  of  $\mathfrak{B}(\mathfrak{H})$  on a Hilbert space  $\mathfrak{K}$  and an isometry  $V: \mathfrak{H} \rightarrow \mathfrak{K}$  such that  $\phi(X) = V^*\pi(X)V$  for all  $X$  in  $\mathfrak{B}(\mathfrak{H})$ .  $\mathcal{C}(\mathfrak{H})$  is a two-sided ideal in  $\mathfrak{B}(\mathfrak{H})$  and its only irreducible representation is the identity representation. So  $\pi$  can be decomposed as  $\pi = \pi_a \oplus \pi_s$  on  $\mathfrak{K} = \mathfrak{K}_a \oplus \mathfrak{K}_s$  so that  $\pi_a$  is a multiple of the identity representation, and  $\pi_s$  annihilates the compact operators [6, §4.7.22]. We identify  $\mathfrak{K}_a$  with a direct sum  $\Sigma\mathfrak{H}$  of copies of  $\mathfrak{H}$  via  $\pi_a \cong n \cdot \text{id}$ , where  $n$  is some cardinal number. Also, we can factor  $\pi_s = \dot{\pi}_s \circ q$ .

Choose a  $T$  in  $\mathfrak{S}$  so that  $\|T\| > \|q(T)\|$ . Then there is a unit vector  $\xi$  such that  $\|T\xi\| = \|T\|$ . Furthermore,  $\mathcal{E} = \{\xi: \|T\xi\| = \|T\| \cdot \|\xi\|\}$  is a finite dimensional subspace. To see this, write  $T = U|T|$  in its polar decomposition. Then  $\| |T| \| = \|T\| > \|q(T)\| = \|q(|T|)\|$ . So the restriction of  $|T|$  to the spectral subspace  $E[\|q(T)\| + \epsilon, \|T\|]$  is compact and nonzero. So the subspace  $E[\|T\|]$  is nonempty and finite dimensional, and is precisely  $\mathcal{E}$ .

If  $\xi \in \mathcal{E}$ , then  $V\xi \in \mathfrak{K}_a$ . For if  $V\xi = \nu_a \oplus \nu_s$ ,

$$\begin{aligned} \|T\xi\|^2 &= \|\phi(T)\xi\|^2 = \|V^*(\pi_a(T)\nu_a \oplus \dot{\pi}_s \circ q(T)\nu_s)\|^2 \\ &< \|T\|^2\|\nu_a\|^2 + \|q(T)\|^2\|\nu_s\|^2 < \|T\|^2\|\xi\|^2. \end{aligned}$$

The extreme terms are equal, so it follows that  $\nu_s = 0$  and  $\|\pi_a(T)\nu_a\| = \|T\|\|\xi\|$ . Thus,  $V\mathcal{E} \subseteq \bigoplus \Sigma\mathcal{E}$ .

Let  $\mathcal{N}$  be a minimal nonzero subspace of  $\mathcal{E}$  satisfying  $V\mathcal{N} \subseteq \bigoplus \Sigma\mathcal{N}$ . Let  $\Gamma = \{X \in \mathfrak{B}(\mathfrak{H}): VX\nu = \pi(X)V\nu \text{ for all } \nu \text{ in } \mathcal{N}\}$ . Then  $\Gamma$  is a closed linear space containing the identity  $I$ . We will show that if  $X$  belongs to  $\Gamma$  and  $S$  belongs to  $\mathfrak{S}$ , then  $SX$  belongs to  $\Gamma$ .

Let  $X$  and  $S$  be fixed, and set  $\mathcal{N}_0 = \{\nu \in \mathcal{N} : \|SX\nu\| = \|SX|_{\mathcal{N}}\| \cdot \|\nu\|\}$ . If  $\nu$  belongs to  $\mathcal{N}_0$ , then

$$\begin{aligned} \|SX\nu\| &= \|\phi(S)X\nu\| = \|V^*\pi(S) VX\nu\| = \|V^*\pi(SX) V\nu\| \\ &\leq \|\pi(SX)|_{\oplus \Sigma \mathcal{N}}\| \cdot \|\nu\| = \|SX|_{\mathcal{N}}\| \cdot \|\nu\| = \|SX\nu\|. \end{aligned}$$

Hence  $V\nu$  belongs to  $\oplus \Sigma \mathcal{N}_0$  and  $V\mathcal{N}_0 \subseteq \oplus \Sigma \mathcal{N}_0$ . By the minimality of  $\mathcal{N}$ , we must have  $\mathcal{N} = \mathcal{N}_0$ . It also follows that  $\|\pi(SX) V\nu\| = \|V^*\pi(SX) V\nu\| = \|VV^*\pi(SX) V\nu\|$ . So

$$\pi(SX) V\nu = VV^*\pi(S)\pi(X) V\nu = VV^*\pi(S) VX\nu = V\phi(S)X\nu = VSX\nu.$$

This holds for all  $\nu$  in  $\mathcal{N}_0 = \mathcal{N}$ , so  $SX$  belongs to  $\Gamma$ .

Since  $\mathfrak{S}$  is selfadjoint,  $\Gamma$  must contain  $C^*(\mathfrak{S})$ . As noted earlier, the orthogonal projection onto  $\mathfrak{E}$  belongs to  $C^*(\mathfrak{S})$ , so  $C^*(\mathfrak{S})$  contains a nonzero compact operator. Since  $\mathcal{Q}$  is irreducible,  $C^*(\mathfrak{S})$  must contain all compact operators. If  $X$  and  $S$  are operators in  $C^*(\mathfrak{S})$ ,

$$\begin{aligned} XS\nu &= V^*VXS\nu = V^*\pi(XS) V\nu = V^*\pi(X)\pi(S) V\nu \\ &= V^*\pi(X) VS\nu = \phi(X)S\nu. \end{aligned}$$

But  $C^*(\mathfrak{S})$  is transitive, thus  $\phi(X) = X$  for all  $X$  in  $C^*(\mathfrak{S})$ .

Finally, since  $\phi$  is the identity on the compact operators,  $V\mathcal{K}$  must be contained in  $\mathcal{K}_a$ . Consequently,  $\pi = \pi_a$  is ultra-weakly continuous. Hence  $\phi$  is the identity on all of  $\mathfrak{B}(\mathcal{K})$ .

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF WATERLOO, WATERLOO, ONTARIO, CANADA, N2L 3G1