

## PARACOMPACTNESS OF PIXLEY-ROY HYPERSPACES. II

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**ABSTRACT.** In this paper we will study the paracompactness of Pixley-Roy hyperspaces of products. We will prove that if  $\mathcal{F}[X]$  is paracompact (resp. hereditarily paracompact), then  $\mathcal{F}[X^2]$  is paracompact (resp. hereditarily paracompact). This is the positive answer to T. Przymusiński's problem.

**1. Introduction.** Throughout this paper we will assume that  $X$  is a  $T_1$ -space. This paper continues the study of the paracompactness of Pixley-Roy hyperspaces initiated in [8]. Recall that for each space  $X$ , the space  $\mathcal{F}[X]$ , called the *Pixley-Roy hyperspace* over  $X$ , defined by C. Pixley and P. Roy in [5], is the set of all nonempty finite subsets of  $X$  with the topology generated by the sets of the form  $[F, U] = \{G \in \mathcal{F}[X]: F \subset G \subset U\}$ , where  $F \in \mathcal{F}[X]$  and  $U$  is an open neighborhood of  $F$  in  $X$ . E. K. van Douwen pointed out that  $\mathcal{F}[X]$  is a zero-dimensional Tychonoff space [2]. For each  $n \in \mathbb{N}$ , let  $\mathcal{F}_n[X] = \{F \in \mathcal{F}[X]: \text{card}(F) < n\}$ . We consider  $\mathcal{F}_n[X]$  with the subspace topology of  $\mathcal{F}[X]$  for each  $n \in \mathbb{N}$ .

T. Przymusiński characterized the paracompactness (resp. hereditary paracompactness) of Pixley-Roy hyperspaces as follows [6, Theorems 2.1, 2.2].

**THEOREM 1.** *The following conditions are equivalent:*

- (a)  $\mathcal{F}[X]$  is paracompact;
- (b)  $\mathcal{F}[X]^n$  is paracompact for each  $n \in \mathbb{N}$ ;
- (c) for every nonempty finite subset  $F$  of  $X$  one can choose an open neighborhood  $U_F$  so that the inclusions  $F \subset U_H$  and  $H \subset U_F$  imply  $F \cap H \neq \emptyset$ .

**THEOREM 2.** *The following conditions are equivalent.*

- (a)  $\mathcal{F}[X]$  is hereditarily paracompact;
- (b)  $\mathcal{F}[X]^n$  is hereditarily paracompact for each  $n \in \mathbb{N}$ ;
- (c) for every nonempty finite subset  $F$  of  $X$  one can choose an open neighborhood  $U_F$  so that the inclusions  $F \subset U_H$  and  $H \subset U_F$  imply  $F \subset H$  or  $H \subset F$ .

So T. Przymusiński raised the following problem [6, Problem 2].

*Problem.* Suppose that  $\mathcal{F}[X]$  is paracompact. Is  $\mathcal{F}[X^2]$  paracompact?

The purpose of this paper is to answer this problem affirmatively. Furthermore we will obtain the hereditarily paracompact case. We will prove the following.

**THEOREM 3.** *Suppose that  $\mathcal{F}[X]$  is paracompact (resp. hereditarily paracompact). Then  $\mathcal{F}[X^2]$  is paracompact (resp. hereditarily paracompact).*

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Let  $\mathcal{W}$  be a collection of subsets of  $\mathcal{F}[X]$ . We denote  $\cup \{W: W \in \mathcal{W}\}$  by  $\mathcal{W}^*$ . By  $X \oplus Y$  we mean the topological sum of spaces  $X$  and  $Y$ . For undefined terminology, the reader is referred to [3]. For Pixley-Roy hyperspaces, the reader is referred to [2] and [6].

**2. Proof of Theorem 3.** The following lemma was proved by the author in [8].

**LEMMA.** *Let  $\mathcal{Q}$  be an open-and-closed subset of  $\mathcal{F}[X]$  such that  $\mathcal{Q} \supset \mathcal{F}_n[X]$ . For each  $F \in \mathcal{F}_{n+1}[X] - \mathcal{Q}$ , let  $\{W_F(x): x \in F\}$  be a collection of open subsets of  $X$  such that:*

- (1)  $x \in W_F(x)$  for each  $x \in F$ ,
- (2)  $[F, \cup \{W_F(x): x \in F\}] \cap \mathcal{Q} = \emptyset$ , and
- (3)  $[G, \cup \{W_F(x): x \in G\}] \subset \mathcal{Q}$  for each  $G \subset F$  such that  $\text{card}(G) < n$ .

*If  $[F, \cup \{W_F(x): x \in F\}] \cap [H, \cup \{W_H(y): y \in H\}] \neq \emptyset$  for some  $F$  and  $H$  in  $\mathcal{F}_{n+1}[X] - \mathcal{Q}$ , then each  $W_F(x)$  contains exactly one element of  $H$ .*

**PROOF OF THEOREM 3.** Suppose that  $\mathcal{F}[X]$  is paracompact. Then there exists a collection  $\mathcal{U} = \{U_F: F \in \mathcal{F}[X]\}$  of open subsets of  $X$  satisfying the condition (c) of Theorem 1. Let

$$\mathcal{W}_1 = \{[(x, y), U_{\{x\}} \times U_{\{y\}}]: (x, y) \in X^2\}.$$

Suppose that

$$[(x, y), U_{\{x\}} \times U_{\{y\}}] \cap [(z, w), U_{\{z\}} \times U_{\{w\}}] \neq \emptyset$$

for some  $(x, y), (z, w) \in X^2$ . Then  $(x, y) \in U_{\{z\}} \times U_{\{w\}}$  and  $(z, w) \in U_{\{x\}} \times U_{\{y\}}$ . Hence  $x \in U_{\{z\}}, y \in U_{\{w\}}, z \in U_{\{x\}}$  and  $w \in U_{\{y\}}$ . Hence  $x = z$  and  $y = w$  by the property of  $\mathcal{U}$ . Hence  $(x, y) = (z, w)$ . Hence  $\mathcal{W}_1$  is pairwise disjoint in  $\mathcal{F}[X^2]$ . We have already obtained a collection  $\{\mathcal{W}_i: i = 1, \dots, n\}$  of pairwise disjoint families of basic open subsets of  $\mathcal{F}[X^2]$  such that:

- (3)  $\cup_{i=1}^j \mathcal{W}_i^* \supset \mathcal{F}_j[X^2]$  for each  $j < n$ , and
- (4)  $\mathcal{W}_i^* \cap \mathcal{W}_j^* = \emptyset$  for  $i, j < n$  and  $i \neq j$ .

Let  $\mathcal{Q} = \cup_{i=1}^n \mathcal{W}_i^*$ . Then  $\mathcal{Q}$  is a closed subset of  $\mathcal{F}[X^2]$  by Lemma 1 in [8] (or Lemma 2.3 in [6]). For each

$$(\dagger) \quad F = \{(x_1, y_1), \dots, (x_n, y_n), (x_{n+1}, y_{n+1})\} \in \mathcal{F}_{n+1}[X^2] - \mathcal{Q},$$

let  $F_1 = \{x_1, \dots, x_n, x_{n+1}\}$  and  $F_2 = \{y_1, \dots, y_n, y_{n+1}\}$ . For each  $x \in F_1$ , let  $F_1^x = F_1 - \{x\}$ . For each  $y \in F_2$ , let  $F_2^y = F_2 - \{y\}$ . For each  $F$  in  $(\dagger)$ , there exist open neighborhoods  $W_F(x_i)$  and  $W_F(y_i)$  of  $x_i$  and  $y_i$ , respectively,  $i = 1, \dots, n, n + 1$ , such that:

- (5)  $[F, \cup_{i=1}^{n+1} W_F(x_i) \times W_F(y_i)] \cap \mathcal{Q} = \emptyset$ ;
- (6) for every subset  $G$  of  $F$  such that  $\text{card}(G) < n$ ,  $[G, \cup_{(x,y) \in G} W_F(x) \times W_F(y)] \subset \mathcal{Q}$ ;
- (7) for every subset  $H$  of  $F_1$ ,  $\cup_{x \in H} W_F(x) \subset U_H$ ;
- (8) for every subset  $I$  of  $F_2$ ,  $\cup_{y \in I} W_F(y) \subset U_I$ ;
- (9) for each  $x \in F_1$ ,  $W_F(x) \cap F_1^x = \emptyset$ ; and
- (10) for each  $y \in F_2$ ,  $W_F(y) \cap F_2^y = \emptyset$ .

For each  $F$  in (†) let

$$W(F) = \bigcup_{i=1}^{n+1} W_F(x_i) \times W_F(y_i).$$

Let

$$\mathcal{W}_{n+1} = \{[F, W(F)]: F \in \mathcal{F}_{n+1}[X^2] - \mathcal{Q}\}.$$

We will prove that  $\mathcal{W}_{n+1}$  is pairwise disjoint in  $\mathcal{F}[X^2]$ . Suppose that  $[F, W(F)] \cap [G, W(G)] \neq \emptyset$  for some

$$F = \{(x_1, y_1), \dots, (x_n, y_n), (x_{n+1}, y_{n+1})\}, \\ G = \{(z_1, w_1), \dots, (z_n, w_n), (z_{n+1}, w_{n+1})\} \in \mathcal{F}_{n+1}[X^2] - \mathcal{Q}.$$

Then  $F \subset W(G)$  and  $G \subset W(F)$ . By the Lemma,  $W_F(x_1) \times W_F(y_1)$  contains an element of  $G$ . So we can suppose  $(z_1, w_1) \in W_F(x_1) \times W_F(y_1)$  (if necessary, by reordering the  $(z_i, w_i)$ 's). We will prove that  $(x_1, y_1) \in W_G(z_1) \times W_G(w_1)$ . Suppose that  $(x_1, y_1) \notin W_G(z_1) \times W_G(w_1)$ . By the Lemma,  $W_G(z_1) \times W_G(w_1)$  contains an element of  $F$ . So we can suppose  $(x_2, y_2) \in W_G(z_1) \times W_G(w_1)$ . Then  $(z_1, w_1) \notin W_F(x_2) \times W_F(y_2)$ . For if not, suppose  $(z_1, w_1) \in W_F(x_2) \times W_F(y_2)$ . By the Lemma,

$$G - \{(z_1, w_1)\} \subset \bigcup_{i=3}^{n+1} W_F(x_i) \times W_F(y_i).$$

But  $\text{card}(F - \{(x_1, y_1), (x_2, y_2)\}) = n - 1$  and  $\text{card}(G - \{(z_1, w_1)\}) = n$ . Hence there exists some  $i$  ( $3 < i < n + 1$ ) such that  $W_F(x_i) \times W_F(y_i)$  contains two distinct elements of  $G$ , which contradicts the Lemma. Hence  $(z_1, w_1) \notin W_F(x_2) \times W_F(y_2)$ . We can suppose that  $(z_2, w_2) \in W_F(x_2) \times W_F(y_2)$ . By the same reason,  $(x_2, y_2) \notin W_G(z_2) \times W_G(w_2)$ . So we can suppose that  $(x_3, y_3) \in W_G(z_2) \times W_G(w_2)$ . We continue this process repeatedly. Then we obtain subsets  $B = \{(x_1, y_1), \dots, (x_m, y_m)\}$  and  $C = \{(z_1, w_1), \dots, (z_m, w_m)\}$ ,  $m < n + 1$ , of  $F$  and  $G$ , respectively, such that:

(11)  $(z_i, w_i) \in W_F(x_i) \times W_F(y_i)$  for each  $i < m$ , and

(12)  $(x_{i+1}, y_{i+1}) \in W_G(z_i) \times W_G(w_i)$  for each  $i < m - 1$  and  $(x_1, y_1) \in W_G(z_m) \times W_G(w_m)$ .

Let  $H = \{x_1, \dots, x_m\}$  and  $I = \{z_1, \dots, z_m\}$ . Then

$$H \cup I \subset \bigcup_{i=1}^m W_F(x_i) \cap \bigcup_{i=1}^m W_G(z_i).$$

By (7),  $H \cup I \subset U_H \cap U_I$ . By the property of  $\mathcal{U}$ ,  $H \cap I \neq \emptyset$ . Hence  $x_i = z_j$  for some  $i, j < m$ . By (12),  $(x_i, y_i) \in W_G(z_{i-1}) \times W_G(w_{i-1})$  (if  $i = 1$ , then  $(x_1, y_1) \in W_G(z_m) \times W_G(w_m)$ ). Suppose that  $x_i \neq z_{i-1}$ . Then  $z_{i-1} \neq z_j$ . By (9),  $W_G(z_{i-1}) \ni z_j$ , which is a contradiction. Hence  $x_i = z_{i-1}$ . Since  $(z_{i-1}, w_{i-1}) \in W_F(x_{i-1}) \times W_F(y_{i-1})$ , we similarly obtain that  $x_{i-1} = z_{i-1}$ . By (11) and (12), we can continue this process repeatedly. Then we obtain  $x_1 = z_1 = \dots = x_m = z_m$ . Similarly we obtain  $y_1 = w_1 = \dots = y_m = w_m$ . Hence  $(x_1, y_1) = \dots = (x_m, y_m)$ , which contradicts the fact that  $F \in \mathcal{F}_{n+1}[X^2]$ . Hence  $(x_1, y_1) \in W_G(z_1) \times W_G(w_1)$ . By (7)

and (8), we obtain  $x_1 = z_1$  and  $y_1 = w_1$ . Hence  $(x_1, y_1) = (z_1, w_1)$ . Similarly we obtain  $(x_i, y_i) = (z_i, w_i)$  for each  $i = 2, \dots, n, n+1$  (if necessary, by reordering the  $(z_i, w_i)$ 's,  $i = 2, \dots, n, n+1$ ). Hence  $F = G$ . Hence  $\mathcal{W}_{n+1}$  is pairwise disjoint in  $\mathcal{F}[X^2]$ . Clearly  $\{\mathcal{W}_i: i = 1, \dots, n, n+1\}$  satisfies conditions  $(3_{n+1})$  and  $(4_{n+1})$ . Inductively we obtain a sequence  $\{\mathcal{W}_n: n \in N\}$  of pairwise disjoint families of basic open subsets of  $\mathcal{F}[X^2]$  such that:

(13)  $\cup \{\mathcal{W}_n: n \in N\}$  covers  $\mathcal{F}[X^2]$ , and

(14)  $\mathcal{W}_i^* \cap \mathcal{W}_j^* = \emptyset$  for  $i, j \in N$  and  $i \neq j$ .

Let  $\mathcal{W} = \cup \{\mathcal{W}_n: n \in N\}$ . We denote  $\mathcal{W}$  by  $\{(F_s, W(F_s)): s \in S\}$ . For each  $F \in \mathcal{F}[X^2]$ , there exists exactly one  $s \in S$  such that  $F \in [F_s, W(F_s)]$ . Define  $V_F = W(F_s)$ . Let  $\mathcal{B} = \{V_F: F \in \mathcal{F}[X^2]\}$ . It is easily proved that the collection  $\mathcal{B}$  satisfies the condition (c) of Theorem 1. Hence  $\mathcal{F}[X^2]$  is paracompact.

Next we consider the hereditarily paracompact case. Suppose that  $\mathcal{F}[X]$  is hereditarily paracompact. Then there exists a collection  $\mathcal{U} = \{U_F: F \in \mathcal{F}[X]\}$  of open subsets of  $X$  satisfying the condition (c) of Theorem 2. For each  $F = \{(x_1, y_1), \dots, (x_n, y_n)\} \in \mathcal{F}[X^2]$ , where  $n = \text{card}(F)$ , let  $\tilde{F} = \{x_1, \dots, x_n, y_1, \dots, y_n\}$ . For each  $z \in \tilde{F}$ , let  $\tilde{F}_z = \tilde{F} - \{z\}$ . For each  $z \in \tilde{F}$ , let  $W_{\tilde{F}}(z) = U_{\tilde{F}} - \tilde{F}_z$ . Then  $\cup_{z \in \tilde{F}} W_{\tilde{F}}(z) = U_{\tilde{F}}$ . For each  $F = \{(x_1, y_1), \dots, (x_n, y_n)\} \in \mathcal{F}[X^2]$ , where  $n = \text{card}(F)$ , let  $W(F) = \cup_{i=1}^n W_{\tilde{F}}(x_i) \times W_{\tilde{F}}(y_i)$ . Let  $\mathcal{B} = \{W(F): F \in \mathcal{F}[X^2]\}$ . It suffices to prove that the collection  $\mathcal{B}$  satisfies the condition (c) of Theorem 2. Suppose that  $F \subset W(H)$  and  $H \subset W(F)$  for some  $F = \{(x_1, y_1), \dots, (x_n, y_n)\}$ ,  $H = \{(z_1, w_1), \dots, (z_m, w_m)\} \in \mathcal{F}[X^2]$ , where  $n = \text{card}(F)$  and  $m = \text{card}(H)$ . Then  $\tilde{F} \subset \cup_{q \in \tilde{H}} W_H(q) (= U_{\tilde{H}})$  and  $\tilde{H} \subset \cup_{p \in \tilde{F}} W_F(p) (= U_{\tilde{F}})$ . By the property of  $\mathcal{U}$ ,  $\tilde{F} \subset \tilde{H}$  or  $\tilde{H} \subset \tilde{F}$ . Without loss of generality, we can suppose that  $\tilde{F} \subset \tilde{H}$ . Since  $F \subset W(H)$ , we can suppose that  $(x_1, y_1) \in W_H(z_1) \times W_H(w_1)$  (if necessary, by reordering the  $(z_j, w_j)$ 's). Suppose that  $x_1 \neq z_1$ . Since  $\tilde{F} \subset \tilde{H}$ ,  $x_1 \in \tilde{H}$ . By the definition of  $W_H(z_1)$ ,  $W_H(z_1) \ni x_1$ . But  $(x_1, y_1) \in W_H(z_1) \times W_H(w_1)$ . This contradiction shows that  $x_1 = z_1$ . Similarly  $y_1 = w_1$ . Hence  $(x_1, y_1) = (z_1, w_1)$ . Similarly we obtain  $(x_i, y_i) = (z_i, w_i)$  for each  $i = 2, \dots, n$  (if necessary, by reordering the  $(z_j, w_j)$ 's,  $j = 2, \dots, m$ ). Hence  $F \subset H$ . Hence  $\mathcal{B}$  satisfies the condition (c) of Theorem 2. Hence  $\mathcal{F}[X^2]$  is hereditarily paracompact.

### 3. Applications.

**THEOREM 4.** *Suppose that  $\mathcal{F}[X]$  and  $\mathcal{F}[Y]$  are paracompact. Then  $\mathcal{F}[X \times Y]$  is paracompact.*

**PROOF.** In the proof of Theorem 3, we in fact prove this theorem. We will give another proof. Let  $Z = X \oplus Y$ . By Proposition 3.1 in [6],  $\mathcal{F}[Z]$  is paracompact. Hence  $\mathcal{F}[Z^2]$  is paracompact by Theorem 3. Since  $X \times Y$  is a subspace of  $Z^2$ ,  $\mathcal{F}[X \times Y]$  is a closed subspace of  $\mathcal{F}[Z^2]$  (see Proposition 1.2 in [6]). Hence  $\mathcal{F}[X \times Y]$  is paracompact.

**REMARK 1.** There exist spaces  $X$  and  $Y$  such that  $\mathcal{F}[X]$  and  $\mathcal{F}[Y]$  are hereditarily paracompact, but  $\mathcal{F}[X \oplus Y]$  and  $\mathcal{F}[X \times Y]$  are not (see [1, Theorem 2.5], [4, Theorem 2.8], and [6, Example 6.6]).

**THEOREM 5.** *The following conditions are equivalent.*

- (a)  $\mathcal{F}[X]$  is paracompact;
- (b)  $\mathcal{F}[X^2]$  is paracompact;
- (c)  $\mathcal{F}[X^m]$  is paracompact for each  $m \in N$ ;
- (d)  $\mathcal{F}[X^m]^n$  is paracompact for each  $m, n \in N$ .

**PROOF.** By Theorem 1, conditions (c) and (d) are equivalent. The implication (c)  $\rightarrow$  (b) is obvious.

(b)  $\rightarrow$  (a). Since  $X^2$  contains a copy of  $X$ , we can consider  $\mathcal{F}[X]$  a closed subspace of  $\mathcal{F}[X^2]$  (see Proposition 1.2 in [6]). Hence this implication follows obviously.

(a)  $\rightarrow$  (c). Suppose that  $\mathcal{F}[X]$  is paracompact. By Theorem 4, it is easily proved by induction that  $\mathcal{F}[X^m]$  is paracompact for each  $m \in N$ .

**REMARK 2.** Let  $D$  be a two point discrete space. Clearly  $\mathcal{F}[D]$  is paracompact. But  $\mathcal{F}[D^\omega]$  is not normal, where  $\omega$  is the first infinite ordinal (see [7]). Therefore we obtain that Theorem 5 cannot be sharpened to assert that  $\mathcal{F}[X^\omega]$  is paracompact.

**THEOREM 6.** *The following conditions are equivalent.*

- (a)  $\mathcal{F}[X]$  is hereditarily paracompact;
- (b)  $\mathcal{F}[X^2]$  is hereditarily paracompact;
- (c)  $\mathcal{F}[X^m]$  is hereditarily paracompact for each  $m \in N$ ;
- (d)  $\mathcal{F}[X^m]^n$  is hereditarily paracompact for each  $m, n \in N$ .

**PROOF.** It suffices to prove the implication (a)  $\rightarrow$  (c). Suppose that  $\mathcal{F}[X]$  is hereditarily paracompact. By Theorem 3,  $\mathcal{F}[X^{2^n}]$  is hereditarily paracompact for each  $n \in N$ . For each  $m \in N$ , there exists an  $n \in N$  such that  $m < 2^n$ . Since  $X^{2^n}$  contains a copy of  $X^m$ , we can consider  $\mathcal{F}[X^m]$  a closed subspace of  $\mathcal{F}[X^{2^n}]$  (see Proposition 1.2 in [6]). Hence  $\mathcal{F}[X^m]$  is hereditarily paracompact. Hence the implication (a)  $\rightarrow$  (c) follows.

By Theorems 4 and 5, we obtain the following.

**THEOREM 7.** *Let  $\{X_i; i = 1, \dots, s\}$  be a finite collection of spaces such that  $\mathcal{F}[X_i]$  is paracompact for each  $i = 1, \dots, s$ . Then  $\mathcal{F}[X_1^{m_1} \times \dots \times X_s^{m_s}]^n$  is paracompact for  $m_1, \dots, m_s, n \in N$ .*

E. K. van Douwen proved that  $\mathcal{F}[X]$  is a Moore space if and only if  $X$  is first countable [2, Proposition 2.5]. It is well known that for a paracompact Hausdorff space  $X$ ,  $X$  is metrizable if and only if  $X$  is a Moore space (see [3, 5.4.1]). Therefore we obtain the following.

**THEOREM 8.** *The following conditions are equivalent.*

- (a)  $\mathcal{F}[X]$  is metrizable;
- (b)  $\mathcal{F}[X^2]$  is metrizable;
- (c)  $\mathcal{F}[X^m]$  is metrizable for each  $m \in N$ ;
- (d)  $\mathcal{F}[X^m]^n$  is metrizable for each  $m, n \in N$ .

**THEOREM 9.** *Let  $\{X_i; i = 1, \dots, s\}$  be a finite collection of spaces such that  $\mathcal{F}[X_i]$  is metrizable for each  $i = 1, \dots, s$ . Then  $\mathcal{F}[X_1^{m_1} \times \dots \times X_s^{m_s}]^n$  is metrizable for  $m_1, \dots, m_s, n \in N$ .*

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