

THE DEPENDENCE OF MASS UPON WIND

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ABSTRACT. As the winding number of unimodular function on \mathbf{R} increases must the mass of the measure from which it arises (via the Fourier transform) increase?

We work on the real numbers, \mathbf{R} , $\|\mu\|_M$ denotes the mass of measure μ on \mathbf{R} , and also we use $\|\hat{\mu}\|_B$ to denote the same $\|\mu\|_M$ where $\hat{\cdot}$ is the standard Fourier transform. We will also use $\|f\|_B$ in the case that $f \neq \hat{\mu}$ for any $\mu \in M(T)$; in this case $\|f\|_B$ denotes $+\infty$. Let f be real valued, continuous and nonlinear. H. Helson, J. P. Kahane [K, p. 87] ask if $\|e^{irf}\|_B$ must exhibit at least a specific growth rate with respect to r . (The strong conjecture is $\|e^{irf}\|_B > K \log r$). Now in addition let f have a limit at $+\infty$. We ask a more specific question. Does there exist a function $\omega(r)$ with limit $+\infty$ at $+\infty$ and a constant K depending only on $\text{Sup } f - \text{Inf } f$ such that $\|e^{irf}\|_B > K\omega(r)$? We motivate this question. Let g be a continuous unimodular function; write $g = e^{if}$ where f is continuous. If g has a finite winding number, then f has limits at $\pm\infty$, say L and M , and the winding number of g is $(L - M)/2\pi$. Thus a yes answer to the question would show $\|g\|_B > \omega$ (winding number of g) where $\omega(r) \rightarrow \infty$ as $r \rightarrow \infty$.

THEOREM. For every $\delta > 0$ there exists a function ω_δ such that $\omega_\delta(r) \rightarrow +\infty$ as $r \rightarrow +\infty$ that satisfies: If f is a real, nonconstant, continuous function on \mathbf{R} that has a limit at $+\infty$. then

$$\|e^{i\alpha_r \cdot r f}\|_B > K\omega_\delta(r)$$

where $\alpha_r \in (1 - \delta, 1]$ and where K depends only on $\text{Sup } f - \text{Inf } f$. Furthermore, there exists a function ω such that $\omega(r) \rightarrow +\infty$ as $r \rightarrow +\infty$, that satisfies: if f is in addition monotone then

$$\|e^{irf}\|_B > K\omega(r)$$

where K depends only on $\text{Sup } f - \text{Inf } f$.

The monotone case of the theorem has been obtained by A. M. Oleviskii, [0].

THEOREM PROOF. We use $\hat{\mu}(t)$ for $\int \hat{t} d\mu$. The proof uses the Cohen-Davenport proposition [G-McG, pp. 8-11] which we now state.

PROPOSITION. Suppose a system of $Q^3 + 1$ functions $\{m_{00}\} \cup \{m_{ks} : k = 1, \dots, Q^2; s = 1, \dots, Q\}$ can be found that satisfy the following with respect to a measure μ : Let $P_0 = \{m_{00}\}$. $P_k = P_{k-1} \cup \{pm_{ks} \overline{m_{kt}} : p \in P_{k-1}, s < t\} \cup \{m_{ks}\}$.

(a) $\|m_{ks}\|_\infty \leq 1$.

(b) $\hat{\mu}(m_{ks}) \geq 1$.

(c) $|\hat{\mu}(pm_{ks} \overline{m_{kt}})| < e^{-Q}$ for $p \in P_{k-1}$ and $s < t$.

Then $\|\mu\|_M > \sqrt{Q}/4$.

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We use these notations: $\gamma_x(y) = e^{ixy}$. For any function g and any set S jump g on $S = \sup_{x,y \in S} |g(x) - g(y)|$. Jump $g = \text{Jump } g$ on \mathbf{R} . Fix $Q \in \mathbf{N}$. The smallness $\epsilon = e^{-Q}/3$ will be used. Choose λ so that $|x_{i+1}/x_i| > \lambda$ for $i = 1, 2, \dots$ insures $\bigcap_{i \geq 2} \{r: \gamma_{x_i}(r) \text{ is within } \epsilon \text{ of } -1; r \in \text{an interval } I \text{ where jump } \gamma_{x_1} \text{ on } I < \epsilon\} \neq \emptyset$. Fix δ and choose λ_0 so that $2\pi/\lambda_0 < \delta$.

The proof idea is this. Provided only that jump f is sufficiently large compared to Q , λ_0 we will select two systems of real numbers $\{x_{00}\} \cup \{x_{ks} : k = 1, \dots, Q^2; s = 1, \dots, Q\}$; $\{y_{00}\} \cup \{y_{ks} : k = 1, \dots, Q^2; s = 1, \dots, Q\}$ that satisfy

- (i) $|f(x_{12}) - f(y_{12})| > \lambda_0$.
- (ii) For $k = 1, 2, \dots, Q^2; s = 2, \dots, Q$

$$|f(x_{ks}) - f(y_{ks})| > \lambda |f(x_{k(s-1)}) - f(y_{k(s-1)})|$$

and for $k = 2, 3, \dots, Q^2$

$$|f(x_{k2}) - f(y_{k2})| > \lambda |f(x_{(k-1)Q}) - f(y_{(k-1)Q})|.$$

(iii) Let $F_0 = \{x_{00}, y_{00}\}$. $F_k = F_{k-1} \cup \{z + x_{ks} - z_{kt} : z_{ks} = x_{ks} \text{ or } y_{ks}, z_{kt} = x_{kt} \text{ or } y_{kt}; s < t, z \in F_{k-1}\} \cup \{x_{ks}\} \cup \{y_{ks}\}$.

$$|f(z + x_{ks} - x_{kt}) - f(z + x_{ks} - y_{kt})| < \epsilon$$

and

$$|f(z + y_{ks} - x_{kt}) - f(z + y_{ks} - y_{kt})| < \epsilon$$

for $z \in F_{k-1}$ and $s < t$.

From the x, y systems we construct the m 's as follows. Select $r (\leq 1)$ within $2\pi/\lambda_0 (< \delta)$ of 1 so that $e^{ir(f(x_{ks}) - f(y_{ks}))}$ is within ϵ of -1 for all k and $s = 2, \dots, Q$. This is possible by (i), (ii). (This r plays the role of α_r in the theorem; ω_δ is determined by the number needed to dilate f so that it has the required jump with respect to λ_0, Q needed to find $\{x_{ks}, y_{ks}\}$.) Then let

$$m_{ks} = \frac{1}{2} (e^{irf(x_{ks})} \gamma_{x_{ks}} + e^{irf(y_{ks})} \gamma_{y_{ks}}).$$

We check that this system satisfies (a), (b), (c) with respect to $\hat{\mu} = e^{irf}$. (a), (b) present no problems. To check (c) note that since p, m_{ks} have A -norm (= sum of the absolute values of their coefficients) ≤ 1 we need only show: $|\hat{\mu}(\gamma_z \gamma_{x_{ks}} \overline{m}_{kt})| < e^{-Q}$ and $|\hat{\mu}(\gamma_z \gamma_{y_{ks}} \overline{m}_{kt})| < e^{-Q}$ for $z \in F_{k-1}$ and $t > s$ (so $t \geq 2$). We do the first.

$$\begin{aligned} \hat{\mu}(\gamma_z \gamma_{x_{ks}} \overline{m}_{kt}) &= \frac{1}{2} (e^{-irf(x_{kt})} \hat{\mu}(z + x_{ks} - x_{kt}) + e^{-irf(y_{kt})} \hat{\mu}(z + x_{ks} - y_{kt})) \\ &= \frac{1}{2} (e^{-irf(x_{kt})} e^{irf(z+x_{ks}-x_{kt})} + e^{-irf(y_{kt})} e^{irf(z+x_{ks}-y_{kt})}). \end{aligned}$$

But $e^{-irf(x_{kt})}$ is within $e^{-Q}/3$ of $-e^{irf(y_{kt})}$ and $r \leq 1$ means $e^{irf(z+x_{ks}-x_{kt})}$ is within $e^{-Q}/3$ of $e^{irf(z+x_{ks}-y_{kt})}$ (by (iii)) and so the summands almost cancel and hence (c).

We are thus left to select the x, y systems. The method is to overload the jumps of f . Choose w so that jump (of f) on $[w, \infty) < \epsilon$. The existence of $\lim_{x \rightarrow \infty} f(x)$ is needed here. Let $x_{00} = y_{00} = w$. Let $x_{11} = y_{11} = x_{00}$. Choose w so that jump $[w, y_{11}] = \lambda_0$. Let $x_{12} > y_{12}$ be jump points. Suppose x_{1s}, y_{1s} for $s < t$ are known with $x_{11} \geq y_{11} \geq \dots \geq x_{1(t-1)} \geq y_{1(t-1)}$. Choose w so that $[w, y_{1(t-1)})$ has jump $\lambda^{(t-1)} \lambda_0$ and let $x_{1t} > y_{1t}$ be jump points. Note that the jump in f , denoted J_1 , needed to form F_1 is at most $\epsilon + \lambda_0 + \lambda_0 \lambda + \dots + \lambda_0 \lambda^{(Q-1)}$.

The rest of the argument is to show that knowledge of J_{k-1} gives knowledge of J_k . Thus the required jump J_{Q^2} can be computed recursively as a function of λ_0, Q .

Suppose F_{k-1} is known. Card F_{k-1} depends only on Q, λ_0 ; we call it L . Let $x_{k1} = y_{k1} = x_{00}$. Let $[w, y_{k1}]$ have jump, $j_2 = \lambda \cdot J_{k-1}(J_{k-1}/\epsilon)^L$. x_{k2}, y_{k2} will be selected from $[w, y_{k1}]$, and hence jump on $x_{k2}, y_{k2} < j_2$. List $F_{k-1} = z_1, \dots, z_L$. Now we overload jumps. From $[w, y_{k1}]$ select a subset S_1 with (Lebesgue) measure of $f(S_1) > J_{k-1}\lambda(J_{k-1}/\epsilon)^{L-1}$ such that jump on $z_1 + x_{k1} - S_1 < \epsilon$; this is possible since $[z_1, +\infty)$ can be partitioned into J_{k-1}/ϵ ϵ -jumps. Select from S_1 a subset S_2 with measure of $f(S_2) > J_{k-1}\lambda(J_{k-1}/\epsilon)^{L-2}$ and jump on $z_2 + x_{k1} - S_2 < \epsilon$. Continuing in this manner we obtain a set S with measure $f(S) > J_{k-1}\lambda$ ($> |f(x_{(k-1)Q}) - f(y_{(k-1)Q})|$) and jump on $z_i + x_{k1} - S (= z_i + y_{k1} - S) < \epsilon$ for all i . Choose $x_{k2} \geq y_{k2}$ points of S that exhibit at least a $J_{k-1}\lambda$ jump. Now suppose $x_{k1} = y_{k1} \geq \dots \geq x_{k(t-1)} \geq y_{k(t-1)}$ are known, and suppose $x_{k(t-1)}, y_{k(t-1)}$ were selected from $[w, y_{k(t-2)}]$ having jump j_{t-1} . Choose w so that $[w, y_{k(t-1)}]$ has jump $j_t = j_{t-1}\lambda(J_{k-1}/\epsilon)^{L-2t}$. From $[w, y_{k(t-1)}]$ we select a set S with measure $f(S) > j_{t-1}\lambda$ and such that jump $z_i + x_{ks} - S < \epsilon$ and jump $z_i + y_{ks} - S < \epsilon$ for all i and $s < t$. Let $x_{kt} \geq y_{kt}$ be points that exhibit at least a $j_{t-1} \cdot \lambda$ jump. Thus, $J_k = \epsilon + j_2 + \dots + j_{Q^2}$ works and the main theorem is proved.

Now if f is in addition monotone the sets S of the previous argument can be chosen to be *intervals* and, hence, we can choose points in S having jump exactly π .

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