

## NONEXISTENCE OF INVARIANT MEASURES

DAVID PROMISLOW

**ABSTRACT.** Let  $G$  be a group acting on a set  $X$ . Suppose that for some positive integer  $r$ ,  $G$  contains a free group  $F$  of rank  $> r$  and the intersection of any stabilizer with  $F$  has rank  $\leq r$ . A graph theoretic approach is used to show that there is no invariant measure on  $X$ .

**1. Introduction.** Let  $G$  be a group acting on a set  $X$ . By an *invariant measure* for this action we will mean a finitely additive, nonnegative measure  $\mu$ , defined on all subsets of  $X$ , such that  $\mu(X) = 1$  and  $\mu(gA) = \mu(A)$ , for all  $g \in G$  and  $A \subseteq X$ . For any  $x \in X$  let  $G_x$  denote the stabilizer subgroup at  $x$ . That is,

$$G_x = \{g \in G: gx = x\}.$$

Consider the question of whether or not an invariant measure exists. If  $G$  is amenable (i.e. an invariant measure exists when  $X = G$  and the action is by multiplication), it is not difficult to show that an invariant measure exists for all actions (see Greenleaf [5]). Our question then is of interest only for nonamenable groups. In particular we consider groups which contain a nonabelian free group. These are always nonamenable, and until recently were the only known examples of such.

In [10, Proposition 3.5] Rosenblatt shows that if an invariant measure exists, and  $G_x$  is amenable for all  $x$ , then  $G$  is amenable. It follows immediately that if  $G$  contains a free group  $F$  of rank greater than 1 and if for all  $x$ ,  $G_x \cap F$  is of rank  $\leq 1$ , therefore abelian, then no invariant measure exists. This same result was obtained independently by Akemann, using quite different methods (see [1, Proposition 4.7]). The purpose of this note is to prove the following generalization of the above result.

**THEOREM.** *Let  $G$  act on  $X$ . Suppose that for some positive integer  $r$ ,  $G$  contains a free group  $F$  with rank  $\geq r + 1$  (possibly infinite) and that  $G_x \cap F$  has rank  $\leq r$  for all  $x \in X$ . Then no invariant measure exists.*

Our techniques seem to differ somewhat from the usual ones used on problems of this type. We use a graph theoretic approach. Before giving the proof we will review briefly some background concepts.

**2. Graph theory concepts.** We will basically follow the terminology of Imrich [7]. See also Berge [2, Chapter 2]. Let  $\Gamma = (V, E)$  be a connected directed graph where  $V$  is the set of vertices and  $E$  the set of edges. To each  $e \in E$  we associate the inverse

---

Received by the editors July 6, 1982.  
1980 *Mathematics Subject Classification.* Primary 43A07.

edge  $e^{-1}$  for which we reverse the initial and terminal vertices of  $e$ . The inverse edges are considered distinct from the set  $E$ . Fix a vertex  $p$ . Let  $C_p$  be the set of all walks (i.e. a finite sequence of adjacent edges or inverse edges) beginning and ending at  $p$ . Under a natural equivalence relation and operation, the equivalence classes of  $C_p$  form a group  $\pi_1(p)$ , called the *fundamental group* of the graph at  $p$ . This turns out to be a free group and its rank  $\nu(\Gamma)$ , independent of  $p$  by connectivity, is called the *cyclomatic number* of the graph  $\Gamma$ .

For another definition of cyclomatic number, consider the map  $T$  from  $C_p$  into the vector space of all real valued functions on  $E$ , defined as follows. For any  $\omega \in C_p$  and  $e \in E$ , let

$$[T(\omega)](e) = (\text{number of occurrences in } \omega \text{ of } e) - (\text{number of occurrences in } \omega \text{ of } e^{-1}).$$

The subspace  $Z$  generated by the image of  $T$ , which is independent of  $p$  by connectivity, is called the *cycle space* of  $\Gamma$  and its dimension is  $\nu(\Gamma)$ . From this formulation it is immediate that for a connected subgraph  $\Gamma' = (V', E')$ ,

$$(1) \quad \nu(\Gamma') \leq \nu(\Gamma)$$

since the cycle space of the subgraph can be identified with a subspace of  $Z$ , namely those functions in  $Z$  which vanish on the edges not in  $E'$ .

Let  $| \cdot |$  denote cardinality. It is well known that when  $V$  and  $E$  are finite

$$(2) \quad \nu(\Gamma) = |E| - |V| + 1.$$

To any action of a group  $G$  on  $X$  and a subset  $S$  of  $G$  we associate a graph  $\Gamma$  as follows. The vertex set is  $X$  and the edge set is  $(S \times X)$ . The edge  $(g, x)$  has initial vertex  $x$  and terminal vertex  $gx$ . (This is known as the *Caley graph* in the case that  $X = G$  and the action is by multiplication.) Let  $F$  be the group generated by  $S$ . Then the orbits for the restriction of the action to  $F$  correspond to the connected components of  $\Gamma$ . Fix a vertex  $p$  and let  $\Gamma_p$  be the component containing  $p$ . The map which assigns  $g$  to the edge  $e = (g, x)$ , and  $g^{-1}$  to its inverse, induces a homomorphism from  $\pi_1(p)$  onto  $G_p \cap F$ . This will be 1-1 precisely when  $S$  forms a free set of generators for  $F$ . So in such a case we have

$$(3) \quad \text{rank}(G_p \cap F) = \nu(\Gamma_p).$$

### 3. Conclusion.

**PROOF OF THE THEOREM.** We first reduce to the case where  $F$  is finitely generated. Suppose that  $A$  is an infinite set of free generators for  $F$ . Choose any finite  $A_0 \subseteq A$  of cardinality  $> r$  and let  $F_0$  be the subgroup generated by  $A_0$ . Using, for example, the Kurosch subgroup theorem [6, Theorem 17.3.1] or [8, p. 117, Exercise 32], we see that for any subgroup  $H$  of  $F$ ,  $H \cap F_0$  is a factor in some free product decomposition of  $H$  and so  $\text{rank}(H \cap F_0) \leq \text{rank}(H)$ . We can therefore replace  $F$  by the finitely generated group  $F_0$ . Accordingly let  $S = \{g_1, g_2, \dots, g_t\}$  be a set of free generators for  $F$  where  $t$  is finite and  $> r$ . We first want to show that for any finite nonempty  $Y \subseteq X$ ,

$$(4) \quad \sum_{i=1}^t |g_i Y \cap Y| \leq (t - 1) |Y|.$$

To do so we form the graph corresponding to  $S$  as indicated above and let  $\Gamma' = (Y, E')$  be the full subgraph on  $Y$  (i.e.,  $E'$  consists of all edges with initial and terminal vertices in  $Y$ ). We can assume that  $\Gamma'$  and  $\Gamma$  are connected, since if (4) holds on each component, it will clearly hold globally. From (1), (2), (3) and our hypothesis on rank,

$$(5) \quad |E'| = \nu(\Gamma') - 1 + |Y| \leq \nu(\Gamma) - 1 + |Y| \leq (r-1) + |Y| \\ \leq (r-1)|Y| + |Y| = r|Y| \leq (t-1)|Y|.$$

This establishes (4), as the number of edges in  $E'$  with  $g_i$  as first coordinate  $= |Y \cap g_i^{-1}Y| = |g_i Y \cap Y|$  which shows that the left-hand side of (4) is just  $|E'|$ .

We now appeal to the well-known Følner condition. See Rosenblatt [9] for a very general treatment. This condition says that an invariant measure exists iff given any finite nonempty set  $S \subseteq G$  and any  $\varepsilon > 0$  there is a finite nonempty  $Y \subseteq X$  such that

$$(6) \quad |gY \cap Y| \geq (1 - \varepsilon)|Y|$$

for all  $g \in S$ .

Given  $S$  as above,  $\varepsilon < t^{-1}$ , and any finite nonempty  $Y$ , (6) cannot hold for all  $g \in S$  as this would contradict (4). Hence, no invariant measure exists.

REMARK. The theorem is obviously false for  $r = 0$  since  $F$  could be of rank 1. An attempt to adapt the proof would break down precisely on the last line of (5), since  $(r-1) < 0$ .

EXAMPLE. In the case that  $F$  has infinite rank the theorem is not necessarily true if we simply require that each  $G_x \cap F$  be of finite rank. The uniform bound is needed. Consider the following example, which appeared in [3].  $G$  is generated by  $\{g_1, g_2, g_3, \dots, h_1, h_2, h_3, \dots\}$  subject to the relations that  $h_i$  and  $h_j$  commute for all  $i, j$  and that  $g_i$  and  $h_j$  commute for  $i \leq j$ . Let  $F$  be the subgroup generated by  $\{g_1, g_2, \dots\}$ , a free group of infinite rank. Let  $X$  consist of all nonidentity elements of  $G$  and let  $G$  act on  $X$  by conjugation. For  $x$  equal to a product of  $h_i$ 's and their inverses, with  $s$  being the minimum index  $i$  which is needed,  $G_x \cap F =$  the group generated by  $\{g_1, g_2, \dots, g_s\}$ . For all other  $x$ ,  $G_x \cap F$  is trivial. There is however an invariant measure. In other words,  $G$  is *inner amenable* in the terminology of [4]. This follows from the results of [3 and 4] but the most direct way to see this is simply to use the Følner condition. For any finite subset  $S$  of  $G$  there is a one point  $S$ -invariant set, namely  $\{h_N\}$  for  $N$  sufficiently large. It does follow from our theorem that the measure of a finite union of conjugacy classes will be zero for any invariant measure on  $X$ .

ACKNOWLEDGEMENT. I would like to thank R. G. Burns for some helpful discussions.

#### REFERENCES

1. C. A. Akemann, *Operator algebras associated with Fuchsian groups*, Houston J. Math. **7** (1981), 295-301.
2. C. Berge, *Graphs and hypergraphs*, North-Holland, Amsterdam, 1973.
3. J. Dixmier and E. C. Lance, *Deux nouveaux facteurs de type II<sub>1</sub>*, Invent. Math. **7** (1969), 226-234.
4. E. G. Effros, *Property  $\Gamma$  and inner amenability*, Proc. Amer. Math. Soc. **47** (1975), 483-486.

5. F. P. Greenleaf, *Invariant means on topological groups and their applications*, Van Nostrand Math. Studies, no. 16, New York, 1969.
6. M. Hall, Jr., *The theory of groups*, Macmillan, New York, 1959.
7. W. Imrich, *Subgroup theorems and graphs*, Combinatorial Mathematics V, Lecture Notes in Math., vol. 622, Springer-Verlag, Berlin and New York, 1977, pp. 1–27.
8. W. Magnus, A. Karrass and D. Solitar, *Combinatorial group theory*, Interscience, New York, 1966.
9. J. M. Rosenblatt, *A generalization of Følner's condition*, Math. Scand. **33** (1973), 153–170.
10. \_\_\_\_\_, *Uniqueness of invariant means*, Trans. Amer. Math. Soc. **265** (1981), 623–636.

DEPARTMENT OF MATHEMATICS, YORK UNIVERSITY, DOWNSVIEW M3J 1P3, ONTARIO, CANADA