

## ON THE AUTOMORPHISM GROUP OF A LINEAR ALGEBRAIC MONOID

MOHAN S. PUTCHA<sup>1</sup>

**ABSTRACT.** Let  $S$  be a connected regular monoid with zero. It is shown that an automorphism of  $S$  is inner if and only if it sends each idempotent of  $S$  to a conjugate idempotent. In the language of semigroup theory, the automorphism group of  $S$  maps homomorphically into the automorphism group of the finite lattice of  $\mathcal{J}$ -classes of  $S$ , and the kernel of this homomorphism is the group of inner automorphisms of  $S$ . In particular, if the  $\mathcal{J}$ -classes of  $S$  are linearly ordered, then every automorphism of  $S$  is inner.

Throughout this paper  $Z^+$  will denote the set of all positive integers and  $K$  an algebraically closed field.  $\mathfrak{M}_n(K)$  denotes the monoid of all  $n \times n$  matrices over  $K$ .  $GL(n, K)$  denotes the group of units of  $\mathfrak{M}_n(K)$ , and  $SL(n, K)$  the group of matrices of determinant 1 in  $\mathfrak{M}_n(K)$ . We will follow the notation and terminology of [2, 4] concerning linear algebraic monoids. Let  $S$  be a connected algebraic monoid with group of units  $G$ . By an *automorphism* of  $S$  is meant a semigroup automorphism  $\sigma$  of  $S$  such that both  $\sigma$  and  $\sigma^{-1}$  are polynomial maps. An automorphism  $\sigma$  of  $S$  is *inner* if there exists  $g \in G$  such that  $\sigma(a) = g^{-1}ag$  for all  $a \in S$ . We let  $\mathfrak{U}(S)$  denote the finite lattice of all regular  $\mathcal{J}$ -classes of  $S$ , and  $E(S)$  the partially ordered set of all idempotents of  $S$ . It follows from the work of the author [4, 5] and Renner [7, 8] that  $S$  is regular if and only if the closure of the radical of  $G$  is a Clifford semigroup. In particular, if  $S$  has a zero then  $S$  is regular if and only if  $G$  is a reductive group.

**THEOREM 1.** *Let  $S$  be a connected regular monoid with zero and  $\sigma$  an automorphism of  $S$ . Then  $\sigma$  is an inner automorphism of  $S$  if and only if  $\sigma(J) = J$  for all  $J \in \mathfrak{U}(S)$  (i.e.  $\sigma(e)$  is a conjugate of  $e$  for all  $e \in E(S)$ ).*

**PROOF.** Suppose  $\sigma(J)$  for all  $J \in \mathfrak{U}(S)$ . We must show that  $\sigma$  is inner. Let  $G$  denote the group of units of  $S$ , and let  $T$  be a maximal torus of  $G$ . Suppose first that  $T = G$ . Then  $\sigma(e) = e$  for all  $e \in E(\bar{T})$ . We prove by induction on  $\dim T$  that  $\sigma$  is the identity map. First suppose that  $\dim T = 1$ . Then by [1, Exercise 4, p. 57] either  $\sigma(t) = t$  for all  $t \in T$ , or else  $\sigma(t) = t^{-1}$  for all  $t \in T$ . In the latter case  $t\sigma(t) = 1$  for all  $t \in T$  and, hence, for all  $t \in \bar{T}$ . Since  $0 \in \bar{T}$ , this is a contradiction. So let  $\dim T > 1$ . Let  $F = \{t \in T \mid \sigma(t) = t\}^c$ . Let  $e \in E(\bar{T})$ ,  $e \neq 0$ . Let

$$T_e = \{a \in T \mid ae = e\}^c.$$

---

Received by the editors September 20, 1982 and, in revised form, November 29, 1982.

1980 *Mathematics Subject Classification*. Primary 20M10; Secondary 20G99.

*Key words and phrases*. Monoid, algebraic, automorphism,  $\mathcal{J}$ -class.

<sup>1</sup>This research was partially supported by NSF Grant MCS8025597.

Since  $\sigma(e) = e$ ,  $\sigma(T_e) = T_e$ . Since  $e$  is the zero of  $\bar{T}_e$ , we see by the induction hypothesis that  $T_e \subseteq F$ . Thus  $e \in \bar{F}$ . So  $E(\bar{T}) \setminus \{0\} \subseteq \bar{F}$ . There exists  $f \in E(\bar{T})$ ,  $f \neq 1, 0$ . So [2, Theorem 1.4] there exists  $h \in E(\bar{T})$ ,  $h \neq 0$ , such that  $fh = 0$ . Since  $f, h \in \bar{F}$ ,  $0 \in \bar{F}$ . So  $E(\bar{T}) = E(\bar{F})$ . By [2, Theorem 1.4],  $\dim T = \dim F$ . Thus  $T = F$  and  $\sigma$  is the identity map. In particular, the automorphism group of  $\bar{T}$  is isomorphic to a subgroup of the group of automorphisms of  $E(\bar{T})$  and, hence, is a finite group.

Let us now consider the general case. Since all maximal tori of  $G$  are conjugate, we can assume without loss of generality that  $\sigma(T) = T$ . Let  $\Lambda \subseteq E(\bar{T})$  be a cross-section lattice of  $S$  (see [6]). In other words, if  $e, f \in \Lambda$ ,  $f \in SeS$ , then  $e \geq f$ , each idempotent of  $S$  is conjugate to an idempotent in  $\Lambda$ , and no two idempotents in  $\Lambda$  are conjugate. So  $\sigma(\Lambda) \subseteq E(\bar{T})$  is also a cross-section lattice of  $S$ . By [6, Theorem 11] there exists  $u \in W$ , the Weyl group of  $G$  relative to  $T$ , such that  $\sigma(\Lambda) = u^{-1}\Lambda u$ . Thus, without loss of generality, we can assume that  $\sigma(\Lambda) = \Lambda$ . Let  $e \in \Lambda$ . Then  $e, \sigma(e) \in \Lambda$ . By hypothesis,  $e \not\sim \sigma(e)$ . Since  $\Lambda$  is a cross-section lattice of  $S$ ,  $e = \sigma(e)$ . So  $\sigma(e) = e$  for all  $e \in \Lambda$ . Let  $F = \{t \in T \mid \sigma(t) = t\}^c$ . Since the automorphism group of  $\bar{T}$  is finite, we see that there exists  $k \in \mathbb{Z}^+$  such that  $\sigma^k(a) = a$  for all  $a \in \bar{T}$ . So by [3, Lemma 1.13],  $\Lambda \subseteq \bar{F}$ . Since  $\Lambda$  contains a maximal chain of  $E(\bar{T})$ , we see by [2, Theorem 1.4] that  $\dim F = \dim T$ , so  $T = F$ . Thus  $\sigma(t) = t$  for all  $t \in T$ . Let  $\Gamma$  be a maximal chain in  $E(\bar{T})$ , and let  $B = \{a \in G \mid ae = eae \text{ for all } e \in \Gamma\}$ . Since  $\sigma(\Gamma) = \Gamma$ , we see that  $\sigma(B) = B$ . Since  $G$  is a reductive group, we see by [4, Theorem 4.5] that  $B$  is a Borel subgroup of  $G$ . Clearly  $T \subseteq B$ . We see by [1, Theorem 27.4(b) and 9, Theorem 11.4.3] that  $\sigma$  is an inner automorphism.

EXAMPLE. Let  $S$  denote the Zariski closure in  $\mathfrak{M}_3(K) \times \mathfrak{M}_3(K)$  of  $\{(\alpha A, \alpha(A^{-1})^T) \mid \alpha \in K, A \in \text{SL}(3, K)\}$ . Then  $S$  is a connected regular monoid with zero,  $\mathfrak{U}(S) = \{G, J, J_1, J_2, 0\}$  with  $G > J > J_i > 0$ ,  $i = 1, 2$ . Let  $e, e_1, e_2$ , denote diagonal matrices with the respective diagonals being  $((1, 0, 0), (0, 0, 1)), ((1, 0, 0), (0, 0, 0)), ((0, 0, 0), (0, 0, 1))$ . Then  $e \in J$ ,  $e_1 \in J_1$ ,  $e_2 \in J_2$  and  $\{1, e, e_1, e_2, 0\}$  is a cross-section lattice of  $S$ . Let  $\sigma: S \rightarrow S$  be given by  $\sigma(A, B) = (B, A)$ . Then  $\sigma$  is an automorphism of  $S$  which is not inner. Note that  $\sigma$  induces a nontrivial automorphism of  $\mathfrak{U}(S)$ :  $\sigma(G) = G$ ,  $\sigma(J) = J$ ,  $\sigma(0) = 0$ ,  $\sigma(J_1) = J_2$ ,  $\sigma(J_2) = J_1$ .

Theorem 1 can be restated as follows.

**THEOREM 2.** *Let  $S$  be a connected regular monoid with zero. Then the automorphism group of  $S$  maps homomorphically into the automorphism group of  $\mathfrak{U}(S)$ . The kernel of this homomorphism is the group of inner automorphisms of  $S$ .*

**COROLLARY.** *Let  $S$  be a connected regular monoid with zero such that the  $\mathfrak{J}$ -classes of  $S$  are linearly ordered. Then every automorphism of  $S$  is inner.*

**REMARK.** The above corollary applies to the multiplicative monoid  $\mathfrak{M}_n(K)$ . Note that the map  $A \rightarrow (A^{-1})^T$  is an automorphism of  $\text{GL}(n, K)$  which is not inner.

REFERENCES

1. J. E. Humphreys, *Linear algebraic groups*, Springer-Verlag, Berlin and New York, 1981.
2. M. S. Putcha, *Linear algebraic semigroups*, Semigroup Forum **22** (1981), 287–309.
3. \_\_\_\_\_, *Connected algebraic monoids*, Trans. Amer. Math. Soc. **272** (1982), 693–709.

4. \_\_\_\_\_, *A semigroup approach to linear algebraic groups*, J. Algebra (to appear).
5. \_\_\_\_\_, *Reductive groups and regular semigroups*, J. Algebra (submitted).
6. \_\_\_\_\_, *Idempotent cross-sections of  $\mathcal{J}$ -classes*, Semigroup Forum (to appear).
7. L. Renner, *Algebraic monoids*, Ph. D. Thesis, Univ. of British Columbia, 1982.
8. \_\_\_\_\_, *Reductive monoids are von-Neumann regular* (to appear).
9. T. A. Springer, *Linear algebraic groups*, Birkhäuser, Basel, 1981.

DEPARTMENT OF MATHEMATICS, NORTH CAROLINA STATE UNIVERSITY, RALEIGH, NORTH CAROLINA  
27650