

ON THE RATIONALITY OF THE VARIETY  
OF SMOOTH RATIONAL SPACE CURVES  
WITH FIXED DEGREE AND NORMAL BUNDLE

EDOARDO BALLICO<sup>1</sup>

ABSTRACT. Let  $\tilde{S}_{n,a}$  be the variety of smooth, rational curves of degree  $n$  in  $\mathbf{P}_3$  whose normal bundle has a factor of degree  $2n - 1 + a$  and a factor of degree  $2n - 1 - a$ . In this paper we prove that  $\tilde{S}_{n,a}$  is rational if  $n - a$  is even and  $a > 0$ .

We work over  $\mathbf{C}$ . Let  $\tilde{S}_{n,a} \subset \text{Hilb } \mathbf{P}_3$  be the set of smooth, rational curves in  $\mathbf{P}_3$  of degree  $n$  whose normal bundle splits with a summand of degree  $2n - 1 - a$  and another of degree  $2n - 1 + a$ . Eisenbud and Van de Ven [1, 2] proved that for  $0 \leq a \leq n - 4$ ,  $\tilde{S}_{n,a}$  is not empty, irreducible and of dimension  $4n - 2a + 1$  (if  $a > 0$ ). Let  $S_{n,a}$  be the set of embeddings  $f: \mathbf{P}_1 \rightarrow \mathbf{P}_3$  with  $f(\mathbf{P}_1) \in \tilde{S}_{n,a}$ . They proved in [2] that  $S_{n,a}$  is irreducible, rational and, if  $a > 0$ , of dimension  $4n - 2a + 4$ .  $\text{PGL}(2) = \text{Aut}(\mathbf{P}_1)$  acts naturally on  $S_{n,a}$  without fixed points.  $\tilde{S}_{n,a}$  is the quotient of  $S_{n,a}$  by this action and the natural map  $S_{n,a} \rightarrow \tilde{S}_{n,a}$  makes  $S_{n,a}$  a principal locally isotrivial bundle over  $\tilde{S}_{n,a}$  with structural group  $\text{PGL}(2)$  (see Serre [6] for this notion).

In the introduction to [2] Eisenbud and Van de Ven raised the question of the rationality of  $\tilde{S}_{n,a}$ . Here we prove the following

**THEOREM.** *If  $a > 0$  and  $n - a$  is even, then  $\tilde{S}_{n,a}$  is rational.*

The proof of this theorem uses only the construction in [2, §5], elementary properties of conic bundles (or  $\mathbf{P}_1$ -bundles) with smooth fibers and smooth base, and the definition of stably rational varieties due to Kollar and Schreyer [4]. An irreducible variety  $V$  is said to be stably rational of level  $k$  if  $V \times \mathbf{P}_k$  is rational. For the elementary properties of conic bundles we need to see Serre [6]; we also found useful [3, 5].

We write  $\tilde{S}_n$  for the variety of smooth, rational curves of degree  $n$  in  $\mathbf{P}_3$  and  $S_n$  for the set of embeddings of degree  $n$  of  $\mathbf{P}_1$  into  $\mathbf{P}_3$ .  $S_n$  is rational and  $S_n \rightarrow \tilde{S}_n$  is a principal locally isotrivial bundle with structure group  $\text{PGL}(2)$ . Since  $S_n$  (resp.  $S_{n,a}$ ) is rational, if the natural map  $p: S_n \rightarrow \tilde{S}_n$  (resp.  $S_{n,a} \rightarrow \tilde{S}_{n,a}$ ) has a rational section,

---

Received by the editors September 6, 1983 and, in revised form, October 13, 1983.

1980 *Mathematics Subject Classification*. Primary 14M20; Secondary 14D20, 14F05, 14H45.

*Key words and phrases*. Rational curve, projective space, rational variety, normal bundle, degree, irreducible variety, principal bundle, projective group.

<sup>1</sup> Supported in part by CNR (Italy) at Brandeis University.

then  $\tilde{S}_n$  (resp.  $\tilde{S}_{n,a}$ ) is stably rational of level 3. The rationality of  $S_{n,a}$  was proved in [2, p. 97].

LEMMA 1. Assume  $n$  odd. Then for every  $x \in \tilde{S}_n$ , there exists a rational section of  $p$  defined at  $x$ .

PROOF. Since  $\tilde{S}_n$  is contained in  $\text{Hilb } \mathbf{P}_3$ , we have a universal curve  $C \rightarrow \tilde{S}_n$  with an inclusion  $i: C \rightarrow \tilde{S}_n \times \mathbf{P}_3$  over  $\tilde{S}_n$ .  $C$  is a conic bundle with a smooth base. Since  $n$  is odd, this conic bundle is locally trivial in the Zariski topology [2]. Thus there is a neighborhood  $U$  of  $x$  and an  $U$ -isomorphism  $h: U \times \mathbf{P}_1 \rightarrow C$ . The map  $i \circ h$  gives the section of  $p$  defined on  $U$ .  $\square$

We write  $R_n$  for the set of maps of degree  $n$  of  $\mathbf{P}_1$  into  $\mathbf{P}_3$ . Again  $\text{PGL}(2)$  acts on  $R_n$  and we write  $\tilde{R}_n$  for its quotient. Since we are interested only at birational geometry, there is no problem here; we can substitute  $R_n$  with  $S_n$  if we want. In [2] a key point was the map  $G: S_{n,a} \rightarrow R_{n-a-1}$  ( $a > 0$ ) constructed in the following way. Fix  $f \in S_{n,a}$ .

$$N_f := f^*(N_{f(\mathbf{P}_1)/\mathbf{P}_3}) \cong \mathcal{O}_{\mathbf{P}_1}(2n - 1 - a) \oplus \mathcal{O}_{\mathbf{P}_1}(2n - 1 + a)$$

is a quotient of  $f^*(T\mathbf{P}_3)$ . Thus the subline bundle  $\mathcal{O}_{\mathbf{P}_1}(2n - 1 + a)$  defines a rank-2 subbundle  $V_f$  of  $f^*(T\mathbf{P}_3)$ . The map  $G(f): \mathbf{P}_1 \rightarrow \mathbf{P}_3$  is constructed by taking for  $G(f)(t)$  the plane in  $\mathbf{P}_3$  which is determined by  $V_{f,t} \subset T\mathbf{P}_{3,f(t)}$ . Note that the map  $G$  descends to a map  $\tilde{G}: \tilde{S}_{n,a} \rightarrow \tilde{R}_{n-a-1}$  such that, for  $0 < a \leq n - 4$  we have the following commutative diagram:

$$\begin{array}{ccc} S_{n,a} & \xrightarrow{G} & R_{n-a-1} \\ \downarrow q & & \downarrow g \\ \tilde{S}_{n,a} & \xrightarrow{\tilde{G}} & \tilde{R}_{n-a-1} \end{array} \quad 0 < a \leq n - 4.$$

Eisenbud and Van de Ven [2, p. 97] proved that  $G$  is birationally the projection of a product with fiber rational of dimension  $2a + 5$ . If  $n - a$  is even, by Lemma 1  $g$  has a rational section. Thus  $\tilde{R}_{n-a-1}$  is stably rational of level 3,  $\tilde{G}$  is birationally a product with fiber  $\mathbf{P}_{2a+5}$  and  $\tilde{S}_{n,a}$  is rational. This concludes the proof of Theorem 1.

If  $n - a$  is odd,  $a > 0$ , we do not know very much. A trick easily gives the following

PROPOSITION 1. Assume  $a > 0$ . Then  $\tilde{S}_{n,a}$  is covered by rational subvarieties of codimension 2.

PROOF. Fix a point  $O \in \mathbf{P}_1$  and a point  $P \in \mathbf{P}_3$ . Let  $A_n$  be the set of embeddings  $f$  of  $\mathbf{P}_1$  into  $\mathbf{P}_3$  with  $f(O) = P$  and  $\text{deg}(f(\mathbf{P}_1)) = n$ .  $A_n$  is rational. The affine group of projective transformations of  $\mathbf{P}_1$  fixing  $O$  acts on  $A_n$  and let  $\tilde{A}_n \subset \text{Hilb } \mathbf{P}_3$  be the quotient.  $A_n$  is the subset of  $S_n$  formed by curves through  $P$ . The map  $A_n \rightarrow \tilde{A}_n$  has always a rational section. This follows from the speciality of the affine group [3, Lemme 2.3]. Alternatively the restriction to  $\tilde{A}_n$  of the conic bundle of Lemma 1 comes from a vector bundle since the point  $P$  defines a line bundle on  $p^{-1}(\tilde{A}_n)$  with degree one on every fiber.

Thus  $\tilde{A}_{n-a-1}$  is stably rational of level 2 and  $\tilde{G}|_{\tilde{G}^{-1}(\tilde{A}_{n-a-1})}$  has a rational section. Thus  $\tilde{G}^{-1}(\tilde{A}_{n-a-1})$  is a rational subvariety of codimension 2 of  $\tilde{S}_{n,a}$ .  $\square$

For  $a = 0$  the same method gives only that  $\tilde{S}_n$  is covered by codimension 2 subvarieties which are stably rational of level 2.

#### REFERENCES

1. D. Eisenbud and A. Van de Ven, *On the normal bundles of rational space curves*, Math. Ann. **256** (1981), 453–463.
2. \_\_\_\_\_, *On the variety of smooth rational space curves with given degree and normal bundle*, Invent. Math. **67** (1982), 89–100.
3. A. Hirschowitz and M. S. Narasimhan, *Fibres de't Hooft et application*, Enumerative Geometry and Classical Algebraic Geometry, Progress in Math., vol. 24, Birkhäuser, Basel, 1982.
4. J. Kollar and F. O. Schreyer, *The moduli of curves is stably rational for  $g \leq 6$*  (preprint).
5. P. S. Newstead, *Comparison theorems for conic bundles*, Math. Proc. Cambridge Philos. Soc. **90** (1981), 21–31.
6. J. P. Serre, *Espaces fibres algébriques*, Séminaire C. Chevalley 1958 Anneaux de Chow et Applications, Exp. 1, Secrétariat mathématique, Paris, 1958.

DEPARTMENT OF MATHEMATICS, SCUOLA NORMALE SUPERIORE, 56100 PISA, ITALY