

A GENERALIZED JACOBIAN CRITERION FOR REGULARITY

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ABSTRACT. For a commutative noetherian algebra B over a perfect field A regularity is equivalent to the flatness of $\Omega_{B|A}$ plus $H_1(A, B, B) = 0$ (simplicial homology). In characteristic 0 the homological condition is superfluous.

1. Introduction. All rings to be considered will be commutative and unitary. The classical Jacobian criterion (in terms of Kähler differentials) reads like this: Let A be a perfect field, (B, \mathfrak{m}, K) a local A -algebra of finitely generated type (obtained by localizing an A -algebra of finite type) such that $K = B/\mathfrak{m} = A$ and let $\Omega_{B|A}$ be the B -module of A -differentials for B . Then B is regular if and only if $\Omega_{B|A}$ is free (of rank equal to the dimension of B).

I want to generalize this differential characterization of regularity in the following way.

THEOREM. *Let A be a perfect field, B a noetherian A -algebra. Then B is regular (all localizations $B_{\mathfrak{m}}$ of B at maximal ideals \mathfrak{m} are regular local rings) if and only if the following two conditions hold:*

- (1) $\Omega_{B|A}$ is B -flat.
- (2) For every infinitesimal A -extension

$$E = 0 \rightarrow M \rightarrow C \rightarrow B \rightarrow 0 \text{ of } B,$$

the associated derived module sequence

$$\text{diff}(E) = 0 \rightarrow M \rightarrow \Omega_{C|A} \otimes_C B \rightarrow \Omega_{B|A} \rightarrow 0$$

is exact.

COROLLARY. *If $\text{char } A = 0$, we have*

B is regular if and only if $\Omega_{B|A}$ is B -flat.

I do not know whether condition (2) is really relevant in positive characteristic (A perfect, of course).

2. Proof of the theorem. Recall for arbitrary $A \rightarrow B$ the two sequences $(H_n(A, B, -))_{n \geq 0}$ and $(H^n(A, B, -))_{n \geq 0}$ of simplicial homology and cohomology functors as defined and discussed in [1].

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LEMMA. For arbitrary $A \rightarrow B$ the following three conditions are equivalent:

- (a) $\text{Ext}_B^1(\Omega_{B|A}, -) = H^1(A, B, -)$.
- (b) $H_1(A, B, B) = 0$.
- (c) $H_1(A, B, -) = \text{Tor}_1^B(\Omega_{B|A}, -)$.

Condition (a) needs some comment:

For every B -module M , $\text{Ext}_B^1(\Omega_{B|A}, M)$ classifies the singular 1-extensions of $\Omega_{B|A}$ by M , whereas $H^1(A, B, M)$ classifies the infinitesimal A -extensions of B by M . There is a B -monomorphism $\text{Ext}_B^1(\Omega_{B|A}, M) \rightarrow H^1(A, B, M)$, functorial in M , whose image consists of the classes of those A -extensions $E = 0 \rightarrow M \rightarrow C \rightarrow B \rightarrow 0$, which have an exact derived module sequence

$$\text{diff}(E) = 0 \rightarrow M \rightarrow \Omega_{C|A} \otimes_C B \rightarrow \Omega_{B|A} \rightarrow 0$$

(see [3, pp. 158–161]). Thus (a) is only another formulation of condition (2) of the theorem.

PROOF OF THE LEMMA. (a) implies (b). We have $H^1(A, B, W) = 0$ for every injective B -module W . But for injective B -modules

$$H^1(A, B, W) = \text{Hom}_B(H_1(A, B, B), W)$$

by [1, 3.21, p. 42]. Now take W the injective hull of $H_1(A, B, B)$.

(b) implies (c). This follows from [1, 3.19, p. 41].

(c) implies (a). We have immediately $H_1(A, B, B) = 0$, and thus $H^1(A, B, W) = 0$ whenever W is B -injective (see (a) \Rightarrow (b)). But by [2], $H^1(A, B, -)$ is part of an exact connected sequence of cohomology functors, say $(D^n(B|A, -))_{n \geq 0}$, where $D^0(B|A, -) = \text{Hom}_B(\Omega_{B|A}, -)$, $D^1(B|A, -) = H^1(A, B, -)$ and $D^n(B|A, -) = \text{Ext}_B^{n-1}(K, -)$, $n \geq 2$, for some B -module K . The vanishing on injective coefficients in positive degree yields, in particular, $H^1(A, B, -) = \text{Ext}_B^1(\Omega_{B|A}, -)$.

This finishes the proof of the lemma.

Now the theorem follows easily:

By the lemma (condition (b)) we may assume B to be local. Since A is perfect, the regularity of B is equivalent to the formal smoothness of B over A . But by [1, Theorem 30, p. 331] this in turn is equivalent to $H_1(A, B, -) = 0$.

The lemma now immediately yields the assertion of the theorem.

As to the corollary, we may rest in the local case. The flatness of $\Omega_{B|A}$ gives the $B|\mathfrak{m}^k$ -projectivity of $\Omega_{B|A} \otimes_B B/\mathfrak{m}^k$ for every $k \geq 1$ ($\mathfrak{m}/\mathfrak{m}^k$ is nilpotent, \mathfrak{m} the maximal ideal of B , of course).

A theorem of Radu's (cf. [1, 7.31, p. 103]) guarantees the regularity of B .

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