

## A NOTE ON A THEOREM OF PERRON

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**ABSTRACT.** Given an infinite simple continued fraction  $[a_0, a_1, \dots, a_n, \dots]$ , let  $M_n$  denote  $[0, a_n, a_{n-1}, \dots, a_1] + [a_{n+1}, a_{n+2}, \dots]$ . A well-known result due to Perron [1, III, 212] states: If  $a_{n+2} = m$ , then there is a  $k$  in  $\{n, n+1, n+2\}$  for which  $M_k > \sqrt{m^2 + 4}$ . In this note we give a new proof for this result and add that there is a  $j$  in  $\{n, n+1, n+2\}$  for which  $M_j < \sqrt{m^2 + 4}$ .

**1. Introduction.** Let  $x$  be an irrational number whose simple continued fraction expansion is  $[a_0, a_1, a_2, \dots]$ . It is well known [1, Chapter III, 1(6)] that  $|x - p_n/q_n| = 1/M_n q_n^2$ , where  $p_n/q_n = [a_0, a_1, \dots, a_n]$  and  $M_n = [0, a_n, a_{n-1}, \dots, a_1] + [a_{n+1}, a_{n+2}, \dots]$ . The following result, essentially due to Perron [1, III, 2.12], has received much attention:

(\*) If  $a_{n+2} = m$ , then  $M_k > \sqrt{m^2 + 4}$  for at least one value of  $k$  in  $\{n, n+1, n+2\}$ .

This note displays a simple strategy which leads to this result and also unfolds another interesting fact. We prove the following

**THEOREM.** *If  $a_{n+2} = m$ , then there is a  $k$  in  $\{n, n+1, n+2\}$  for which  $M_k > \sqrt{m^2 + 4}$ , and there is a  $j$  in  $\{n, n+1, n+2\}$  for which  $M_j < \sqrt{m^2 + 4}$ .*

**2. Proof of the Theorem.** Set  $a_{n+2} = m$ ,  $u = \sqrt{m^2 + 4}$ ,  $s = [0, a_{n+3}, a_{n+4}, \dots]$ , and  $t = [a_{n+1}, a_n, \dots, a_1]$ . Now  $M_n = t + 1/(m + s)$ ,  $M_{n+1} = 1/t + m + s$  and  $M_{n+2} = t/(mt + 1) + 1/s$ . Again put  $h_1(t) = 1/(u - t) - m$ ,  $h_2(t) = u - m - 1/t$  and  $h_3(t) = (mt + 1)/((um - 1)t + u)$ . Also

$$h_2(t) - h_1(t) = -\frac{u}{t(u-t)} \left( t - \frac{u+m}{2} \right) \left( t - \frac{u-m}{2} \right)$$

and

$$h_3(t) - h_2(t) = -\frac{u(mu - m^2 - 1)}{t(mt - t + u)} \left( t - \frac{u+m}{2} \right) \left( t + \frac{3m+u}{2(2m^2 - 1)} \right).$$

Note that  $(u - m)/2 < 1 \leq t$  and  $u \neq t$  because  $t$  is rational and  $u$  is not. The following cases appear:

*Case 1.*  $u > t$  and  $t < (u + m)/2$ . In this case

$$\text{Sign}\{h_2(t) - h_1(t)\} = (-) \cdot (-) \cdot (+) = (+),$$

$$\text{Sign}\{h_3(t) - h_2(t)\} = (-) \cdot (-) \cdot (+) = (+).$$

So  $h_1(t) < h_2(t) < h_3(t)$ . Thus if  $s \leq h_1(t)$ , then we have  $s < h_2(t)$  and  $s < h_3(t)$ , which are equivalent to  $M_{n+1} < u$  and  $M_{n+2} > u$ , respectively. Or if  $h_1(t) < s \leq$

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$h_2(t)$ , then we have  $h_1(t) < s$  and  $s < h_3(t)$ , which are equivalent to  $M_n < u$  and  $M_{n+2} > u$ , respectively. Or if  $h_2(t) < s$ , then we have  $h_1(t) < s$  and  $h_2(t) < s$ , which are equivalent to  $M_n < u$  and  $M_{n+1} > u$ , respectively.

*Case 2.*  $u > t$  and  $(u + m)/2 < t$ . In this case we get  $h_3(t) < h_2(t) < h_1(t)$  and argue as above.

*Case 3.*  $u < t$ . In this case  $h_1(t) < 0 < s$  or  $M_n > u$ . Also  $(u + m)/2 < u < t$ . Therefore  $\text{Sign}\{h_3(t) - h_2(t)\} = (-)$ . So if  $s \leq h_3(t)$ , we get  $s < h_2(t)$  or  $M_{n+1} < u$ . Otherwise we have  $h_3(t) < s$  or  $M_{n+2} < u$ .

This completes the proof of the theorem.

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