

SPECTRA OF SOME DOMAINS IN COMPACT LIE GROUPS AND THEIR APPLICATIONS¹

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ABSTRACT. In this paper, we determine explicitly the spectra of the Dirichlet problems of some domains in simply connected compact simple Lie groups. As their applications, combining results of Hoffman [6] and Mori [10], we can state some stability conditions of these domains for the standard minimal isometric immersions into unit spheres.

1. Introduction and results. Let M be a simply connected compact simple Lie group and let T be its maximal torus. We give a bi-invariant Riemannian metric g on M from the Killing form B of the Lie algebra \mathfrak{m} of M by

$$g_m(X_m, Y_m) = -B(X, Y), \quad X, Y \in \mathfrak{m}, m \in M,$$

where X_m, Y_m are tangent vectors of M at m corresponding to X, Y . Let $d(x, y)$ be the distance of (M, g) between two points x, y in M . Then it is known (cf. Crittenden [4] and Sakai [13]) that the cut locus C of the identity e in M satisfies

$$C = \bigcup_{x \in M} xC(T)x^{-1},$$

where $C(T)$ is the cut locus of e in the flat torus T induced from the Riemannian metric g . For a positive number ε with $0 < \varepsilon < d(e, C)$, consider a domain $\Omega(\varepsilon)$ containing the cut locus C in M defined by

$$\Omega(\varepsilon) = \bigcup_{x \in M} x\Omega(\varepsilon, T)x^{-1}, \quad \Omega(\varepsilon, T) = \{t \in T; d(t, C(T)) < \varepsilon\}.$$

These domains $\Omega(\varepsilon)$, which are invariant under all the inner automorphisms of M , shrink to the cut locus C as $\varepsilon \rightarrow 0$.

Now let Δ be the Laplace-Beltrami operator of (M, g) acting on the space $C^\infty(M)$ of smooth functions on M , and for every ε with $0 < \varepsilon < d(e, C)$, let us consider the following Dirichlet problem for the above domains:

$$(\#)_\varepsilon \quad \begin{cases} \Delta u + \lambda u = 0 & \text{on } M \setminus \overline{\Omega(\varepsilon)}, \\ u = 0 & \text{on } \Omega(\varepsilon). \end{cases}$$

For a solution u of the Dirichlet problem $(\#)_\varepsilon$, define a function u^0 on M by

$$u^0(x) = \int_M u(yxy^{-1}) dy,$$

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where dy is the Haar measure on M normalized by $\int_M dy = 1$. Then, if u^0 does not vanish identically, u^0 is also a solution of $(\#)_\varepsilon$ which is a *zonal spherical function* of M , i.e., invariant under all the inner automorphisms of M .

In this paper, we determine the spectra of the Dirichlet problem $(\#)_\varepsilon$, which have zonal spherical eigenfunctions as follows

THEOREM 1. *Let M be a simply connected compact simple Lie group and let Δ be the Laplace-Beltrami operator of the Riemannian metric g of M induced from the negative of the Killing form B of the Lie algebra \mathfrak{m} of M . Then for every ε with $0 < \varepsilon < d(e, C)$, the eigenvalues of the Dirichlet problem $(\#)_\varepsilon$ which have zonal spherical eigenfunctions are given by*

$$(1) \quad \left\{ \frac{d(e, C)}{d(e, C) - \varepsilon} \right\}^2 |\Lambda + \delta|^2 - |\delta|^2, \quad \Lambda \in \mathbf{D},$$

and the corresponding zonal spherical eigenfunctions $u_{\Lambda, \varepsilon}$ are described explicitly by

$$(2) \quad u_{\Lambda, \varepsilon}(\exp H) = \begin{cases} \xi_{\Lambda + \delta} \left(\exp \left(\frac{d(e, C)}{d(e, C) - \varepsilon} H \right) \right) / \xi_\delta(\exp H), & \exp H \in T \setminus \Omega(\varepsilon, T), \\ 0, & \exp H \in \Omega(\varepsilon, T). \end{cases}$$

Here \mathbf{D} is the set of all dominant integral forms on the Lie algebra \mathfrak{t} of T , δ is half the sum of all positive roots, $|\cdot|$ is the inner product of the dual space \mathfrak{t}^* of \mathfrak{t} induced from the negative of the Killing form, and ξ_λ , $\lambda \in \mathbf{D}$, are the alternating characters of T (cf. §2).

Theorem 1 implies immediately

COROLLARY 1. *Under the assumptions of Theorem 1, the first eigenvalue $\lambda_1(\varepsilon)$ of the Dirichlet problem $(\#)_\varepsilon$, $0 < \varepsilon < d(e, C)$, is given by*

$$\left\{ \frac{d(e, C)}{d(e, C) - \varepsilon} \right\}^2 |\delta|^2 - |\delta|^2 = \left\{ \frac{d(e, C)}{d(e, C) - \varepsilon} \right\}^2 \frac{d}{24} - \frac{d}{24},$$

where $d = \dim M$ (cf. [15, p. 291]). The corresponding eigenfunction with the eigenvalue $\lambda_1(\varepsilon)$ is $u_{0, \varepsilon}$.

REMARK. In the case $S^3 = \text{SU}(2)$, the same formula as Theorem 1 was obtained in [3, p. 201]. Chavel and Feldman [3] also investigated the behavior of the eigenvalues $\lambda_i(\varepsilon)$ of the Dirichlet problems of the domains $X \setminus \overline{\Omega(\varepsilon)}$, where $\Omega(\varepsilon) = \{x \in X; d(x, Y) < \varepsilon\}$ for every compact Riemannian manifold X and a closed submanifold Y of X with $\text{codim} \geq 2$. A more precise behavior of the first eigenvalue was obtained in Ozawa [12] and Matsuzawa and Tanno [9].

As a geometric application of Corollary 1, we can state some stability conditions of those domains $M \setminus \overline{\Omega(\varepsilon)}$ in M for the standard minimal isometric immersions x_k of M into the unit sphere as follows.

Let $\{0 = \lambda_0 < \lambda_1 < \lambda_2 < \cdots < \lambda_k < \cdots\}$ be the set of all mutually distinct eigenvalues of the negative of the Laplace-Beltrami operator Δ acting on $C^\infty(M)$.

Let V^k , $k = 1, 2, \dots$, be the eigenspace with the eigenvalue λ_k , and put $m(k) + 1 = \dim V^k$. We choose an orthonormal basis $\{f_j\}_{j=0}^{m(k)}$ of V^k consisting of real valued functions with respect to the inner product $(\varphi, \psi) = \int_M \varphi(x)\psi(x) d\mu(x)$, where $d\mu(x)$ is the Haar measure of M normalized by $\int_M d\mu(x) = m(k) + 1$. Consider the mapping x_k of M into the Euclidean space $\mathbf{R}^{m(k)+1}$ defined by

$$x_k(p) = (f_0(p), f_1(p), \dots, f_{m(k)}(p)), \quad p \in M.$$

Then it turns out that the image of x_k is contained in the unit sphere $S^{m(k)}$, moreover the mapping x_k is a minimal isometric immersion of $(M, \lambda_k g/d)$, $d = \dim M$, into the unit sphere $S^{m(k)}$ with the standard Riemannian metric of constant curvature 1 (cf. [8]) since M is a simple Lie group.

For a piecewise smooth domain D in M , we call D stable for the minimal immersion x_k if, for all normal variations D_t which fix the boundary ∂D , the function $V(t) = \text{Volume } D_t$ satisfies $V''(0) > 0$. Combining Corollary 1 with results of Hoffman [6] and Mori [10] we have

COROLLARY 2. *Under the situations of Theorem 1, if a positive number ε satisfies*

$$d(e, C) > \varepsilon > d(e, C) - d(e, C) \left\{ \frac{24\lambda_k}{d} (\|A\|^2 + d) + 1 \right\}^{-1/2},$$

then D is stable for the minimal isometric immersion x_k for every $D \subset M \setminus \overline{\Omega(\varepsilon)}$. Here $\|A\|^2$ is the square of the length of the second fundamental form of the immersion x_k .

REMARK. In the case of $M = \text{Sp}(n)$ and $k = 1$, it is then known (cf. Nagura [11], Kobayashi and Takeuchi [7]) that $d = n(2n + 1)$,

$$\|A\|^2 = n(n-1)(n+1)(2n+1), \quad \text{and} \quad \lambda_1 = (2n+1)/(4n+4).$$

Therefore for every $D \subset M \setminus \overline{\Omega(\varepsilon)}$, D is stable for the immersion x_1 if $d(e, C) > \varepsilon > d(e, C) \{1 - \sqrt{(n+1)/(7n+1)}\}$, in particular, if $d(e, C) > \varepsilon > 0.623d(e, C)$.

2. Preliminaries. Since we will use the precise formula of the radial part (cf. [2]) of the Laplace-Beltrami operator and the structure of the cut loci C and $C(T)$ (cf. [13]) in the proof of Theorem 1, we have to prepare some notation.

2.1. Let M be a simply connected compact simple Lie group, and let T be a maximal torus in M . Let \mathfrak{m} (resp. \mathfrak{t}) be the Lie algebra of M (resp. T). Since the Killing form B is negative definite on \mathfrak{m} , we define an $\text{Ad}(M)$ -invariant positive definite inner product $(,)$ on \mathfrak{m} by $(X, Y) = -B(X, Y)$, $X, Y \in \mathfrak{m}$, which induces a bi-invariant Riemannian metric g on M as in the introduction. Let Σ be the root system of the complexification $\mathfrak{m}^{\mathbb{C}}$ of \mathfrak{m} with respect to \mathfrak{t} , i.e., the set of nonzero elements α of the dual space \mathfrak{t}^* of \mathfrak{t} such that $\{E \in \mathfrak{m}^{\mathbb{C}}; [H, E] = \sqrt{-1}\alpha(H)E \text{ for all } H \in \mathfrak{t}\}$ is not zero. We give a lexicographic order $>$ on Σ and let Σ_+ be the set of all positive roots. Let α^0 be the highest root of Σ_+ with respect to the order $>$. Put $\mathfrak{t}^+ = \{H \in \mathfrak{t}; \alpha(H) \geq 0 \text{ for all } \alpha \in \Sigma_+\}$. Then the cut locus C of the identity e in (M, g) is given (cf. Sakai [13]) by

$$(2.1) \quad C = \bigcup_{x \in M} xC(T)x^{-1}.$$

Here $C(T)$ is the cut locus of the flat torus T induced from the Riemannian metric g which is given (cf. Takeuchi [14] and Sakai [13]) by

$$(2.2) \quad C(T) = \exp \tilde{C}(t),$$

$$(2.3) \quad \tilde{C}(t) = \bigcup_{s \in W} s \{ H \in \overline{t^+}; \alpha^0(H) = 2\pi \},$$

where W is the Weyl group of M .

Put

$$(2.4) \quad \tilde{D}^+(t) = \{ H \in \overline{t^+}; \alpha^0(H) \leq 2\pi \}, \quad \tilde{D}(t) = \bigcup_{s \in W} s\tilde{D}^+(t),$$

and $\tilde{D} = \bigcup_{x \in M} \text{Ad}(x)\tilde{D}(t)$. Then $\tilde{C}(t)$ is the boundary $\partial\tilde{D}(t)$ of $\tilde{D}(t)$, both the exponential mappings $\exp: \tilde{D}(t) \rightarrow T$ and $\exp: \tilde{D} \rightarrow M$ are onto mappings, and the restriction to the interior of \tilde{D} is a diffeomorphism. Moreover the distance $d(e, C)$ between the identity e and the cut locus C is given by

$$(2.5) \quad d(e, C) = 2\pi/|\alpha^0|.$$

Here $|\cdot|$ is the norm of the inner product (\cdot, \cdot) on t^* induced from the inner product (\cdot, \cdot) on t by $(\lambda, \mu) = (H_\lambda, H_\mu)$, $\lambda, \mu \in t^*$, where $H_\lambda \in t$, $\lambda \in t^*$, is the unique element in t satisfying $(H_\lambda, H) = \lambda(H)$ for every $H \in t$. Note that the distance $d(x, y)$, $x, y \in T$, coincides with the one with respect to the Riemannian metric on T induced from the metric g on M (see Remark in [5, p. 80]). In fact, since T is totally geodesic in M , we have only to show the existence of a distance minimizing geodesic in T joining e and every x in T , but it follows immediately from Theorem 7.9(ii) and Lemma 7.10 in [5].

Then we have

LEMMA 2.1. For every ε with $0 < \varepsilon < d(e, C) = 2\pi/|\alpha^0|$,

(i) the set $\Omega(\varepsilon, T) = \{ t \in T; d(t, C(T)) < \varepsilon \}$ is given by

$$\Omega(\varepsilon, T) = \exp \tilde{\Omega}(\varepsilon, t),$$

$$\tilde{\Omega}(\varepsilon, t) = \bigcup_{s \in W} s \left\{ H \in \overline{t^+}; 2\pi \left(1 - \varepsilon \frac{|\alpha^0|}{2\pi} \right) < \alpha^0(H) \leq 2\pi \right\}.$$

(ii) The set $M \setminus \overline{\Omega(\varepsilon)}$ is given by

$$M \setminus \overline{\Omega(\varepsilon)} = \bigcup_{x \in M} x \exp \tilde{D}^+(\varepsilon)x^{-1},$$

where $\tilde{D}^+(\varepsilon) = \{ H \in \overline{t^+}; \alpha^0(H) < 2\pi(1 - \varepsilon|\alpha^0|/2\pi) \}$.

(iii) $d(e, C)/(d(e, C) - \varepsilon) \cdot \tilde{D}^+(\varepsilon) = \{ H \in \overline{t^+}; \alpha^0(H) < 2\pi \}$. Here, for every $r > 0$, $r \cdot \tilde{D}^+(\varepsilon)$ means the set $\{ rH; H \in \tilde{D}^+(\varepsilon) \}$.

PROOF. (i) By the definition of $\tilde{\Omega}(\varepsilon, t)$, (2.3) and the invariance of the distance d under the inner automorphisms of M , we have

$$\tilde{\Omega}(\varepsilon, t) = \bigcup_{s \in W} s \{ H \in \tilde{D}^+(t); d(\exp H, \exp \tilde{C}(t)) < \varepsilon \}.$$

We denote $d_e(X, Y) = |X - Y|$ for $X, Y \in t$. Then, for each $H \in \tilde{D}^+(t)$,

$$(2.6) \quad \begin{aligned} d(\exp H, \exp \tilde{C}(t)) &= d(\exp H, \exp(\tilde{C}(t) \cap \overline{t^+})) \\ &= d_e(H, \tilde{C}(t) \cap \overline{t^+}). \end{aligned}$$

In fact, putting $\Gamma = \{X \in \mathfrak{t}; \exp X = e\}$, we have

$$d(\exp H, \exp \tilde{C}(t)) = d_e(H, \tilde{C}(t) + \Gamma)$$

and

$$d(\exp H, \exp(\tilde{C}(t) \cap \bar{\mathfrak{t}}^+)) = d_e(H, (\tilde{C}(t) \cap \bar{\mathfrak{t}}^+) + \Gamma).$$

Since $(\tilde{C}(t) + \Gamma) \cap \tilde{D}(t) = \tilde{C}(t)$ and $((\tilde{C}(t) \cap \bar{\mathfrak{t}}^+) + \Gamma) \cap \tilde{D}(t) = \tilde{C}(t) \cap \bar{\mathfrak{t}}^+$,

$$d_e(H, \tilde{C}(t) + \Gamma) = d_e(H, \tilde{C}(t))$$

and

$$d_e(H, (C(t) \cap \bar{\mathfrak{t}}^+) + \Gamma) = d_e(H, \tilde{C}(t) \cap \bar{\mathfrak{t}}^+).$$

Since $H \in \tilde{D}^+(t)$, we have $d_e(H, \tilde{C}(t)) = d_e(H, \tilde{C}(t) \cap \bar{\mathfrak{t}}^+)$. For the proof of the second equality, choose an element X in $\tilde{C}(t) \cap \bar{\mathfrak{t}}^+$ such that

$$d(\exp H, \exp(\tilde{C}(t) \cap \bar{\mathfrak{t}}^+)) = d(\exp H, \exp X).$$

Then $d(\exp H, \exp X) = d(e, \exp(-H + X))$ and $-H + X \in \tilde{D}(t)$, because $0 \leq \alpha(H)$, $\alpha(X) \leq 2\pi$ for $\alpha \in \Sigma_+$, and the definition (2.4) of $\tilde{D}(t)$. Then

$$d(e, \exp(-H + X)) = |-H + X|,$$

which implies $d(\exp H, \exp(\tilde{C}(t) \cap \bar{\mathfrak{t}}^+)) \geq d_e(H, \tilde{C}(t) \cap \bar{\mathfrak{t}}^+)$. The converse inequality is clear.

By (2.3) and (2.6), we have

$$\begin{aligned} \{H \in \tilde{D}^+(t); d(\exp H, \exp \tilde{C}(t)) < \varepsilon\} &= \{H \in \tilde{D}^+(t); d_e(H, \tilde{C}(t) \cap \bar{\mathfrak{t}}^+) < \varepsilon\} \\ &= \left\{ (1-r) \frac{2\pi H_{\alpha^0}}{(\alpha^0, \alpha^0)} + X; |r| < \frac{\varepsilon |\alpha^0|}{2\pi}, X \in \mathfrak{t}, \alpha^0(X) = 0 \right\} \cap \tilde{D}^+(t) \\ &= \left\{ H \in \bar{\mathfrak{t}}^+; 2\pi \left(1 - \varepsilon \frac{|\alpha^0|}{2\pi} \right) < \alpha^0(H) \leq 2\pi \right\}. \end{aligned}$$

For (ii), we have only to show $X = Y$ when $g_1 \exp X g_1^{-1} = g_2 \exp Y g_2^{-1}$, $X \in \tilde{D}^+(\varepsilon)$, $Y \in \tilde{D}^+(t)$, $g_1, g_2 \in M$. But in this case, we have $\exp X = \exp sY$ for some $s \in W$ by Lemma 7.10 in [5]. Since $sY \in \tilde{D}(t)$ and $X \in \tilde{D}^+(\varepsilon)$, $\exp X = \exp sY$ implies $X = sY$, and then $X = Y$. (iii) follows immediately from (ii). Q.E.D.

2.2. For $\lambda \in \mathfrak{t}^*$, $\lambda \neq 0$, put $H_\lambda^* = 2H_\lambda/(\lambda, \lambda)$. Then since M is simply connected, the lattice $\Gamma = \{H \in \mathfrak{t}; \exp H = e\}$ is given by $\Gamma = 2\pi \sum_{i=1}^l \mathbf{Z} H_{\alpha_i}^*$, where $\{\alpha_i\}_{i=1}^l$ is a fundamental system of Σ with respect to the order $>$, and $l = \dim T$. Put

$$\begin{aligned} I &= \left\{ \lambda \in \mathfrak{t}^*; \lambda(H_{\alpha_i}^*) \in \mathbf{Z}, i = 1, \dots, l \right\} \\ &= \left\{ \lambda \in \mathfrak{t}^*; \lambda(\Gamma) \subset 2\pi \mathbf{Z} \right\}, \\ \mathbf{D} &= \left\{ \lambda \in I; (\lambda, \alpha) \geq 0 \text{ for every } \alpha \in \Sigma^+ \right\}. \end{aligned}$$

An element of \mathbf{D} is called a *dominant integral form* on \mathfrak{t} . For $\lambda \in I$, define a function ξ_λ on T , called the *alternating character*, by

$$\xi_\lambda(\exp H) = \sum_{s \in W} (-1)^s e^{s\lambda(H)}, \quad H \in \mathfrak{t}.$$

Put $\delta = \frac{1}{2} \sum_{\alpha \in \Sigma^+} \alpha$. Then δ belongs to \mathbf{D} . Moreover it is known that

$$\xi_\delta(\exp H) = \prod_{\alpha \in \Sigma^+} (e^{\sqrt{-1}\alpha(H)/2} - e^{-\sqrt{-1}\alpha(H)/2}),$$

every ξ_λ , $\lambda \in I$, can be divided by ξ_δ , and $\xi_{\lambda+\delta}/\xi_\delta$, $\lambda \in \mathbf{D}$, coincides with the restriction to T of the character χ_λ of the irreducible unitary representation of M with highest weight λ (cf. [14]). For every C^∞ zonal spherical function f on M , let \bar{f} be its restriction to T . Then $\bar{f}(\exp sH) = \bar{f}(\exp H)$, $s \in W$, $H \in \mathfrak{t}$, and we have (cf. Berezin [2] or [14])

$$(2.7) \quad \xi_\delta(\overline{\Delta f}) = \left\{ \Delta_0 + |\delta|^2 \right\} (\xi_\delta \bar{f})$$

on T , where Δ_0 is the standard Laplacian on T induced from the Euclidean Laplacian of \mathfrak{t} with respect to the inner product (\cdot, \cdot) .

3. Proof of Theorem 1. For $0 < \varepsilon < d(e, C)$, assume that a zonal spherical function u on M satisfies

$$(3.1) \quad \begin{cases} \Delta u + \lambda u = 0 & \text{on } M \setminus \overline{\Omega(\varepsilon)}, \\ u = 0 & \text{on } \Omega(\varepsilon). \end{cases}$$

Then by (2.7) we have

$$\begin{cases} \left(\Delta_0 + |\delta|^2 \right) (\xi_\delta \bar{u}) + \lambda \xi_\delta \bar{u} = 0 & \text{on } T \setminus \overline{\Omega(\varepsilon, T)}, \\ \bar{u} = 0 & \text{on } \Omega(\varepsilon, T). \end{cases}$$

Now define a function $(\xi_\delta \bar{u})_\varepsilon$ on T by

$$(\xi_\delta \bar{u})_\varepsilon(\exp H) = (\xi_\delta \bar{u}) \left(\exp \left(\frac{d(e, C) - \varepsilon}{d(e, C)} H \right) \right), \quad H \in \tilde{D}(t).$$

It is well defined on T because of Lemma 3.1(iii), and $\bar{u} = 0$ on $\Omega(\varepsilon, T)$. Also define a function $(\overline{\xi_\delta \bar{u}})_\varepsilon$ on $\tilde{D}(t)$ by

$$(\overline{\xi_\delta \bar{u}})_\varepsilon(H) = (\xi_\delta \bar{u})_\varepsilon(\exp H), \quad H \in \tilde{D}(t).$$

Then the function $(\overline{\xi_\delta \bar{u}})_\varepsilon$ satisfies

$$\begin{cases} \Delta_0 (\overline{\xi_\delta \bar{u}})_\varepsilon + \left\{ \frac{d(e, C) - \varepsilon}{d(e, C)} \right\}^2 (|\delta|^2 + \lambda) (\overline{\xi_\delta \bar{u}})_\varepsilon = 0, \\ (\overline{\xi_\delta \bar{u}})_\varepsilon = 0 \text{ on } \partial \tilde{D}(t). \end{cases} \quad \text{on the interior of } \tilde{D}(t),$$

Moreover, $(\overline{\xi_\delta \bar{u}})_\varepsilon = 0$ on $\tilde{D}^+(t)$ since $\xi_\delta = 0$ on $\partial \tilde{D}^+(t)$. Therefore $(\overline{\xi_\delta \bar{u}})_\varepsilon$ is the eigenfunction of the Dirichlet problem for the domain $\tilde{D}^+(t)$. Since the domain $\tilde{D}^+(t)$ is a fundamental domain of the affine Weyl group of the Lie group M acting on \mathfrak{t} , by a theorem of Bérard [1], we have

$$(\overline{\xi_\delta \bar{u}})_\varepsilon(H) = \sum_{s \in W} (-1)^s e^{\sqrt{-1}s(\Lambda + \delta)(H)}$$

for some $\Lambda \in \mathbf{D}$, and $\{(d(e, C) - \varepsilon)/d(e, C)\}^2(|\delta|^2 + \lambda) = |\Lambda + \delta|^2$. Therefore we obtain

$$(3.2) \quad \lambda = \left\{ \frac{d(e, C)}{d(e, C) - \varepsilon} \right\}^2 |\Lambda + \delta|^2 - |\delta|^2$$

and

$$(3.3) \quad u(\exp H) = \begin{cases} \xi_{\Lambda+\delta} \left(\exp \left(\frac{d(e, C)}{d(e, C) - \varepsilon} H \right) \right) / \xi_{\delta}(\exp H), & H \in \Omega(\varepsilon, t), \\ 0, & H \notin \Omega(\varepsilon, t). \end{cases}$$

Conversely, the function u defined by (3.3) is a zonal spherical function on M and satisfies (3.1) with the eigenvalue (3.2). We have proved Theorem 1.

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