

A NOTE ON SEPARABLE BANACH SPACES WITH NONSEPARABLE DUAL

JAMES N. HAGLER

ABSTRACT. If X is a separable Banach space with X^* nonseparable, then X contains a subspace X_0 with a Schauder basis with X_0^* nonseparable.

Introduction. At the Kent State conference on Banach spaces and classical analysis in August 1985, V. Zizler asked if a separable Banach space with nonseparable dual had a subspace *with a Schauder basis* with the same property. In this note we give an affirmative answer to this question by modifying the well-known construction of Stegall [STE]. Stegall showed that if X is separable and X^* is not, there is a procedure for constructing a sequence (x_n) in X which, among its additional properties, spans a subspace with a nonseparable dual. What is shown here is that this sequence can be chosen in such a way that it is also a Schauder basis.

Following the recent trend in discussions of Stegall's result (which is notationally quite messy), we give only the first few steps of the construction, and leave it to the reader to fill in the remaining details.

Our notation is standard and undefined notation and concepts are described either in the book of Day [DAY] or of Lindenstrauss and Tzafriri [L-T]. The first uncountable ordinal is denoted by ω_1 . Also, if E is a Banach space, then $S_E = \{e \in E: \|e\| = 1\}$ is the unit sphere of E and $B_E = \{e \in E: \|e\| \leq 1\}$ is the unit ball of E .

Our first lemma is an obvious extension of Lemma 1 of [STE].

LEMMA. *Let X be separable, X^* nonseparable, Y a separable subspace of X^* , and $\varepsilon > 0$. Then there exist families $\{f_\alpha: \alpha < \omega_1\}$ in S_{X^*} and $\{G_\beta: \beta < \omega_1\}$ in $(1 + \varepsilon)B_{X^{**}}$ such that*

(i) $G_\beta|_Y = 0$ for all β .

(ii)

$$G_\beta(f_\alpha) = \begin{cases} 1 & \text{if } \beta = \alpha, \\ 0 & \text{if } \beta > \alpha. \end{cases}$$

(iii) *Every point of $\{f_\alpha: \alpha < \omega_1\}$ is a weak* condensation point of $\{f_\alpha: \alpha < \omega_1\}$.*

We use Lemma 1 where Y is a separable subspace of X^* which *isometrically* norms X , i.e. for each $x \in X$,

$$\|x\| = \sup\{y(x): y \in Y, \|y\| = 1\}.$$

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THEOREM. *If X is a separable Banach space with nonseparable dual X^* , there exists a basic sequence (x_n) with $[x_n]^*$ nonseparable. (The closed linear span of the sequence (x_n) is denoted $[x_n]$.)*

PROOF. The idea of the proof is to repeat Stegall's argument word for word with an additional *finite* number of weak* conditions at each stage which force the constructed sequence (x_n) to be a basic sequence. Rather than using Stegall's notation, we follow that used in Diestel and Uhl [D-U, pp. 192–194]. To obtain a basic sequence, we use the well-known Mazur construction (see Diestel [D, pp. 32–43] for a discussion).

Let $Y \subset X^*$ be a separable, isometrically norming subspace, let $\{f_\alpha\}$, $\{G_\beta\}$ be as in the lemma, and let $\varepsilon > 0$. Let $\varepsilon_n \rightarrow 0$ such that $\prod(1 - \varepsilon_n)^{-1} < 1 + \varepsilon$.

By Helly's theorem [DAY, Corollary 2, p. 39] select x_1 , $\|x_1\| < 1 + \varepsilon$ with $G_1(f_1) = f_1(x_1) = 1$. Put $W_1 = \{y\}$ where $y \in Y$ satisfies $\|y\| = 1$ and $y(x_1) \geq (1 - \varepsilon_1)\|x_1\|$. Put $A_1 = \{\alpha: f_\alpha(x_1) > 1 - \varepsilon\}$. This completes the selection of x_1 .

We now turn to the construction of x_2 and x_3 . Since A_1 is uncountable, we can choose $\beta_1, \alpha_2 \in A_1$ with $1 < \beta_1 < \alpha_2$. Then

- (i) $G_{\alpha_2}(f_{\alpha_2}) = 1$,
- (ii) $G_{\alpha_2}(f_{\beta_1}) = 0$,
- (iii) $G_{\alpha_2}(y) = 0$.

By Helly's theorem, there is an x_2 , $\|x_2\| < 1 + \varepsilon$, with

- (i) $f_{\alpha_2}(x_2) = 1$,
- (ii) $f_{\beta_1}(x_2) = 0$,
- (iii) $y(x_2) = 0$,

It follows that if $\lambda \in \mathbf{R}$, $\|x_1 + \lambda x_2\| \geq y(x_1 + \lambda x_2) = y(x_1) \geq (1 - \varepsilon_1)\|x_1\|$.

Now let Z_2 be a finite $\varepsilon_2/2$ net in $\{x \in [x_1, x_2]: \|x\| = 1\}$, and let W_2 be a finite subset of $\{y \in Y: \|y\| = 1\}$ such that for each $z \in Z_2$ there is a $y \in W_2$ with $y(z) > 1 - \varepsilon_2/2$.

Since β_1 is a condensation point of A_1 , there exists $\alpha_3 > \alpha_2$ such that $f_{\alpha_3}(x_2) < \varepsilon/4$. Observe that the following *finite* number of conditions are satisfied:

- (i) $G_{\alpha_3}(f_{\alpha_2}) = 0$,
- (ii) $G_{\alpha_3}(f_{\alpha_3}) = 1$,
- (iii) $G_{\alpha_3}(y) = 0$ for all $y \in W_2$.

Applying Helly's theorem once again, we find an $x_3 \in X$, $\|x_3\| < 1 + \varepsilon$ such that

- (i) $f_{\alpha_2}(x_3) = 0$,
- (ii) $f_{\alpha_3}(x_3) = 1$,
- (iii) $y(x_3) = 0$ for all $y \in W_2$.

Let $x \in [x_1, x_2]$, $\|x\| = 1$, and let $\lambda \in \mathbf{R}$. Pick $z \in Z_2$ such that $\|z - x\| < \varepsilon_2/2$ and pick $y \in W_2$ with $y(z) > 1 - \varepsilon_2/2$. Then

$$\begin{aligned} \|x + \lambda x_3\| &\geq y(x) - \lambda y(x_3) \geq y(z) - |y(z - x)| \\ &\geq 1 - \varepsilon_2/2 - \varepsilon_2/2 \geq (1 - \varepsilon_2)\|x\|. \end{aligned}$$

Now continue the construction inductively as in [D-U, pp. 192–193]. The *new* part of the construction (namely the use of the finite, almost-norming sets W_i) forces the

norms of the coordinate projections $P: [x_1, \dots, x_m] \rightarrow [x_1, \dots, x_n]$ ($m \geq n$) given by

$$P \left(\sum_{i=1}^m \lambda_i x_i \right) = \sum_{i=1}^n \lambda_i x_i$$

to be uniformly bounded by $1 + \varepsilon$. The other part of the construction is identical to Stegall's construction and forces the sequence (x_n) to span a space with a nonseparable dual. This completes the proof.

ADDED IN PROOF. The main result of this paper has also been obtained by H. Rosenthal [*Weak* polish Banach spaces* (to appear)].

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DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCE, UNIVERSITY OF DENVER, DENVER, COLORADO 80208