

## UNIMODULAR COMMUTATORS

MORRIS NEWMAN

(Communicated by Thomas H. Brylawski)

**ABSTRACT.** Let  $R$  be a principal ideal ring and  $M_{k,n}$  the set of  $k \times n$  matrices over  $R$ . The following statements are proved: (a) If  $k \leq n/3$  then any primitive element of  $M_{k,n}$  occurs as the first  $k$  rows of the commutator of two elements of  $SL(n, R)$ . (b) If every element of  $SL(3, R)$  is the product of at most  $c_3$  commutators, then every element of  $SL(n, R)$  is the product of at most  $c_n$  commutators, where  $c_n < c \log n + c_3 - 3$ ,  $c = 2 \log(3/2) = 4.932 \dots$ , and  $n \geq 3$ . (c) If  $n \geq 3$ , then every element of  $SL(n, Z)$  is the product of at most  $c \log n + 40$  commutators, where  $c$  is given in (b) above

**1. Introduction.** Let  $R$  denote an arbitrary principal ideal ring, and let  $M_{k,n} = M_{k,n}(R)$  denote the set of  $k \times n$  matrices over  $R$ ,  $M_n = M_n(R)$  the set of  $n \times n$  matrices over  $R$ . If  $1 \leq k \leq n$ , an element  $\alpha$  of  $M_{k,n}$  is said to be *primitive* if  $d_k(\alpha) = 1$ , where  $d_k(\alpha)$  is the  $k$ th determinantal divisor of  $\alpha$ . As usual, let  $\Gamma = SL(n, R)$  denote the multiplicative group of matrices of  $M_n$  of determinant 1. The primitive elements of  $M_{k,n}$  for  $1 \leq k < n$  are just those which may be completed to an element of  $\Gamma$ .

It is well known that in many cases  $\Gamma$  coincides with its commutator subgroup  $\Gamma'$ . This happens, for example, when  $R$  is a euclidean ring of characteristic not 2, and  $n \geq 3$ . Assume from now on that  $\Gamma = \Gamma'$ . The question considered here is whether or not every element of  $\Gamma$  may be represented as the product of a bounded number of commutators, where the bound depends only on  $n$ . Accordingly, when  $\Gamma$  has this property, we define  $c_n = c_n(R)$  as the least positive integer such that every element of  $\Gamma$  is the product of at most  $c_n$  commutators. In order to complete the definition, we define  $c_n$  to be infinity, when  $\Gamma$  does not have this property.

In this paper we reduce the question to the case  $n = 3$ , and prove that the answer is in the affirmative for  $R = Z$  and  $n \geq 3$ . The result in this case depends on a crucial theorem of D. Carter and G. Keller (see [1]) who prove that if  $A$  is any matrix of the form

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & a & b \\ 0 & c & d \end{bmatrix}$$

belonging to  $SL(3, Z)$ , then  $A$  is the product of at most 41 elementary matrices. (An elementary matrix of  $\Gamma$  is any matrix of the form  $I + xE_{ij}$ , where  $I = I_n$  is the  $n \times n$  identity matrix,  $i, j$  are distinct integers such that  $1 \leq i, j \leq n$ ,  $E_{ij}$  is the  $n \times n$  matrix with a 1 in position  $(i, j)$  and 0 elsewhere, and  $x$  is any element of  $R$ .) Since every elementary matrix is also a commutator, the result cited above

---

Received by the editors April 15, 1986 and, in revised form, September 26, 1986.  
1980 *Mathematics Subject Classification* (1985 Revision). Primary 15A36, 20H05.

©1987 American Mathematical Society  
0002-9939/87 \$1.00 + \$.25 per page

implies that any matrix of the form

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & a & b \\ 0 & c & d \end{bmatrix}$$

belonging to  $SL(3, Z)$  is the product of at most 41 commutators.

The bound we obtain is surprisingly small—in fact of order  $\log n$ —and prompts the conjecture that every element of  $SL(n, Z)$  is the product of at most  $c$  commutators for some absolute constant  $c$ , provided that  $n \geq 3$ . The case  $n = 2$  is an exception, since then  $(\Gamma: \Gamma') = 12$ .

**2. Statement of results.** We prove three theorems. The first is a completion theorem which generalizes Theorem 6 of [2], and the others are concerned with bounds for  $c_n$ .

**THEOREM 1.** *Let  $\alpha$  be a primitive element of  $M_{k,n}$ , where  $k \leq n/3$ . Then matrices  $A, B$  of  $SL(n, R)$  exist such that  $\alpha$  coincides with the first  $k$  rows of  $[A, B] = ABA^{-1}B^{-1}$ .*

**THEOREM 2.** *Suppose that  $n \geq 3$ . Then*

$$(1) \quad c_n(R) < c \log n + c_3(R) - 3,$$

where

$$(2) \quad c = 2/\log(3/2) = 4.932\dots$$

**THEOREM 3.** *Suppose that  $n \geq 3$ . Then every element of  $SL(n, Z)$  is the product of at most  $c \log n + 40$  commutators, where  $c$  is given by (2).*

## 2. Proofs.

**PROOF OF THEOREM 1.** Write  $\alpha = [UV]$ , where  $U \in M_k$  and  $V \in M_{k, n-k}$ . Let  $S$  be any element of  $SL(n-k, R)$ . We have

$$\begin{bmatrix} I_k & 0 \\ 0 & S^{-1} \end{bmatrix} \begin{bmatrix} U & V \\ & * \end{bmatrix} \begin{bmatrix} I_k & 0 \\ 0 & S \end{bmatrix} = \begin{bmatrix} U & VS \\ & * \end{bmatrix}.$$

Thus it is sufficient to prove the theorem for  $[U VS]$ , since conjugates of commutators are commutators. Choose  $S$  so that  $VS = [W 0]$ , where  $W \in M_k$  and  $0$  is a  $k \times (n-2k)$  block of zeros. Since  $k \leq n/3$ , it is sufficient to prove the theorem for  $[UW 0]$ , where now  $0$  is a  $k \times k$  block of zeros.

Since  $[UW]$  is a primitive element of  $M_{k, 2k}$ , matrices  $X, Y$  exist which belong to  $M_k$  such that

$$\begin{bmatrix} U & W \\ X & Y \end{bmatrix} \in SL(2k, R).$$

Put

$$\begin{bmatrix} U & W \\ X & Y \end{bmatrix}^{-1} = \begin{bmatrix} U_1 & W_1 \\ X_1 & Y_1 \end{bmatrix}.$$

We note that if  $I$  stands for the  $k \times k$  identity matrix, then

$$A = \begin{bmatrix} 0 & 0 & I \\ I & 0 & 0 \\ 0 & I & 0 \end{bmatrix} \in \text{SL}(3, R),$$

$$B = \begin{bmatrix} U_1 & W_1 & 0 \\ X_1 & Y_1 & 0 \\ 0 & 0 & I \end{bmatrix} \in \text{SL}(3k, R).$$

We now have

$$\begin{aligned} [A, B] &= ABA^{-1}B^{-1} \\ &= \begin{bmatrix} 0 & 0 & I \\ I & 0 & 0 \\ 0 & I & 0 \end{bmatrix} \begin{bmatrix} U_1 & W_1 & 0 \\ X_1 & Y_1 & 0 \\ 0 & 0 & I \end{bmatrix} \begin{bmatrix} 0 & I & 0 \\ 0 & 0 & I \\ I & 0 & 0 \end{bmatrix} \begin{bmatrix} U & W & 0 \\ X & Y & 0 \\ 0 & 0 & I \end{bmatrix} \\ &= \begin{bmatrix} 0 & 0 & I \\ U_1 & W_1 & 0 \\ X_1 & Y_1 & 0 \end{bmatrix} \begin{bmatrix} X & Y & 0 \\ 0 & 0 & I \\ U & W & 0 \end{bmatrix} = \begin{bmatrix} U & W & 0 \\ * & * & * \\ * & * & * \end{bmatrix}. \end{aligned}$$

This completes the proof.

For the remaining theorems, two lemmas are required.

**LEMMA 1.** *Suppose that  $n \geq 2$ . Then a matrix  $C$  exists such that both  $C$  and  $C - I$  belong to  $\text{SL}(n, R)$ .*

**PROOF.** Let  $q(x)$  be any monic polynomial of degree  $n - 2$  belonging to  $R[x]$ , and let  $p(x) = x(x - 1)q(x) + (-1)^n$ . Let  $C$  be the companion matrix of  $p(x)$ . Since  $\det(C) = (-1)^n p(0) = 1$ ,  $C$  belongs to  $\text{SL}(n, R)$ . The characteristic polynomial of  $C - I$  is  $p(x + 1)$ ; and since  $\det(C - I) = (-1)^n p(1) = 1$ ,  $C - I$  also belongs to  $\text{SL}(n, R)$ . This completes the proof.

**LEMMA 2.** *Suppose that  $n \geq 3$ , and let*

$$E = \begin{bmatrix} I_r & 0 \\ A & I_s \end{bmatrix} \in \text{SL}(n, R),$$

where  $A$  is any element of  $M_{s,r}$ . Then  $E$  is a commutator.

**PROOF.** Either  $r$  or  $s$  must be  $\geq 2$ ; we may assume that  $s \geq 2$ . Let  $C \in \text{SL}(s, R)$  be such that  $C - I$  also belongs to  $\text{SL}(s, R)$  (Lemma 1). Let  $B$  be an element of  $M_{s,r}$  to be determined later. We have

$$\begin{aligned} &\begin{bmatrix} I & 0 \\ B & 1 \end{bmatrix} \begin{bmatrix} I & 0 \\ 0 & C \end{bmatrix} \begin{bmatrix} I & 0 \\ -B & I \end{bmatrix} \begin{bmatrix} I & 0 \\ 0 & C^{-1} \end{bmatrix} \\ &= \begin{bmatrix} I & 0 \\ B & C \end{bmatrix} \begin{bmatrix} I & 0 \\ -B & C^{-1} \end{bmatrix} = \begin{bmatrix} I & 0 \\ (I - C)B & I \end{bmatrix}. \end{aligned}$$

Since  $I - C$  is unimodular, we may choose  $B = (I - C)^{-1}A$ . For this  $B$ ,  $E$  becomes a commutator, and the proof is concluded.

**PROOF OF THEOREM 2.** Let  $L$  be any matrix of  $\text{SL}(n, R)$ . Put  $k = [n/3]$ . By Theorem 1, there is a matrix  $K$  of  $\text{SL}(n, R)$  such that the first  $k$  rows of  $K$  coincide with the first  $k$  rows of  $L^{-1}$ , and  $K$  is a commutator. Then

$$KL = \begin{bmatrix} I_k & 0 \\ A & L_1 \end{bmatrix},$$

where  $A \in M_{n-k,k}$  and  $L_1 \in \text{SL}(n-k, R)$ . Thus

$$L = K^{-1} \begin{bmatrix} I_k & 0 \\ A & L_1 \end{bmatrix} = K^{-1} \begin{bmatrix} I_k & 0 \\ A & I_{n-k} \end{bmatrix} \begin{bmatrix} I_k & 0 \\ 0 & L_1 \end{bmatrix}.$$

By Lemma 2, the matrix

$$\begin{bmatrix} I_k & 0 \\ A & I_{n-k} \end{bmatrix}$$

is a commutator, and so  $L$  has been expressed as the product of two commutators and the matrix  $\begin{bmatrix} I_k & 0 \\ 0 & L_1 \end{bmatrix}$  where the order of  $L_1$  is  $n - [n/3]$ .

We now repeat this process, obtaining the sequence of integers

$$\begin{aligned} n_0 &= n, \\ n_1 &= n_0 - [n_0/3], \\ &\dots \\ n_{k+1} &= n_k - [n_k/3], \\ &\dots \end{aligned}$$

Since  $n_k = 3[n_k/3] + r_k$ , where  $r_k = 0, 1, 2$ , we have the inequalities

$$\frac{2}{3}n_k \leq n_{k+1} \leq \frac{2}{3}n_k + \frac{2}{3}, \quad k = 0, 1, \dots,$$

which readily imply that

$$\left(\frac{2}{3}\right)^k n \leq n_k < \left(\frac{2}{3}\right)^k n + 2, \quad k = 0, 1, \dots$$

We now choose  $k$  so that  $3 \leq \left(\frac{2}{3}\right)^k n$ ,  $\left(\frac{2}{3}\right)^k n + 2 \leq 7$ , which is equivalent to

$$\begin{aligned} \frac{n}{5} &\leq \left(\frac{3}{2}\right)^k \leq \frac{n}{3}, \\ \frac{\log(n/5)}{\log(3/2)} &\leq k \leq \frac{\log(n/3)}{\log(3/2)}. \end{aligned}$$

Since

$$\frac{\log(n/3)}{\log(3/2)} - \frac{\log(n/5)}{\log(3/2)} = \frac{\log(5/3)}{\log(3/2)} > 1,$$

such a  $k$  exists. It then follows that  $L$  is the product of  $2k - 2$  commutators, and a matrix of the form  $\begin{bmatrix} I_{n-r} & 0 \\ 0 & T \end{bmatrix}$ , where  $T \in \text{SL}(r, Z)$  and  $r = 3, 4, 5, 6$ . Employing this reduction again for  $r = 4, 5, 6$  we find that  $T$  is the product of at most  $c_3 + s$  commutators, where  $s = 0$  for  $r = 3$ ,  $s = 2$  for  $r = 4$ ,  $s = 4$  for  $r = 5$ , and  $s = 4$  for  $r = 6$ . It follows that in all cases, an upper bound for  $c_n$  is given by  $2k - 2 + c_3 + 4 = 2k + c_3 + 2$ . Since  $k \leq \log(n/3)/\log(3/2)$ , we have that

$$\begin{aligned} c_n &\leq \frac{2 \log n}{\log(3/2)} + c_3 + 2 - \frac{2 \log 3}{\log(3/2)} \\ &< \frac{2 \log n}{\log(3/2)} + c_3 - 3, \end{aligned}$$

the desired inequality. This completes the proof.

**PROOF OF THEOREM 3.** Theorem 3 follows directly from Theorem 2 and the result of D. Carter and G. Keller cited above, since  $c_3(Z) \leq 43$ .

**4. Some open questions.** A number of interesting open problems are suggested by these results:

(a) Suppose that  $G$  is a finitely presented perfect group. When is it possible to deduce from the presentation of  $G$  alone that an absolute constant  $c$  exists such that every element of  $G$  is the product of at most  $c$  commutators? This property of a group is of importance for classification purposes, and the results of this paper should be of use whenever  $G$  has a faithful representation as a subgroup of  $SL(n, Z)$  for some  $n$ .

(b) The commutator subgroup  $SL'(2, Z)$  of  $SL(2, Z)$  is of index 12, and is a free group of rank 2. Somewhat more generally, let  $G = \{x, y\}$  be a free group of rank 2, freely generated by  $x$  and  $y$ . Then if  $k$  is a positive integer, the element  $z = (xyx^{-1}y^{-1})^k$  is the product of  $k$  commutators. Prove or disprove that  $z$  is not the product of fewer than  $k$  commutators.

(c) Determine the perfect subgroups of finite index of  $SL(n, Z)$ .

The author thanks the referee for correcting some typographical errors, and for suggesting the inclusion of the section above.

#### BIBLIOGRAPHY

- 1 D. Carter and G. Keller, *Elementary expressions for unimodular matrices*, *Comm. Algebra* **12** (1984), 379–389.
- 2 M. Newman, *Matrix completion theorems*, *Proc. Amer. Math. Soc.* **94** (1985), 39–45.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, SANTA BARBARA,  
CALIFORNIA 93106