

## SPACES WHICH ADMIT AR-RESOLUTIONS

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**ABSTRACT.** It is proved that a topological space  $X$  admits an AR-resolution (in the sense of [6]) if and only if  $X$  has trivial (strong) shape.

**1. Introduction.** It is well known that every topological space  $X$  admits an ANR-resolution in the sense of [6], i.e., a resolution  $p = (p_a): X \rightarrow \mathbf{X} = (X_a, p_{aa'}, A)$ , where each  $X_a$  is an ANR (for metric spaces) [6, Theorem 12]. A. Koyama raised the question to determine which spaces admit AR-resolutions, i.e., resolutions where each  $X_a$  is an AR (for metric spaces). Clearly, such spaces must be of trivial shape. In this note we show that the converse also holds. More precisely, we prove the following

**THEOREM.** *Let  $X$  be a topological space. Then the following three conditions are equivalent:*

- (i)  $X$  admits an AR-resolution.
- (ii)  $X$  has trivial strong shape.
- (iii)  $X$  has trivial shape.

Recall that compact metric spaces of trivial shape are limits of inverse sequences of Hilbert cubes [1, 5].

**2. Implication (i)  $\Rightarrow$  (ii).** We use the notions of coherent map of systems, coherent homotopy and strong shape, ssh, as defined in [2-4].

We first establish a lemma.

**LEMMA 1.** *Let  $\mathbf{X} = (X_a, p_{aa'}, A)$  and  $\mathbf{Y} = (Y_b, q_{bb'}, B)$  be inverse systems of metric spaces over (directed) antisymmetric cofinite index sets. If all  $Y_b$  are AR's, then any two coherent maps  $f, f': \mathbf{X} \rightarrow \mathbf{Y}$  are coherently homotopic.*

**PROOF.** Since  $B$  is cofinite, without loss of generality we can assume that  $f$  and  $f'$  are special coherent maps [4, Lemma 5], i.e., are given by increasing functions  $\varphi, \varphi': B \rightarrow A$  and by maps  $f_{\mathbf{b}}: \Delta^n \times X_{\varphi(b_n)} \rightarrow Y_{b_0}$ ,  $f'_{\mathbf{b}}: \Delta^n \times X_{\varphi'(b_n)} \rightarrow Y_{b_0}$ , respectively; here  $\mathbf{b} = (b_0, \dots, b_n)$ ,  $b_0 \leq \dots \leq b_n$ . We choose an increasing function  $\Phi: B \rightarrow A$  such that  $\Phi \geq \varphi, \varphi'$ . We need maps  $F_{\mathbf{b}}: \Delta^n \times I \times X_{\Phi(b_n)} \rightarrow Y_{b_0}$  such that  $(\Phi, F_{\mathbf{b}})$  is a coherent map  $F: I \times \mathbf{X} \rightarrow \mathbf{Y}$  satisfying

- (1) 
$$F_{\mathbf{b}}(t, 0, x) = f_{\mathbf{b}}(t, p_{\varphi(b_n)\Phi(b_n)}(x)),$$
- (2) 
$$F_{\mathbf{b}}(t, 1, x) = f'_{\mathbf{b}}(t, p_{\varphi'(b_n)\Phi(b_n)}(x)).$$

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We construct the maps  $F_{\mathbf{b}} = F_{b_0 \dots b_n}$  by induction on  $n$ . Since  $Y_{b_0}$  is an AR, the maps

$$f_{b_0}(0 \times p_{\varphi(b_0)\Phi(b_n)}): 0 \times X_{\Phi(b_0)} \rightarrow Y_{b_0}$$

and

$$f'_{b_0}(1 \times p_{\varphi'(b_0)\Phi(b_0)}): 1 \times X_{\Phi(b_0)} \rightarrow Y_{b_0}$$

extend to a map  $F_{b_0}: I \times X_{\Phi(b_0)} \rightarrow Y_{b_0}$ .

Now assume that we have already defined maps  $F_{\mathbf{b}}$ , where  $\mathbf{b}$  has  $< n$  terms,  $n \geq 1$ . We will now define  $F_{b_0 \dots b_n}: \Delta^n \times I \times X_{\Phi(b_n)} \rightarrow Y_{b_0}$ .

We first consider the case when  $(b_0, \dots, b_n)$  is nondegenerate, i.e.,  $b_j \neq b_{j+1}$  for all  $j$ . By the requirement that  $F$  be a coherent map (see [2, 4]) and by (1) and (2), the map  $F_{b_0 \dots b_n}$  is already determined on the closed subset  $\partial(\Delta^n \times I) \times X_{\Phi(b_n)}$  of  $\Delta^n \times I \times X_{\Phi(b_n)}$ . Since  $Y_{b_0}$  is an AR, extensions to all of  $\Delta^n \times I \times X_{\Phi(b_n)}$  exist. We take such an extension for  $F_{b_0 \dots b_n}$ .

If  $(b_0, \dots, b_n)$  is degenerate, say  $b_j = b_{j+1}$ , then we put

$$(3) \quad F_{b_0 \dots b_n}(t, x) = F_{b_0 \dots \hat{b}_j \dots b_n}(\sigma_j t, x),$$

where  $(b_0, \dots, \hat{b}_j, \dots, b_n)$  is obtained from  $(b_0, \dots, b_n)$  by omitting  $b_j$  and  $\sigma_j: \Delta^n \rightarrow \Delta^{n-1}$  is the  $j$ th degeneracy operator.

PROOF OF (i)  $\Rightarrow$  (ii). By assumption,  $X$  admits an AR-resolution  $p = (p_a): X \rightarrow \mathbf{X} = (X_a, p_{aa'}, A)$ . We can always achieve that  $A$  is antisymmetric and cofinite (use the construction described in [7, I, §1.2, Theorem 2]). On the other hand, a single-point space  $Y = \{*\}$  also admits an AR-resolution  $q = (q_b): Y \rightarrow \mathbf{Y}$  with antisymmetric and cofinite index set, e.g., such is the rudimentary resolution  $1: Y \rightarrow (Y_{b_0})$ , where  $Y_{b_0} = Y = \{*\}$ .

Constant maps  $u: X \rightarrow Y$  and  $v: Y \rightarrow X$  induce coherent maps  $f: \mathbf{X} \rightarrow \mathbf{Y}$  and  $g: \mathbf{Y} \rightarrow \mathbf{X}$  (see [4, II, §2]). By Lemma 1,  $gf \simeq 1$  and  $fg \simeq 1$ . Therefore, the induced strong shape morphisms  $S_1(u): X \rightarrow Y$  and  $S_1(v): Y \rightarrow X$  satisfy

$$(4) \quad S_1(v)S_1(u) = 1 \quad \text{and} \quad S_1(u)S_1(v) = 1.$$

This shows that the strong shape  $\text{ssh}(X) = \text{ssh}(Y)$ . Since  $Y = \{*\}$ , we conclude that  $\text{ssh}(X) = 0$ .

**3. Implication (ii)  $\Rightarrow$  (iii).** This is an immediate consequence of the fact that there exists a functor  $S_2: \text{ssh} \rightarrow \text{sh}$  from the strong shape category  $\text{ssh}$  to the shape category  $\text{sh}$  [4, II, §2].

**4. Implication (iii)  $\Rightarrow$  (i).** In the proof we need a simple lemma.

LEMMA 2. *Let  $p: X \rightarrow Y$  be a mapping from a metric space  $X$  into an ANR  $Y$ . If  $p$  is homotopic to a constant map, then there exist an AR  $Z$  and a map  $r: Z \rightarrow Y$  such that  $X \subseteq Z$  is a closed subset and  $r|_X = p$ .*

PROOF OF LEMMA 2. By the Kuratowski-Woydławski embedding theorem (see, e.g., [7, I, §3.1, Theorem 2]),  $X$  can be embedded as a closed subset in a convex set  $Z$  of a normed vector space. By the Dugundji extension theorem (see, e.g., [7, I, §3.1, Theorem 3]),  $Z$  is an AR. Since  $p$  is homotopic to a constant map  $c: X \rightarrow Y$  and constants extend to all of  $Z$ , the homotopy extension theorem (see, e.g., [7, I, §3.2, Theorem 4]) proves that also  $p: X \rightarrow Y$  extends to a map  $r: Z \rightarrow Y$ .

PROOF OF (iii)  $\Rightarrow$  (i). Choose an antisymmetric cofinite ANR-resolution  $p = (p_a): X \rightarrow \mathbf{X} = (X_a, p_{aa'}, A)$  for the space  $X$ . Since  $\text{sh } X = 0$ , every  $a \in A$  admits an  $a' = \varphi(a) \geq a$  such that

$$(1) \quad P_{a\varphi(a)} \simeq 0$$

(the proof of [7, II, §2.3, Theorem 7] applies). Since  $A$  is cofinite, we can achieve that  $\varphi: A \rightarrow A$  is an increasing function.

If  $A$  contains an element  $a_0 \in A$  such that  $a_0 \leq a$  implies  $a_0 = a$ , then (by directedness and antisymmetry)  $a_0$  is the unique element  $a_0 = \max A$ . In this case  $p_{a_0}: X \rightarrow (X_{a_0})$  is also a resolution and since  $\varphi(a_0) = a_0$ , (1) shows that  $X_{a_0}$  is contractible and therefore an AR, which verifies the assertion.

We will now consider the case where  $A$  has no maximal element, i.e., every  $a \in A$  admits an  $a' \geq a$ , which is different from  $a$ .

By Lemma 2 and (1), with each  $a \in A$  one can associate an AR  $Z_a$  and a map  $r_a: Z_a \rightarrow X_a$  such that  $X_{\varphi(a)} \subseteq Z_a$  is a closed subset and

$$(2) \quad r_a|X_{\varphi(a)} = p_{a\varphi(a)}.$$

We now define a new ordering  $\leq^*$  in  $A$  by putting  $a_1 \leq^* a_2$  provided  $a_1 = a_2$  or  $\varphi(a_1) \leq a_2$ . Clearly, the set  $A^* = (A, \leq^*)$  is directed, antisymmetric and cofinite. For  $a_1 \leq^* a_2$  we define a map  $q_{a_1 a_2}: Z_{a_2} \rightarrow Z_{a_1}$  by putting  $q_{a_1 a_2} = \text{id}$  if  $a_1 = a_2$ , and

$$(3) \quad q_{a_1 a_2} = p_{\varphi(a_1) a_2} r_{a_2} \quad \text{if } a_1 \leq^* a_2, \quad a_1 \neq a_2.$$

Note that in the latter case

$$(4) \quad q_{a_1 a_2}(Z_{a_2}) \subseteq X_{\varphi(a_1)}.$$

If  $a_1 \leq^* a_2 \leq^* a_3$ , then

$$q_{a_1 a_2} q_{a_2 a_3} = q_{a_1 a_3}.$$

This is clear if  $a_1 = a_2$  or  $a_2 = a_3$ . In the remaining case we have

$$(6) \quad q_{a_1 a_2} q_{a_2 a_3} = p_{\varphi(a_1) a_2} r_{a_2} p_{\varphi(a_2) a_3} r_{a_3} = p_{\varphi(a_1) a_3} r_{a_3} = q_{a_1 a_3},$$

because (2) implies

$$(7) \quad r_{a_2} p_{\varphi(a_2) a_3} = p_{a_2 \varphi(a_2)} p_{\varphi(a_2) a_3} = p_{a_2 a_3}.$$

We have, thus, proved that  $\mathbf{Z} = (Z_a, q_{aa'}, A^*)$  is an AR-system.

We now define maps  $q_a: X \rightarrow Z_a$  by putting

$$(8) \quad q_a = p_{\varphi(a)}: X \rightarrow X_{\varphi(a)} \subseteq Z_a.$$

Note that  $a_1 \leq^* a_2$  implies

$$(9) \quad q_{a_1 a_2} q_{a_2} = q_{a_1}.$$

This is obvious if  $a_1 = a_2$ . In the remaining case, we have, by (2),

$$(10) \quad q_{a_1 a_2} q_{a_2} = p_{\varphi(a_1) a_2} r_{a_2} p_{\varphi(a_2)} = p_{\varphi(a_1)} = q_{a_1}.$$

The proof will be completed if we show that  $q = (q_a): X \rightarrow \mathbf{Z}$  is a resolution, i.e., has properties (R1) and (R2) (see [6 or 7]).

PROOF OF (R1). Let  $P$  be an ANR, let  $\mathcal{U}$  be an open covering of  $P$  and  $f: X \rightarrow P$  a mapping. By (R1) for  $p: X \rightarrow \mathbf{X}$  there exists an  $a \in A$  and a map  $h: X_a \rightarrow P$  such that  $hp_a$  and  $f$  are  $\mathcal{U}$ -near maps, which we denote by

$$(11) \quad (hp_a, f) < \mathcal{U}.$$

Consider the map  $h' = hr_a: Z_a \rightarrow P$ . By (2), we have

$$(12) \quad h'q_a = hr_ap_{\varphi(a)} = hp_{a\varphi(a)}p_{\varphi(a)} = hp_a.$$

Consequently, (11) becomes the desired relation

$$(13) \quad (h'q_a, f) < \mathcal{U}.$$

PROOF OF (R2). Let  $P$  be an ANR and  $\mathcal{U}$  an open covering of  $P$ . We choose a covering  $\mathcal{U}'$  of  $P$  satisfying (R2) for  $p: X \rightarrow \mathbf{X}$ . Then  $\mathcal{U}'$  also satisfies (R2) for  $q: X \rightarrow \mathbf{Z}$ . Indeed, assume that  $a \in A' = A$  and  $h, h': Z_a \rightarrow P$  are maps satisfying

$$(14) \quad (hq_a, h'q_a) < \mathcal{U}'.$$

Since  $hq_a = hp_{\varphi(a)}$ ,  $h'q_a = h'p_{\varphi(a)}$ , we conclude that there is an  $a' \geq \varphi(a)$  such that

$$(15) \quad (hp_{\varphi(a)a'}, h'p_{\varphi(a)a'}) < \mathcal{U}'.$$

Note that  $a \leq^* a'$  so that  $q_{aa'}$  is defined. By the assumption on  $A$ , we can choose  $a' \geq \varphi(a)$  such that  $a' \neq \varphi(a)$  and therefore,  $a' \neq a$ . It follows that  $q_{aa'} = p_{\varphi(a)a'}r_{a'}$  and therefore (15) becomes the desired relation

$$(16) \quad (hq_{aa'}, h'q_{aa'}) < \mathcal{U}'.$$

This completes the proof of the Theorem.

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