

AN INTEGRAL CRITERION FOR NORMAL FUNCTIONS

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ABSTRACT. A new characterization for normal functions is given. It is shown that a function f meromorphic in the unit disk is a normal function if and only if for each $\delta > 0$ and each $p > 2$ there exists a constant $K_f(\delta, p)$ such that, for each hyperbolic disk Ω with hyperbolic radius δ ,

$$\iint_{\Omega} (1 - |z|^2)^{p-2} (f^{\#}(z))^p dA(z) \leq K_f(\delta, p),$$

where $f^{\#}(z)$ denotes the spherical derivative of f and $dA(z)$ is the Euclidean element of area. It is shown by example that this characterization is not valid for $p = 2$.

Let $D = \{z: |z| < 1\}$ denote the unit disc, let $\rho(z_1, z_2)$ denote the hyperbolic distance between the points z_1 and z_2 in D , and let $m_D(\Omega)$ denote the hyperbolic area of the subset Ω of D . For a function f meromorphic in D , let the spherical derivative of f be denoted by

$$f^{\#}(z) = \frac{|f'(z)|}{1 + |f(z)|^2}.$$

A function f meromorphic in D is said to be a *normal function* if

$$\sup\{(1 - |z|^2)f^{\#}(z): z \in D\} < \infty.$$

There are a number of known characterizations of normal functions. (See, for example, [1, 2, 4, and 5].)

In this note, we give a new characterization of a normal function. This characterization bears a similarity to a result of S. Yamashita [7] characterizing Bloch functions.

THEOREM. *A function f meromorphic in the unit disc is a normal function if and only if, for each $\delta > 0$, and each $p > 2$, there exists a constant $K_f(\delta, p)$, such that, for each hyperbolic disc Ω with hyperbolic radius δ ,*

$$\iint_{\Omega} (1 - |z|^2)^{p-2} (f^{\#}(z))^p dA(z) \leq K_f(\delta, p),$$

where $dA(z)$ denotes the element of Euclidean area.

PROOF. Suppose that f is a normal function. Then we have that there exists a constant C such that for each $z \in D$, $(1 - |z|^2)f^{\#}(z) \leq C$. Further, we note that

$$dm_D(z) = \frac{dA(z)}{(1 - |z|^2)^2},$$

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and so the integral $\iint_{\Omega} (1 - |z|^2)^{p-2} (f^{\#}(z))^p dA(z)$ is the same as the integral $\iint_{\Omega} ((1 - |z|^2) f^{\#}(z))^p dm_D(z)$. This last integral is clearly dominated by $C^p m_D(\Omega)$, which is finite, since both C^p and $m_D(\Omega)$ are finite. Clearly, $C^p m_D(\Omega)$ depends only on f , p , and the radius of Ω . This proves the “only if” part of the theorem. (Note that the result holds in this direction for each positive p .)

Now suppose that f is not a normal function and that $p > 2$ and $\delta > 0$ are fixed. Then, by a result of Lohwater and Pommerenke [6, Theorem 1, p. 3] there exist a sequence of points $\{z_n\}$ in D and a sequence of positive numbers $\{p_n\}$ such that $p_n/(1 - |z_n|) \rightarrow 0$ and the sequence of functions $\{f_n(t) = f(z_n + p_n t)\}$ converges uniformly on each compact subset of the complex plane to a nonconstant meromorphic function $g(t)$. For each n , let $\Omega_n = \{z: \rho(z, z_n) < \delta\}$. Let $s > 0$ be fixed. Then for n sufficiently large, since $p_n/(1 - |z_n|) \rightarrow 0$, the set $\Gamma_n = \{z: z = z_n + p_n t, |t| < s\} \subset \Omega_n$. We note that $f'_n(t) = p_n f'(z_n + p_n t)$, and that $dA(z) = (p_n)^2 dA(t)$. Thus, $\iint_{\Omega_n} (1 - |z|^2)^{p-2} (f^{\#}(z))^p dA(z)$ is larger than the integral $\iint_{\Gamma_n} (1 - |z|^2)^{p-2} (f^{\#}(z))^p dA(z)$, and, if we convert this to an integral where the variable of integration is t , we get

$$\iint_{|t| < s} \left(\frac{1 - |z_n + p_n t|^2}{p_n} \right)^{p-2} (f^{\#}_n(t))^p dA(t).$$

But

$$\iint_{|t| < s} (f^{\#}_n(t))^p dA(t) \rightarrow \iint_{|t| < s} (g^{\#}(t))^p dA(t),$$

and this last integral is finite and nonzero, since $g(t)$ is a nonconstant meromorphic function and the integral is over a fixed bounded set. However, since $p_n/(1 - |z_n|) \rightarrow 0$ we have that $(1 - |z_n + p_n t|)/p_n \rightarrow \infty$ uniformly for $|t| < s$, and it follows that

$$\iint_{\Gamma_n} (1 - |z|^2)^{p-2} (f^{\#}(z))^p dA(z) \rightarrow \infty.$$

This proves the “if” part of the theorem and completes the proof.

We now show that the theorem is not valid for $p = 2$. Let $f(z)$ be a locally uniformly univalent function which is not a normal function (see Lappan [3]). Let $\beta > 0$ be such that the function $f(z)$ is univalent in any disc of hyperbolic radius β . Then for each $w \in D$, if $\Lambda(w) = \{z: \rho(z, w) < \beta\}$ we have that $f(z)$ is univalent in $\Lambda(w)$ and hence the spherical area of $f(\Lambda(w))$ is less than π , that is,

$$\iint_{\Lambda(w)} (f^{\#}(z))^2 dA(z) < \pi.$$

Now let Ω be any hyperbolic disc in D with finite radius $\delta > 0$. Then there exists a finite number of points w_1, w_2, \dots, w_k such that $\Omega \subset \bigcup_{j=1}^k \Lambda(w_j)$. It follows that

$$\iint_{\Omega} (f^{\#}(z))^2 dA(z) \leq k\pi,$$

where k depends only on δ . Thus, the Theorem is not valid for $p = 2$.

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