

## ASYMPTOTIC BEHAVIOR OF A CLASS OF NONLINEAR DIFFERENTIAL EQUATIONS OF $n$ TH ORDER

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ABSTRACT. In this paper we obtain a result of the asymptotic behavior of the  $n$ th order equation  $u^{(n)} + f(t, u, u', \dots, u^{(n-1)}) = 0$  under some assumptions. For  $n = 2$  and  $f(t, u, u') \equiv f(t, u)$ , it revises the result given by Jingcheng Tong, which is not true in general.

Much work has been done on the asymptotic behavior of the second order equation

$$(1) \quad u'' + f(t, u) = 0.$$

Some results of it are based on the integral inequalities of Gronwall-Bihari type. Here we quote the Theorem B in [1] as a proposition, according to the author, which includes the Theorem in [2] as its special case.

PROPOSITION. *Let  $f(t, u)$  be continuous on  $D: t \geq 0, -\infty < u < \infty$ . If there are two nonnegative and continuous functions  $v(t), \phi(t)$  for  $t \geq 0$ , and a continuous function  $g(u)$  for  $u \geq 0$ , such that*

- (i)  $\int_1^\infty v(t)\phi(t) dt < \infty$ ,
- (ii) for  $u > 0$ ,  $g(u)$  is positive and nondecreasing,
- (iii)  $|f(t, u)| < v(t)\phi(t)g(|u|/t)$  for  $t \geq 1, -\infty < u < \infty$ ,

*then the equation (1) has solutions which are asymptotic to  $a + bt$ , where  $a, b$  are constants and  $b \neq 0$ .*

According to the proof it seems to be true that every solution  $u(t)$  of Equation (1) satisfies that  $u'(t) \rightarrow b \in R$  as  $t \rightarrow \infty$ . But unfortunately, the Proposition does not hold in general because of two mistakes in its proof.

The first error arises because  $G(c_3) + \int_1^t v(s)\phi(s) ds$  may be outside the domain of  $G^{-1}$  (see (4) in [1]). Subsequently, the second error arises because one cannot hence conclude that  $\int_1^\infty |f(s, u(s))| ds < \infty$ . For example, the equation  $u'' - (2/t^4)u^2 = 0$  has a solution  $u = t^2$  which does not satisfy the property  $u'(t) \rightarrow b$  ( $t \rightarrow \infty$ ) as depicted in the proof of the Proposition. For the same reason, the example in [1] cannot be true.

In this paper we obtain a result of the asymptotic behavior of the  $n$ th order equation

$$(2) \quad u^{(n)} + f(t, u, u', \dots, u^{(n-1)}) = 0.$$

In a special case, for  $n = 2$  and  $f(t, u, u') \equiv f(t, u)$ , it revises the result in [1].

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Here we cite a definition of a function class  $\mathcal{F}$  given in [3, p. 207].

DEFINITION. A function  $g(u)$  is said to belong to  $\mathcal{F}$  if  $g(u) > 0$  is nondecreasing and continuous on  $(-\infty, \infty)$ , and

$$(3) \quad g(u)/v \leq g(u/v) \quad \text{for } u \geq 0, v \geq 1.$$

It is easy to see that  $g(u) \in \mathcal{F}$  implies  $\int_1^\infty (1/g(s)) ds = \infty$ . In fact, from (3), letting  $v = u \geq 1$ , we get  $g(u)/u \leq g(1)$ , i.e.,  $g(u) \leq g(1)u$ , which follows

$$(4) \quad \int_1^\infty \frac{ds}{g(s)} \geq \int_1^\infty \frac{ds}{g(1)s} = \infty.$$

At the following we give an extension of the basic Bihari's inequality as a preliminary knowledge. It slightly modifies the Lemma in [4], which is not true for the case that  $f(x) \geq 1$  is not satisfied.

LEMMA. Assume that  $f(t) > 0$  is nondecreasing and continuous on  $[t_0, \infty)$ ,  $h(t) \geq 1$  and  $\phi(t) \geq 0$  are continuous on  $[t_0, \infty)$ , and  $g(u) \in \mathcal{F}$ . Let

$$(5) \quad u(t) \leq f(t) + h(t) \int_{t_0}^t \phi(s)g(u(s)) ds$$

for  $t \geq t_0$ . Then

$$(6) \quad u(t) \leq \frac{f(t)h(t)}{f(t_0)} G^{-1} \left( G(f(t_0)) + \int_{t_0}^t \phi(s)h(s) ds \right)$$

for  $t \geq t_0$ , where

$$G(u) = \int_{u_0}^u \frac{ds}{g(s)} \quad \text{for } u_0 > 0, u > 0.$$

PROOF. Without loss of generality, we assume that  $u(t) \geq 0$ . For otherwise, let  $u_1 = \max\{u(x), 0\}$ . Then  $u_1(t)$  satisfies (5), and  $u(t) \leq u_1(t)$ . In view of that  $f(t)$  is nondecreasing and  $h(t) \geq 1$ , from (5) we see

$$\frac{u(t)}{f(t)h(t)} \leq 1 + \int_{t_0}^t \phi(s) \frac{1}{f(s)} g(u(s)) ds,$$

which follows

$$\begin{aligned} \frac{f(t_0)}{f(t)h(t)} u(t) &\leq f(t_0) + \int_{t_0}^t \phi(s)h(s) \frac{f(t_0)}{f(s)h(s)} g(u(s)) ds \\ &\leq f(t_0) + \int_{t_0}^t \phi(s)h(s)g \left( \frac{f(t_0)}{f(s)h(s)} u(s) \right) ds. \end{aligned}$$

By using Bihari's inequality we get

$$\frac{f(t_0)}{f(t)h(t)} u(t) \leq G^{-1} \left( G(f(t_0)) + \int_{t_0}^t \phi(s)h(s) ds \right).$$

Then (6) is true. Noting  $\int_1^\infty (1/g(s)) ds = \infty$ , we know that

$$G(f(t_0)) + \int_{t_0}^t \phi(s)h(s) ds \in \text{Dom}(G^{-1}) \quad \text{for } t \geq t_0.$$

**THEOREM.** Assume  $f(t, u_0, u_1, \dots, u_{n-1})$  is continuous on  $[1, \infty) \times (-\infty, \infty)^n$ , and

$$(7) \quad |f(t, u_0, u_1, \dots, u_{n-1})| \leq \sum_{i=0}^{n-1} \phi_i(t) g_i(|u_i|/t^{n-i-1})$$

for  $t \geq 1$  and  $-\infty < u < \infty$ , where  $\phi_i(t) \geq 0$  ( $i = 0, 1, \dots, n-1$ ) is continuous on  $[1, \infty)$ , and  $\int_1^\infty \phi_i(t) dt < \infty$ ;  $g_i(u) \in \mathcal{F}$ . Then every solution  $u(t)$  of equation (2) satisfies  $u^{(n-i)}(t)/t^{i-1} \rightarrow a_i \in R$  ( $i = 1, 2, \dots, n$ ) as  $t \rightarrow \infty$ , where  $u^{(0)}(t) = u(t)$ . Furthermore, if  $f(t, u_0, u_1, \dots, u_{n-1})$  does not change its sign when  $u_i > 0$  ( $i = 1, 2, \dots, n-1$ ) and  $t \geq 1$ , then equation (2) has solutions such that  $a_i > 0$  ( $i = 1, 2, \dots, n$ ).

**PROOF.** We denote that  $G_i(u) = \int_1^u ds/g_i(s)$ .

(i) Noting that  $\int_1^\infty \phi_i(s) ds < \infty$ , according to the Lemma, we can prove by induction that every solution  $u(t)$  of equation (2) satisfies

$$(8) \quad \frac{|u^{(n-r)}(t)|}{t^{r-1}} \leq c_{n-r} + d_{n-r} \int_1^t \sum_{i=0}^{n-r-1} \phi_i(s) g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds, \quad r = 1, 2, \dots, n-1$$

for  $t \geq 1$ , where  $c_{n-r} > 0, d_{n-r} > 0$ .

In fact, from (2) we have

$$u^{(n-1)}(t) = c - \int_1^t f(s, u(s), u'(s), \dots, u^{(n-1)}(s)) ds.$$

Choose  $c'$  such that  $c' \geq |c|$  and  $c' > 0$ . From (7) we get

$$|u^{(n-1)}(t)| \leq \left[ c' + \int_1^t \sum_{i=0}^{n-2} \phi_i(s) g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds \right] + \int_1^t \phi_{n-1}(s) g_{n-1}(|u^{(n-1)}(s)|) ds.$$

Using the Lemma we get

$$\begin{aligned} |u^{(n-1)}(t)| &\leq \left[ 1 + \frac{1}{c'} \int_1^t \sum_{i=0}^{n-2} \phi_i(s) g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds \right] \\ &\quad \times G_{n-1}^{-1} \left( G_{n-1}(c') + \int_{t_0}^t \phi_{n-1}(s) ds \right) \\ &\leq c_{n-1} + d_{n-1} \int_1^t \sum_{i=0}^{n-2} \phi_i(s) g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds, \end{aligned}$$

where

$$c_{n-1} = G_{n-1}^{-1} \left( G_{n-1}(c') + \int_1^\infty \phi_{n-1}(s) ds \right) > 0, \quad d_{n-1} = c_{n-1}/c' > 0.$$

Thus (8) is true for  $r = 1$ . Suppose (8) is true for  $r = k < n-1$ , i.e.,

$$\frac{|u^{(n-k)}(t)|}{t^{k-1}} \leq c_{n-k} + d_{n-k} \int_1^t \sum_{i=0}^{n-k-1} \phi_i(s) g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds,$$

where  $c_{n-k} > 0$ ,  $d_{n-k} > 0$ . Then

$$|u^{(n-k)}(t)| \leq c_{n-k}t^{k-1} + d_{n-k}t^{k-1} \int_1^t \sum_{i=0}^{n-k-1} \phi_i(s)g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds.$$

Considering that

$$|u^{(n-k-1)}(t)| - |u^{(n-k-1)}(1)| \leq \int_1^t |u^{(n-k)}(s)| ds,$$

we have

$$|u^{(n-k-1)}(t)| \leq c'_{n-k}t^k + c''_{n-k} + d'_{n-k} \int_1^t (t^k - s^k) \sum_{i=0}^{n-k-1} \phi_i(s)g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds,$$

where

$$c'_{n-k} = \frac{c_{n-k}}{k}, \quad c''_{n-k} = |u^{(n-k-1)}(1)| - \frac{c_{n-k}}{k}, \quad d'_{n-k} = \frac{d_{n-k}}{k}.$$

Let  $c'''_{n-k} = c'_{n-k} + |c''_{n-k}|$ , then

$$\begin{aligned} \frac{|u^{(n-k-1)}(t)|}{t^k} &\leq \left[ c'''_{n-k} + d'_{n-k} \int_1^t \sum_{i=0}^{n-k-2} \phi_i(s)g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds \right] \\ &\quad + d'_{n-k} \int_1^t \phi_{n-k-1}(s)g_{n-k-1} \left( \frac{|u^{(n-k-1)}(s)|}{s^k} \right) ds \end{aligned}$$

for  $t \geq 1$ . Using the Lemma we get

$$\begin{aligned} \frac{|u^{(n-k-1)}(t)|}{t^k} &\leq \left[ 1 + \frac{d'_{n-k}}{c'''_{n-k}} \int_1^t \sum_{i=0}^{n-k-2} \phi_i(s)g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds \right] \\ &\quad \times G_{n-k-1}^{-1} \left( G_{n-k-1}(c'''_{n-k}) + d'_{n-k} \int_1^t \phi_{n-k-1}(s) ds \right) \\ &\leq c_{n-k-1} + d_{n-k-1} \int_1^t \sum_{i=0}^{n-k-2} \phi_i(s)g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds, \end{aligned}$$

where  $c_{n-k-1} = G_{n-k-1}^{-1}(G_{n-k-1}(c'''_{n-k}) + d'_{n-k} \int_1^\infty \phi_{n-k-1}(s) ds) > 0$ ,  $d_{n-k-1} = d'_{n-k}c_{n-k-1}/c'''_{n-k} > 0$ . Thus (8) is true for  $r = k + 1$ .

(ii) By induction we can also prove that

$$(9) \quad |u^{(i)}(t)|/t^{n-i-1} \leq b_i, \quad i = 0, 1, \dots, n-1,$$

for  $t \geq 1$ , where  $b_i$  ( $i = 0, 1, \dots, n-1$ ) are constants. In fact, from (8), letting  $r = n-1$ , we get

$$\frac{|u'(t)|}{t^{n-2}} \leq c_1 + d_1 \int_1^t \phi_0(s)g_0 \left( \frac{|u(s)|}{s^{n-1}} \right) ds,$$

which follows

$$|u'(t)| \leq c_1 t^{n-2} + d_1 t^{n-2} \int_1^t \phi_0(s)g_0 \left( \frac{|u(s)|}{s^{n-1}} \right) ds.$$

Considering that

$$|u(t)| - |u(1)| \leq \int_1^t |u'(s)| ds,$$

we have

$$|u(t)| \leq c'_1 t^{n-1} + c''_1 + d_0 \int_1^t (t^{n-1} - s^{n-1}) \phi_0(s) g_0 \left( \frac{|u(s)|}{s^{n-1}} \right) ds,$$

where  $c'_1 = c_1/(n-1)$ ,  $c''_1 = |u(1)| - c_1/(n-1)$ ,  $d_0 = d_1/(n-1)$ . Let  $c_0 = c'_1 + |c''_1|$ . Then

$$\frac{|u(t)|}{t^{n-1}} \leq c_0 + d_0 \int_1^t \phi_0(s) g_0 \left( \frac{|u(s)|}{s^{n-1}} \right) ds$$

for  $t \geq 1$ . Using the Lemma we get

$$\begin{aligned} |u(t)|/t^{n-1} &\leq G_0^{-1} \left( G_0(c_0) + d_0 \int_1^t \phi_0(s) ds \right) \\ &\leq G_0^{-1} \left( G_0(c_0) + d_0 \int_1^\infty \phi_0(s) ds \right) \triangleq b_0. \end{aligned}$$

Thus (9) is true for  $i = 0$ . Suppose (9) is true for  $i = k < n - 1$ . From (8), letting  $r = n - k - 2$ , we have

$$\begin{aligned} \frac{|u^{(k+2)}(t)|}{t^{n-k-3}} &\leq c_{k+2} + d_{k+2} \int_1^t \sum_{i=0}^k \phi_i(s) g_i(b_i) ds \\ &\quad + d_{k+2} \int_1^t \phi_{k+1}(s) g_{k+1} \left( \frac{|u^{(k+1)}(s)|}{s^{n-k-2}} \right) ds \\ &\leq c'_{k+2} + d_{k+2} \int_1^t \phi_{k+1}(s) g_{k+1} \left( \frac{|u^{(k+1)}(s)|}{s^{n-k-2}} \right) ds, \end{aligned}$$

where  $c'_{k+2} = c_{k+2} + d_{k+2} \int_1^\infty \sum_{i=0}^k \phi_i(s) g_i(b_i) ds$ . Hence

$$|u^{(k+2)}(t)| \leq c'_{k+2} t^{n-k-3} + d_{k+2} t^{n-k-3} \int_1^t \phi_{k+1}(s) g_{k+1} \left( \frac{|u^{(k+1)}(s)|}{s^{n-k-2}} \right) ds.$$

Considering that

$$|u^{(k+1)}(t)| - |u^{(k+1)}(1)| \leq \int_1^t |u^{(k+2)}(s)| ds,$$

we have

$$\begin{aligned} |u^{(k+1)}(t)| &\leq c''_{k+2} t^{n-k-2} \\ &\quad + c'''_{k+2} + d_{k+1} \int_1^t (t^{n-k-2} - s^{n-k-2}) \phi_{k+1}(s) g_{k+1} \left( \frac{|u^{(k+1)}(s)|}{s^{n-k-2}} \right) ds, \end{aligned}$$

where  $c''_{k+2} = c'_{k+2}/(n-k-2)$ ,  $c'''_{k+2} = |u^{(k+1)}(1)| - c'_{k+2}/(n-k-2)$ ,  $d_{k+1} = d_{k+2}/(n-k-2)$ . Letting  $c_{k+1} = c''_{k+2} + |c'''_{k+2}|$ , then

$$\frac{|u^{(k+1)}(t)|}{t^{n-k-2}} \leq c_{k+1} + d_{k+1} \int_1^t \phi_{k+1}(s) g_{k+1} \left( \frac{|u^{(k+1)}(s)|}{s^{n-k-2}} \right) ds$$

for  $t \geq 1$ . Using the Lemma we get

$$\begin{aligned} |u^{(k+1)}(t)|/t^{n-k-2} &\leq G_{k+1}^{-1} \left( G_{k+1}(c_{k+1}) + d_{k+1} \int_1^t \phi_{k+1}(s) ds \right) \\ &\leq G_{k+1}^{-1} \left( G_{k+1}(c_{k+1}) + d_{k+1} \int_1^\infty \phi_{k+1}(s) ds \right) \triangleq b_{k+1}. \end{aligned}$$

Thus (9) is true for  $i = k + 1$ .

(iii) From equation (2) we obtain that  $i = 1, 2, \dots, n$   
 (10)

$$u^{(n-i)}(t) = \sum_{j=1}^i \frac{d_{ji}}{(i-j)!} t^{i-j} - \frac{1}{(i-1)!} \int_1^t (t-s)^{i-1} f(s, u(s), u'(s), \dots, u^{(n-1)}(s)) ds,$$

where  $d_{ji} = u^{(n-1)}(1)$ . And from (9) we know that when  $t \geq 1$ ,

$$\begin{aligned} &\int_1^t \left(1 - \frac{s}{t}\right)^{i-1} |f(s, u(s), u'(s), \dots, u^{(n-1)}(s))| ds \\ &\leq \sum_{i=0}^{n-1} \int_1^t \phi_i(s) g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds \\ &\leq \sum_{i=0}^{n-1} g_i(b_i) \int_1^\infty \phi_i(s) ds < \infty. \end{aligned}$$

Since  $\int_1^t (1 - s/t)^{i-1} |f(s, u(s), u'(s), \dots, u^{(n-1)}(s))| ds$  is nondecreasing in  $t$ , then

$$\lim_{t \rightarrow \infty} \int_1^t \left(1 - \frac{s}{t}\right)^{i-1} |f(s, u(s), u'(s), \dots, u^{(n-1)}(s))| ds$$

exists, which follows that

$$\lim_{t \rightarrow \infty} \int_1^t \left(1 - \frac{s}{t}\right)^{i-1} f(s, u(s), u'(s), \dots, u^{(n-1)}(s)) ds = h_i$$

exists. According to L'Hospital's criterion it is easy to see that  $h_1 = h_2 = \dots = h_n \triangleq h$ . Dividing both sides of (10) by  $t^{i-1}$  and letting  $t \rightarrow \infty$ , we get

$$(11) \quad \frac{u^{(n-i)}(t)}{t^{i-1}} \rightarrow \frac{d_{1i} - h_i}{(i-1)!} = \frac{u^{(n-1)}(1) - h}{(i-1)!} \triangleq a_i.$$

(iv) Let  $f(t, u_0, \dots, u_{n-1}) > 0$  for  $u_i > 0$  ( $i = 0, \dots, n - 1$ ). For any  $r > 0$ , because  $\int_1^\infty \phi_i(s) ds < \infty$ , we can choose a  $T$  so large that

$$\sum_{i=0}^{n-1} g_i \left( \frac{r}{(n-i-1)!} \right) \int_T^\infty \phi_i(s) ds < \frac{r}{4}.$$

If  $u(t)$  is the solution of equation (2) satisfying

$$(12) \quad u(T) = u'(T) = \dots = u^{(n-2)}(T) = 0, \quad u^{(n-1)}(T) = r,$$

then

$$(13) \quad u^{(n-i)}(t) = \frac{r}{(i-1)!} (t-T)^{i-1} - \frac{1}{(i-1)!} \int_T^t (t-s)^{i-1} f(s, u(s), \dots, u^{(n-1)}(s)) ds,$$

which follows for  $t > T$

$$u^{(n-i)}(t)/t^{i-1} \leq r/(i-1)!, \quad i = 1, 2, \dots, n,$$

i.e.,

$$u^{(i)}(t)/t^{n-i-1} \leq r/(n-i-1)!, \quad i = 0, 1, \dots, n-1,$$

as long as  $u^{(i)}(s) > 0$  ( $i = 0, 1, \dots, n-1$ ) for  $s \in (T, t)$ . Thus there exists a maximal interval  $(T, \tilde{T})$  in which

$$0 < u^{(i)}(t)/t^{n-i-1} \leq r/(n-i-1)!, \quad \text{and} \quad u^{(n-1)}(t) > r/2.$$

However it follows  $\tilde{T} = \infty$ . For otherwise

$$\begin{aligned} u^{(n-1)}(\tilde{T}) &= r - \int_T^{\tilde{T}} f(s, u(s), \dots, u^{(n-1)}(s)) ds \\ &\geq r - \sum_{i=0}^{n-1} \int_T^{\tilde{T}} \phi_i(s) g_i \left( \frac{|u^{(i)}(s)|}{s^{n-i-1}} \right) ds \\ &\geq r - \sum_{i=0}^{n-1} g_i \left( \frac{r}{(n-i-1)!} \right) \int_T^{\tilde{T}} \phi_i(s) ds \geq \frac{3}{4}r. \end{aligned}$$

It would contradict the maximal property of  $\tilde{T}$ . This means  $u^{(n-1)}(t) > r/2$  for  $T \leq t < \infty$ . Hence  $a_1 \geq r/2 > 0$ . From (11) we know  $a_i > 0$  ( $i = 1, 2, \dots, n$ ).

Let  $f(t, u_0, \dots, u_{n-1}) < 0$  for  $u_i > 0$  ( $i = 0, \dots, n-1$ ). Then we can conclude that  $u^{(n-i)}(t) > 0$  ( $i = 1, 2, \dots, n$ ) for  $t > T$ . For otherwise there would be a  $\tilde{T} > T$  such that  $u^{(n-i)}(t) > 0$  for  $t \in (T, \tilde{T})$  and  $u^{(n-k)}(\tilde{T}) = 0$  for some  $k$ . In view of (13) we have

$$\begin{aligned} u^{(n-k)}(\tilde{T}) &= \frac{r}{(k-1)!} (\tilde{T} - T)^{k-1} \\ &\quad - \frac{1}{(k-1)!} \int_T^{\tilde{T}} (\tilde{T} - S)^{k-1} f(s, u(s), \dots, u^{(n-1)}(s)) ds > 0, \end{aligned}$$

which contradicts the assumption. Thus from (13) we know

$$\frac{u^{(n-i)}(t)}{t^{i-1}} \geq \frac{r}{(i-1)!} 1 - \left(1 - \frac{T}{t}\right)^{i-1} \quad \text{for } t \geq T.$$

This shows  $a_i \geq r/2(i-1)! > 0$ .

The proof is complete.

EXAMPLE. Consider the equation

$$(14) \quad u'' - (2/t^3)(|uu'|)^{1/2} = 0.$$

We have  $f(t, u, u') = -(2/t^3)(|uu'|)^{1/2}$ . Hence

$$|f(t, u, u')| \leq (1/t^2)(|u|/t + |u'|)$$

for  $t \geq 1$ . Let  $\phi_i(t) = 1/t^2$ ,  $g_i(u) = u$  ( $i = 0, 1$ ). The conditions of the Theorem are satisfied. By using the theorem, we see, for every solution  $u(t)$  of equation (14)

$$u'(t) \rightarrow a_1, \quad u(t)/t \rightarrow a_2 = a_1 \quad \text{as } t \rightarrow \infty.$$

And equation (13) has solutions such that  $a_1 > 0$ .

The conclusion cannot be drawn from [5] and other literatures.

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